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# QIBA Profile: Diffusion-Weighted Magnetic Resonance Imaging (DWI)

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# 84 Change Log:

85 This table is a best-effort of the authors to summarize significant changes to the Profile.

Date	Sections Affected	Summary of Change	
2015.10.10	All	Major cleanup based on comments resolved in the Process Cmte.	
		Also had to remove a few hundred extraneous paragraph styles.	
2015.10.21	All	Approved by Process Cmte	
2015.11.04	2 (Claims)	Incorporating the more refined form of the claim language and	
		referenced a separate claim template.	
	3 (Requirements)	Added Voxel Noise requirement to show example of the linkage	
		between the requirement and the assessment procedure.	
2015.12.16		Minor changes to remove reference to "qualitative" measurements,	
		fix reference to guidance and clean some formatting.	
2016.01.06	1, 3.8.1	Rewording to avoid the term "accuracy".	
2016.11.21	2	Removed polygonal brain ROI area reference (not literature-	
		supported)	
2017.01.18	All	Endnote library of references, prostate added, reconciled ToC with	
		actual content, fixed formatting, cleaned up most comments and	
		highlights, ready for PDF review	
2017.10.26	Section 3	Added new 3.6x (protocol design) and moved organ-specific scan	
		protocols there	
2017.11.02	Section 3	Added new subsections 3.0x, 3.1x, 3.2x to comply with 07.2017	
		template	
2017.11.14	Sections 2,3,4	Rearranged material from Appendix E and section 4 between new	
		subsections in 3 and 4, and added subsection 2.1 (clinical	
		interpretation)	
2017.11.15	Section 4	Shortened and bulleted the assessment procedure for phantom	
2017.11.16	Section 3	Updated phantom study refs to include Pierpaoli and Palacios	
2018.07.24	Section 3	Removed redundant text in all activities (esp. 3.13), removed 3.14	
2018.07.26	Section 3, Table 1	Combined activities and sections on one line for some actors	
2018.07.27	Section 3	Reconciled discussion and spec tables for all activities (esp.3.2.2)	
2018.07.30	Section 3.11	Added artefact examples	
2019.01.16	Appendix F	Added checklists, standardized format	
2019.01.16	2 (claims), 3	Added breast specs to profile, per 6698 test-retest data, call outs to	
		references (added these to endnote library, need to be in-line cited)	
2019.01.18	All	Artifact and derivatives all changed to "artefact"	

2019.01.18	3.6.2, 3.13.2	Created new heading format (heading 4) for organ-specific specs	
		and image artefact discussion.	
2019.01.30	All	Accepted changes from 2019.01.23 version. Removed references to	
		Spick. Deleted old comments previously addressed.	
2019.01.30	3.11	Resized Figure 4, changed caption to appear on right-hand side	
2019.01.30	2.1	Deleted Comment: We either:	
		Remove 56-58 since non-stats & only prostate.	
		Or:	
		Add all other test-retest papers used in claims & can include Spick,	
		Koreans, Alui	
		References added per call outs in above bullet point for each	
		disease site.	
2019.01.30	3.6.2.4	Ideal/target channels 5-16, acceptable 4 channels	
		Number of <i>b</i> -values Ideal 4, target/acceptable 3	
		Gap thickness acceptable left at 1 mm per 6698 spec (all gaps 0 in	
		study)	
		Slice thickness ideal 4, target 4-5, acceptable 5 mm (not <=4	
		because may affect SNR)	
		NSA I/T:3-5, A:2	
		TE Ideal/target: min TE (50-100), acceptable<114 ms	
2019.02.01	All	Artifact and derivatives all changed to "artefact" (again)	
2019.02.05	F.2, F.5,F.6,	Retained "Reconstruction Software" as an Actor, removed	
		highlighting. Created new Actor checklist for Recon S/W (F.5),	
		moving specifications from F.2 matching those in 3.2. Image	
		Analysis Tool Checklist renumbered to F.6.	
2019.02.05	3.13.1.4	Added text to breast discussion	
2019.02.05	2- Claims	Adjusted text to include breast and claims for the same.	
	discussion		
2019.02.05	3.6.2, F.2	Added Acquisition Device to 3.6.2 organ-specific protocol Actors.	
		Created Scan Protocol Parameters in Acq. Device Checklist	
2019.10.14	All	Finished incorporating edits based on Public Comment 2 Feedback-	
		summary found online	

# 87 **Open Issues:**

88 The following issues are provided here to capture associated discussion, to focus the attention of

89 reviewers on topics needing feedback, and to track them so they are ultimately resolved. In particular,

90 comments on these issues are highly encouraged during the Public Comment stage.

**Q:** How to address subject repeatability conformance/assessment?

Q: Are heading formats consistent? Do they make sense? Are they aligned with latest profiles? (may be Process Cmtte. question)

Q: Do spec tables need to be adjusted to match width of text? Should column margins be adjusted for optimal legibility?

Reference 100 may needs reformatting (adjusted in EndNote entry, not reflecting in word document) to avoid linebreak (EF Jackson, AAPM report ref)

Q: Will the Profile address the use of DWI at high (e.g., >3 T) and low (<1.5 T) field strengths?

# 91 Closed Issues:

92 The following issues have been considered closed by the biomarker committee. They are provided here to

93 forestall discussion of issues that have already been raised and resolved, and to provide a record of the 94 rationale behind the resolution.

# Q. Which organs have sufficient reproducibility literature for inclusion in the longitudinal claim statement?

A. Organs for inclusion are brain, liver, prostate, and breast. The following organs were considered, but have been excluded for the time being due to lack of sufficient literature (test-retest data from a total of ~35 subjects, either from a single publication or in total from multiple manuscripts) support:

Bone, Kidney, Lymphoma, Pancreas, Head and neck, Lung, Whole Body

#### Q. How much of the Subject Handling subsection (3.1) is applicable to DWI?

A. Text has been adjusted according to standard clinical practice, subject to public review

Q. Should organ-specific protocols be changed to the profile template's table format, or left as-is?

A. Protocols were adapted for the three organs discussed in the first DWI profile.

#### Q. Can references be better formatted?

A. Now using EndNote Library in Word, not sure how this will translate to Google Docs.

#### Q. Who to include in Appendix B

**A.** RSNA staff has provided current roster, this issue can be addressed in Google Docs while PDF is reviewing, with a final review at the BC level prior to handoff to MR CC.

#### **Q.** Comments in **Prostate Section**

**A.** As the most recently edited organ section, we ask PDF readers to examine the claims and justifications prior to moving up to the MR CC level.

#### Q. How to make conformance section conform?

**A.** Old "phantom" Conformance section moved mostly to Appendices, current structure reflects profile template from Process Committee

#### Q. What DICOM parameters should be specified in section 3.2.2?

**A.** In public tags, vendors should provide: *b*-value; diffusion gradient direction (3-vector) or "isotropic"; sequence class (single spin-echo monopolar; single spin-echo bipolar; double spin-echo bipolar; stimulated echo); **This was addressed, section is now 3.6** 

Q. Include images of relevant artefacts for Image QA section 3.8 (now 3.11)

A. Artefacts added, captions written for all bullets in 3.11.1

Q. Need to edit 3.0 "site conformance" according to DWI workflow (or remove the subsection)?

A. Added overall activity conformance and wCV test

Q. Need to reconcile spec-tables and discussion in new subsections 3.1.x and 3.2.x for DWI

A. Focused discussion on profile activities for staff and site qualification

Q. Need to reconcile TOC w/new (added) subsections in 2 and 3 and changed headings in 4

**A.** Reconciled during edits, must be recompiled anytime there are changes to section/subsection/subsubsection layout

Q. Need to update Table 1 and Figure 1 to include new actors/activities with the reference to correct subsections in 3

A. Clarified figure title to point to key profile activities within trial workflow

**Q:** How to address ROI placement variability across radiologists?

A: Potentially, use groundwork projects to assess the variability across radiologists from different sites, generate assessment procedures for the same.

Q: How to address breast protocol, particularly *b*-values? Need to adjust citations accordingly.

A: Newitt and Sorace used as primary citations. Target/acceptable reduced to 3 *b*-values Q: Provide accessible link to DWI DRO (QIBA wiki)?

A: DRO and QIBAPhan software placed in publicly-accessible area of QIDW, short URLs adjusted accordingly and tested.

Q: What needs to go in <u>3.13.1.4 Breast? If nothing additional, 3.13.1.4 should be eliminated.</u> <u>A: Added text about avoiding potential bias sources in ROI selection.</u>

# 96 **1. Executive Summary**

97 The goal of a QIBA Profile is to help achieve a useful level of performance for a given biomarker. The 98 **Claim** (Section 2) describes the biomarker performance and is derived from the body of scientific literature 99 meeting specific requirements, in particular test-retest studies. The **Activities** (Section 3) contribute to 100 generating the biomarker. Requirements are placed on the **Actors** that participate in those activities as 101 necessary to achieve the Claim. **Assessment Procedures** (Section 4) for evaluating specific requirements 102 are defined as needed to ensure acceptable performance.

103 Diffusion-Weighted Imaging (DWI) and the Apparent Diffusion Coefficient (ADC) are being used 104 clinically as qualitative (DWI) and quantitative (ADC) indicators of disease presence, progression or 105 response to treatment [1-29]. Use of ADC as a robust quantitative biomarker with finite confidence intervals 106 places additional requirements on Sites, Acquisition Devices and Protocols, Field Engineers, Scanner 107 Operators (MR Technologists, Radiologists, Physicists and other Scientists), Image Analysts, 108 Reconstruction Software and Image Analysis Tools [30-37]. Additionally, due to the intrinsic dependence 109 of measured ADC values on biophysical tissue properties, both the Profile Claims and the associated scan 110 protocols (Section 3.6.2) are organ-specific. All of these are considered Actors involved in Activities of Acquisition Device Pre-delivery and Installation, Subject Handling, Image Data Acquisition, 111 112 Reconstruction, Registration, ADC map generation, Quality Assurance (QA), Distribution, Analysis, and 113 Interpretation. The requirements addressed in this Profile are focused on achieving ADC values with 114 minimal systematic bias and measurement variability [34, 36, 37].

115 DISCLAIMER: Technical performance of the MRI system can be assessed using a phantom having known 116 diffusion properties, such as the QIBA DWI phantom. The clinical performance target is to achieve a 95% 117 confidence interval for measurement of ADC with a variable precision depending on the organ being 118 imaged and assuming adequate technical performance requirements are met. While in vivo DWI/ADC 119 measurements have been performed throughout the human body, this Profile focused on four organ systems, 120 namely brain, liver, prostate, and breast as having high clinical utilization of ADC with a sufficient level of 121 statistical evidence to support the Profile Claims derived from the current peer-reviewed literature. In due 122 time, new DWI technologies with proven greater performance levels, as well as more organ systems will 123 be incorporated in future Profiles.

This document is intended to help a variety of users: clinicians using this biomarker to aid patient management; imaging staff generating this biomarker; MRI system architects developing related products; purchasers of such products; and investigators designing clinical trials utilizing quantitative diffusion-based imaging endpoints.

128 Note that this document only states requirements specific to DWI to achieve the claim, not requirements 129 that pertain to clinical standard of care. Conforming to this Profile is secondary to proper patient care.

# 130 **2. Clinical Context and Claims**

# 131 **2.1 Clinical Context**

132 The goal of this profile is to facilitate appropriate use of quantitative diffusion weighted imaging (DWI) to 133 gain insight into changes in the microstructure and composition of lesions in humans using precise quantitative measurements of the apparent diffusion coefficient (ADC) for robust tissue characterization 134 and longitudinal tumor monitoring. The premise for its use is that therapy-induced cellular necrosis should 135 pre-date macroscopic lesion size change, thereby motivating exploration of ADC as a response biomarker 136 137 [3, 5, 6, 13, 14, 16, 18, 19, 22, 26, 27, 38-40]. Within days to weeks after initiation of effective cytotoxic therapy, tumor necrosis occurs, with a loss of cell membrane integrity and an increase of the extracellular 138 139 space typically resulting in a relative increase in ADC. During the following weeks to months, the tumor 140 may show shrinkage with a resorption of the free extracellular fluid and fibrotic conversion leading to a decrease of the ADC, although tumor recurrence can also result in reduced ADC [21, 41, 42]. 141

142

143 The objective of this Profile is to provide prerequisite knowledge of the expected level of variance in ADC 144 measurement unrelated to treatment, in order to properly interpret observed change in ADC following 145 treatment [30, 34, 36].

146

This QIBA DWI Profile makes Claims about the confidence with which ADC values and changes in a lesion can be measured under a set of defined image acquisition, processing, and analysis conditions. It also provides specifications that may be adopted by users and equipment developers to meet targeted levels of clinical performance in identified settings. The intended audience of this document includes healthcare professionals and all other stakeholders invested in the use of quantitative diffusion biomarkers for treatment response and monitoring, including but not limited to:

- Radiologists, technologists, and physicists designing protocols for ADC measurement
- Radiologists, technologists, physicists, and administrators at healthcare institutions considering
   specifications for procuring new MR equipment
- Technical staff of software and device manufacturers who create products for this purpose
- 157 Biopharmaceutical companies and clinical trialists
- 158 Clinicians engaged in therapy response monitoring
- Radiologists and other health care providers making quantitative measurements on ADC maps
- Oncologists, urologists, neurologists, other clinicians, regulators, professional societies, and others
   making decisions based on quantitative diffusion image measurements
- Radiologists, health care providers, administrators and government officials developing and
   implementing policies for brain, liver, prostate, and breast cancer treatment and monitoring

# 164 **2.2 Claims**

165 **Conformance to this Profile** <u>by all relevant staff and equipment</u> supports the following claim(s):

# 166 **Claim 1a: A measured change in the ADC of a brain lesion of 11% or larger indicates**

167 that a true change has occurred with 95% confidence.

- 168 Claim 2a: A measured change in the ADC of a liver lesion of 26% or larger indicates
- 169 that a true change has occurred with 95% confidence.

Claim 3a: A measured change in the ADC of a prostate lesion of 47% or larger
 indicates that a true change has occurred with 95% confidence.

- 172 Claim 4a: A measured change in the ADC of a breast lesion of 13% or larger indicates
   173 that a true change has occurred with 95% confidence.
- 174 -----

175 Claim 1b: A 95% CI for the true change in ADC of a brain lesion is given below, where 176  $Y_1$  and  $Y_2$  are the ADC measurements at the two time points:

177 
$$(Y_2 - Y_1) \pm 1.96 \times \sqrt{(Y_1 \times 0.040)^2 + (Y_2 \times 0.040)^2}.$$

178 Claim 2b: A 95% CI for the true change in ADC of a liver lesion is given below, where 179  $Y_1$  and  $Y_2$  are the ADC measurements at the two time points:

180 
$$(Y_2 - Y_1) \pm 1.96 \times \sqrt{(Y_1 \times 0.094)^2 + (Y_2 \times 0.094)^2}.$$

181 Claim 3b: A 95% CI for the true change in ADC of a prostate lesion is given below, 182 where Y<sub>1</sub> and Y<sub>2</sub> are the ADC measurements at the two time points:

183 
$$(Y_2 - Y_1) \pm 1.96 \times \sqrt{(Y_1 \times 0.17)^2 + (Y_2 \times 0.17)^2}.$$

184 Claim 4b: A 95% CI for the true change in ADC of a breast lesion is given below,
185 where Y<sub>1</sub> and Y<sub>2</sub> are the ADC measurements at the two time points:

186 
$$(Y_2 - Y_1) \pm 1.96 \times \sqrt{(Y_1 \times 0.048)^2 + (Y_2 \times 0.048)^2}.$$

187

#### 188 **These claims hold when:**

- The same imaging methods on the same scanner and the same analysis methods are used at two
   separate time points where the interval between measurements is intended to represent the evolution
   of the tissue over the interval of interest (such as pre-therapy versus post initiation of therapy).
  - Conspicuity of lesion boundary is adequate to localize the lesion for definition on a region-ofinterest [27] at both time points.
    - For breast, a whole lesion/tissue (multi-slice) ROI is used [43, 44] at each timepoint.

#### 196 **Discussion**

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198 These claims are based on estimates of the within-subject coefficient of variation (wCV) for ROIs • drawn in the brain, liver, prostate, and breast. For estimating the critical % change, the % 199 200 Repeatability Coefficient (%RC) is used:  $2.77 \times \text{wCV} \times 100\%$ , or %RC = 11% for brain, 26% for 201 liver, 47% for prostate, and 13% for breast. Specifically, it is assumed that the wCV is 4% for brain, 9.4% for liver, 17% for prostate, and 4.8% for the breast. The claim assumes that the wCV is 202 constant for tissue regions in the specified size, the signal-to-noise ratio (SNR) of the tissue region 203 204 on the b=0 image is at least 50, and that the measured ADC is linear (slope=1) with respect to the true ADC value over the tissue-specific range  $0.3 \times 10^{-3}$  mm<sup>2</sup>/s to  $3.0 \times 10^{-3}$  mm<sup>2</sup>/s. 205

- 206 • For the brain, estimates are from Bonekamp 2007, Pfefferbaum 2003 (mean ADC in an anatomical region or polygonal ROI), and Paldino 2009 [45-47]; for the liver, estimates are from Miguel 2012, 207 Braithwaite 2009 (mean ADC in an ROI between 1-4 cm<sup>2</sup>) [48-51]; for the prostate, estimates are 208 209 from Litjens 2012, Fedorov 2017 and Gibbs 2007 (Table 1 of the manuscript, mean ADC is from 210 an ROI ranging from 120 to 320 mm<sup>2</sup>, with little impact on repeatability) [52-56]. The claims of this 211 Profile, informed by this cited literature, do not address heterogeneity in prostate; zone-specific 212 ROIs may result in lower wCVs. For the breast, estimates are for mean ADC in a multi-slice ROI 213 from Newitt 2018 [43] (covering the whole tumor)) and Sorace 2018 [44] (normal breast 214 fibroglandular tissue).
- In general, where there is test-retest data for ADC, there is usually not consistent accompanying
   information about ROI size and shape. It will be valuable to have such information to better inform
   future claim statements.
- 218

# 219 **2.3 Clinical Interpretation**

In tumors, changes in ADC can reflect variations in cellularity, as inferred by local tissue water mobility, e.g., a reduction or increase of the extracellular space, although the level of measured change must be interpreted relative to the Repeatability Coefficient before considered as a true change [1, 30, 34, 37, 43-48, 51, 56-58]. Other biological processes may also lead to changes in ADC, e.g., stroke.

224 Low ADC values suggest cellular dense tissue and potentially solid/viable tumor as opposed to elevated 225 ADC values in tumor necrosis and cystic spaces. For example, ADC in the peripheral zone of the prostate 226 decreases with the presence of cancer (while generally increasing with age) [59]. Care should be taken to 227 correlate ADC findings with morphology, e.g., with T<sub>2</sub>-weighted images in the prostate in the case of an 228 abscess. The use of specific interpretation of ADC values will depend on the clinical application, e.g., taking 229 into account spontaneous tumor necrosis versus tumor necrosis after effective therapy. Schema and 230 properties of tissues to assay by ADC should be addressed during the design phase of each study. For 231 example, therapies targeted to induce cytotoxic change in solid viable tumor [3, 19, 22, 38, 41] are candidate 232 for ADC monitoring by ROI segmentation guided by traditional MR indicators of solid viable tissue, 233 namely: relatively hyperintense on high b-value DWI, low ADC, and perfused on dynamic contrast-234 enhanced MRI. The anticipated timescale of *early* therapeutic response and/or tumor progression must be 235 considered in study design of MRI scan dates for application of ADC as a prognostic marker.

- 236
- 237

# 238 **3. Profile Activities**

The Profile is documented in terms of "Actors" performing "Activities". Equipment, software, staff or sites
 may claim conformance to this Profile as one or more of the "Actors" in the following table.

Conformant Actors shall support the listed Activities by conforming to all requirements in the referencedSection.

For some activity parameters, three specifications have been defined. Meeting the ACCEPTABLE specification is sufficient to conform to the profile. Meeting the TARGET or IDEAL specifications is expected to achieve improved performance, but are not required for conformance to the profile.

246 **ACCEPTABLE:** Actors that shall meet this specification to conform to this profile.

TARGET: Meeting this specification is achievable with reasonable effort and adequate equipment and is
 expected to provide better results than meeting the ACCEPTABLE specification.

**IDEAL:** Meeting this specification may require extra effort or non-standard hardware or software, but is expected to provide better results than meeting the TARGET.

251

#### 252 **Table 1: Actors and Required Activities**

Actor (Checklist Appendix)	Activity	Section
Site (see F.1)	Qualification, Periodic QA	3.2, 3.5
	Site Qualification	3.2
Acquisition Device (see F.2)	Pre-delivery	3.3
	Periodic QA	3.5
	Protocol Design	3.6
	Image Data Acquisition	3.9
	Site Qualification	3.2
	Periodic QA	3.5
Scanner Operator*	Protocol Design	3.6
(see F.3)	Subject Selection and Handling	3.7 and 3.8
	Image Data Acquisition, Reconstruction, QA, and Distribution	3.9, 3.10, 3.11 and 3.12
	Staff and Site Qualification	3.1 and 3.2

Image Analyst <sup>†</sup> (see F.4)	Image QA, Distribution, and Analysis	3.11, 3.12, and 3.13
Reconstruction Software (see F.5)	Image Data Reconstruction	3.10
Image Analysis Tool (see F.6)	Image Analysis	3.13

\*Scanner operator may be an MR technologist, physicist, or other scientist <sup>†</sup>Image analyst may be a radiologist, technologist, physicist, or other scientist.

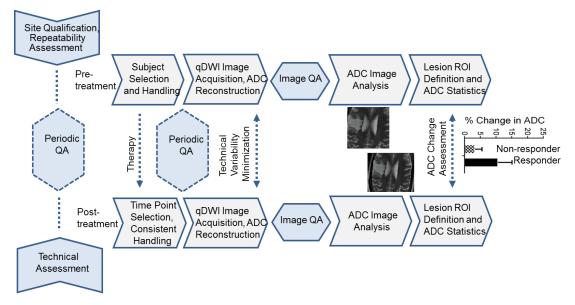
255

256 The requirements in this Profile do not codify a Standard of Care; they only provide guidance intended to

achieve the stated Claim. Failing to conform to a "shall" statement in this Profile is a protocol deviation.

258 Handling protocol deviations for specific trials/studies is at full discretion of the study sponsors and other

- 259 responsible parties.
- 260 Example of a clinical trial workflow based on this DWI Profile is shown in Figure 1:



261 262

263

# Figure 1: Typical quantitative Diffusion-Weighted MRI trial workflow for Treatment Response Assessment with key QIBA profile activities

264 265

# **3.1. Staff Qualification**

This activity involves evaluating the human Actors (Radiologist, Scanner Operator and Image Analyst)
 prior to their participation in the Profile.

# 269 <u>3.1.1 Discussion</u>

These requirements, as with any QIBA Profile requirements, are focused on DWI-relevant activities required to achieve the DWI Profile Claims. Evaluating the medical or professional qualifications of participating actors is beyond the scope of this profile.

274 In clinical practice, it is expected that the radiologist interpreting the examination often will be the image analyst. In some clinical practice situations, and in the clinical research setting, the image analyst may be a 275 non-radiologist professional such as a medical physicist, biomedical engineer, MRI scientist or 3D lab 276 277 technician. While there are currently no specific certification guidelines for image analysts, a non-278 radiologist performing diffusion analysis should be trained in technical aspects of DWI including: 279 understanding key acquisition principles of diffusion weighting and directionality and diffusion test 280 procedures (Appendix E); procedures to confirm that diffusion-related DICOM metadata content is 281 maintained along the network chain from scanner to PACS and analysis workstation. The analyst must be 282 expert in use of the image analysis software environment, including ADC map generation from DWI (if not 283 generated on the scanner), and ADC map reduction to statistics with ROI/VOI location(s) retained. The 284 analyst should undergo documented training by a radiologist having qualifications conforming to the 285 requirements of this profile in terms of anatomical location and image contrast(s) used to select 286 measurement target. The level of training should be appropriate for the setting and the purpose of the 287 measurements. It may include instruction in topics such as directional and isotropic DWI and ADC map 288 reconstruction and processing; normative ADC values for select tissues; and recognition of image artefacts. 289 The Technologist is always assumed to be a Scanner Operator for subject scanning, while phantom scanning 290 can be performed by Image Analyst.

291 <u>3.1.2 Specification</u>

Parameter	Actor	Specification
Qualification	Image Analyst	Shall undergo documented training by a qualified radiologist in terms of anatomical location and image contrast(s) used to select measurement target; and by qualified physicist in understanding key DWI acquisition principles of diffusion weighting and directionality and diffusion test procedures, procedures to confirm that diffusion-related DICOM metadata content is maintained along the network chain from Scanner to PACS and analysis workstation and in use of the Image Analysis Tool, including ADC map generation from DWI (if not generated on the scanner), and ADC map reduction to statistics with ROI/VOI location(s)

# **3.2. Site qualification**

This activity involves evaluating performance of the product Actors (Acquisition Device, Reconstruction Software, and Image Analysis Tool) by the Scanner Operator and Image Analyst initially at the site to

ensure acceptance to the trial and baseline cross-site protocol standardization, but not directly associated with a specific clinical trial subject, that are necessary to reliably meet the Profile Claim.

# 297 <u>3.2.1 Discussion</u>

Site qualification testing will be performed according to the trial-specific multi-site protocol prior to inclusion into trial to check site's ability to implement standardized acquisition protocol and image analysis, as well as establish the baseline performance level. Steps toward multi-device standardization include meeting the baseline performance specifications for bias and repeatability using quantitative DWI phantom

302 [60-62]. The listed specifications are based on the prior multi-system studies [61, 63-66]. The details on the 303 platform-specific phantom scanning protocols and performance metrics assessment are provided in Section

304 4 and Appendices D and E.

305 Key quantitative DWI performance metrics include: ADC bias at magnet isocenter, random error within

ROI (precision), SNR at each *b*-value, ADC dependence on *b*-value and ADC spatial dependence. To

307 conform to this Profile, system performance benchmarks for these metrics are provided in 3.2.2 to ensure

308 negligible contribution of technical errors to above defined confidence intervals measured for tissue. These

benchmarks reflect the baseline MRI equipment performance in clinical and multi-center clinical trial

settings to support the Claims of this Profile. To establish tighter confidence bounds for ADC metrics,

additional technical assessment procedures may be introduced according to specific clinical trial protocol.
 Note that with other performance assessment metrics conformant to the Profile, the listed acceptable ranges

for spatial ADC bias could be the major source of the technical measurement error limiting ADC confidence

314 intervals in multi-center studies.

Parameter	Actor	Requirement
Qualification activities	Site	Shall perform qualification activities for Acquisition Device, Scanner Operator, and Image Analyst to meet equipment, reconstruction SW, image analysis tool and phantom ADC performance metrics as specified in table 3.2.2 and by trial-specific protocol 3.6.2
DWI Tags	Acquisition	Shall preserve tags related to DWI, including private tags, which may be vendor-specific. Some key tags are specified in Appendix D.
	Device	Shall be capable of storing protocols and performing scans with all the parameters set as specified in section 3.6.2 "Protocol Design Specification" and Appendix D
Acquisition Protocols		Shall prepare scan protocols conformant with section 3.6.2 "Protocol Design Specification" and phantom qualification (Appendix D) and ensure that DWI acquisition parameters ( <i>b</i> -value, diffusion direction) shall be preserved in DICOM and shall be within ranges allowed by study protocol (both for phantom and subject scans).
Acquisition Device Performance	Scanner	Shall perform assessment procedures (Section 4) for site qualification and longitudinal QA for the acquisition devices participating in trial to document acceptable performance for phantom ADC metrics as specified in this table
Reconstruction SW Performance	Scanner Operator	Shall confirm that reconstruction SW is capable of performing reconstructions and producing images with all the parameters set as specified in section 3.6.2 "Protocol Design Specification" and meet DWI DICOM header and image registration requirements specified in 3.10.2, including storage of <i>b</i> -values, DWI directionality, image scaling and units tags, as specified in DICOM conformance statement for the given scanner SW version, as well as the model-specific Reconstruction Software parameters utilized to achieve conformance.
Image Analysis Tool Performance	Image Analyst	Shall test Image Analysis Tool to ensure acceptable performance according to 3.13.2 specifications for study image visualization, DICOM and analysis meta-data interpretation and storage, ROI segmentation, and generation of ADC maps and repeatability statistics for qualification phantom (below)

#### 315 <u>3.2.2 Specification</u>

Parameter	Actor	Requirement
Phantom ADC ROI		Shall confirm that phantom ROI is 1-2 cm diameter ( >80 pixels without interpolation) for all specifications below
Phantom ADC metrics		Shall evaluate and record phantom ADC metrics (bias, linearity and precision) according to Table 3.2.2 specifications for Acquisition Device qualification and periodic QA using QIBA-provided or qualified site Image Analysis Tool
ADC bias at/near isocenter		$ ADC \text{ bias}  \le 0.04 \times 10^{-3} \text{ mm}^2/\text{s}$ , or $\le 3.6\%$ for ice-water phantom or other quantitative DWI phantom
ADC error at/near isocenter		ADC random error $\leq 2\%$ for ice-water phantom or other quantitative DWI phantom
Short-term (intra- exam) ADC repeatability at/near isocenter		$RC \le 1.5 \times 10^{-5} \text{ mm}^2/\text{s}$ and $wCV \le 0.5\%$ for ice-water phantom or other quantitative DWI phantom
Long-term (multi- day) ADC repeatability at/near isocenter		$RC \le 6.5 \times 10^{-5} \text{ mm}^2/\text{s}$ and wCV $\le 2.2\%$ for ice-water phantom or other quantitative DWI phantom
DWI <i>b</i> =0 SNR	Acquisition	SNR $(b=0) \ge 50\pm 5$ for ice-water phantom or other quantitative DWI phantom.
ADC <i>b</i> -value dependence	Device / Image Analyst	<pre>&lt; 2% for ice-water phantom or other quantitative DWI phantom over b-value pairs 0-500; 0-900; and 0-2000s/mm<sup>2</sup></pre>
Maximum  bias  with offset from isocenter: within 4 cm in any direction		< 4% for uniform DWI phantom
R/L offset < 10 cm (with A/P and S/I <4 cm)		< 10% for uniform DWI phantom
A/P offset < 10 cm (with R/L and S/I <4 cm)		< 10% for uniform DWI phantom
S/I offset < 5 cm (with R/L and A/P <4 cm)		< 10% for uniform DWI phantom

# **317 3.3. Pre-delivery**

318 Standard scanner calibrations, phantom imaging, performance assessments or validations prior to delivery

of equipment to a site (e.g., performed at the factory) for routine clinical service are beyond the scope of this profile but are assumed to be satisfied

320 this profile but are assumed to be satisfied.

#### 321 3.3.1 DISCUSSION

322 Current clinical MR scanners equipped with single-shot echo planar DWI capabilities compliant with trial 323 acquisition protocol are adequate to meet the Profile Claim.

#### 324 3.3.2 SPECIFICATION

325

Parameter	Actor	Requirement
Performance metrics		Scanner shall meet established vendor performance metrics for given model
DWI sequence	Acquisition Device	Scanner shall be capable to acquire single-shot DWI
DICOM conformance		DICOM conformance statement from Vendor will include DICOM tags for <i>b</i> -value and diffusion direction(s).

#### **326 3.4. Installation**

- 327 Beyond standard installation activities which are outside the scope of this profile, network DICOM client
- 328 configuration of PACS and analysis workstation(s) shall maintain all DWI-relevant DICOM metadata.

#### 329 **3.5. Periodic QA**

This activity describes phantom imaging, performance assessments or validations performed after initial acceptance to the trial and periodically at the site, but not directly associated with a specific subject, that are necessary to reliably meet the Profile Claim.

#### 333 <u>3.5.1 Discussion</u>

Periodic quality assurance procedures should be consistent with those generally accepted for routine clinical imaging but are outside the scope of this profile. Additional DWI-specific QA procedures to ensure baseline scanner performance with minimal technical variability are described in Section 4 and Appendices D and E, and can be utilized as needed [21, 67]. Presently, there are insufficient data to require a set frequency of periodic QA, which is specific to the clinical trial design. However, QA procedures should be followed after a hardware or software upgrade.

#### 340 3.5.2 SPECIFICATION

Parameter	Actor	Requirement
Periodic DWI QA	Site/Scanner Operator/ Acquisition Device	Shall perform system qualification and periodic QA that includes assessment of ADC bias, random error, linearity, DWI SNR, DWI image artefacts, <i>b</i> -value dependence and spatial uniformity (3.2.2)
Equipment	Site	Same, pre-qualified equipment and SW shall be used over the length of trial, and all preventive maintenance shall be documented over the course of the trial. Re-qualification shall be performed in case of major SW or hardware upgrade.

# 341 **3.6. Protocol Design**

342 This activity involves designing DWI acquisition and reconstruction procedures that are necessary to

reliably meet the Profile Claim. Along with site qualification (3.2), this activity facilitates cross-platform protocol standardization for multi-site trials.

#### 345 <u>3.6.1 Discussion</u>

The Profile considers Protocol Design to take place at the imaging site, however, sites may choose to make use of protocols developed elsewhere. DWI scan protocols (for phantom QA and subject scanning) should be pre-built by the Scanner Operator during site qualification (3.2.2), clearly labeled and stored on the MRI system for recall in study scans with minimal parameter changes within allowed specification ranges. Version control of edits to the protocol should be tracked with prior versions archived. Standardized DWI phantom scan protocols are tabulated in Appendix D.

352

353 Tables in section 3.6.2 contain key specifications for subject DWI scan protocols expressed using generic terminology. The specifications are consistent with publications supporting Profile Claims and consensus 354 355 recommendations for brain [31, 45-47, 68], liver [21, 28, 48-51, 58] and prostate [52-56, 59]. Some parameters include a numerical range. Reduction of respiratory artefact in the liver requires either short 356 breath-hold (un-averaged, <25 sec), or long (3-5 min) respiratory-synchronization, or free breathing with 357 358 high signal averaging. The gain in image quality with high signal averaging favors use of non-breath-hold 359 abdominal DWI. New techniques, such as simultaneous multi-slice or multi-band MRI, are becoming commercially available and could be advantageous for DWI [69-72]. k-space undersampling, rFOV, and 360 361 multi-shot EPI techniques are also becoming more common [73-79]. However, these are not yet considered 362 "standard" on most clinical systems and therefore are not specified below. The literature which informs the prostate claim in Section 2 presents 3T data with body coil exclusively; therefore, the associated prostate 363 364 protocols in this Profile are limited to 3T. This Profile does not yet address the use of DWI at high (>3 T) 365 or low-field (<1.5 T) strengths due to the absence of test-retest literature.

366

Care should be taken to utilize the same scan parameters across exams, particularly within a study. For
 example, close attention should be paid to the TE, which should be consistent across exams.

In the specification tables, there are requirements to include b=0 s/mm<sup>2</sup> images. This hastens image acquisition by obviating acquisition of multiple directions to enable directional averaging of non-zero *b*-values, however low. However, some scanners do not produce a "true-zero" *b*-value. Whenever possible, true-zero *b*-value should be acquired; when hardware or software makes this not possible, b<50 s/mm<sup>2</sup> can be acquired in lieu of true-zero *b*-values.

375

In the case of breast imaging (3.6.2.4), phase encoding along the anterior-posterior axis preserves anatomic symmetry for axial breast fields of view, and is preferred over left-right phase encoding (which is still acceptable).

379 <u>3.6.2 Specification</u>

ACCEPTABLE: Actors that shall meet this specification to conform to this profile.

**TARGET:** Meeting this specification is achievable with reasonable effort and adequate equipment and is expected to provide better results than meeting the ACCEPTABLE specification.

**IDEAL:** Meeting this specification may require extra effort or non-standard hardware or software, but is expected to provide better results than meeting the TARGET.

# **<u>3.6.2.1 Brain</u>**

Parameter	Actor	Requirement	DICOM Tag <sup>+</sup>
Field Strength		1.5 or 3T	[0018, 0087]
Acquisition sequence		Diffusion-weighted Single-Shot Echo Planar Imaging (SS-EPI)	[0018, 0020]
Receive Coil type		Ideal: 32 channel head array coil Target: 8-32 channel head array coil Acceptable: 8 channel head array coil	[0018, 1250]
Lipid suppression	-	On	
		Ideal: $\geq 3$ (including one <i>b</i> =0-50; one 450-550 s/mm <sup>2</sup> ; and one at highest <i>b</i> -value)	
Number of <i>b</i> -values	Acquisition	Acceptable/Target: 2 (including $b=0-50$ s/mm <sup>2</sup> and at highest $b$ -value)	
	Device/Scanner	Target/Ideal: <i>b</i> =1000 s/mm <sup>2</sup>	
Minimum highest <i>b</i> -value	Operator	Acceptable: <i>b</i> =850-999 s/mm <sup>2</sup>	[0018, 9087]
		Target/Ideal: ≥3-orthogonal, combined gradient channels	[0018, 9075]
Diffusion directions	_	Acceptable: $\geq$ 3-orthogonal, single gradient channels	[0018, 9089]
		Ideal: <u>≤</u> 4 mm	
Slice thickness		Target: 4-5 mm	[0018, 0050]
		Acceptable: 5mm	
Gap thickness		Target/Ideal: 0-1 mm Acceptable: 1-2 mm	[0018, 0088]
Field-of-view		Ideal/Target/Acceptable: 220-240 mm FOV along both axes	[0018, 1100]
A consistion motion	-	Target/Ideal: (160-256) x (160-256), or 1.5-1 mm in-plane resolution	[0018, 1310]
Acquisition matrix		Acceptable: 128 x 128, or 1.7 mm in-plane resolution	
Plane orientation		Transversal-axial	[0020, 0037]
Phase-encode/ frequency- encode direction		Anterior-Posterior / Right-Left	[0018, 1312]
Number of averages		Ideal/Target: $\geq 2$	[0018, 0083]
interest of averages		Acceptable:1	

Half-scan factor	Acceptable/Target: >0.65	[0018, 9081]
In-plane parallel imaging acceleration factor	Ideal: 2-3 Acceptable/Target: 2	[0018, 9069]
TR	Ideal: > 5000 ms Acceptable/Target: 3000-5000 ms	[0018, 0080]
	Ideal: <60ms	
TE	Target: minimum TE	[0018, 0081]
	Acceptable: <120 ms	
Receiver Bandwidth	Ideal/Target: maximum possible in frequency encoding direction (minimum echo spacing)	
	Acceptable:>1000 Hz/voxel	

# <u>3.6.2.2 Liver</u>

384
385

Parameter	Actor	Requirement	<b>DICOM</b> $Tag^{\dagger}$
Field Strength		1.5 or 3 T	[0018, 0087]
Acquisition sequence	-	Diffusion-weighted Single-Shot Echo Planar Imaging (SS-EPI)	[0018, 0020]
Receive Coil type	-	Ideal: >16 channel torso array coil Target: 6-16 channel torso array coil Acceptable: 6 channel torso array coil	[0018, 1250]
Lipid suppression		On	
	-	Ideal: $\geq 3$ (including one $b=0-50$ ; one 100-300 s/mm <sup>2</sup> ; and one at highest <i>b</i> -value)	
Number of <i>b</i> -values	Acquisition Device/Scanner -Operator	Acceptable/Target: 2 (including one $b=50-100$ s/mm <sup>2</sup> and one at highest <i>b</i> -value)	
Minimum highest <i>b</i> -value		Target/Ideal: <i>b</i> =600-800 s/mm <sup>2</sup>	[0018, 9087]
		Acceptable: 500 s/mm <sup>2</sup>	
Diffusion directions		Target/Ideal: 3-orthogonal, combined gradient channels Acceptable: 3-orthogonal, single gradient channels	[0018, 9075]
	_	Ideal: <5 mm	
Slice thickness		Target: 5-7 mm	[0018, 0050]
	_	Acceptable: 7-9 mm	
		Ideal: 0 mm	
Gap thickness		Target:1 mm	[0018, 0088]
		Acceptable:>1-2 mm	

Field-of-view	Ideal/Target/Acceptable: 300-450 mm	0018, 1100]
Acquisition matrix	Target/Ideal: (160-196) x (160-192), or 2.5-2 mm in-plane Acceptable: 128 x 128, or 3-2.6 mm in-plane resolution	0018, 1310]
Plane orientation	Transversal-axial [0	0020, 0037]
Half-scan factor	Acceptable/Target: >0.65 [0	018, 9081]
Phase-encode/ frequency- encode direction	Anterior-Posterior / Right-Left [0	0018, 1312]
	Ideal: > 4	
Number of averages	Target: 4 [0	[0018, 0083]
	Acceptable:2-3	
	Ideal: 2-3 [0	018, 9069]
Parallel imaging factor	Target/Acceptable: 2	
TR	Ideal/Target/Acceptable> 2000 ms [0	018, 0080]
	Ideal: < 60 ms	
TE	Target: minimum TE [0	0018, 0081]
	Acceptable: < 110 ms at 1.5 T; <90 ms at 3 T	
Receiver Bandwidth	Ideal/Target: maximum possible in frequency encoding direction (minimum echo spacing) [0	0018, 0095]
	Acceptable: > 1000 Hz/voxel	

# <u>3.6.2.3 Prostate</u>

Parameter	Actor	Requirement <sup>‡</sup>	DICOM Tag <sup>+</sup>
Field Strength		3 T	[0018, 0087]
Acquisition sequence		Diffusion-weighted Single-Shot Echo Planar Imaging (SS-EPI)	[0018,0020]
Receive Coil type		Ideal/Target: ≥8 channel torso array coil Acceptable: <8 channel pelvic phased array coil/endorectal coil; body array coil	[0018,1250]
Lipid suppression		On	
		Ideal: $\geq 3$ (including one $b=0-50$ ; one 100-500 s/mm <sup>2</sup> ; and one at highest <i>b</i> -value)	
Number of <i>b</i> -values <sup>‡</sup>	Acquisition Device/Scanner	Acceptable/Target: 2 (including one $b < 50-100$ s/mm <sup>2</sup> and one at highest $b$ -value)	
Minimum highest <i>b</i> -value	Operator	Ideal: <i>b</i> =1000-1500 s/mm <sup>2</sup>	[0018, 9087]
		Target/Acceptable: 500-1000 s/mm <sup>2</sup>	

Diffusion directions	Target/Ideal: 3-orthogonal, combined gradient channels Acceptable: 3-orthogonal, single gradien channels	[0018, 9075
	Ideal: ≤3 mm	
Slice thickness <sup>‡</sup>	Target: 3-4 mm	[0018, 0050
	Acceptable: 4-5 mm	
	Ideal: 0 mm	_
Gap thickness	Target/Acceptable: 1 mm	[0018, 0088
Field-of-view <sup>‡</sup>	Ideal/Target/Acceptable: 240-260 mm	[0018, 1100
Acquisition matrix <sup>‡</sup>	Target/Ideal/Acceptable: (224-128) x (224-128), or 2-1 mm in-plane	[0018, 1310
Plane orientation	Transversal-axial	[0020, 0037
Half-scan factor	Acceptable/Target: >0.65	[0018, 9081
Phase-encode/ frequency- encode direction	Anterior-Posterior / Right-Left	[0018, 1312
	Ideal: > 4	
Number of averages	Target: 4	[0018, 0083
	Acceptable:2-4	
Parallel imaging factor	Ideal /Target/Acceptable: 2	[0018, 9069
TR <sup>‡</sup>	Ideal/Target/Acceptable> 2000 ms	[0018, 0080
	Ideal: < 60 ms	
ГЕ	Target: minimum TE	[0018, 0081
	Acceptable: $\leq 90 \text{ ms}$	
	Ideal/Target: maximum possible in frequency	7
Receiver Bandwidth	encoding direction (minimum echo spacing)	[0018, 0095
	Acceptable: > 1000 Hz/voxel	

<sup>\*</sup>Only public DICOM tags are listed above. Vendors storing key acquisition meta-data in non-standard
 (private tags) should provide DICOM conformance statement listing the corresponding header items.

<sup>‡</sup>PI-RADS recommendations can differ from the protocols derived from the cited literature in this Profile.
 The PI-RADS v2 recommendations can be found at:

- The PI-RADS v2 recommendations can be found at:
   <a href="https://www.acr.org/~/media/ACR/Documents/PDF/QualitySafety/Resources/PIRADS/PII">https://www.acr.org/~/media/ACR/Documents/PDF/QualitySafety/Resources/PIRADS/PII</a>
- 394 <u>https://www.acr.org/~/media/ACR/Documents/PDF/QualitySafety/Resources/PIRADS/PIRADS%20V2.pdf</u>
- 396

# **<u>3.6.2.4 Breast</u>**

Parameter	Actor	Requirement	DICOM Tag <sup>†</sup>
Field Strength		1.5 or 3 T	[0018, 0087]
Acquisition sequence		Diffusion-weighted Single-Shot Echo Planar Imaging (SS-EPI)	[0018, 0020]
Receive Coil type		Ideal/Target: 5-16 channel bilateral breast coil Acceptable: 4 channel bilateral breast coil	[0018, 1250]
Lipid suppression	Acquisition Device/Scanner	Ideal/Target: combined spectral and relaxation-based fat suppression (e.g., SPAIR Acceptable: Relaxation-based (STIR) or spectral-based (fat-sat) alone if SPAIR is not available	
Number of <i>b</i> -values	Operator	Ideal: $\geq 4$ Target/Acceptable: <u>3</u> (including one <i>b</i> =0- 50; one 100 s/mm <sup>2</sup> ; and one at highest <i>b</i> - value)	
		Acceptable: 2 (including one $b=0-50 \text{ s/mm}^2$ and one at highest $b$ -value)	
Minimum highest <i>b</i> -value		Target/Ideal: <i>b</i> =600-800 s/mm <sup>2</sup>	[0018, 9087]
vulue		Acceptable: 600 s/mm <sup>2</sup>	
Diffusion directions		Target/Ideal: 3-orthogonal, combined gradient channels Acceptable: 3-orthogonal, single gradient channels	[0018, 9075] [0018, 9089]
Slice thickness		Ideal: 4 mm Target: 4-5 mm	[0018, 0050]
		Acceptable: 5 mm	
~		Ideal: 0 mm	50010 00003
Gap thickness		Target:0-1 mm	[0018, 0088]
	-	Acceptable:1 mm	[0018, 1100]
Field-of-view		Ideal/Target/Acceptable: 260-360 mm *complete bilateral coverage	[0016, 1100]
Acquisition matrix		Target/Ideal: (128-192) x (128-192), or 2.8- 1.8 mm in-plane Acceptable: 128 x 128, or 2.8 mm in-plane resolution	[0018, 1310]
Plane orientation		Transversal-axial	[0020, 0037]
Half-scan factor		Acceptable/Target: >0.65	[0018, 9081]

Phase-encode/ frequency-encode direction	Ideal/Target: Anterior-Posterior/Right-Left Acceptable: Right-Left /Anterior-Posterior	[0018, 1312]
Number of averages	Ideal/Target: 3-5	
Number of averages	Acceptable:2	[0018, 0083]
	Ideal: $\geq 2$	[0018, 9069]
Parallel imaging factor	Target/Acceptable: 1.5-2	
TR	Ideal/Target/Acceptable $\geq$ 4000 ms	[0018, 0080]
ТЕ	Ideal/Target: minimum TE (50-100ms)	
	Acceptable: <114 ms	[0018, 0081]
Receiver Bandwidth	Ideal/Target: maximum possible in frequency encoding direction (minimum echo spacing)	[0018, 0095]
	Acceptable: > 1000 Hz/voxel	

# 399 **3.7. Subject Selection**

400 This activity describes criteria and procedures related to the selection of appropriate imaging subjects. 401 General MRI subject safety is assumed to be observed, but is beyond the scope of this DWI-specific Profile.

#### 402 <u>3.7.1 Discussion</u>

403 Despite having an acceptable risk status, metal-containing implants and devices near the tissue/organ/lesion
 404 of interest may introduce artefact and may not be suitable for DWI.

For specific study/trial, subject scan timing should be appropriately synchronized with the assayed subject condition (e.g., clinical state or therapeutic phase) per study design.

# 407 **3.8. Subject Handling**

408 This activity describes details of handling imaging subjects that are necessary to meet this Profile Claims.

409 General MRI subject safety considerations apply but are beyond the scope of this Profile.

#### 410 <u>3.8.1 DISCUSSION</u>

Brain, liver, and breast DWI do not require special subject handling. To reduce motion artefact from bowel peristalsis during prostate imaging, the use of an antispasmodic agent may be beneficial in some patients. The presence of air and/or stool in the rectum may induce artefactual distortion that can compromise DWI quality. Thus, some type of minimal preparation enema administered by the patient in the hours prior to the exam maybe beneficial. However, an enema may also promote peristalsis, resulting in increased motion related artefacts in some instances. The patient should evacuate the rectum, if possible, just prior to the MRI

417 exam.

# 418 **3.9. Image Data Acquisition**

419 This activity describes details of the subject/patient-specific image acquisition process that are necessary to

420 reliably meet the DWI Profile Claim.

#### 421 <u>3.9.1 Discussion</u>

422 Starting from the pre-built scan protocol, the technologist (scanner operator) will orient and position 423 receiver coil study subjects uniformly. Patient-size parameter adjustments will be within allowed parameter 424 ranges, and the same adjustments will be used for serial scans of given subject. To reduce spatial bias, when 425 possible, the landmark will be placed close to the center of the target organ (e.g., prostate).

#### 426 <u>3.9.2 Specification</u>

Parameter	Actor	Requirement
Scan Procedure	Acquisition Device	Study of individual patient shall be performed on the site pre-qualified scanner using the approved receiver coil and pre-built profile-conformant scan protocol (3.6.2).
Patient Positioning	Scanner Operator (Technologist)	Predefined positioning procedure and receiver coil (e.g., always head- first or always feet-first, torso phased-array) shall be used for all study subjects. Subject-specific landmark shall be centered on the target organ, which shall be located as close as is feasible to magnet isocenter.
Scan Parameters		Subject-specific adjustments within allowed parameter ranges (Table 3.6.2) shall be made to suit body habitus. Parameter adjustments for a given subject shall be constant for serial scans.†
Acquisition Device		The same scanner shall be used for baseline measurement and a subsequent longitudinal measurement for detecting change in ADC. <sup>†</sup>

<sup>†</sup> Not using the same scanner and image acquisition parameters for baseline and subsequent measurements
does not preclude clinical use of the measurement but will exclude meeting the requirements of the Profile
claim.

430

# 431 **3.10. Image Data Reconstruction**

This activity describes criteria and procedures related to producing images from the acquired data that arenecessary to reliably meet the DWI Profile Claims.

#### 434 <u>3.10.1 Discussion</u>

435 At a minimum, three-orthogonal directional DWI are acquired and reconstructed individually for each 436 imaged slice, then combined into a directionally-independent (i.e. isotropic or trace) DWI [80, 81]. 437 Diffusion weighted images may be interpolated to an image matrix greater than the acquired matrix. 438 Directionally-independent trace or isotropic DWI are often automatically generated and retained by 439 reconstruction software on the scanner for each non-zero b-value, whereas retention of directional DWI is 440 optional. ADC maps are typically generated on the scanner using a mono-exponential model trace DWI vs. *b*-value. Alternatively, full DWI sets (directional plus trace, or trace alone) at all *b*-values can be provided 441 442 for off-line ADC map generation (via mono-exponential model) on an independent workstation or thin-443 client distributed application.

Eddy currents and/or subject motion may create spatial misalignment or distortion between the individual
 directional DWI, and across *b*-values [82-84]. Direct combination of misaligned directional DWI will lead
 to spatial blur in trace DWI and subsequent artefact in ADC maps [82-84]. Spatial registration of directional

447 DWI and/or trace DWI across all *b*-values may be performed on the scanner or off-line to reduce blur and

448 improve quality of trace DWI and ADC maps.

- 449 Perfusion is known to affect diffusion measurement (a positive bias) particularly in highly vascular tissues
- 450 (e.g., kidney and liver) [85-90]. ADC values derived from DWI spanning low *b*-value (i.e. b < 50 s/mm<sup>2</sup>) and
- 451 modest high *b*-value (i.e.  $b < 500 \text{ s/mm}^2$ ) increase perfusion bias. For diffusion measurement in liver, ADC
- 452 maps should be reconstructed from DWI spanning 50-100 s/mm<sup>2</sup> up to 800-900 s/mm<sup>2</sup> to mitigate perfusion
- bias while maintaining adequate sensitivity to diffusion contrast and SNR. The degree of potential perfusion
- 454 contamination of ADC will depend on blood volume fraction, number and distribution of *b*-values. 455 Perfusion bias in brain DWI is considered small and typically ignored. There is a small deviation from
- 456 mono-exponential decay (pseudo-diffusion) at low *b*-values in prostate [91].
- 457 <u>3.10.2 Specification</u>

Parameter	Actor	Requirement
Trace DWI and ADC map generation across subjects and time	Scanner Operator	Procedural steps for image reconstruction, archiving of original, uncorrected images (if generated), and ADC map generation shall be held constant for all subjects and time points including: image interpolation; image registration prior to combination into trace DWI and across <i>b</i> -values; selection <i>of b</i> -values and fit algorithm to estimate ADC. ADC shall be calculated using the mono-exponential model of DWI signal decay with increasing <i>b</i> -value, starting with protocol-specific low <i>b</i> -value to compensate for perfusion effects.
<i>b</i> -value record		Scanner operator shall verify that the reconstruction SW records $b$ -values, or if not shall manually record the $b$ -values, that are used to generate the ADC map.
Trace DWI		Trace DWI shall be automatically generated on the scanner and retained for each non-zero <i>b</i> -value. For equal <i>b</i> -value on three orthogonal DWI directions, the trace DWI is the geometric average of 3-orthogonal directional DWI at same <i>b</i> -value.
DICOM DWI	Reconstruction Software	Exported DWI DICOM content shall provide acquired <i>b</i> -values and directionality.
Spatial Registration		Spatial misalignment between directional DWI and across <i>b</i> -values due to eddy currents or patient motion shall be corrected by image registration prior to generation of trace DWI and ADC maps.

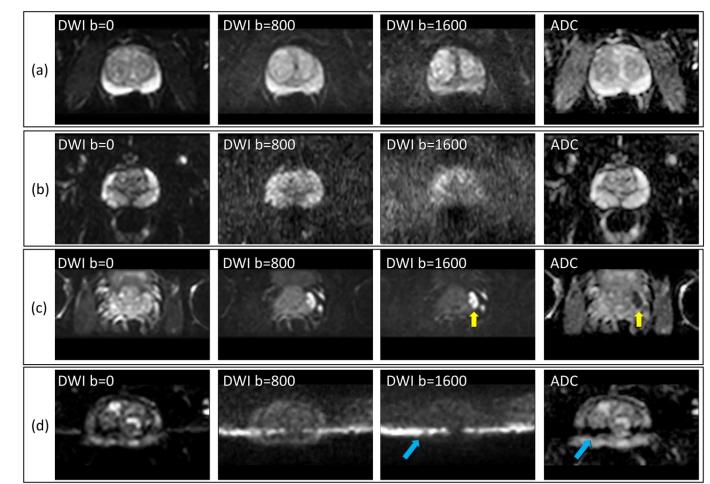
# 458 **3.11. Image QA**

459 This activity describes criteria and evaluations of the images necessary to reliably meet the Profile Claim.

# 460 <u>3.11.1 Discussion</u>

- 461 At the time of image acquisition and review, quality of DWI data should be checked for the following 462 issues. Poor quality due to sources below may be grounds to reject individual datasets:
- Low SNR Diffusion weighting inherently reduces signal, although signal must remain adequately above the noise floor to properly estimate ADC [92-94]. In general, the SNR at *b*=0 s/mm<sup>2</sup> should be greater than 50. Low SNR (<5) at high *b*-values can bias ADC estimates. Visualization of anatomical features in tissues of interest at all *b*-values is acceptable evidence that SNR is adequate for ADC measurement (Figure 2 and Figure 3). Appendix E.2 provides instructions for measuring SNR in diffusion-weighted imaging, as well as guidance for the use of an appropriate DRO.

- <u>Ghost/parallel imaging artefacts</u> Discrete ghosts from extraneous signal sources along phase encode direction can obscure tissue of interest leading to unpredictable ADC values [83, 95-100]
   (Figure 2d, Figure 4, and Figure 8a).
- <u>Severe spatial distortion</u> Some level of spatial distortion is inherent to SS-EPI, although distortion can be severe near high susceptibility gradients in tissues or metallic objects (Figure 3b, Figure 8c);
   or due to poor magnet homogeneity [83, 97]. Severe distortion can alter apparent size/shape/volume of tissues of interest thereby confound ROI definition, as well as adversely affect ADC values. Coregistration to high-resolution (non-EPI) T2-weighted image volume may reduce these distortions.
- <u>Eddy currents</u> Distinct eddy currents amplified by strong diffusion pulses on different gradient channels lead to spatial misalignment across acquired DWI directions and *b*-values, and are manifest as spatial blur on trace DWI and erroneous ADC values particularly at the edges of anatomical features [83, 101] (Figure 5, Figure 9). Distortion correction and image registration to *b*=0 image prior to calculation of trace DWI and ADC maps may reduce these errors. Further artefact mitigation may be achieved by the use of double-spin echo bipolar-gradient pulse sequences, in particular at high *b*-values.
- 484 Fat suppression – Lipid exhibits extremely low diffusion, with fat spatially shifted on SS-EPI from • 485 its true source (by several cm along the phase-encode direction) due to chemical shift [102-106]. Of 486 note, scanner frequency drifting due to the heating from high duty cycle diffusion gradients could cause unsatisfactory fat suppression in the later frames of a diffusion acquisition, if only chemical 487 488 shift saturation technique is used for fat suppression. In such case, alternative or additional fat 489 suppression techniques, e.g., gradient reversal, could help to mitigate residual fat signal. Superposition of unsuppressed fat signal onto tissue of interest (Figure 6, Figure 8b) can invalidate 490 491 ADC assessment there by partial volume averaging.
- 492 Motion artefacts — While SS-EPI is effective at freezing most bulk motion, variability of motion • 493 over DWI directions and *b*-values contribute to blur and erroneous signal attenuation. Motion 494 artefact is anticipated to be low in brain DWI for most subjects, although cardiac-induced pulsation 495 can confound ADC measurement in/near ventricles and large vessels and in the brainstem. 496 Respiratory and cardiac motion artefacts are more problematic in the liver, particularly the left-lobe 497 and superior right lobe [12, 28, 97, 107, 108]. Quiet steady breathing or respiratory synchronization 498 and additional signal averaging are used to mitigate motion artefact in abdominal DWI. Residual 499 motion artefact can be recognized as inconsistent location of anatomical targets across b-values and 500 DWI directions and/or spatial modulation unrelated to anatomical features on DWI/ADC maps. 501 Inspection of DWI/ADC on orthogonal multi-planar reformat images aids detection of this artefact 502 (Figure 7). Anti-peristaltic drugs and voiding of the rectum reduce motion- and susceptibility-503 induced artefacts when imaging the prostate, respectively.
- <u>Nyquist ghost</u> EPI sequences acquire data using alternating readout gradient polarity between odd and even *k*-space lines. The associated eddy currents and resultant magnetic fields produce inconsistent phase shifts between even and odd echoes resulting in ghost artefacts that are referred to as Nyquist or N/2 ghosts (Figure 8a). Use of parallel imaging techniques results in additional copies of the N/2 ghost [100, 109].
- 509



510 Examples of common artefacts that may affect ADC maps are provided below:

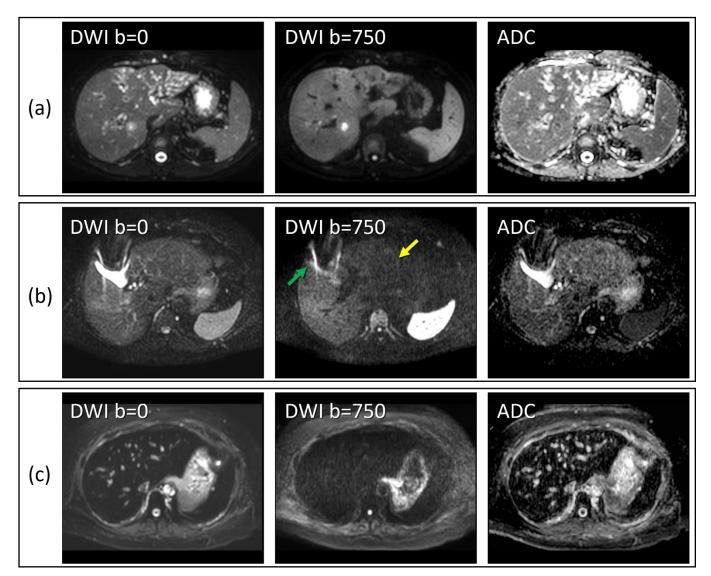
511

512 Figure 2: Visual assessment of SNR in prostate DWI; (a) an example of good SNR at all *b*-values; (b) poor

513 SNR at  $b=1600 \text{ s/mm}^2$  where anatomical features of gland are barely above noise floor thus are prone to 514 biased ADC values; (c) modest SNR in normal gland at  $b=1600 \text{ s/mm}^2$  although good SNR in lesion due

to low ADC (yellow arrows); (d) poor SNR at b=1600 s/mm<sup>2</sup> plus a ghost artefact (blue arrows) leads to

516 bias and artefactual ADC.



- Figure 3: Visual assessment of SNR in liver DWI; (a) an example of good SNR at low and high *b*-values; 518 (b) poor SNR particularly in left lobe at  $b=750 \text{ s/mm}^2$  (yellow arrow) and distortion due to metal (green 519
- 520
- arrow); and (c) poor SNR at both *b*-values where anatomical feature of the liver are lost.

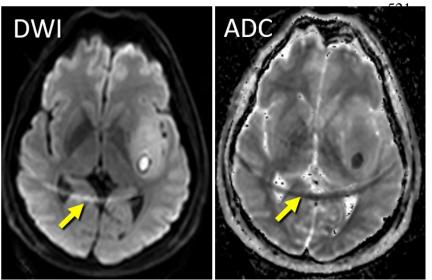


Figure 4: Ghost/parallel imaging artefact (arrows) replicates and shifts distant anatomical structures (posterior scalp in this example) along the phase-encode direction, thereby creating erroneous ADC values

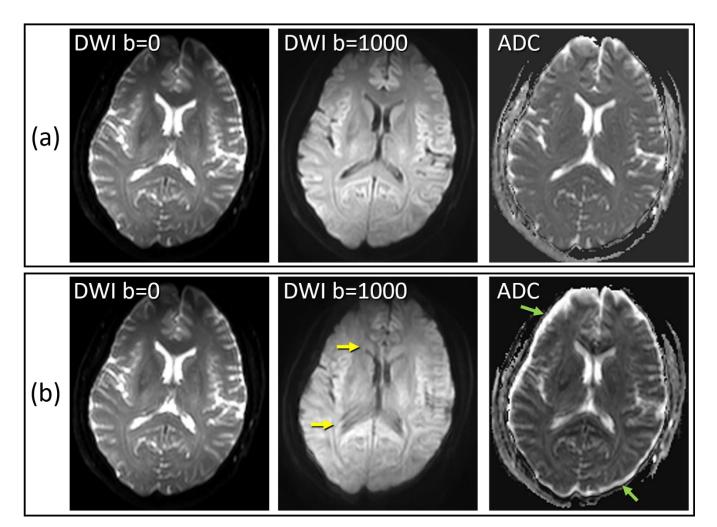


Figure 5: visual evidence of eddy currents in brain DWI. (a) Good quality DWI with no evidence of blur or spatial misalignment between low and high *b*-value DWI, thus no or low eddy current artefact. (b) Blur of anatomy on high *b*-value DWI (yellow arrows) relative to the b=0 DWI, plus blur and exaggerated thickness of the CSF rind around the brain (green arrows) relative to the CSF space on b=0 DWI are evidence of an eddy current artefact.

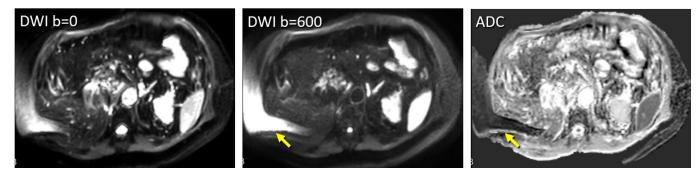


Figure 6: Unsuppressed fat signal spatially shifted on SS-EPI DWI (shifts several cm along phase-encode
 direction) can obscure the tissue of interest (arrow). Exceptionally low ADC of fat renders ADC
 meaningless in tissue superimposed by a residual fat signal.

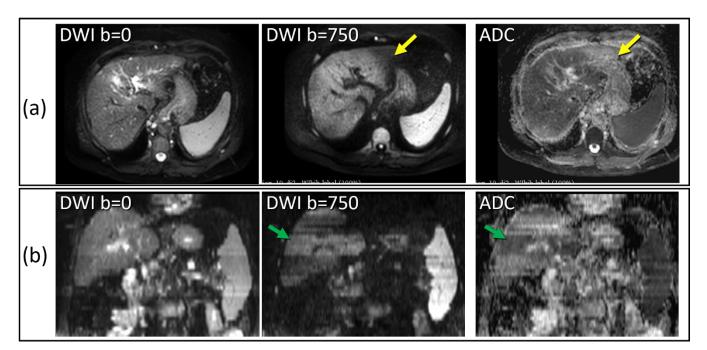
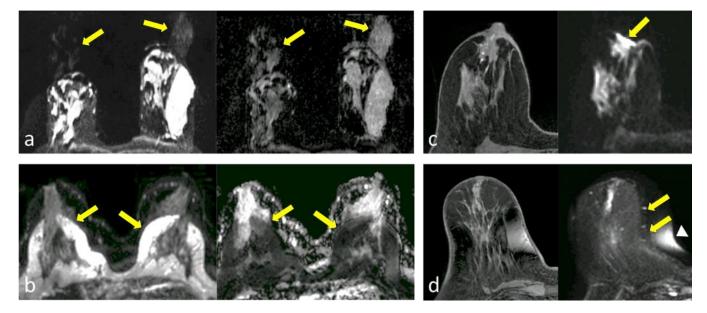


Figure 7: Visual assessment of motion artefact in liver DWI. (a) Areas of low signal on high *b*-value relative
to adjacent tissue may result from motion. Cardiac pulsation transmitted to left lobe artefactually inflates
ADC (yellow arrows). (b) Reformat of axial DWI/ADC to coronal can aid identification of motion artefact

543 seen as bands on high *b*-value and ADC (green arrows).



#### 544

545 FIGURE 8: Common artefacts of breast DWI, illustrated in separate subjects. (a) Nyquist ghost artefact, 546 appearing at N/4 due to parallel imaging undersampling, duplicating signal from the parenchyma on DWI 547 (left) and resulting ADC map (right). (b) Detrimental chemical shift artefacts on DWI (left, arrows) due to 548 poor fat suppression, causing artefactual reductions of ADC within the breast parenchyma (right, arrows). 549 (c) Magnetic susceptibility artefact (arrow) causing distortion at air/tissue skin surface on DWI (right) 550 compared with undistorted T1-weighted image (left). (d) Spatial distortion (arrows) and chemical shift 551 artefact (arrowhead) of DWI due to poor shimming compared with undistorted T1-weighted image (left). 552 (Figure adapted from Partridge et al. J. MAGN. RESON. IMAGING 2017;45:337–355 [110])

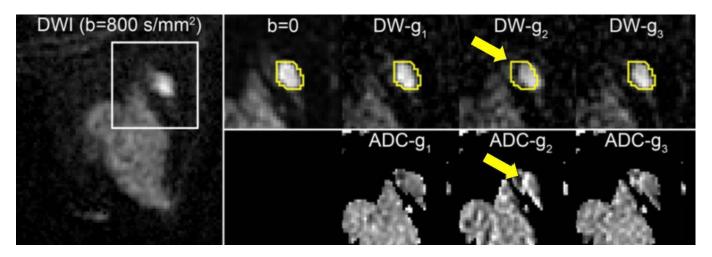


FIGURE 9: Spatial misregistration between images within a DWI sequence representing eddy-current 556 artefact. A breast lesion is visible in the lateral breast on the averaged DW image ( $b=800 \text{ s/mm}^2$ , left). White 557 box shows region of magnification. A contour of the lesion defined on b=0 and propagated to the individual 558 gradient direction DW images for the same slice shows the lesion is shifted (arrow) in the DW-g<sub>2</sub> image 559 (obtained with diffusion gradients applied in the  $g_2$  direction) with respect to the b=50 s/mm<sup>2</sup> image and 560 561 other  $b=800 \text{ s/mm}^2$  images (obtained with gradients in the orthogonal g<sub>1</sub> and g<sub>3</sub> directions), owing to eddycurrent effects. This misalignment causes an artefactual increase in ADC at the edge of the lesion on the 562 563 corresponding ADC map (below). (Figure adapted from Partridge et al., J. Magn Reson Imaging 564 2017;45:337-355 [110])

565

#### 566 <u>3.11.2 Specification</u>

#### 567

Parameter	Actor	Requirement
ADC quality	Image Analyst and/or Scanner	Shall confirm DWI and ADC maps conform to adequate quality specifically considering points listed above (3.11.1) and shall exclude artefact-rich images and ROI from repeatability analysis.

568

# 569 **3.12. Image Distribution**

570 This activity describes criteria and procedures related to distributing, transferring and archiving images and 571 metadata that are necessary to reliably meet the Profile Claim.

#### 572 <u>3.12.1 Discussion</u>

573 Images are distributed via network using the Digital Imaging and Communications in Medicine (DICOM)

574 transfer protocol as per standard local practice. Along with required trace DWI DICOM, individual

575 directional DWI and ADC maps (if generated on the scanner as DICOM images) should be archived. DWI

576 DICOM tags that store this information currently vary among vendors. Directional DWIs may inform users

about motion, eddy currents, or gradient non-linearities that are specific to a given direction, particularly

578 when assessing scanner performance by use of a phantom with known properties.

579 Absolute image scaling and units of generated ADC maps must be available and ideally stored in public

580 DICOM tags such as RealWorldValueMapping [0040,9096], RescaleIntercept [0028,1052], RescaleSlope

581 [0028,1053] and RescaleType [0028,1054] such that ADC map values are properly interpretable (e.g., "A

true diffusion coefficient of  $1.1 \times 10^{-3}$  mm<sup>2</sup>/s is represented by an ADC map pixel/ROI value on the analysis

583 workstation as 1100."). DICOM Parametric Map object [111] should be considered for storage of ADC

584 maps, as it provides unambiguous encoding of the quantity, units, *b*-values used and derivation method used 585 for ADC calculation [112]. The use of DICOM Parametric Map can facilitate interoperable and standardized

- description of the DWI analysis results. It is noted that this object type is a recent introduction to the DICOM
- 587 standard and is not widely adopted among the vendors [111, 112].

588 For image QA and protocol optimization, it is preferable to have full *b*-matrix values and diffusion encoding

times provided by the vendors, so that they may be recorded in the appropriate fields in the DICOM file

and reflected in the vendor DICOM conformance statement.

## 591 <u>3.12.2 Specification</u>

592

Parameter	Actor	Requirement
Trace DWI	Scanner Operator/ Image Analyst	All trace DWI at each acquired <i>b</i> -value shall be stored in local PACS and distributed to image analysis workstation(s)
ADC maps		ADC maps generated on the MRI scanner shall be stored in local PACS and distributed to image analysis workstation(s) with preserved DICOM scale tags. ADC map scale/units and <i>b</i> -values used for generation shall be recorded.
Image DICOM		DICOM tags essential for downstream review and diffusion analysis shall be maintained including, pixel intensity scaling [113], <i>b</i> -value, and DWI directionality vs. trace, and ADC scale and units. Trace DWI DICOM at each acquired <i>b</i> -value shall be archived in the local PACS.

593

# **3.13. Image Analysis**

595 This activity describes criteria and procedures related to producing quantitative measurements from the 596 images that are necessary to reliably meet the Profile Claim.

# 597 <u>3.13.1 Discussion</u>

598 ADC maps used for offline image analysis must be equivalent to ADC maps generated on the MRI system. That is, all software elements (here referred to as "Image Analysis Tool") including the image 599 handling/network chain must appropriately deal with potential DICOM scaling of DWI and ADC pixel 600 values [113] and fit algorithm bias, otherwise quantitative content may be lost. The level of "equivalence" 601 602 is expected to be well within the ROI standard deviation. Discrepancy comparable to or greater than the standard deviation suggests erroneous scaling of the ADC map by the image analysis software, possibly 603 due to incorrect or missing DICOM information. Any such discrepancy must be resolved before proceeding 604 605 with statistical analysis for profile compliance.

606

When the image analysis software is used to generate ADC maps from source DWI, the software must use a mono exponential model of DWI signal versus *b*-value. Offline image analysis software must be able to extract *b*-value and diffusion axis direction content from the DICOM header to appropriately derive ADC maps (e.g., from isotropic or trace DWI). The resulting ADC maps should also have associated scale and unit meta-data saved for quantitative analysis. The numerical software conformance and signal-to-noise sensitivity (bias and range linearity with respect to ground-truth ADC values) can be tested over the range of *b*-values and tissue-like ADC using the DWI digital reference object [100], available on the QIDW 614 (<u>https://bit.ly/2QXLo3e</u>). The choice of fit algorithm (log-linear vs. a non-linear exponential model) can
 615 also be informed by DWI DRO analysis to minimize noise-induced errors and biases.

616

617 For longitudinal analysis, level and range of slices with tissue/tumor of interest should be reasonably 618 matched each time the measurements are performed. Ancillary MR images (e.g., high b-value DWI,  $T_{1-}$ 619 weighted,  $T_2$ -weighted, post-gadolinium) that best contrast the lesion of interest, can aid ROI placement 620 [21, 67, 68] on ADC maps. Tissue or lesion ADC quantification requires ROI delineation in two or three-621 dimensions. Ideally, ROI geometry is retained for future reference. The ROI is chosen by the radiologist to 622 match the same lesion/tissue assayed on prior time points, though the ROI size may change in longitudinal 623 imaging of a given lesion due to treatment response or disease progression. Selected ROI size should be 624 sufficient to represent the targeted ADC statistics. That is, ROIs should be large enough to avoid ADC 625 values being unduly influenced by random image noise and/or under-sampled regional heterogeneity. Procedural steps to create and extract quantities from ROIs vary among software packages. At times, 626 627 histogram analysis of whole tumor ROIs may be preferable to allow for distinction between predominantly 628 solid and heterogeneous cystic/necrotic lesions depending on organ systems.

629

# 630 **3.13.1.1 Brain**

In brain, avoid contamination within the ROI from tissues such as CSF or that may have high iron content, such as acute or chronic hemorrhagic areas that have anomalous ADC values. The brain may also contain areas of large necrotic cysts and surgical cavities - these areas should be avoided.

634

## 635 <u>3.13.1.2 Liver</u>

For liver parenchyma evaluation, ROI placement should avoid large vessels or extraneous anomalous ADC tissue unrelated to target tissue of interest such as cysts or hemangiomas. Comparison of DWI at b=0 having high SNR revealing both vessels and focal lesions, to moderately low b (< 100 s/mm<sup>2</sup>) where vessels are suppressed can be useful to localize lesions. It is also important when assessing the ADC of liver parenchyma to avoid the lateral segment of the left lobe, as this area is subject to pulsatile effects from the heart, leading to bias in high ADC values.

642

For large liver lesions, special consideration should be given to lesion heterogeneity. Avoidance of central necrosis or cystic degeneration is recommended so that the quantitative assay is limited to areas of solid tissue/tumor.

646

# 647 **3.13.1.3** Prostate

648 Prostate ROIs should be manually placed on axial images by the radiologist where the tissues of interest 649 are adequately conspicuous on the DWI, such as high *b*-value and/or ADC maps, or identifiable guided by 650 ancillary MR images.

#### 651 **3.13.1.4 Breast**

In breast, avoid contamination within the ROI from areas that have anomalous ADC values due to poor fat suppression, biopsy hemorrhage, necrotic cysts and surgical cavities.

#### 654 <u>3.13.2 Specification</u>

Parameter	Actor	Requirement
ROI	Image Analyst	Shall segment the ROI on ADC maps consistently across time points using the same software / analysis package guided by a fixed set of image contrasts and avoiding artefacts

ROI geometry			Acceptable: Screen-shot(s) documenting ROI placement on ADC maps shall be retained in the subject database for future reference
			Target: ROI as a binary pixel mask in image coordinates shall be retained in the subject database for future reference
			Ideal: ROI shall be saved as a DICOM segment object
Image Display Image Analy			Acceptable / Target: Software shall allow operator-defined ROI analysis of DWI/ADC aided by inspection of ancillary MR contrasts
	Analysis	Ideal: Above plus multi view-port display where DWI/ADC and ancillary MR contrasts from the same scan date are displayed side-by-side and geometrically linked per DICOM (e.g., cursor; cross-hair; ROI; automatically replicated in all view-ports); images from different scan date(s) can be displayed side-by-side, though not necessarily geometrically linked; and ROIs/VOIs may include multiple noncontiguous areas on one slice and/or over multiple slices	
Analysis Drogoduro	Tool		Analysis steps, derived metrics and analysis software package shall be
Procedure ADC statistics			held constant for all subjects and serial time points Acceptable/Target: Shall allow display and retention of ROI statistics in
			patient DICOM database (PACS). Statistics shall include: ADC mean, standard deviation, and ROI/VOI area/volume
			Ideal: ADC pixel histogram, additional statistics for ADC maximum, minimum, explicit inclusion vs. exclusion of "NaNs" or zero-valued pixels shall be retained with the statistics
ADC scaling			ADC maps scale and units shall be recorded. The difference(s) in mean ADC within replicate ROIs defined on the scanner and analysis workstation(s) shall be less than the ROI standard deviation of the ADC.
ADC map storage /metadata			Acceptable/Target: offline generated ADC maps shall be stored in ITK- compatible format (e.g., NIFTI or MHD) with meta-data traceable to original DWI DICOM (and geometry)
			Ideal: parametric map DICOM
Fit algorithm type			The specific choice of the fit algorithm shall be recorded, held constant within a study and reported with any dissemination of study findings.
Fit algorithm bias			For offline ADC map generation, the mean ADC shall agree with scanner-generated, or DRO ground truth, ADC values to within one ROI standard deviation.
<i>b</i> -value and direction			Software shall extract <i>b</i> -values and diffusion axis direction from DICOM header

# 657 **4. Assessment Procedures**

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Most of the requirements described in Section 3 can be assessed for conformance by direct observation, however some of the performance-oriented requirements are assessed using a procedure. When a specific assessment procedure is required or to provide clarity, those procedures are defined in subsections here in Section 4 and the subsection is referenced from the corresponding requirement in Section 3.

#### 662 **4.1. Assessment Procedure: ADC bias and precision**

To satisfy site qualification specs for multi-site trial (3.2.2), the baseline ADC measurement bias and precision [30, 34-36] (Appendix E.1) for a given MRI system will be assessed near isocenter using a quantitative DWI phantom. This phantom should contain media with known diffusion properties, similar to ice water-based DWI phantoms [60, 61, 114] or the QIBA DWI phantom [63]. Details for preparation and use of the QIBA DWI phantom are available in the QIBA DWI wiki. "QibaPhanR1.4" software provided through the QIDW can be used to generate the relevant assessment metrics. The assessment procedure is described in detail in Appendix E.1, and will include the following steps:

- Preparation of temperature controlled DWI phantom to allow sufficient time for the sample to achieve thermal equilibrium (≥1 hour) and maintain during scanning (~ 1hr).
  - Implementation of the system-specific scan protocol including the DWI scan parameters defined in Appendix D, Table D.1.
    - Defining the "Patient Landmark" on the center of the phantom and keeping the prescription of slices centered on Superior/Inferior=0 mm (for horizontal bore magnets).
- Acquisition of DWI scans according to pre-built protocol and exporting generated trace-DWI
   DICOM preserving the required metadata for protocol compliance check.
- Loading DWI DICOM into the image analysis SW and checking compliance of the header metadata
   with the allowed scan parameter ranges.
- Calculation of corresponding ADC maps using mono-exponential signal decay model between available pairs of *b*-values, according to  $ADC_{bmin,b} = \frac{1}{(b-bmin)} \ln \left[\frac{S_{bmin}}{S_b}\right]$
- Defining 1-2 cm ROI (> 80 pixels) with minimal offset from isocenter on ADC images with uniform signal, avoiding artefacts and edges.
- Estimation of mean ADC bias  $(BS_{ADC})$  in respect to true diffusion constant  $(DC_{true})$  of the phantom medium and confidence interval within ROI containing *N* pixels with *mean* $(ADC) = \mu$  and standard deviation  $SD(ADC) = \sigma$ :  $BS_{ADC} \pm CI = (\mu - DC_{true}) \pm 1.96 \frac{\sigma}{\sqrt{N}}$
- Estimation of the random measurement error (precision) within ROI as:  $%CV = 100\% \cdot \frac{\sigma}{\mu}$
- Estimation of baseline short-term intra-scan repeatability (*RC*) and *wCV* of mean ADC measurement from sequential DWI phantom scans (per scan protocol) based on  $\sigma_w^2$  intra-scan ADC variance, as:  $RC = 2.77 \cdot \sigma_w$ ;  $wCV = 100\% \frac{\sigma_w}{u}$
- Estimation of long-term system repeatability and precision using above-mentioned formalism across
   multiple longitudinal (periodic QA) phantom scans

# 693 **4.2. Assessment Procedure: Voxel SNR**

To ensure that relative system performance metric satisfies qualification requirements (3.2.2) and confirm that DWI SNR was adequate to measure ADC bias without incremental bias due to low SNR [92-94] (Appendix E.2) the following assessment steps [115-118] should be followed:

• Export and combine sequential DWI scans for the quantitative diffusion phantom at fixed *b*-value

698 to calculate the temporal (i.e. over the "n" sequential scans) mean of DWI pixel images ("signal 699 image") and temporal DWI pixel standard deviation images ("temporal noise image") for each b-700 value.

- When n=2k (k=1...p "pairs" of image sets), "temporal noise image" can be estimated by "DIFF 701 702 image" = sumODD – sumEVEN, where sum all odd-numbered DWI dynamics called "sumODD image" and sum all even-numbered dynamics called "sumEVEN image". 703
- For the isocenter ROIs (1-2 cm diam, >80 pixels), estimate signal-to-noise ratio n-scan (SNR<sub>n</sub>) 704 • according to: 705

706 
$$SNR_n = \frac{Spatial mean pixel value on Signal Image}{Spatial mean pixel value on Temporal Noise Image}$$
 or alternatively,

$$\sqrt{0}$$
 altSNR<sub>n</sub> =  $\sqrt{n} \frac{1}{Spatial standard deviation pixel value on DIFF Image}$ 

- Estimate  $CI_{95\%}(SNR_n) = 1.96 \frac{\sigma_{SNR}}{\sqrt{N}}$ , using error propagation estimate for  $SD(SNR_n)$  by  $\sigma_{SNR} =$ 708 •  $SNR_n\sqrt{sCV^2 + nCV^2}$  with spatial coefficients of variance across N-pixel ROI (N>50), sCV and 709 *nCV*, for the "signal image" and "noise image", respectively. 710
- Similar *SNR+C1* estimates can be obtained for the derived multi-scan ADC maps. 711
- When multiple sequential scans are not available, crudely (subject to Rician bias and background 712 ٠ 713 regularization) estimate "noise" level by SD in signal-free background ROI or within the isocenter 714 ROI defined on uniform signal-producing area, and calculate background SNR estimate as: Spatial mean pixel value on Signal Image 715
  - $SNR_{vs\ bkgnd} = \frac{Spatial\ mean\ pixel\ value\ on\ Signal\ mean\ geodesic}{Spatial\ standard\ deviation\ pixel\ value\ in\ background\ ROI}$
- 716 Use above noise estimates for *b*-value CNR calculation, when "signal image" is defined as a • 717 difference between pair of (different) b-value DWIs.

#### 718 4.3. Assessment Procedure: ADC *b*-value Dependence

719 To assess whether an MRI system exhibits artefactual *b*-value dependence in ADC measurement (Appendix E.3) and to satisfy linearity qualification requirements (3.2.2) for this Profile, the assessor will use the 720 721 following procedure with quantitative diffusion phantom DWI:

- Calculate ADC maps between available pairs of *b*-values, according to  $ADC_{bmin,b} =$ 722 •  $\frac{1}{(b-bmin)} \ln \left[ \frac{S_{bmin}}{S_b} \right]$ 723
- Compare ADC values measured for isocenter ROI for  $b_2 \neq b_1$  pairs, using both ( $b_1 b_{min}$ ) and ( $b_2 b_2 \neq b_1$  pairs) and ( $b_2 b_2 \neq b_2 = b_1 + b_2 = b_2 + b_2 + b_2 = b_2 + b_2$ 724  $b_{min}$  > 400 s/mm<sup>2</sup>, as: ADC bvalue dependence = 100%  $\left\| \frac{(ADC_{bmin,b2} - ADC_{bmin,b1})}{ADC_{bmin,b1}} \right\|$ 725

#### 4.4. Assessment Procedure: ADC Spatial Bias 726

To assess spatial uniformity of diffusion weighting [61, 119] in respect to nominal b-value at isocenter and 727 728 to meet baseline qualification specs (3.2.2, Appendix E.4) for specific study protocol:

- 729 Select uniform quantitative DWI phantom with known, or measured at isocenter, ADC value and geometry that spans the imaging volume for the studied organ and fits in the application-specific 730 731 receiver coil
- 732 Perform DWI phantom scans including locations offset from isocenter and derive ADC maps.
- Define multiple ROIs offset from isocenter and spanning the imaged volume, and map the offset-733 ٠ 734 dependence for the mean ADC values.
- Calculate ADC bias with respect to known phantom value as a function of the offset from isocenter. 735 ٠
- Compare the measured bias with the maximum allowed by specifications in Section 3.2.2. 736 •

#### 4.5. Assessment Procedure: Image Analysis Software 737

This procedure assesses the ability of analysis SW to properly interpret quantitative header metadata (image scaling, *b*-value and directionality, Section 3.13) and the fidelity of the DWI fitting algorithm to yield unbiased ADC estimate in presence of Rician noise (e.g., Appendix E.2, Figure E.1).

- For the phantom or subject with known "reference" ADC, generate ADC maps and ROI measurements (e.g., mean and SD for ADC over a 1cm circular ROI) on the scanner console and save the screen-capture
- Replicate the ROI placement on the images loaded to off-scanner analysis SW and confirm equivalence of displayed values and units to the on-scanner reference values.
- Load acquired reference DWI DICOM into offline analysis SW and derive ADC maps using the fit algorithm of choice. Compare offline ADC mean and SD to the on-scanner reference ROI ADC value
  - Load DWI DRO DICOM (e.g., provided by QIDW) into the analysis SW and derive ADC maps using the fit algorithm of choice (e.g., non-linear exponential, or log-intensity linear fit).
- Compare derived parametric ADC maps with known DRO input to estimate bias and SD with respect to true values as a function of SNR and ADC over the ranges relevant for the specific organs.
- 753

749

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# 1029 Appendices

### 1030 Appendix A: Acknowledgements and Attributions

1031 This document is proffered by the Radiological Society of North America [37], Diffusion-Weighted 1032 Imaging Task Force subgroup of the Perfusion Diffusion and Flow (PDF) Biomarker Committee. The PDF 1033 is composed of scientists, engineers, and clinicians representing academia, the imaging device 1034 manufacturers, image analysis software developers, image analysis laboratories, biopharmaceutical 1035 industry, government research organizations, professional societies, and regulatory agencies, among others. 1036 All work is classified as pre-competitive.

1037 The following individuals have made critical contributions in the development of this Profile:

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- 1061

## 1062 Appendix B: Background Information

- 1063 **QIBA Wiki:**
- 1064 <u>http://qibawiki.rsna.org/index.php/Main\_Page</u>
- 1065 **QIBA Perfusion, Diffusion, and Flow Biomarker Committee Wiki:**
- 1066 http://qibawiki.rsna.org/index.php/Perfusion, Diffusion\_and\_Flow-MRI\_Biomarker\_Ctte
- 1067 **DWI Literature Review:**
- 1068 <u>http://qibawiki.rsna.org/index.php/DWI\_Literature\_Review</u>
- 1069 **QIBAPhan Analysis Software (for ADC and summary statistics of isotropic diffusion phantom):**
- 1070 https://bit.ly/2QXLo3e
- 1071 **QIBA DWI Digital Reference Object:**
- 1072 <u>https://bit.ly/2QXLo3e</u>
- 1073 **Diffusion Phantom Preparation and Positioning:**
- 1074 <u>http://qibawiki.rsna.org/index.php/Perfusion, Diffusion\_and\_Flow-MRI\_Biomarker\_Ctte</u>
- 1075 DICOM MR Diffusion Macro:
- 1076 <u>http://dicom.nema.org/medical/dicom/current/output/chtml/part03/sect\_C.8.13.5.9.html</u>

## 1078 Appendix C: Conventions and Definitions

1079 **Apparent Diffusion Coefficient (ADC):** A quantitative imaging biomarker (typically in units of  $mm^2/s$ 1080 or  $\mu m^2/ms$ ) indicative of the mobility of water molecules. High ADC indicates free or less hindered mobility 1081 of water; low ADC indicates slow, restricted, or hindered mobility of water molecules.

1082 *b***-value:** An indication of the strength of diffusion-weighting (typically in units of  $s/mm^2$ ). It depends on a 1083 combination of gradient pulse duration, shape, strength, and the timing between diffusion gradient pulses.

1084 **DICOM:** Digital Imaging and Communications in Medicine standard for distributing and viewing any 1085 kind of medical image regardless of the origin. A DWI DICOM header typically contains meta-data 1086 reflecting scan geometry and key acquisition parameters (e.g., b-value and gradient direction) required for subsequent generation of ADC maps and ROI statistics. A DWI DICOM macro assigns the required 1087 1088 diffusion-specific attributes to public DICOM tags (e.g., [0018, 9087], diffusion b-value and [0018, 9075], diffusion directionality) which should be available independent of Vendor and scanner software version. 1089 1090 Currently, vendors do not universally follow the DWI macro standard, storing b-value and direction 1091 metadata in private tags.

1092 **Diffusion Weighted Image (DWI):** A type of MR image where tissue contrast is dependent on water 1093 mobility, diffusion gradient direction, concentration of water signal, and  $T_2$  relaxation. On heavily diffusion-1094 weighted images (i.e. high *b*-value), high signal indicates low water mobility, high proton concentration, 1095 and/or long  $T_2$ .

**Isotropic (or trace) DWI:** Directionally-independent diffusion-weighted images obtained as the composite (geometric average) of three orthogonal DWIs and used for ADC map derivation. Throughout this profile and assessment procedure, the term "DWI" refers to these directionally-independent images unless otherwise noted as a specific single-axis or directional DWI. Even in anisotropic media, directionally-independent (i.e. scalar) diffusion metrics are measurable using DWI combined from threeorthogonal diffusion gradient acquisitions.

1102 Linearity: A requirement of a linear relationship between the measured ADC value and the true value over 1103 a physiologically-relevant range; the slope of this line should be equal to 1.

1104 Ideally, to establish linearity with slope equal to 1, five truth values will be assessed, each with five 1105 repetitions. The slope may then be assessed by the following procedure:

1106

For each case, calculate the *ADC* (denoted Y<sub>i</sub>), where *i* denotes the *i*-th case. Let X<sub>i</sub> denote the true value for the i-th case. Fit an ordinary least squares (OLS) regression of the Y<sub>i</sub>'s on X<sub>i</sub>'s. A quadratic term is first included in the model to rule out non-linear relationships:  $Y = \beta_0 + \beta_1 X + \beta_2 X^2$ . If  $|\beta_2| < 0.5$ , then a linear model should be fit:  $Y = \beta_0 + \beta_1 X$ , and R<sup>2</sup> estimated. Let  $\widehat{\beta_1}$  denote the estimated slope. Calculate its variance as  $\widehat{Var}_{\beta_1} = 112$  $\sum_{i=1}^{N} (Y_i - \widehat{Y}_i)^2 / (N - 2) / \sum_{i=1}^{N} (X_i - \overline{X})^2$ , where  $\widehat{Y}_i$  is the fitted value of Y<sub>i</sub> from the regression line and  $\overline{X}$  is the mean of the true values. The 95% CI for the slope is  $\widehat{\beta_1} \pm 113$ 

- 1114  $t_{\alpha=0.025,(N-2)df}\sqrt{Var_{\beta_1}}.$
- 1115 1116

The absolute value of the estimate of  $\beta_2$  should be <0.50 and R-squared (R<sup>2</sup>) should be >0.90. The 95% CI for the slope should be completely contained in the interval 0.95 to 1.05.

1119 **Repeatability Coefficient (RC):** Represents measurement precision where conditions of the measurement

1120 procedure (scanner, acquisition parameters, slice locations, image reconstruction, operator, and analysis)

are held constant over a "short interval".

1122 **Within-subject Coefficient of Variance (wCV):** Is often reported for repeatability studies to assess 1123 repeatability in test–retest designs. Calculated as seen in the table below:

1124

### Steps for Calculating the test-retest wCV

1	Calculate the mean (M) and variance (V) for each of N subjects from their replicate measurements, m1 and m2: M=(m1+m2)/2; V=(m1-m2) <sup>2</sup> /2
2	Calculate the wCV <sup>2</sup> for each of the N subjects by dividing their variance by their mean squared, V/M <sup>2</sup>
3	Take the mean of the wCV <sup>2</sup> over the N subjects.
4	Take the square root of the value in step 3 to get an estimate of the wCV.

1125

## 1126 Appendix D: Platform-Specific Acquisition Parameters for DWI Phantom Scans

For acquisition modalities, reconstruction software and software analysis tools, profile conformance requires meeting the activity specifications and assessment requirements above in Sections 2, 3 and 4.

1129 This Appendix provides specific acquisition parameters, reconstruction parameters and analysis software 1130 parameters that are expected to achieve compatibility with profile requirements for technical assessment of

1130 parameters that are expected to achieve compatibility with profile requirements for technical assessment of 1131 MRI systems. Just using these parameters without meeting the requirements specified in the profile is not 1132 sufficient to achieve conformance. Conversely, it is possible to use different compatible parameters and still 1133 achieve conformance. System operation within provided conformance limits suggests the technical

1134 contribution to variance does not unduly alter wCV observed in biological measurements. Technical DWI 1135 performance of a given MRI system relative to peer systems can be assessed using the described 1136 standardized acquisition protocols designed for existing ice-water DWI phantoms. Platform-specific 1137 protocols were excerpted from the QIBA ice water-based DWI Phantom scan procedure for axial 1138 acquisitions. The full QIBA DWI Phantom scan procedure involves acquisitions for coronal, axial and 1139 sagittal planes as detailed in the QIBA DWI wiki.

1140 Sites using MRI system models listed here are encouraged to consider using parameter settings provided in 1141 this Profile for both simplicity and consistency of periodic quantitative DWI QA procedures. Sites using 1142 models not listed here may be able to devise their own settings that result in data meeting the requirements 1143 of this Profile (at the minimum) or tighter requirements of specific clinical trial.

#### 1144 IMPORTANT: <u>The presence of a product model/version in these tables does not imply it has</u> 1145 <u>demonstrated conformance with the QIBA Profile.</u> Refer to the QIBA Conformance Statement for 1146 the product.

#### Table D.1 Model-specific Parameters for Acquisition Devices When Scanning DWI Phantoms 1147 1148

Acquisition Device	Settings Compatible with Conformance			
	Submitted by: University of M	lichigan, Department of Radiology		
	Model / Version	Achieva / 5.1.7	Ingenia / 5.1.7	
	Field Strength	1.5T	3T	
	Receiver Coil	≥8ch head	$\geq$ 15ch head	
	Uniformity	CLEAR=yes; Body-Tuned=no	CLEAR = yes	
	Slice Orientation	Transaxial	Transaxial	
	FOV	220mm	220mm	
	Acquisition Voxel Size	1.72x1.72x4mm	1.72x1.72x4mm	
	Acquisition Matrix <sup>†</sup>	128x126	128x128	
	Recon Voxel Size	0.898x0.898x4mm	0.898x0.898x4mm	
	Recon Matrix	256x256	256x256	
	SENSE (parallel imaging)	Yes, factor=2	Yes, factor=2	
	Fold-over Direction	AP	AP	
	Fat-shift direction	P	P	
	Foldover-sup / Oversampling	No	No	
	Qty Slices	25	25	
	Stacks and Packages	1	1	
	Slice Thickness	4mm	4mm	
	Slice gap (user-defined)	1mm	1mm	
	Shim	Volume set to encompass phantom	Vol or PB-Vol to encompass phan	
	B1 shim	Not Applicable	Fixed	
	Scan Mode	MS	MS	
Philips	Technique	SE	SE	
1 mnps	Acquisition Mode	Cartesian	Cartesian	
	Fast Imaging Mode	EPI	EPI	
	Shot Mode	Single-shot	Single-shot	
	Echoes	1	1	
	Partial Echo	No	No	
	TE	Shortest (<110ms)	Shortest (<110ms)	
		90°	90°	
	Flip Angle TR	10,000ms	90 10,000ms	
	Halfscan factor	>0.62	>0.62	
	Water-Fat shift (in phase dir)	—		
		Minimum (~11xAcqVoxel size)	Minimum (~24xAcqVoxel size)	
	Fat suppression	No	No	
	Diffusion Mode			
	Direction	"M,P,S" (i.e. non-Overplus)	"M,P,S" (i.e. non-Overplus)	
	<i>b</i> -values (user-defined)	0, 500, 900, 2000	0, 500, 900, 2000	
	Average high <i>b</i> -values	No	No	
	PNS Mode	High	High	
	Gradient Mode	Maximum	Maximum	
	NSA (averages)	1	1	
	Images	M (magnitude)	M (magnitude)	
	Preparation phases	Full for 1 <sup>st</sup> scan; Auto for scan 2,3,4	Full for 1 <sup>st</sup> scan; Auto for scan 2,3,	
	EPI 2D Phase Correction	No	No	
	Save Raw Data	No	No	

## QIBA DWI Profile Consensus Version, 20Dec2019

Geometry Correction	Default	Default
EPI Factor	67	67
Bandwidth in Freq-direction	1534 Hz	1414 Hz
Scan Duration	~2min/scan; 4scans for ~8min total	~2min/scan; 4scans for ~8min total

#### 1149

<sup>†</sup> Matrix size can be  $128 \times 128 \pm 3$ 

Acquisition Device	Settings Compatible with Conformance		
	Submitted by: Siemens Hea	ılthcare	
	Model / Version	Magnetom Aera / VD13	Magnetom Skyra/ VD13
	Field Strength	1.5T	3T
	Receiver Coil	<u>HE1-4</u>	<u>HE1-4</u>
	Slice Orientation	Transaxial	Transaxial
	FOV read and phase	220mm	220mm
	Base resolution	130	130
	Phase resolution	100%	100%
	Recon Voxel Size	0.8x0.8x4mm	0.8x0.8x4mm
	PAT Mode	GRAPPA, PE factor=2	GRAPPA, PE factor=2
	Phase enc Direction	A >> P	A >> P
	Ref lines PE	40	40
	Reference scan mode	Separate	Separate
	Qty Slices	25	25
	Phase oversampling	0%	0%
	Slice Thickness	4mm	4mm
	Distance Factor	25%	25%
	Shim mode	Standard	Standard
	Mode	2D	2D
	Multi-slice mode	Interleaved	Interleaved
G:	EPI factor	130	130
Siemens	Free Echo Spacing	Off	Off
	Echo spacing	0.77ms	0.94ms
	TE	98ms	104ms
	TR	10,000ms	10,000ms
	Fat suppression	No	No
	Diffusion Mode	Orthogonal	Orthogonal
	Diff. weightings	4	4
	<i>b</i> -value 1,2,3,4	0, 500, 900, 2000	0, 500, 900, 2000
	Diff. weighted images	On	On
	Trace weighted images	On	On
	Gradient Mode	Fast	Fast
	Averages	1	1
	Averaging mode	Long term	Long term
	Concatenations	1	1
	MTC	Off	Off
	Magn. preparation	None	None
	Filter	DistortionCorr(2D); PrescanNormalize	DistortionCorr(2D); PrescanNormalize
	Reconstruction	Magnitude	Magnitude
	Bandwidth	1538 Hz/Px	1424 Hz/Px
	RF pulse type	Normal	Normal
	Scan Duration	~2min/scan; 4scans for ~8min total	~2min/scan; 4scans for ~8min total

Acquisition Device	Settings Compatible with Conformance				
	Submitted by: Memorial Sloan Kettering Cancer Center; and GE Healthcare				
	Model / Version	Optima MR 450 / DV23.1	Discovery MR 750 / DV23.1		
	Field Strength	1.5T	3T		
	Receiver Coil	8HRBrain	8HRBrain		
	Slice Orientation	Transaxial	Transaxial		
	FOV	22cm	22cm		
	Phase FOV	100%	100%		
	Acquisition matrix	128x128	128x128		
	Acq voxel size	1.72x1.72x4mm	1.72x1.72x4mm		
	Recon voxel size	0.98x0.98x4mm	0.98x0.98x4mm		
	ASSET Acceleration, Phase	2	2		
	Freq enc. Direction	R/L	R/L		
	Qty Slices	25	25		
	Slice Thickness	4mm	4mm		
General	Slice spacing	1mm	1mm		
Electric	Shim	Auto	Auto		
	Imaging Options	2D, spin-echo, EPI, DIFF	2D, spin-echo, EPI, DIFF		
	Num Shots	1	1		
	Dual Spin Echo	No	No		
	TE	Min Full (~123ms)	Min Full (~104ms)		
	TR	10,000ms	10,000ms		
	Fat suppression	No	No		
	Diffusion Direction	ALL	ALL		
	<i>b</i> -value	0, 500, 900, 2000	0, 500, 900, 2000		
	Phase Correct	On	On		
	dB/dt control mode	1 <sup>st</sup>	1 <sup>st</sup>		
	NEX	1	1		
	Bandwidth	Default (250kHz)	Default (250kHz)		
	3D Geometry correction	No	No		
	Scan Duration	~2min/scan; 4scans for ~8min total	~2min/scan; 4scans for ~8min total		

Acquisition Device	Settings Compatible with Conformance		
	Submitted by: Canon Medic	cal Systems	
	Model/Version	Elan / 4.0SP0003	Galan / 4.0SP0004
	Field Strength	1.5T	3T
	Receiver Coil	Octave head/neck coil	16 or 32-ch head/neck coil
	Slice Orientation	Transaxial	Transaxial
Canon	FOV	22 cm x 22 cm	22 cm x 22 cm
Calloll	Matrix Size	128 x 128	128 x 128
	No Wrap	1	1
	SPEEDER Acceleration, Phase	2	2
	Phase Encode	AP	AP
	Number of TE-echoes	16	16

Qty Slices	25	25
Slice Thickness	4 mm	4 mm
Slice Spacing	1 mm	1 mm
Sequence	SEEPI2D	SEEPI2D
Number of Shots	1	1
Segmentation Type	Sequential	Sequential
TE	110 ms	104 ms
TR	10,000 ms	10,000 ms
Fat Suppression	Off	Off
Diffusion Direction	3-axis	3-axis
<i>b</i> -value	0, 500, 900, 2000	0, 500, 900, 2000
Phase Correction	Type 2 (EPI Nyquist Ghosting)	Type 2 (EPI Nyquist Ghosting)
NAQ	1	1
Receiver Bandwidth	1563 Hz / pixel	1421 Hz / pixel
RF Type	Normal	Normal
GR Type	Fast	Fast
Scan Duration	~2 min/scan	~2 min/scan

## 1153 Appendix E: Technical System Performance Evaluation

Procedures below are for basic evaluation of MRI equipment performance to qualify for quantitative DWI trials. Conformance specs for performance metrics (listed in 3.2.2) are suggested to ensure that technical measurement errors related to the MRI system do not unduly contribute to measurement variance for subject ADC.

## 1158 E.1. ADC QUALITIES AT/NEAR ISOCENTER

1159 To evaluate an MRI system for ADC measurement bias and precision, a phantom containing media having known diffusion properties is required. Water maintained at 0 °C is widely used as a known standard with 1160 diffusion coefficient =  $1.10 \times 10^{-3}$  mm<sup>2</sup>/s, and is the basis for ice water-based DWI phantoms [60, 61, 64, 1161 114]. This procedure requires access to an ice water DWI phantom, such as the OIBA DWI phantom [62, 1162 63, 66] or alternative that contains a measurement sample of water (>30 mL volume) located at isocenter 1163 surrounded by an ice water bath [60, 61, 64, 114]. Sufficient time must be allowed for the sample to achieve 1164 thermal equilibrium (>1 hour) and the phantom must contain an adequate volume of ice to surround the 1165 1166 measurement sample over the entire MRI exam period. Details for preparation and use of the QIBA DWI phantom are available in the QIBA DWI wiki. The phantom ADC measurement protocol should follow the 1167 DWI scan parameters defined in Appendix D, Table D.1, which involves DWI acquisition at nominal b-1168 values = 0, 500, 900, 2000 s/mm<sup>2</sup>. 1169

- Typically, MRI systems exhibit best performance at or near isocenter where ADC bias reflects overall 1170 calibration of gradient amplitude and DWI sequence timing. Proximity to isocenter is to be determined by 1171 location of the center of an ROI used to assess ADC. Spatial coordinates of the ROI-center are often 1172 available using the scanner's electronic caliper read-out of ROI-center coordinates in the patient-based 1173 1174 frame of reference defined by "Patient Landmark" location. Note, the patient-based frame and magnetbased frame (true isocenter) may not be synonymous, and displacement between the two may vary from 1175 scan series to scan series. To maintain minimal offset between patient-based and magnet-based frames, the 1176 1177 "Patient Landmark" should be defined on the center of the phantom then the prescription of slices used for quantitative evaluation should be kept centered on Superior/Inferior=0 mm (for horizontal bore magnets). 1178 An ROI having center coordinates [RL, AP, SI] is "at isocenter" when  $\sqrt{RL^2 + AP^2 + SI^2} \le 4 cm$ , and 1179
- 1180 the maximum diameter of the ROI < 2 cm. A minimum ROI diameter of ~1cm will provide sufficient

1181 number of pixels (>80) for adequate sampling of phantom ADC heterogeneity for reliable estimate of within

1182 ROI statistics (standard deviation and mean). For uniform analysis, "QibaPhanR1.4" software provided

through the QIDW (<u>https://bit.ly/2pYRrJ6</u>) can be used to generate the relevant ADC ROI assessment

1184 metrics (bias, precision, repeatability and SNR) for QIBA DWI phantom, as described below.

1185 The QIBA DWI phantom, and other water-based phantoms are isotropic so measured diffusion coefficient 1186 <u>should</u> be independent of applied diffusion gradient direction. Throughout this profile and assessment 1187 procedure, "DWI" will refer to the composite of three orthogonal DWIs as the trace DWI.

1188 Two or more diffusion weightings are required to calculate ADC, and full ADC maps are generated on a 1189 pixel-by-pixel basis (though low SNR may bias these pixel-by-pixel ADC maps) using the mono-1190 exponential model:

1191 
$$ADC_{bmin,b} = \frac{1}{(b-bmin)} \ln\left[\frac{S_{bmin}}{S_b}\right], \qquad EQ(1)$$

where S represents the diffusion weighted image intensity and subscripts refer to *b*-value. For this assessment procedure, if only two *b*-values are used, they must include the nominal minimum *b*-value in the calculation, typically *b*=0. If all *b*-values are used in the ADC calculation, a mono-exponential signal decay versus *b*-value model fit (e.g., least-squares) must be used. To achieve adequate diffusion contrast for ADC estimation via EQ(1),  $(b - b_{min})$  should be  $\geq 400$  s/mm<sup>2</sup>.

1197 The estimate of MRI system ADC bias in measurement of 0°C water ( $DC_{true} = 1.1 \times 10^{-3} \text{ mm}^2/\text{s}$  [60]) at 1198 isocenter should be calculated as:

1199 ADC bias estimate = 
$$\mu - DC_{true}$$
; or %bias =  $\frac{100\% (\mu - DC_{true})}{DC_{true}}$ , EQ(2)

1200 where  $\mu$  is the ROI mean of the ADC map at isocenter and the ROI contains 80-150 pixels. Assuming the 1201 pixel values follow a normal distribution, the 95% confidence interval (CI) for this bias estimate is,

1202 ADC bias estimate  $\pm 1.96 \frac{\sigma}{\sqrt{N}}$ , EQ(3)

1203 where  $\sigma$  is the standard deviation of ADC pixel values in the ROI containing *N* pixels. ADC bias at isocenter 1204 allowed by this profile is  $|ADC \text{ bias}| \le 0.04 \times 10^{-3} \text{ mm}^2/\text{s}.$ 

1205 The standard deviation of ADC pixel values within an isocenter ROI is one indicator of random 1206 measurement error (precision) in ADC maps expressed as a percentage relative to the ROI mean (%CV) as:

1207 
$$ADC \ error \ estimate = 100\% \cdot \frac{\sigma}{\mu}$$
 EQ(4)

1208 Similar to ADC bias estimate, this procedure typically uses an ROI of  $\sim 1 \text{ cm}^2$  (>80 pixels) on a water sample 1209 at 0 °C (e.g., center tube of QIBA DWI phantom) at isocenter, and follow the QIBA DWI phantom scan 1210 protocol to estimate ADC error. The random error allowed by this profile specs (3.2.2) is < 2%.

1211 The established QIBA DWI phantom scan protocol is to acquire four DWI scans (each ~2 minutes) in 1212 immediate succession holding acquisition conditions constant. This procedure serves multiple aims: (1) inspect for monotonic trend in ADC vs. time suggesting the phantom was not at thermal equilibrium; (2) 1213 1214 inspect for artefact or drift suggesting system instability; (3) allow for estimation of voxel signal-to-noise 1215 ratio (SNR); and (4) provide an estimate of short-term (intra-exam) repeatability [60, 63-66]. Repeated 1216 scanning of the phantom over multiple days/weeks/months more closely resembles serial scanning of 1217 patients in longitudinal studies. Regardless of interval over which repeated measurements are performed, assuming normally distributed measures, the Repeatability Coefficient (RC) and "within-subject" 1218 1219 Coefficient of Variation as a percentage (wCV) are calculated as [30, 35, 36]:

1220  $RC = 2.77 \cdot \sigma_w; \quad wCV = 100\% \frac{\sigma_w}{\mu}, \quad EQ(5)$ 

where  $\sigma_w^2$  is the within-subject (phantom) parameter variance (see Appendix C for calculation of the wCV) 1221 and  $\mu$  is the parameter mean. The average of repeated ROI means at isocenter and square root of variance 1222 1223 of these means may be used in EQ(5) to estimate RC and wCV as a metric of system technical performance. The allowed short-term and long-term ADC repeatability for this profile are  $< 1.5 \times 10^{-5} \text{ mm}^2/\text{s}$  and  $< 6.5 \times 10^{-5} \text{ mm}^2/\text{s}$ 1224  $10^{-5}$  mm<sup>2</sup>/s, respectively [64]. Please note, phantom-based RC and wCV derived here are under relatively 1225 1226 ideal conditions and should not be taken as representative of repeatability achieved in human DWI/ADC 1227 studies that involve more sources of variability. The acceptable baseline performance for the device assessed with the quantitative DWI phantom and required by this profile to ensure no significant 1228 1229 contribution to the within-subject RC and CV is summarized in Section 3.2.2.

- 1230
- 1231
- 1232

#### 1233 E.2. DWI SIGNAL TO NOISE

1234 This section describes criteria that are necessary for an MRI system to meet the Profile qualification specs 1235 listed in 3.2.2. Vendors and imaging sites can use this procedure to estimate relative signal-to-noise ratio 1236 (SNR) of an MRI system in the context of DWI and parametric ADC maps (both for phantom and subjects).

Signal-to-noise ratio of any MR image is heavily dependent on acquisition conditions so while SNR is informative of system performance, its evaluation by the suggested procedure is not an absolute system performance metric. Determination of SNR by this procedure serves two aims: (1) provide a relative system performance metric; and (2) confirm SNR was adequate to measure ADC bias without incremental bias due to low SNR.

1242 This procedure is used to estimate SNR at the acquisition voxel level. Common filtering, interpolation and 1243 reconstruction algorithms lead to correlated noise in neighboring DWI pixels. Therefore, the described 1244 procedure relies on analysis that yields a noise estimate averaged over an ROI to mitigate effect of correlated 1245 noise.

1246 Signal estimated as the mean pixel intensity value over an ROI is straightforward; however, DWI noise 1247 estimation is more difficult. Using standard deviation of pixel values in signal-free background (i.e. air) as 1248 noise estimate is unreliable due to commonly-used parallel imaging reconstruction, coil-sensitivity 1249 equalization routines and Rician bias of "magnitude" signals [92-94, 115, 116, 118]. Instead for this 1250 procedure, noise will be estimated by the temporal change in pixel values measured over multiple scans. 1251 The QIBA DWI phantom scan protocol requires four scans repeated in immediate succession holding all 1252 acquisition conditions constant. Images containing the measurement ROI over these four dynamics should 1253 be visually inspected for conspicuous (multi-pixel) spatial shift, distortion, or artefact in any of the 1254 dynamics. Assuming none, random noise is considered to be the main contributor to scan-to-scan 1255 differences. To assess noise by this procedure, software (similar to "QibaPhanR1.4") must be available to 1256 combine dynamic images and calculate the temporal standard deviation of each pixel (i.e., over the "n" 1257 dynamic scans). An image comprised of the temporal standard deviation of pixel values should be referred 1258 to as the "temporal noise image". An image comprised of the temporal mean of pixel values should be 1259 referred to as the "signal image". Note, an image comprised of the pixel-by-pixel division of the signal 1260 image by the temporal noise image is referred to as the "signal-to-fluctuation-noise-ratio image" [117, 118], 1261 but this should not be used to estimate SNR. Instead, the calculation estimates noise as spatial mean within 1262 an ROI of temporal noise image and corresponding signal as a spatial ROI mean of the temporal average signal image [116]: 1263

1264 
$$SNR_{nDyn} = \frac{Spatial\ mean\ pixel\ value\ on\ Signal\ Image}{Spatial\ mean\ pixel\ value\ on\ Temporal\ Noise\ Image} EQ(6)$$

1265 The 95% confidence interval for this SNR estimate is  $\pm 1.96 \frac{\sigma_{SNR}}{\sqrt{N}}$ ,

1266 where  $\sigma_{SNR} = SNR_{nDyn}\sqrt{sCV^2 + nCV^2}$  is the "error propagation" estimate of standard deviation of SNR 1267 pixel values in an ROI containing *N* pixels with spatial coefficients of variance, *sCV* and *nCV*, for the 1268 temporal average signal image and temporal standard-deviation noise image, respectively.

An alternative procedure to estimate SNR from an even quantity of dynamic scans is to first sum all oddnumbered dynamics called "sumODD image" and sum all even-numbered dynamics called "sumEVEN image", then create their difference called "DIFF image" = sumODD – sumEVEN. Using these, an estimate of SNR within an ROI from n-dynamic scans acquired in immediate succession holding conditions fixed should be calculated as [117, 118]:

1274 
$$altSNR_{nDyn} = \sqrt{n} \frac{Spatial mean pixel value on Signal Image}{Spatial standard deviation pixel value on DIFF Image}$$
 EQ(7)

1275 EQ(7) should be used when only two dynamic scans (n=2) are available.

1276 For conditions defined in this assessment procedure (i.e. 4 dynamics and 80-100 pixel ROIs) equation EQ(6)

tends to overestimate SNR slightly although has tighter confidence interval relative to equation EQ(7). The
 choice of which equation to use may depend on capabilities of the analysis software. SNR analysis via
 equations EQ(6) and/or EQ(7) may be performed on source DWI images, as well as on derived ADC maps.

In situations where two or more dynamic series are not available, the "noise" level may be crudely estimated (i.e. still subject to Rician bias and background regularization) by the standard deviation in signal-free background or by the standard deviation within the ROI defined on uniform signal-producing area. Prior to defining the background ROI, the assessor should inspect the images with a tight window/level and strive to select a background region that contains uniform random noise while avoiding signal gradients, structured noise (e.g., ghosts) or severely modulated zones (often masked to "zero"). While considered unreliable for reasons stated above, the equation to estimate SNR of an ROI in signal-producing region

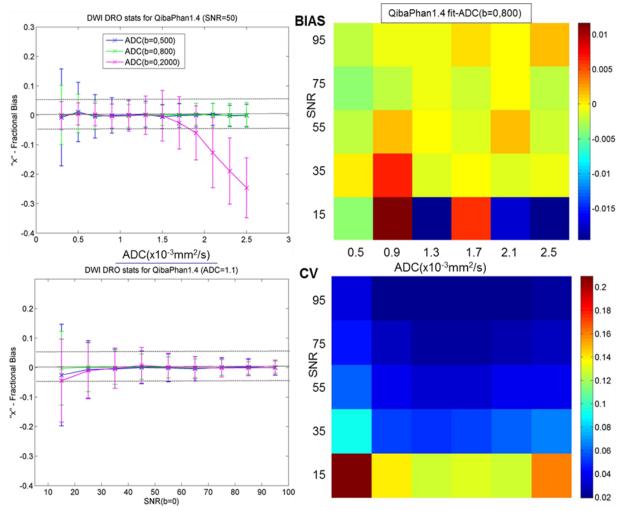
1287 relative to background region is:

1288 
$$SNR_{vs\ bkgnd} = \frac{Spatial\ mean\ pixel\ value\ on\ Signal\ Image}{Spatial\ standard\ deviation\ pixel\ value\ in\ background\ ROI}.$$
 EQ(8)

1289 Since performed on magnitude images, this procedure under-estimates noise thus over-estimates SNR. This

Rician bias may be predicted using DWI DRO and could be appropriately factored into further analysis of

1291 ADC statistics [92, 93, 116].



**Figure E.1:** Examples of fractional-bias and CV metrics for DWI-DRO ADC maps generated using QibaPhan1.4 SW. Left panes show fractional ADC bias and SD (error-bars) as a function of true (i.e., DRO input) ADC (top: at SNR=50) and SNR (bottom: at ADC=1.1 x10<sup>-3</sup>mm<sup>2</sup>/s) for three b-values (color-coded in legend). The dotted horizontal lines mark ±5% deviation to guide optimal DWI parameter ranges for ADC, SNR, b-value. Mean bias appears to be dependent on ADC and b-value and independent of SNR, while bias SD closely follows CV-trend and mostly SNR-dependent. Right panes show the SNR/ADC maps for mean bias and CV metrics at b-value=800 (typical of liver DWI protocol), indicating that the fit-ADC bias error (mean +/- SD) falls within +/-5% for SNR>50 in liver ADC range (0.7-1.7)x10<sup>-3</sup>mm<sup>2</sup>/s.

1293 At a minimum, the evaluation procedure outlined in EQ(6) and EQ(7) should be performed on the b=01294 diffusion weighted image. Low SNR conditions can introduce bias in ADC measurement (see Figure E.1). 1295 To satisfy site qualification requirements (3.2.2) and avoid introduction of bias due to low SNR conditions, 1296 an MRI system should have  $SNR > 50\pm 5$  for the *b*=0 image in an ROI of 1 cm diameter (80-100 pixels). 1297 This SNR will allow measurement of mono-exponential diffusion media having diffusion coefficients <  $1.1 \times 10^{-3} \text{ mm}^2/\text{s}$  (e.g., water at 0 °C) using *b*-values < 2000 s/mm<sup>2</sup> and avoid incremental bias due to noise. 1298 1299 SNR limits for different ADC and *b*-value ranges relevant for clinical trials should be assessed using the DWI DRO provided through the QIDW (e.g., Figure E.1). 1300

#### 1301 E.3. ADC *B*-VALUE DEPENDENCE

1302 The QIBA DWI phantom and other ice water phantoms <u>should</u> exhibit mono-exponential signal decay with 1303 increasing *b*-value. Any apparent change in measured ADC with choice of *b*-value suggests one or 1304 combination of the following: (1) output gradient amplitude is not linear with input demand; (2) background 1305 gradients that have substantial but variable contribution to the actual *b*-value; (3) spurious signal in  $b\approx 0$  1306 DWI that is eliminated at moderately low *b*-values (e.g.,  $b \ge 50 \text{ s/mm}^2$ ); and (4) inadequate SNR at high *b*-1307 values. To evaluate whether an MRI system exhibits artefactual *b*-value dependence in ADC measurement, 1308 ADC values measured at isocenter on an ice water phantom should be compared as a function of *b*-value 1309 pairs described in equation 1. The lowest *b*-value (typically  $b_{min} = 0$ ) must be included in each *b*-value pair. 1310 The assessor should calculate *b*-value dependence as:

1311 1312

ADC bvalue dependence = 100% 
$$\left\| \frac{(ADC_{bmin,b2} - ADC_{bmin,b1})}{ADC_{bmin,b1}} \right\|$$
, EQ(9)

1313 where  $b_2 \neq b_1$ . Note, adequate diffusion contrast is required for ADC estimation via EQ(1), therefore both 1314  $(b_1 - b_{min})$  and  $(b_2 - b_{min})$  should be  $\geq 400$  s/mm<sup>2</sup>. The allowed *b*-value dependence that would not influence 1315 significantly the claims of this profile, is < 2% (3.2.2).

1316

1317 In the absence of a phantom with varying ADC with known ground truths, this *b*-value dependence 1318 assessment provides a suitable test for ADC linearity.

#### 1319 E.4. ADC SPATIAL DEPENDENCE

1320 All ADC calculations described above utilize nominal *b*-values entered by the assessor during DWI acquisition and retained in DICOM headers. In turn, b-value selection determines amplitude and timing of 1321 diffusion-encoding gradient pulses within the diffusion sequence. Due to current physical constraints of 1322 gradient designs, gradient strength is not spatially uniform throughout the imaged volume. The greatest 1323 1324 contributor to spatial ADC bias is gradient nonlinearity, although other sources such as uniformity of the 1325 main magnetic field can also contribute to spatial ADC bias at off-center locations [61, 65, 119-123]. 1326 Regardless of source, the maximum level of allowable spatial ADC bias of an MRI system depends on scale of the imaging volume for the specific clinical application. For example, DWI studies dedicated to the 1327 1328 prostate or brain lesions could benefit from relatively minimal expected spatial ADC bias when the imaging 1329 prescription requires the lesion be located near superior/inferior = 0 mm; whereas bilateral breast or unilateral off-center liver DWI will likely experience greater spatial ADC bias. For MRI system 1330 1331 performance evaluation, a DWI phantom should be selected that reasonably spans the imaging volume of 1332 the associated clinical application and that preferably fits in the same application-specific receiver coil. By 1333 its physical nature (determined by gradient coil design), spatial ADC bias is expected to be independent of 1334 b-value and ADC range. Thus, assessment of this bias for phantom is a reasonable estimate for bias in 1335 patient scans in clinical trials. In the context of clinical trial, spatial ADC bias is expected to increase both the ROI ADC error (i.e., in ROI mean and ADC histogram width, and increasing wCV), and the variability 1336 1337 among systems.

1338 Using DWI phantom with known diffusion coefficient, such as the QIBA DWI phantom or other suitable 1339 ice water-based phantom, the site should follow established phantom preparation instructions, and acquire 1340 DWI using a protocol matched to the associated application. Using EQ(2), ADC bias should be measured 1341 from multiple ROIs containing at least 80 pixels each that reasonably sample spatial offset(s) from magnet 1342 isocenter anticipated for the specific clinical application. Maximum allowed bias for a system qualified for 1343 this profile (3.2.2) will increase with maximum allowed offset from isocenter (4% for 4 cm AP/RL/SI, 10% 1344 for RL/AP < 10 cm (SI < 4 cm) or SI < 5 cm (RL/AP < 4 cm)).

# 1346 Appendix F: Checklists

### **F.1. SITE CHECKLIST**

Parameter	Conforms (Y/N)	Requirement	Site Opinion
		Site Qualification (Section 3.2)	
Qualification activitiesImageShall perform qualification activities for Acquisition Device, Scanner Operator, and Image Analyst to meet equipment, 			
	·	Periodic QA (Section 3.5)	
Periodic DWI QA	□ Yes □ No	Shall perform periodic QA for Acquisition Device that includes assessment of ADC bias, random error, linearity, DWI SNR, DWI image artefacts, <i>b</i> -value dependence (linearity) and spatial uniformity (3.2.2)	□ Feasible, will do □ Feasible, will not do
Equipment	□ Yes □ No	Same, pre-qualified equipment and SW shall be used over the length of trial, and all preventive maintenance shall be documented over the course of the trial. Re-qualification shall be performed in case of major SW or hardware upgrade.	□ Feasible, will do □ Feasible, will not do

## 1351 F.2. ACQUISITION DEVICE CHECKLIST

Parameter	Conforms (Y/N)	Requirement	Site Opinion
		Site Qualification (Section 3.2)	
Acquisition Protocols	□ Yes □ No	Shall be capable of storing protocols and performing scans with all the parameters set as specified in Section 3.6 "Protocol Design Specification" and Appendix D	<ul> <li>Routinely do already</li> <li>Feasible, will do</li> <li>Feasible, will not do</li> <li>Not feasible (explain why)</li> </ul>
DWI Tags	□ Yes □ No	Shall preserve tags related to DWI, including private tags, which may be vendor-specific. Some key tags are specified in Appendix D.	<ul> <li>Routinely do already</li> <li>Feasible, will do</li> <li>Feasible, will not do</li> <li>Not feasible (explain why)</li> </ul>
Short-term (intra- exam) ADC repeatability at/near isocenter	□ Yes □ No	$RC \le 1.5 \times 10^{-5} \text{ mm}^2/\text{s}$ and $wCV \le 0.5\%$ for ice-water phantom or other quantitative DWI phantom	<ul> <li>Routinely do already</li> <li>Feasible, will do</li> <li>Feasible, will not do</li> <li>Not feasible (explain why)</li> </ul>
Long-term (multi- day) ADC repeatability at/near isocenter	□ Yes □ No	$RC \le 6.5 \times 10^{-5} \text{ mm}^2/\text{s}$ and $wCV \le 2.2\%$ for ice-water phantom or other quantitative DWI phantom	<ul> <li>Routinely do already</li> <li>Feasible, will do</li> <li>Feasible, will not do</li> <li>Not feasible (explain why)</li> </ul>
DWI <i>b=</i> 0 SNR	□ Yes □ No	SNR $(b=0) \ge 50\pm 5$ for ice-water phantom or other quantitative DWI phantom.	<ul> <li>Routinely do already</li> <li>Feasible, will do</li> <li>Feasible, will not do</li> <li>Not feasible (explain why)</li> </ul>
ADC <i>b</i> -value dependence	□ Yes □ No	< 2% for ice-water phantom or other quantitative DWI phantom over <i>b</i> -value pairs 0-500; 0-900; and 0-2000 s/mm <sup>2</sup>	<ul> <li>Routinely do already</li> <li>Feasible, will do</li> <li>Feasible, will not do</li> <li>Not feasible (explain why)</li> </ul>
Maximum  bias  with offset from isocenter: within 4 cm in any direction	□ Yes □ No	< 4% for uniform DWI phantom	<ul> <li>Routinely do already</li> <li>Feasible, will do</li> <li>Feasible, will not do</li> <li>Not feasible (explain why)</li> </ul>
R/L offset < 10 cm (with A/P and S/I < 4 cm)	□ Yes □ No	< 10% for uniform DWI phantom	<ul> <li>Routinely do already</li> <li>Feasible, will do</li> <li>Feasible, will not do</li> <li>Not feasible (explain why)</li> </ul>
A/P offset < 10 cm (with R/L and S/I < 4 cm)	□ Yes □ No	< 10% for uniform DWI phantom	<ul> <li>Routinely do already</li> <li>Feasible, will do</li> <li>Feasible, will not do</li> <li>Not feasible (explain why)</li> </ul>

Parameter	Conforms (Y/N)	Requirement	Site Opinion		
S/I offset < 5 cm (with R/L and A/P < 4 cm)	□ Yes □ No	< 10% for uniform DWI phantom	<ul> <li>Routinely do already</li> <li>Feasible, will do</li> <li>Feasible, will not do</li> <li>Not feasible (explain why)</li> </ul>		
		Pre-delivery (Section 3.3)			
Performance metrics	□ Yes □ No	Scanner shall meet established vendor performance metrics for given model	<ul> <li>Routinely do already</li> <li>Feasible, will do</li> <li>Feasible, will not do</li> <li>Not feasible (explain why)</li> </ul>		
DWI sequence	□ Yes □ No	Scanner shall be capable to acquire single- shot DWI	<ul> <li>Routinely do already</li> <li>Feasible, will do</li> <li>Feasible, will not do</li> <li>Not feasible (explain why)</li> </ul>		
DICOM conformance	□ Yes □ No	Shall be capable of performing reconstructions and producing images with all the parameters set as specified in 3.4.2 "Protocol Design Specification".	<ul> <li>Routinely do already</li> <li>Feasible, will do</li> <li>Feasible, will not do</li> <li>Not feasible (explain why)</li> </ul>		
		Periodic QA (Section 3.5)			
Periodic DWI QA	□ Yes □ No	Shall perform system qualification and periodic QA that includes assessment of ADC bias, random error, linearity, DWI SNR, DWI image artefacts, <i>b</i> -value dependence and spatial uniformity (3.2)	<ul> <li>Routinely do already</li> <li>Feasible, will do</li> <li>Feasible, will not do</li> <li>Not feasible (explain why)</li> </ul>		
		Protocol Design (Section 3.6)			
Scan Protocol Parameters	□ Yes □ No	Device scan protocol parameters shall be within organ-specific ranges listed in the protocol specification tables (3.6.2)	<ul> <li>Routinely do already</li> <li>Feasible, will do</li> <li>Feasible, will not do</li> <li>Not feasible (explain why)</li> </ul>		
Image Data Acquisition (Section 3.9)					
Scan Procedure	□ Yes □ No	Study of each patient shall be performed on the site pre-qualified scanner using approved receiver coil and pre-built profile-conformant scan protocol (3.6).			

## 1354 F.3. SCANNER OPERATOR CHECKLIST

## 1355

Parameter	Conforms (Y/N)	Requirement	Site Opinion	
		Site Qualification (section 3.2)		
Acquisition Protocols	□ Yes □ No	Shall prepare scan protocols conformant with section 3.6.2 "Protocol Design Specification" and phantom qualification (Appendix D) and ensure that DWI acquisition parameters ( <i>b</i> -value, diffusion direction) shall be preserved in DICOM and shall be within ranges allowed by study protocol (both for phantom and subject scans).	□ Feasible, will do □ Feasible, will not do	
Acquisition Device Performance	□ Yes □ No	Shall perform assessment procedures (Section 4) for site qualification and longitudinal QA for the acquisition devices participating in trial to document acceptable performance for phantom ADC metrics as specified in table 3.2.2	□ Feasible, will do □ Feasible, will not do	
Reconstruction SW Performance	□ Yes □ No	Shall confirm that reconstruction SW is capable of performing reconstructions and producing images with all the parameters set as specified in section 3.6.2 "Protocol Design Specification" and meet DWI DICOM header and image registration requirements specified in 3.10.2, including storage of <i>b</i> -values, DWI directionality, image scaling and units tags, as specified in DICOM conformance statement for the given scanner SW version, as well as the model-specific Reconstruction Software parameters utilized to achieve conformance.	<ul> <li>Feasible, will not do</li> <li>Not feasible (explain why)</li> </ul>	
		Periodic QA (section 3.5)		
Periodic DWI QA	□ Yes □ No	Shall perform system qualification and periodic QA that includes assessment of ADC bias, random error, linearity, DWI SNR, DWI image artefacts, <i>b</i> -value dependence and spatial uniformity (3.2.2)	□ Feasible, will do □ Feasible, will not do	
Protocol Design (section 3.6)				
Protocol	□ Yes □ No	Shall check that implemented scan protocol parameters comply with the organ-specific scan protocol requirements as detailed in the profile specifications in Table 3.6.2.	□ Feasible, will not do	

Parameter	Conforms (Y/N)	Requirement	Site Opinion
		Image Data Acquisition (section 3.9)	
Patient Positioning	□ Yes □ No	Predefined positioning procedure and receiver coil (e.g., always head-first or always feet-first, torso phased-array) shall be used for all study subjects. Subject- specific landmark shall be centered on the target organ, which shall be located as close as is feasible to magnet isocenter.	□ Feasible, will do □ Feasible, will not do
Scan Parameters	□ Yes □ No	Subject-specific adjustments within allowed parameter ranges (Table 3.6.2) shall be made to suit body habitus. Parameter adjustments for a given subject shall be constant for serial scans.†	□ Feasible, will do □ Feasible, will not do
Acquisition Device	□ Yes □ No	The same scanner shall be used for baseline measurement and a subsequent longitudinal measurement for detecting change in ADC.	□ Feasible, will do
		Image Data Reconstruction (section 3.10)	
Trace DWI and ADC map generation across subjects and time	□ Yes □ No	Procedural steps for image reconstruction, archiving of original, uncorrected images (if generated), and ADC map generation shall be held constant for all subjects and time points including: image interpolation; image registration prior to combination into trace DWI and across <i>b</i> -values; selection of <i>b</i> -values and fit algorithm to estimate ADC. ADC shall be calculated using the mono-exponential model of DWI signal decay with increasing <i>b</i> -value, starting with protocol-specific low <i>b</i> -value to compensate for perfusion effects.	<ul> <li>Feasible, will do</li> <li>Feasible, will not do</li> <li>Not feasible (explain why)</li> </ul>
<i>b</i> -value record	□ Yes □ No	Scanner operator shall verify that the reconstruction SW records <i>b</i> -values, or if not shall manually record the <i>b</i> -values, that are used to generate the ADC map.	□ Feasible, will do
Image QA (section 3.11)			
ADC quality	□ Yes □ No	Shall confirm DWI and ADC maps conform to adequate quality specifically considering points listed above (3.11.1) and shall exclude artefact-rich images and ROI from repeatability analysis.	□ Feasible, will do □ Feasible, will not do
Image Distribution (section 3.12)			

Parameter	Conforms (Y/N)	Requirement	Site Opinion
Trace DWI	□ Yes □ No		
ADC maps	□ Yes □ No	ADC maps generated on the MRI scanner shall be stored in local PACS and distributed to image analysis workstation(s) with preserved DICOM scale tags. ADC map scale/units and <i>b</i> - values used for generation shall be recorded.	□ Feasible, will do □ Feasible, will not do
Image DICOM	□ Yes □ No	DICOM tags essential for downstream review and diffusion analysis shall be maintained including, pixel intensity scaling [113], <i>b</i> -value, and DWI directionality vs. trace, and ADC scale and units. Trace DWI DICOM at each acquired <i>b</i> -value shall be archived in the local PACS.	□ Feasible, will do □ Feasible, will not do

## 1358 F.4. IMAGE ANALYST CHECKLIST

## 1359

Parameter	Conforms (Y/N)	Requirement	Site Opinion
		Staff Qualification (section 3.1)	1
Qualification	□ Yes □ No	May be a radiologist, technologist, physicist, or other scientist that shall undergo documented training by a qualified radiologist in terms of anatomical location and image contrast(s) used to select measurement target; and by qualified physicist in understanding key DWI acquisition principles of diffusion weighting and directionality and diffusion test procedures, procedures to confirm that diffusion-related DICOM metadata content is maintained along the network chain from Scanner to PACS and analysis workstation and in use of the Image Analysis Tool, including ADC map generation from DWI (if not generated on the scanner), and ADC map reduction to statistics with ROI/VOI location(s)	<ul> <li>Routinely do already</li> <li>Feasible, will do</li> <li>Feasible, will not do</li> <li>Not feasible (explain why)</li> </ul>
		Site Qualification (section 3.2)	<b></b>
Image Analysis Tool Performance	□ Yes □ No	Shall test Image Analysis Tool to ensure acceptable performance according to 3.13.2 specifications for study image visualization, DICOM and analysis meta- data interpretation and storage, ROI segmentation, and generation of ADC maps and repeatability statistics for qualification phantom (below)	<ul> <li>Feasible, will do</li> <li>Feasible, will not do</li> </ul>
Phantom ADC ROI	□ Yes □ No	Shall confirm that phantom ADC ROI is 1- 2 cm diameter (>80 pixels without interpolation) for all Acquisition Device specifications in Table 3.2.2	□ Feasible, will do
Phantom ADC metrics	□ Yes □ No	Shall evaluate and record phantom ADC metrics (bias, linearity and precision) according to Table 3.2.2 specifications for Acquisition Device qualification and periodic QA using QIBA-provided or qualified site Image Analysis Tool	<ul> <li>Feasible, will do</li> <li>Feasible, will not do</li> </ul>
Image QA (section 3.11)			
ADC quality		Shall confirm DWI and ADC maps conform to adequate quality specifically	

Parameter	Conforms (Y/N)	Requirement	Site Opinion
	□ Yes □ No	considering points listed above (3.11.1) and shall exclude artefact-rich images and ROI from repeatability analysis.	
		Image Distribution (section 3.12)	
Trace DWI	□ Yes □ No	Shall ensure that all trace DWI at each acquired <i>b</i> -value shall be stored in local PACS and distributed to image analysis workstation(s)	🗆 Feasible, will do
ADC maps	□ Yes □ No	ADC maps generated on the MRI scanner shall be stored in local PACS and distributed to image analysis workstation(s) with preserved DICOM scale tags. ADC map scale/units and <i>b</i> - values used for generation shall be recorded.	<ul> <li>Feasible, will do</li> <li>Feasible, will not do</li> </ul>
Image DICOM	□ Yes □ No	DICOM tags essential for downstream review and diffusion analysis shall be maintained including, pixel intensity scaling [113], <i>b</i> -value, and DWI directionality vs. trace, and ADC scale and units. Trace DWI DICOM at each acquired <i>b</i> -value shall be archived in the local PACS.	<ul> <li>Routinely do already</li> <li>Feasible, will do</li> <li>Feasible, will not do</li> </ul>
Image Analysis (section 3.13)			
ROI Determination	□ Yes □ No	Shall segment the ROI on ADC maps consistently across time points using the same software / analysis package guided by a fixed set of image contrasts and avoiding artefacts	□ Feasible, will do □ Feasible, will not do

## 1363 F.5. RECONSTRUCTION SOFTWARE

1364

Image Data Reconstruction (Section 3.10)			
Trace DWI	□ Yes □ No	Trace DWI shall be auto-generated on the scanner and retained for all b>0. For equal b-value on 3 orthogonal directions, trace DWI is the geometric average of the 3- 	will do will not do
DICOM DWI	□ Yes □ No	Exported DWI DICOM shall provide acquired $b$ -values and directionality. $\Box$ Feasible, $\Box$ Feasible,	y do already will do will not do ble (explain why)
Spatial Registration	□ Yes □ No	Spatial misalignment between directional DWI and across b-values due to eddy currents or patient motion shall be corrected by image registration prior to generation of trace DWI and ADC maps.□ Routinely □ Feasible, □ Not feasi	will do will not do

#### 1367 F.6. IMAGE ANALYSIS TOOL CHECKLIST

1368

1369

**ACCEPTABLE:** Actors that shall meet this specification to conform to this profile.

**TARGET:** Meeting this specification is achievable with reasonable effort and adequate equipment and is expected to provide better results than meeting the ACCEPTABLE specification.

**IDEAL:** Meeting this specification may require extra effort or non-standard hardware or software, but is expected to provide better results than meeting the TARGET.

Parameter	Conforms (Y/N)	Requirement	Site Opinion	
Image Analysis (section 3.13)				
ROI geometry	□ Yes □ No	Acceptable: Screen-shot(s) documenting ROI placement on ADC maps shall be retained in the subject database for future reference Target: ROI as a binary pixel mask in image coordinates shall be retained in the subject database for future reference Ideal: ROI shall be saved as a DICOM	<ul> <li>Routinely do already</li> <li>Feasible, will do</li> <li>Feasible, will not do</li> <li>Not feasible (explain why)</li> </ul>	
		segment object		
Image Display	□ Yes □ No	Acceptable / Target: Software shall allow operator-defined ROI analysis of DWI/ADC aided by inspection of ancillary MR contrasts Ideal: Above plus multi view-port display where DWI/ADC and ancillary MR contrasts from the same scan date are displayed side-by-side and geometrically linked per DICOM (e.g., cursor; cross- hair; ROI; automatically replicated in all view-ports); images from different scan date(s) can be displayed side-by-side, though not necessarily geometrically linked; and ROIs/VOIs may include multiple noncontiguous areas on one slice and/or over multiple slices		
Analysis Procedure	□ Yes □ No	Analysis steps, derived metrics and analysis software package shall be held constant for all subjects and serial time points	□ Feasible, will do	
ADC statistics		Acceptable/Target: Shall allow display and retention of ROI statistics in patient	Routinely do already	

Parameter	Conforms (Y/N)	Requirement	Site Opinion
	□ Yes □ No	DICOM database (PACS). Statistics shall include: ADC mean, standard deviation, and ROI/VOI area/volume	
		Ideal: ADC pixel histogram, additional statistics for ADC maximum, minimum, explicit inclusion vs. exclusion of "NaNs" or zero-valued pixels shall be retained with the statistics	
ADC scaling	□ Yes □ No	ADC maps scale and units shall be recorded. The difference(s) in mean ADC within replicate ROIs defined on the scanner and analysis workstation(s) shall be less than the ROI standard deviation of the ADC.	□ Feasible, will do □ Feasible, will not do
ADC map storage	□ Yes □ No	Acceptable/Target: offline generated ADC maps shall be stored in ITK-compatible format (e.g., NIFTI or MHD) with meta- data traceable to original DWI DICOM (and geometry) Ideal: parametric map DICOM)	
Fit algorithm type	□ Yes □ No	The specific choice of the fit algorithm shall be recorded, held constant within a study and reported with any dissemination of study findings.	□ Feasible, will do
Fit algorithm bias	□ Yes □ No	For offline ADC map generation, the mean ADC shall agree with scanner-generated, or DRO ground truth, ADC values to within one ROI standard deviation.	□ Feasible, will do
<i>b</i> -value and direction	□ Yes □ No	Software shall extract <i>b</i> -values and diffusion axis direction from DICOM header	<ul> <li>Routinely do already</li> <li>Feasible, will do</li> <li>Feasible, will not do</li> <li>Not feasible (explain why)</li> </ul>