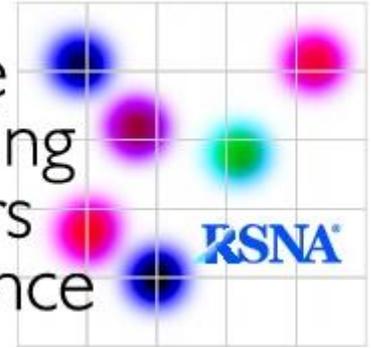


Quantitative  
Imaging  
Biomarkers  
Alliance



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# QIBA Profile. <sup>18</sup>F-labeled PET tracers targeting Amyloid as an Imaging Biomarker

TECHNICALLY CONFIRMED VERSION

01Jun2022

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89

90

91

92 **Change Log**

93

94 This table is a best-effort of the authors to summarize significant changes to the Profile.

95

Date	Sections Affected	Summary of Change
2022.04.09	All	Finalization for Technical Confirmation decision based upon feedback and decisions associated with Technical Conformance questionnaire responses. Checklists added per updated Profile template. Formatting to align with updates to QIBA Profile guidelines.

96

97

98

99 **Open Issues:**

100

101 The following issues are provided here to capture associated discussion, to focus the attention of  
 102 reviewers on topics needing feedback, and to track them so they are ultimately resolved.

103

Issues
None in this version.

104

105 **Closed Issues:**

106

107 The following issues have been considered closed by the biomarker committee. They are provided here  
 108 to forestall discussion of issues that have already been raised and resolved, and to provide a record of the  
 109 rationale behind the resolution.

110

Issues
<p><b>Modifications to address public comments</b></p> <p>Modifications have been incorporated to address public comment and issues that were outstanding, including the Claim(s).</p>
<p><b>Conformance Methodology</b></p> <p>The methodology to perform conformance testing of the image analysis workstation is included; this relies upon using a Digital Reference Object (DRO), which was funded as a NIBIB groundwork project. The description of the DRO and its use have been modified to address questions and findings in the testing of this procedure.</p>
<p><b>Conformance Testing</b></p> <p>Describes measurement procedures that actors need to perform to test that: 1) Their wCV is within the parameter stated in the Claim, 2) the wCV is constant over a prescribed range of SUVRs, and 3) linearity with a slope of one is a reasonable assumption.</p>
<p><b>Modifications to address technical conformance questionnaire feedback</b></p> <p>Modifications have been incorporated to address responses from the Technical Conformance questionnaire that indicated a lack of feasibility and/or alternate preferred ways to approach.</p>

111

112

# 113 1. Executive Summary

## 114 1.1 Overview

115 The goal of a QIBA Profile is to help achieve a useful level of performance for a given biomarker.

116 Profile development is an evolutionary, phased process; this Profile is in the Technical Conformance stage  
117 in preparation for being Technically Confirmed. The performance claims represent expert consensus and  
118 will be empirically demonstrated at a subsequent stage. Users of this Profile are encouraged to refer to  
119 the following site to understand the document's context:

120 [http://qibawiki.rsna.org/index.php/QIBA\\_Profile\\_Stages](http://qibawiki.rsna.org/index.php/QIBA_Profile_Stages).

121 The **Claim** (Section 2) describes the biomarker performance.

122 The **Activities** (Section 3) contribute to generating the biomarker. Requirements are placed on the **Actors**  
123 that participate in those activities as necessary to achieve the Claim.

124 The **Conformance** section provides **Assessment Procedures** (Section 4) for evaluating specific  
125 requirements are defined as needed.

126 **References** are provided in section 5.

127 **Appendices** (Section 6) are provided that include additional information for performing Activities as well  
128 as Checklists that can be completed to evaluate Profile conformance.

129

130 In general, QIBA Profiles provide DESCRIPTIVE text sections as background and recommended  
131 considerations, and **SPECIFICATIONS** (tables) that include prescriptive (required to meet claim) items in  
132 clear boxes and potential or future items in gray boxes.

133 This QIBA Profile “**18F-labeled PET tracers targeting Amyloid as an Imaging Biomarker**” documents  
134 specifications and requirements to provide comparability and consistency for the use of PET imaging using  
135 18F labeled tracers that bind to fibrillar amyloid in the brain. Quantitative measurement of amyloid, a  
136 hallmark pathology of Alzheimer’s disease, has become increasingly used in clinical trials for patient  
137 inclusion, evaluation of disease progression, and assessment of treatment effects. The current version of  
138 the Profile focuses on a longitudinal Claim, where the primary purpose is to assess change in amyloid load  
139 due to disease or following an intervention. In this case, precision is most important as long as bias remains  
140 constant over time. Characterization of measurement bias will be important for a cross-sectional Claim  
141 wherein the amyloid tracer is used primarily to select amyloid positive subjects.

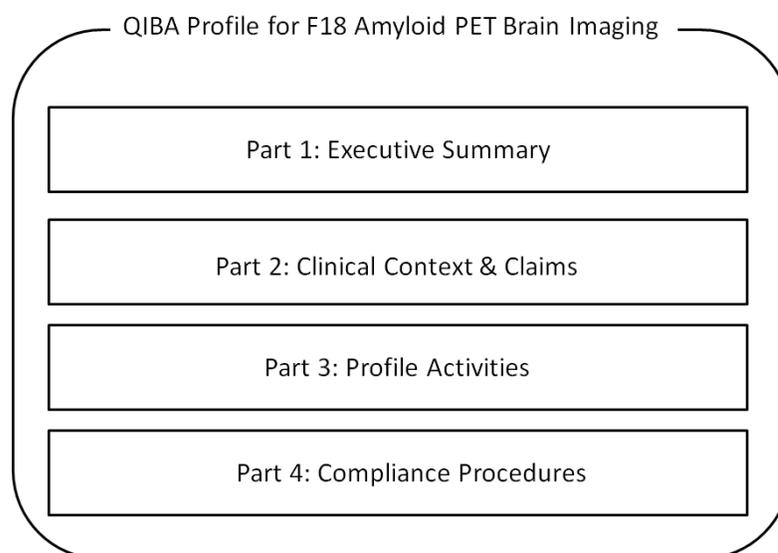
142 This Profile focuses on the use of Standardized Uptake Value Ratios (SUVRs) to measure amyloid burden,  
143 while also describing benefits associated with the Distribution Volume Ratio (DVR) (kinetic modeling)  
144 approach. The SUVR is determined using data acquired during a time window following a certain time  
145 period after tracer injection that is intended to allow the tracer to reach “pseudo” equilibrium. This  
146 approach has practical advantages, particularly for multi-site studies, due to the reduced time required  
147 for the patient to be in the scanner (and for older scanners, the lesser amount of data acquired for a single  
148 scan).

149 The document primarily addresses PET/CT imaging; however, a dedicated PET that has transmission  
150 capabilities can also be used. PET/MR scanners are not strictly excluded in this version as long as the  
151 repeatability of the SUVRs from these scanners is conformant with the assumptions underlying the claims.

152 The Profile is intended to help clinicians basing decisions on this biomarker, imaging staff generating this  
153 biomarker, vendor staff developing related products, purchasers of such products and investigators  
154 designing trials with imaging endpoints. The guidance in this Profile can be applied for clinical trial use as  
155 well as individual patient management.

156 Note that specifications stated as 'requirements' in this document are only requirements to achieve the  
157 claim, not 'requirements for standard of care.' Specifically, meeting the goals of this Profile is secondary  
158 to properly caring for the patient.

159 This Profile, developed through the efforts of the amyloid Profile writing group in the QIBA Nuclear  
160 Medicine Technical Subcommittee, shares some content with the QIBA FDG-PET Profile, and includes  
161 additional material focused on the devices and processes used to acquire and analyze amyloid tracer PET  
162 data. QIBA Profiles addressing other imaging biomarkers using CT, MRI, PET and Ultrasound can be found  
163 at qibawiki.rsna.org. This Profile is organized as follows:



164 Figure 1: Illustration of the Profile components

165 The Profile Part 3 is derived from multiple sources, including material contained in the work performed  
166 by the Alzheimer's Disease Neuroimaging Initiative (ADNI).

167

## 168 1.2 Summary of Use in Clinical Trials

169 This QIBA Amyloid-PET Profile defines the technical and behavioral performance levels and quality control  
170 specifications for brain amyloid tracer PET scans used in single- and multi-center clinical trials of neurologic  
171 disease, particularly Alzheimer's disease. Examples of clinical application are detailed below in the Claims  
172 section 2.3.

173 The aim of the QIBA Profile specifications is to minimize intra- and inter-subject, intra- and inter-platform,  
174 and inter-institutional variability of quantitative scan data due to factors other than the intervention under  
175 investigation. PET studies using an amyloid tracer, performed according to the technical specifications of  
176 this QIBA Profile provides qualitative and/or quantitative data for multi-time point comparative  
177 assessments (e.g., response assessment, investigation of predictive and/or prognostic biomarkers of

178 treatment efficacy). While the Profile details also apply to studies assessing subjects at a single time point,  
179 a cross-sectional Claim is not currently included in this Profile.

180 A motivation for the development of this Profile is that while a typical PET scanner measurement system  
181 (including all supporting devices) may be stable over days or weeks; this stability cannot be expected over  
182 the time that it takes to complete a clinical trial. In addition, there are well known differences between  
183 scanners and/or the operation of the same type of scanner at different imaging sites. Particularly for  
184 longitudinal studies, precise quality control of the scanner both daily and periodically for stability is of  
185 paramount relevance. In addition, a process of harmonization is also of high relevance to make results  
186 comparable between centers.

### 187 **1.3 Intended Audiences**

188 The intended audiences of this document include:

- 189 • Technical staff of software and device manufacturers who create products for this purpose.
  - 190 • Biopharmaceutical companies, neurologists, and clinical trial scientists designing trials with imaging  
191 endpoints.
  - 192 • Clinical research professionals.
  - 193 • Radiologists, nuclear medicine physicians, technologists, physicists and administrators at healthcare  
194 institutions considering specifications for procuring new equipment for PET imaging.
  - 195 • Radiologists, nuclear medicine physicians, technologists, and physicists designing PET/CT (and  
196 PET/MR) acquisition protocols.
  - 197 • Radiologists, nuclear medicine physicians, and other physicians or physicists making quantitative  
198 measurements from PET images.
  - 199 • Regulators, nuclear medicine physicians, neurologists, and others making decisions based on  
200 quantitative image measurements.
- 201  
202

203

## 204 2. Clinical Context and Claims

205 Accumulation of amyloid-B (AB) fibrils in the form of amyloid plaques in the brain is a requirement for the  
206 pathologic diagnosis of dementia due to Alzheimer’s disease (AD). Among the various biomarkers in  
207 development to assess AB, 18F PET amyloid radiotracers (see Table in Section 3.3.3.1.3 for currently  
208 approved tracers) offer the potential of directly detecting and quantifying amyloid burden. Amyloid  
209 quantitation is being used to determine whether levels exceed a threshold for positivity (a cross sectional  
210 application) for patient inclusion in clinical trials and to measure changes in amyloid burden over time (a  
211 longitudinal application) to assess disease progression or modification by therapeutic intervention. The  
212 important role of longitudinal quantitation of amyloid has been highlighted with the recent FDA approval  
213 of anti-amyloid immunotherapies such as Aduhelm (aducanumab), and other immunotherapies in the  
214 regulatory approval pipeline.

215 This QIBA Profile addresses the requirements for measurement of 18F- amyloid tracer uptake with PET as  
216 an imaging biomarker for assessing the within subject change in brain amyloid burden over time  
217 (longitudinal Claim) to inform the assessment of disease status or to evaluate therapeutic drug response.  
218 A potential future clinical use is also in the individualization of therapeutic regimen based on the extent  
219 and degree of response as quantified by amyloid-PET. Quantitative assessment of amyloid burden at a  
220 single time point (cross sectional or bias Claim) is not part of the current Profile but may be included in a  
221 future version as bias reference data becomes available.

222

### 223 2.1 Claim

224 If Profile criteria are met, then:

225

226 **Claim 1: Brain amyloid burden as reflected by the SUVR is measurable from 18F amyloid tracer PET with**  
227 **a within-subject coefficient of variation (wCV) of  $\leq 1.94\%$ .**

228

229 This technical performance claim is to be interpreted in the context of the considerations stated below.

230

### 231 2.2 Considerations for claim

232 The following important considerations are noted:

233 1. The technical performance claim was derived from a review of the literature summarized in  
234 Appendix B, where 18F amyloid PET tracers were used and data acquisition and processing procedures  
235 were considered to be adequately aligned with the recommendations in this profile. The constraint of a  
236 sixty day period (or less) for test-retest was applied in order to avoid the possible contribution of actual  
237 changes in amyloid burden. The wCV cited is the highest (“worst case”) of these short-term test-retest  
238 studies, where wCV values ranged from 1.15% in healthy controls using a cerebellar cortex reference  
239 region to 1.94% in AD patients using a whole cerebellum reference region. A limitation is that only two  
240 relatively small studies covering three study groups (2 AD, 1 healthy control) satisfied the short-term test-

241 retest criteria and were aligned with profile recommendations. Given this limitation, and in order to assess  
242 the applicability of the short term wCV reference for typical clinical trial durations, the wCV values derived  
243 from two studies of amyloid negative normal controls from the larger ADNI data set over a 2-year period,  
244 using a variety of reference regions, were examined. The wCV values in these longer-term studies ranged  
245 from 1.25% (white matter reference region) to 1.6% (whole cerebellum reference region) in four of five  
246 cases, within the range stated by the claim. For the same set of images, the wCV in one group's analysis  
247 was 3.38% for one reference region vs. 1.37% for another. The important consideration of analysis  
248 methods is discussed in consideration number 2. The reference literature is discussed further in Appendix  
249 B.

250 2. Conformance to the Claim depends upon many factors, including minimized subject motion,  
251 alignment of Em/Tx scans, and stability in detection sensitivity from scan to scan in reference region slices  
252 compared to target region slices. In particular, choice of reference region, and the boundary definition of  
253 the reference region selected can greatly impact wCV due to the sensitivity of different regions to  
254 technical factors. A more extensive discussion of the considerations in selecting reference region is found  
255 in section 3.6.3.2.2.

256 3. This Claim is applicable for single or multi-center studies assuming that the same 18F-amyloid PET  
257 tracer, scanner, scanner software version, image acquisition parameters, image reconstruction method  
258 and parameters, and image processing methods including target and reference region definition and  
259 boundaries are used for each subject at each time point as described in the Profile.

260 4. It is presumed that a) the wCV is constant over the range of SUVR values and b) any bias in the  
261 measurements is constant over the range of SUVR values (linearity). (The assumption of linearity and its  
262 demonstration are discussed further in section 4.4 and Appendix F.)

263 5. The SUVR has been selected due to its logistical feasibility in multi-site trials, and its use to date in  
264 large reference studies such as ADNI. However, from the fundamental kinetic properties of radiotracers it  
265 can be understood that changes in SUVR may not represent only a change in specific signal (amyloid) but  
266 could, at least in part, be the result of changes or variability in perfusion (van Berckel et al, J Nucl Med.  
267 2013) and/or tissue clearance (Carson RE et al, 1993). When random, this variability contributes to and is  
268 embedded in the wCV stated in the Claim. However, changes in perfusion and/or clearance can be  
269 systematic due to the action of certain pharmacological agents or due to disease progression, creating  
270 artificial change in amyloid SUVR. A published study using ADNI data suggests that the impact of regional  
271 cerebral blood flow changes on longitudinal change in SUVR can be on the order of 2% to 5% in late  
272 MCI/AD patients (Cselényi). This can be significant in studies of amyloid accumulation, prevention, or  
273 modest amyloid removal.

274 Whether or not a change in SUVR is affected by changes in perfusion and/or clearance ideally should be  
275 first demonstrated in a small (e.g., 20 subjects) cohort before SUVR is used in the larger clinical trial. These  
276 contributions can be quantified by applying kinetic modeling to a full image acquisition from time of tracer  
277 injection through late timeframes. These validation studies can help to assess the minimally required  
278 decrease in SUVR that is needed to rule out false positive findings because of disease and/or drug related  
279 perfusion effects. Alternate approaches to assessing blood flow changes have also been proposed (e.g.,  
280 arterial spin labeling MRI) though suitability remains to be validated. As a separate consideration, in the  
281 case of a new PET tracer, studies that include blood sampling should be conducted to confirm that the  
282 SUVR approach and use of a reference region are a suitable approach to measure tracer binding. For

283 further details regarding considerations in kinetic modeling and a comparison to SUVR please see  
284 Appendix I.

## 285 **2.3 Clinical Trial Utilization**

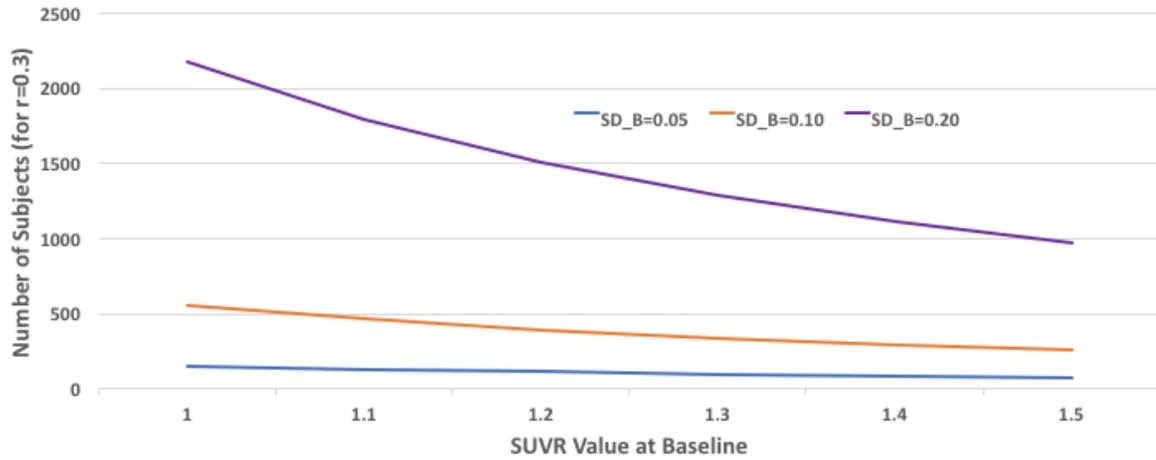
286 Although the Claim is based on reference literature for a short duration, as suggested by the 2-year  
287 comparison studies, the wCV should apply longer term pending the stated considerations.

288 The wCV stated in the technical performance Claim can be used to derive confidence intervals for  
289 individual subject changes in amyloid burden. However, because amyloid accumulation rates reported in  
290 the literature average from 1 percent to a few percent per year, SUVR confidence intervals derived from  
291 the wCV may not be relevant to the assessment of individual change over the duration of a typical clinical  
292 trial. In this case, the wCV value can be used to guide the number of subjects to include in clinical trials  
293 targeting measurement of longitudinal change in amyloid SUVR. A few examples of practical uses of the  
294 Claim are described below, and further guidance is found in the "[Statistical Planning for a Clinical Trial  
295 Guidance document](#)" posted on the QIBA website, in development as a full manuscript.

296 1. **Powering a clinical trial to measure rate of amyloid accumulation.** As an example, suppose you  
297 want to estimate the mean amount of amyloid accumulation in a two-year period for a cohort of  
298 patients. You want to estimate the mean amount of accumulation to within  $\pm 1\%$  (i.e., precision of  
299 95% CI). We considered mean SUVR values at baseline from 1.0-1.5, between-subject standard  
300 deviation (SD\_B) ranging from 0.05 to 0.30, and correlation between the paired measurements  
301 from a subject of  $r=0.3$  (first figure panel), 0.5 (second panel), and 0.9 (third panel). The figure  
302 shows the number of subjects needed if the likely rate of amyloid accumulation is 1.5% per year.

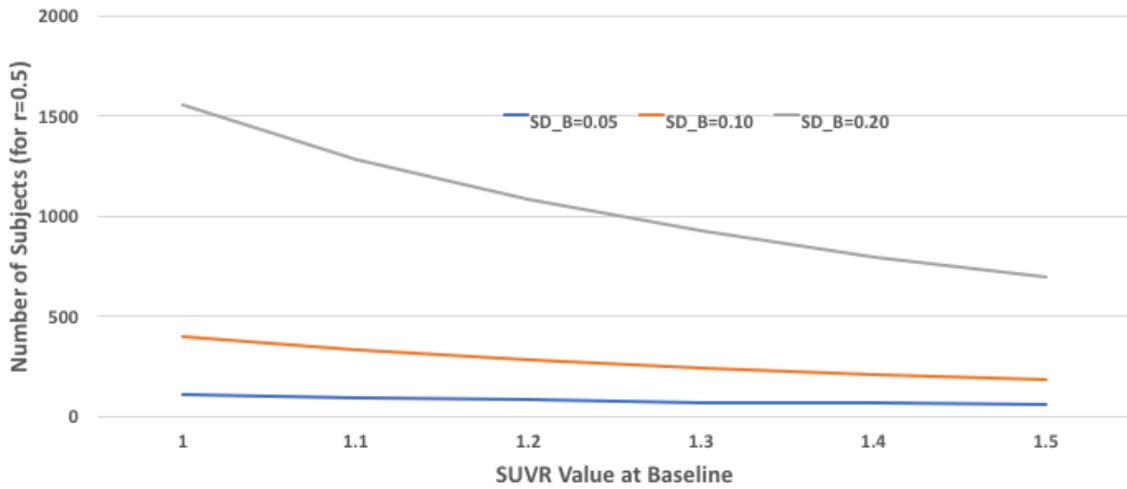
303 Note that the number of subjects required is greatly reduced as the correlation coefficient  
304 increases between visits. For context, an internal (unpublished) analysis of florbetapir data  
305 available through ADNI at baseline and 2 years suggests that the correlation between scans is  
306 higher for certain reference regions than others. For example, using the composite of cerebellum  
307 and white matter or only white matter as reference, R was 0.95 or 0.96 respectively for amyloid  
308 positive subjects (N=207) and 0.94 for subjects close to the positivity threshold (N=51). However,  
309 using cerebellar cortex or whole cerebellum as the reference, R values were 0.79 and 0.83  
310 respectively for amyloid positive subjects and 0.33 and 0.48 respectively for subjects close to  
311 positivity threshold.

312



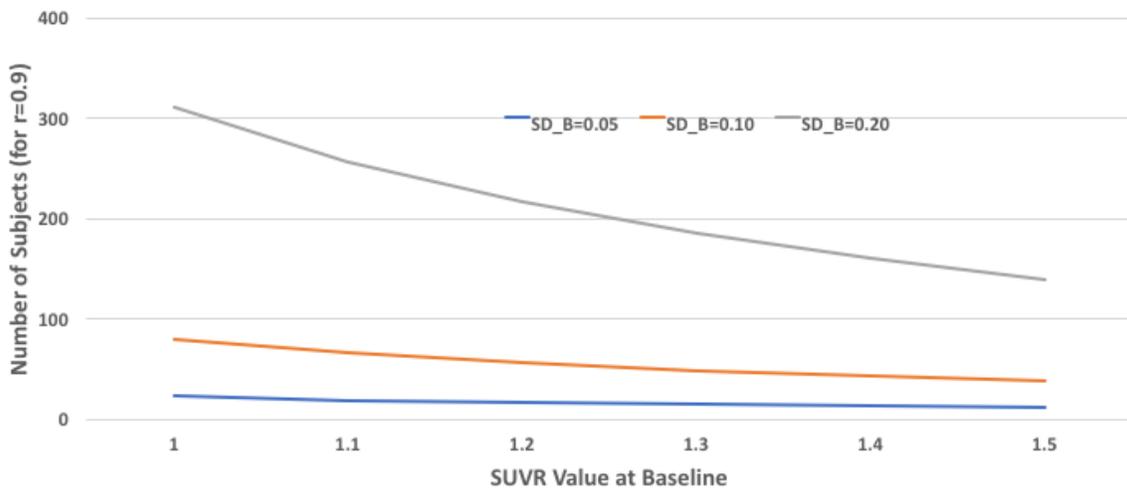
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314

**Figure 2a.** Example of powering a clinical trial to measure rate of amyloid accumulation,  $r=0.3$ .



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316  
317

**Figure 2b.** Example of powering a clinical trial to measure rate of amyloid accumulation,  $r=0.5$ .

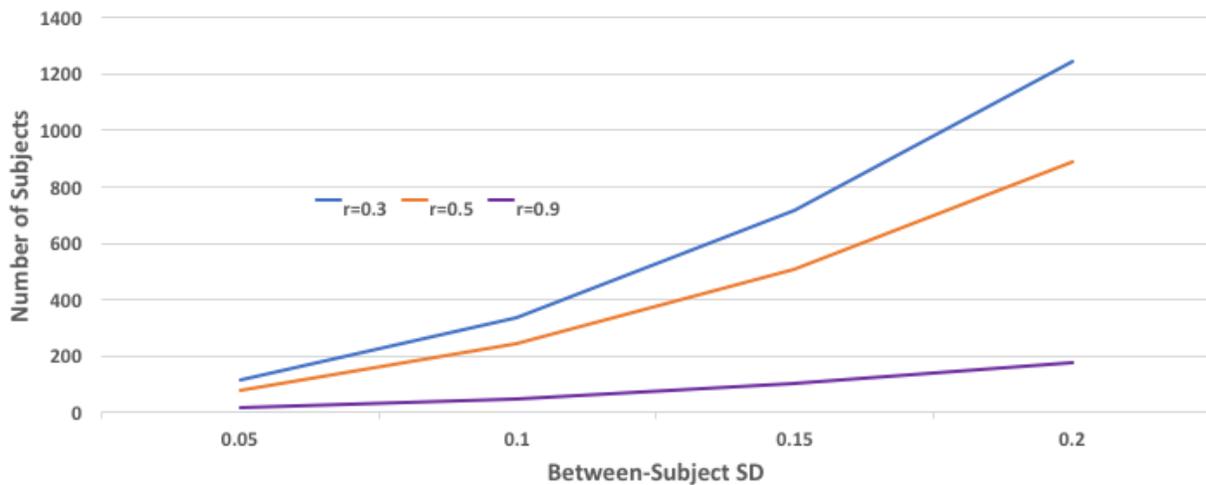


318  
319

**Figure 2c.** Example of powering a clinical trial to measure rate of amyloid accumulation,  $r=0.9$ .

320

321 2. **Powering a clinical trial to measure a reduction in the rate of amyloid accumulation (e.g., due to**  
 322 **treatment intervention).** Consider a clinical trial comparing the accumulation in amyloid SUVR  
 323 over time between two groups of subjects: those undergoing a new treatment vs. a control group.  
 324 Alzheimer's patients will be recruited and randomized to either the experimental intervention or  
 325 the control group. SUVR will be measured in all subjects at baseline and two years later. The null  
 326 hypothesis is that there is no difference in subjects' mean amyloid accumulation between the two  
 327 groups; the alternative hypothesis is that there is a difference (two-tailed hypothesis). If the likely  
 328 rate of amyloid accumulation is 1.5% per year, the mean SUVR at baseline is 1.5, the between-  
 329 subject standard deviation is between 0.05 and 0.2, and the correlation between the paired  
 330 measurements from a subject is between 0.3 and 0.9, then the following figure illustrates the  
 331 number of subjects needed per arm to detect a 50% reduction in the rate of accumulation over a  
 332 2-year period with 80% power.

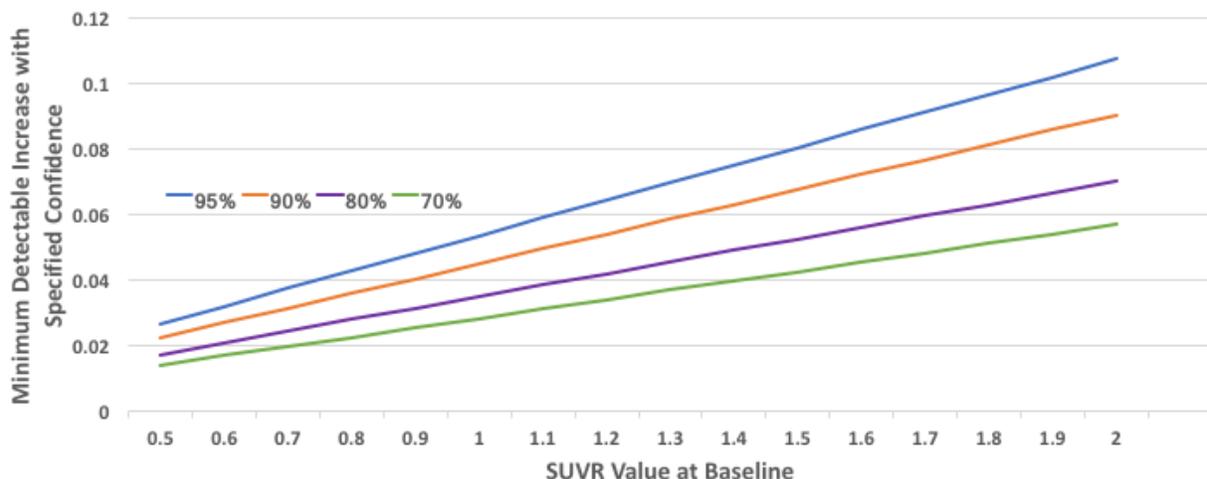


333

334 **Figure 3.** Example of powering a clinical trial to measure a reduction in the rate of amyloid  
 335 accumulation

336

337 3. **Minimum detectable Increase for individual subject.** The smallest increase in SUVR that can be  
 338 considered a real increase in amyloid accumulation for an individual subject (not just measurement  
 339 error), with a certain confidence level, can be calculated as:  $Y1 \times (0.0194) \times \sqrt{2} \times (z - value)$ . The figure  
 340 shows the minimum detectable increase for 70%, 80%, 90%, and 95% confidence for baseline SUVR  
 341 values from 0.5-2.0.



342 **Figure 4.** Example of minimum detectable increase for individual subject.  
 343  
 344

345 **4. Confidence interval for an individual’s true change.** For an individual’s SUVR measurements of Y1 at  
 346 baseline and Y2 at follow-up, the 95% confidence interval for the true change associated with the wCV  
 347 of Claim 1 is given by the equation:  $(Y2-Y1) \pm 1.96 \times \sqrt{[Y1 \times 0.0194]^2 + [Y2 \times 0.0194]^2}$ .

348  
 349 **3. Profile Activities**  
 350

351 **3.1 Amyloid PET actors and activities**

352 The Profile is documented in terms of “Actors” performing “Activities”. Equipment, software, staff or sites  
 353 may claim conformance to this Profile as one or more of the “Actors” in the following table.

354 Conformant Actors shall support the listed Activities by conforming to all requirements in the referenced  
 355 Section.

356 **Table: Actors and Required Activities**

Actor	Activity	Section
PET Tracer	Subject handling	3.3
Acquisition Device (Scanner, ancillary equipment)	Equipment qualification	3.8, 4.2
	Periodic QC	3.8, 4.2
PET Technologist	Subject handling	3.3
	Image data acquisition	3.2
	Image data reconstruction	3.3
Radiologist or Nuclear Medicine Physician	Image analysis	3.6
	Image interpretation	3.7
	Staff qualification (Quality Control)	3.8

Actor	Activity	Section
Image analyst or other qualified person	Image analysis	3.6
	Image interpretation	3.7
Medical physicist	Equipment qualification	3.8, 4.2
	Periodic QC	3.8, 4.2
Reconstruction Software	Image data reconstruction	3.5
Image Analysis Tool	Image analysis	3.6
Site (Imaging Facility Coordinator)	Site conformance	3.8

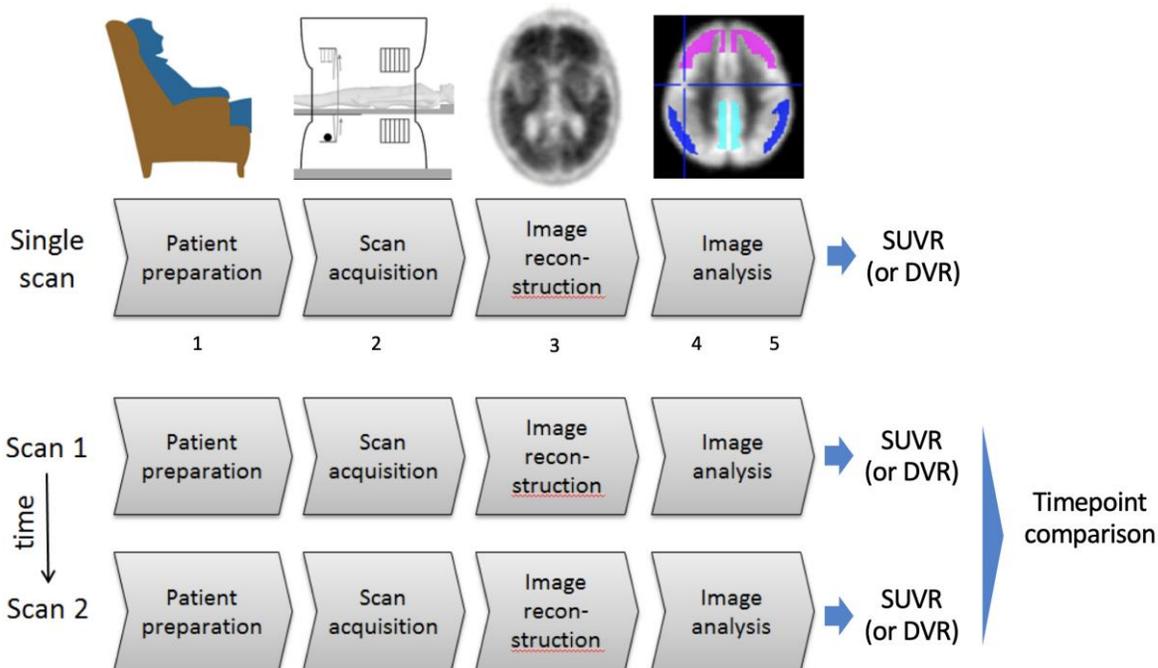
357

358 The requirements in this Profile do not codify a Standard of Care; they only provide guidance intended to  
 359 achieve the stated Claim. Failing to conform to a “shall” in this Profile is a protocol deviation. Although  
 360 deviations invalidate the Profile Claim, such deviations may be reasonable and unavoidable, and the  
 361 radiologist or supervising physician is expected to do so when required by the best interest of the patient  
 362 or research subject. How study sponsors and others decide to handle deviations for their own purposes  
 363 is entirely up to them.

364

### 365 3.2 Amyloid PET activity process flow

366 The sequencing of the Activities specified in this Profile are shown in Figure 5 below.



367

368 **Figure 5:** The method for computing and interpreting brain amyloid burden using PET may be viewed as a  
 369 series of steps using either one scan (corresponding to a fit for use of a future ‘Cross-sectional’ Claim) or  
 370 two or more scan sequences or time points (addressed by the current Profile’s ‘Longitudinal’ Claim). SUVR  
 371 = Standardized Uptake Value Ratio; DVR = Distribution Volume Ratio.

372 The imaging steps corresponding to Figure 5 are:

- 373 1) Patients or subjects are prepared for scanning. The amyloid tracer is administered. Patient waits  
374 for bio-distribution and uptake of amyloid tracer.
- 375 2) Emission and transmission data are acquired (typically the PET scan and CT scan if a PET-CT  
376 scanner).
- 377 3) Data correction terms are estimated, and the attenuation and scatter corrected images are  
378 reconstructed.
- 379 4) Images are assessed for quality control and may separately be reviewed visually for qualitative  
380 interpretation (outside of the scope of this Profile).
- 381 5) Quantitative (and/or semi-quantitative) measurements are performed.

382 Prior to the patient preparation steps, patients may be selected or referred for amyloid-PET imaging  
383 though a variety of mechanisms. Performance of the activities in Figure 5 results in a numeric value  
384 representing amyloid burden. This value is then interpreted per the thresholds and/or other criteria  
385 determined per the study (this differs from visual interpretation of the scan). The primary focus of this  
386 Profile is the Standardized Uptake Value Ratio (SUVR), the ratio of tissue concentration for a designated  
387 brain region(s) compared to the activity from a reference region. Appendix I provides information  
388 regarding use of kinetic modeling to obtain a Distribution Value Ratio (DVR) measure rather than SUVR.  
389 The Profile also provides information regarding the conversion of SUVR units to the Centiloid measure  
390 (Klunk et al, 2015, section 3.4.3.4) which has been developed to reconcile values across amyloid PET  
391 tracers and measurement methods.

392 Note that a visual read of the images and the quantitative measurement and analysis (the topic of this  
393 Profile) may occur in either order or at the same time, depending upon the context of the review (clinical  
394 research versus clinical practice) with reference to the specifications described in each tracer's package  
395 insert. Currently, the quantitative use of amyloid-PET tracers is not approved by any regulatory authorities  
396 in clinical practice in the U.S. However, quantitation is available as part of various scanner and workstation  
397 software packages and is used extensively in clinical trials.

398 Images may be obtained at a single time point or multiple time points over months or years, for example  
399 at a minimum of two time points before and after therapeutic intervention for a response assessment.

400 Image data acquisition, reconstruction and post-processing are considered to address the collection and  
401 structuring of new data from the subject. Image analysis is primarily considered to be a computational  
402 step that transforms the data into information, extracting important values. Interpretation is primarily  
403 considered to be judgment that transforms the information into knowledge.

404

405

## 406 **3.3 Subject Handling**

407 This Profile will refer primarily to 'subjects', keeping in mind that the recommendations apply to patients  
408 in general and that 'subjects' are often patients, too.

### 409 ***3.3.1 Subject Selection and Timing***

410 The utility of correlative anatomic brain imaging, CT or MRI, can be viewed in two different contexts. From  
411 a clinical perspective, the anatomic imaging study is used to assess for evidence of bleed, infection,  
412 infarction, or other focal lesions (e.g., in the evaluation of subjects with dementia, the identification of  
413 multiple lacunar infarcts or lacunar infarcts in a critical memory structure may be important). From the  
414 perspective of establishing performance requirements for quantitative amyloid PET imaging, the purpose  
415 of anatomic imaging (separate from the utility of providing an attenuation correction map) is to provide  
416 assessment of cortical atrophy and consequently a falsely decreased SUVR. The image analyst should also  
417 be aware of the possibility of falsely increased SUVR due to blood-brain barrier (BBB) breakdown, such as  
418 in the case of intracranial bleed. The effect of differential BBB integrity inter-time point is currently not  
419 quantified in the scientific literature. While the performance of anatomic imaging is not a performance  
420 requirement of the Profile, the value of performing such imaging and the incorporation of its analysis with  
421 the amyloid PET findings may provide additional value in the interpretation for an individual subject. This  
422 should be considered in the design and implementation of the study protocol.

423 Aside from the exclusion (absolute or relative contraindications) of subjects who are unable to remain still  
424 enough to obtain adequate imaging (See Section 3.3.2 for information on subject sedation), subject  
425 selection for amyloid PET imaging is an issue beyond the scope of this Profile. Guidance for the use of  
426 amyloid to support diagnosis of symptomatic patients has been published in "Appropriate Use Criteria for  
427 Amyloid PET: A Report of the Amyloid Imaging Task Force". Asymptomatic or other clinical trials are guided  
428 by study objectives. See tracer manufacturer guidance for additional information regarding patient  
429 exclusions.

#### 430 **3.3.1.1 Timing of Imaging Test Relative to Intervention Activity**

431 The study protocol should specifically define an acceptable time interval that should separate the  
432 performance of the amyloid tracer PET scan from both (1) the index intervention (e.g., treatment with an  
433 amyloid reducing therapeutic agent) and (2) other interventions (e.g., prior treatment). This initial scan  
434 (or time point) is referred to as the "baseline" scan (or time point). The time interval between the baseline  
435 scan and the initiation of treatment should be specified as well as the time intervals between subsequent  
436 amyloid PET studies and cycles of treatment. Additionally, the study protocol should specifically define an  
437 acceptable timing variance for acquisition of the amyloid PET scan around each time point at which  
438 imaging is specified (i.e., the acceptable window of time during which the imaging may be obtained "on  
439 schedule").

#### 440 **3.3.1.2 Timing Relative to Confounding Activities**

441 There are no identified activities, tests or interventions that might increase the chance for false positive  
442 and/or false negative amyloid tracer PET studies which need to be avoided prior to scanning.

#### 443 **3.3.1.3 Timing Relative to Ancillary Testing**

444 Various neuropsychiatric tests may be performed on or around the day of amyloid tracer imaging and  
445 should be coordinated at the time of scheduling.

### 446 **3.3.2 Subject Preparation**

447 Management of the subject can be considered in terms of three distinct time intervals (1) prior to the  
448 imaging session (prior to arrival and upon arrival), (2) during the imaging session and (3) post imaging  
449 session completion. The pre-imaging session issues are contained in this section while the intra-imaging  
450 issues are contained in section 3.2.1 on image data acquisition.

#### 451 **3.3.2.1 Prior to Arrival**

452 There are no dietary or hydration requirements or exclusions.

453 The conformance issues around these parameters are dependent upon adequate communication and  
454 oversight of the Scheduler or Technologist at the Image Acquisition Facility with the subject.  
455 Communication with the subject and confirmation of conformance should be documented.

#### 456 **3.3.2.2 Upon Arrival**

457 Upon arrival, confirmation of subject compliance with pre-procedure instructions should be documented  
458 on the appropriate case report forms.

#### 459 **3.3.2.3 Preparation for Exam**

460 Subject preparation after arrival and prior to imaging should be standardized among all sites and subjects  
461 throughout the conduct of the clinical trial.

- 462 • Measurement and documentation of the subject's weight (and height), though encouraged, is not  
463 a requirement of this Profile since the measurand, SUVR, is by definition a ratio of SUVs.
- 464 • The waiting and preparation rooms should be relaxing and warm ( $> 75^{\circ} \text{F}$  or  $23.9^{\circ} \text{C}$ ) during the  
465 entire uptake period (and for as long as reasonably practicable prior to injection, at least 15  
466 minutes is suggested as acceptable). Blankets should be provided if necessary. (This is for comfort  
467 purposes and does not directly impact tracer uptake.)
- 468 • The subject should remain recumbent or may be comfortably seated. (This is for comfort purposes  
469 and does not directly impact tracer uptake.)
- 470 • After amyloid tracer injection, (and if not a full dynamic scan or early frame scan whereby  
471 acquisition begins immediately after injection, and if verified with tracer manufacturer's  
472 recommendations) the subject may use the toilet. The subject should void immediately (within 5  
473 – 10 minutes) prior to the PET image acquisition phase of the examination.
- 474 • Sedation is not routinely required. It is not certain whether sedation will interfere with amyloid  
475 tracer uptake; some preclinical testing indicates a possible interaction, but not all tracers have  
476 been tested for possible interaction effects. The decision regarding whether or not to use sedation  
477 is beyond the scope of this Profile and requires clinical evaluation of the particular subject for  
478 contraindications, as well as knowledge of whether the particular tracer is subject to interaction  
479 with the sedating agent. Since these interactions have not been fully defined, subject preparation  
480 (with or without sedation) should be consistent across time points for a given subject.
- 481 • The amount of fluid intake and use of all medications for the scan session (e.g., diuretic, sedative)  
482 must be documented on the appropriate case report form.
- 483 • The subject should remove any bulky items from their pockets such as billfolds, keys, etc. In  
484 addition, they should remove eyeglasses, earrings and hair clips/combs (and anything that could

485 cause discomfort while the head is resting in the head holder) if present. They should also remove  
486 hearing aids if possible although it is important that they can follow instruction (and hear them if  
487 necessary) to remain still while in the scanner.  
488

### 489 ***3.3.3 Imaging-related Substance Preparation and Administration***

#### 490 **3.3.3.1 Radiotracer Preparation and Administration**

##### 491 **3.3.3.1.1 Radiotracer Description and Purpose**

492 The specific amyloid radiotracer being administered should be of high quality and purity. For example,  
493 the amyloid seeking radiopharmaceutical must be produced under Current Good Manufacturing Practice  
494 as specified by the FDA, EU, European Pharmacopeia or another appropriate national regulatory agency.  
495 U.S. regulations such as 21CFR212 or USP<823> Radiopharmaceuticals for Positron Emission Tomography  
496 must be followed in the U.S. or for trials submitted to US Regulatory.

##### 497 **3.3.3.1.2 Radiotracers within scope of this Profile**

498 This Profile currently addresses radiotracers that have been approved by the FDA as listed in the Tracer  
499 Reference table in section 3.3.3.1.3. While beyond the scope of this document, for any new amyloid tracer  
500 it cannot be assumed that SUVR reflects amyloid load without validation, i.e., first full kinetic analysis  
501 needs to be performed to check that SUVR has a linear relationship with  $BP_{ND}$ .

502 The amyloid radiotracer [11C]Pittsburgh Compound B (PiB) is still used routinely by several research sites.  
503 PiB production is performed using local cyclotrons and it has a much shorter half-life than the [18F]  
504 radiotracers, and requirements for control of tracer quality and timeframe use are outside of this Profile  
505 scope. However, the recommendations of this profile for image data acquisition, image data processing,  
506 and equipment quality control would also be applicable to PiB.

##### 507 **3.3.3.1.3 Radiotracer Activity Calculation and/or Schedule**

508 The amyloid binding radiotracer activity administered will depend upon the specific tracer utilized (See  
509 Table below, which includes tracers approved by the FDA to date). Typically, the dose ranges between  
510 about 185 – 370MBq (5 – 10 mCi); for regulatory approved tracers, this should be according to the package  
511 insert. All tracers approved at the time of this Profile have a maximum of 10 ml. The administered activity  
512 typically depends upon the local imaging protocol. The local protocol may require fixed activity, or the  
513 activity may vary as a function of various parameters including but not limited to subject size or age or  
514 scanning mode. It is possible that a high body mass could be a variable that would affect performance,  
515 for example by reducing the counts available for the injected dose. While an approach might be to  
516 lengthen the scanning time, guidelines may not be specified in labeling and systematic studies are not  
517 available. Therefore, no requirement is included in this protocol to address patient weight that exceeds a  
518 given range.

519 The exact activity and the time at which activity is calibrated should be recorded. Residual activity  
520 remaining in the tubing, syringe or automated administration system or any activity spilled during  
521 injection should be recorded. The objective is to record the net amount of radiotracer injected into the  
522 subject to provide accurate factors for the calculation of the net SUV.

523 **Tracer reference table**

Parameter	Florbetapir (Amyvid) [1]	Flutemetamol (Vizamyl) [2]	Florbetaben (Neuraceq) [3]
Tracer Admin Activity	370 MBq Max 50 mcg mass dose	185MBq Max 20 mcg mass dose	300 MBq Max 30 mcg mass dose

524

525 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Administered amyloid radio-tracer Activity	Imaging Technologist, Physician, Nurse, or other qualified Health Professional	<p>The qualified Health Professional shall:</p> <ol style="list-style-type: none"> <li>1. Assay the pre-injection radiotracer activity (i.e., radioactivity) and record time of assay</li> <li>2. Inject the quantity of radiotracer as prescribed in the protocol and record the time that radiotracer was injected into the subject</li> <li>3. Assay the residual activity in the syringe (and readily available tubing and components) after injection and record the time of measurement</li> </ol> <p>These values shall be entered into the scanner during the PET/CT acquisition.</p> <p>For scanners that do not provide for entry of residual activity information, the net injected radioactivity should be manually calculated by decay correcting all measurements to the time of injection and then subtracting the residual radioactivity from the pre-injection radioactivity. The net injected radioactivity is then entered into the scanner during the PET acquisition.</p> <p>All data described herein on activity administration shall be documented.</p>
		All data should be entered into the common data format mechanism (Appendix E).

526

527 **3.3.3.1.4 Radiotracer Administration Route**

528 Amyloid seeking radiotracer should be administered intravenously through an indwelling catheter (24  
529 gauge or larger) into a large vein (e.g., antecubital vein). This is usually administered as a manual injection;  
530 a power injector may be used especially for studies in which SUVR measures of amyloid load are compared  
531 with dynamic measures (BP<sub>ND</sub>). Intravenous ports should not be used, unless no other venous access is  
532 available. If a port is used, an additional flush volume should be used. As reproducible and correct  
533 administration of radiotracer is required for quantification purposes, extravasation or paravenous  
534 administration should be avoided. It should be ensured, for both automated and manual injection, that

535 the radiotracer is not being diluted with saline before or during the injection process. Flushing with saline  
 536 should only occur after the injection and is recommended when using injection lines.

537 If an infiltration or extraneous leakage is suspected, the event should be recorded. The anatomical location  
 538 of the injection site should be documented on the appropriate case report form or in the Common Data  
 539 Format Mechanism (Appendix E).

540 Please note that CT contrast agents are not recommended nor supported in the profile.

541 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Amyloid radiotracer administration	Technologist or Physician	Technologist or Physician shall administer the amyloid radiotracer intravenously through an indwelling catheter (24 gauge or larger), preferably into a large vein (e.g., antecubital vein). Intravenous ports should not be used unless no other venous access is available.  A three-way valve system should be attached to the intravenous cannula so as to allow at least a 10 cc normal (0.9% NaCl) saline flush following radiotracer injection.
Suspected infiltration or extraneous leakage	Technologist and/or Physician	Technologist shall:  1. Record the event and expected amount of amyloid tracer: Minor (estimated less than 5%), Moderate (estimated more than 5% and less than 20%), Severe (estimated more than 20%). Estimation will be done based on images and/or known injected volumes.  2. Image the infiltration site.
		Record the event and expected amount of amyloid tracer into the common data format mechanism (Appendix E).

542 **3.4 Image Data Acquisition**

543 This section summarizes the imaging protocols and procedures that shall be performed for an amyloid-  
 544 PET exam by using either a PET/CT or a dedicated PET scanner with the requirement that a Germanium  
 545 source can be used to perform attenuation correction. Note that PET scanners that do not measure in  
 546 some way the attenuation of the brain and use a calculated algorithm for estimating the attenuation and  
 547 scatter corrections are excluded from this profile. PET/MR scanners are not strictly excluded in this  
 548 version as long as the repeatability of the SUVRs from these scanners is conformant with the assumptions  
 549 underlying the Claims. This work was not yet published when this Profile was released. Since the claims  
 550 of this profile are only valid for the same patient being scanned on the same scanner with the same  
 551 protocols and analysis, only the repeatability of the PET/MR SUVRs needs to be validated in the context  
 552 of the Claims, and not the difference in SUVRs as compared to PET/CT scanners. Going forward in this  
 553 document, PET scanner can mean either a PET/CT or a dedicated PET scanner (or as stated above,  
 554 PET/MR).

555 For consistency, clinical trial subjects should be imaged on the same device over the entire course of a  
556 study. It is imperative, that the trial sponsor be notified of scanner substitution if it occurs.

557 For clinical trials with quantitative imaging requirements, a subject should have all scans performed on  
558 only one scanner unless quantitative equivalence with a replacement scanner can be clearly  
559 demonstrated. However, it should be noted that there are currently no accepted criteria for  
560 demonstrating quantitative equivalence between scanners. It is anticipated that future version of this  
561 Profile will provide such criteria.

562 When Amyloid PET imaging is performed across time points for a given subject (longitudinal claim), follow  
563 up scans should be performed with identical acquisition parameters as the first (baseline), inclusive of all  
564 the parameters required for both the CT and PET acquisitions as described further in this Section.

565 For amyloid tracer PET/CT perform imaging in the following sequence:

- 566 • CT Scout (i.e., topogram or scanogram etc.), followed by the following two acquisitions, in either  
567 order (ensuring that the same sequence is performed for a given subject across time points):
- 568 • CT (non-contrast) for anatomic localization and attenuation correction and
- 569 • PET Emission scan acquisition

570 For amyloid tracer scan performed on a dedicated PET system (no CT), the first two bulleted steps above  
571 are not performed. Instead, perform the Germanium-based attenuation correction scan first and then  
572 proceed with the PET Emission scan acquisition.

573 The issues described in this Section should be addressed in the clinical trial protocol, ideally with  
574 consistency across all sites and all subjects (both inter-subject, and intra- and inter-facility) with the target  
575 of consistency across all time points (longitudinal utility) for each given subject. The actual details of  
576 imaging for each subject at each time point should always be recorded.

### 577 ***3.4.1 Imaging Procedure***

578 The imaging exam consists of two components, the PET emission scan and the transmission scan  
579 (performed either with CT or with a Germanium source). From these data sets, the non-attenuation-  
580 corrected PET images may be reconstructed for quality control purposes and attenuation-corrected PET  
581 images are reconstructed for qualitative interpretation and quantitative analysis. Instrument  
582 specifications relevant to the Acquisition Device are included in Section 4.0, Conformance Procedures.

#### 583 **3.4.1.1 Timing of Image Data Acquisition**

584 Amyloid tracer uptake is a dynamic process that may increase at different rates and peak at various times  
585 dependent upon multiple variables, different for each radiotracer. Therefore, it is extremely important  
586 that (1) in general, the time interval between amyloid tracer administration and the start of emission scan  
587 acquisition is consistent and (2) when repeating a scan on the same subject, it is essential to use the same  
588 interval between injection and acquisition in scans performed across different time points. The table  
589 below lists recommended tracer administration parameters at the time of this Profile for those tracers  
590 that have been approved by the FDA in the U.S. However, in all cases, the manufacturer's current labeling  
591 parameters should be consulted, as these may change over time. In addition, while the principles of this  
592 profile are fairly generalizable, the specifics apply to the tracers that have already been approved and for  
593 which data is available. Note that the durations shown in the table below should be considered minimum  
594 durations for image acquisition. For example, for florbetapir, the time window used by ADNI is 20 minutes

595 rather than 10. A full dynamic protocol or longer imaging window (even if not full dynamic) can  
596 significantly improve the quality of the data. This will be particularly important for trials in preclinical AD.

597 Tracer acquisition parameter example table (Refer to manufacturer label for actual use in case of changes)

Parameter	Florbetapir (Amyvid) [1]	Flutemetamol (Vizamyl) [2]	Florbetaben (Neuraceq) [3]
Tracer Uptake Time (mpi = mins post injection)	30 – 50 mpi	60 - 120 - mpi	45 - 130 mpi
Minimum Duration of Imaging Acquisition	10 min	10 - 20 min	15 – 20 min

598

599 Another amyloid tracer, NAV-4694, has not yet completed validation in phase III clinical trials and  
600 therefore dose and the following acquisition details are preliminary: uptake time 50-70 mpi, and an  
601 acquisition duration of 20 minutes.

602 The “target” tracer uptake time is dependent upon the radiotracer utilized. Reference the above table for  
603 acceptable tracer uptake times (in minutes post injection [mpi]) for each of the currently available tracers.  
604 The exact time of injection must be recorded; the time of injection initiation should be used as the time  
605 to be recorded as the radiotracer injection time. The injection and flush should be completed within one  
606 minute with the rate of injection appropriate to the quality of the vein accessed for amyloid tracer  
607 administration so as to avoid compromising the integrity of the vein injected.

608 When performing a follow-up scan on the same subject, especially in the context of therapy response  
609 assessment, it is essential to use the same time interval. To minimize variability in longitudinal scanning,  
610 for a given subject, the tracer uptake time should be exactly the same at each time point. There is to date  
611 no scientific literature quantifying the effect on SUVR with varying tracer uptake times in a no change  
612 scenario. The consensus recommendation, to balance practical and ideal, for this Profile is a target  
613 window of  $\pm 5$  minutes.

614 If, for scientific reasons, an alternate time (between activity administration and scan acquisition) is  
615 specified in a specific protocol, then the rationale for this deviation should be stated; inter-time point  
616 consistency must still be followed.

## 617 SPECIFICATIONS

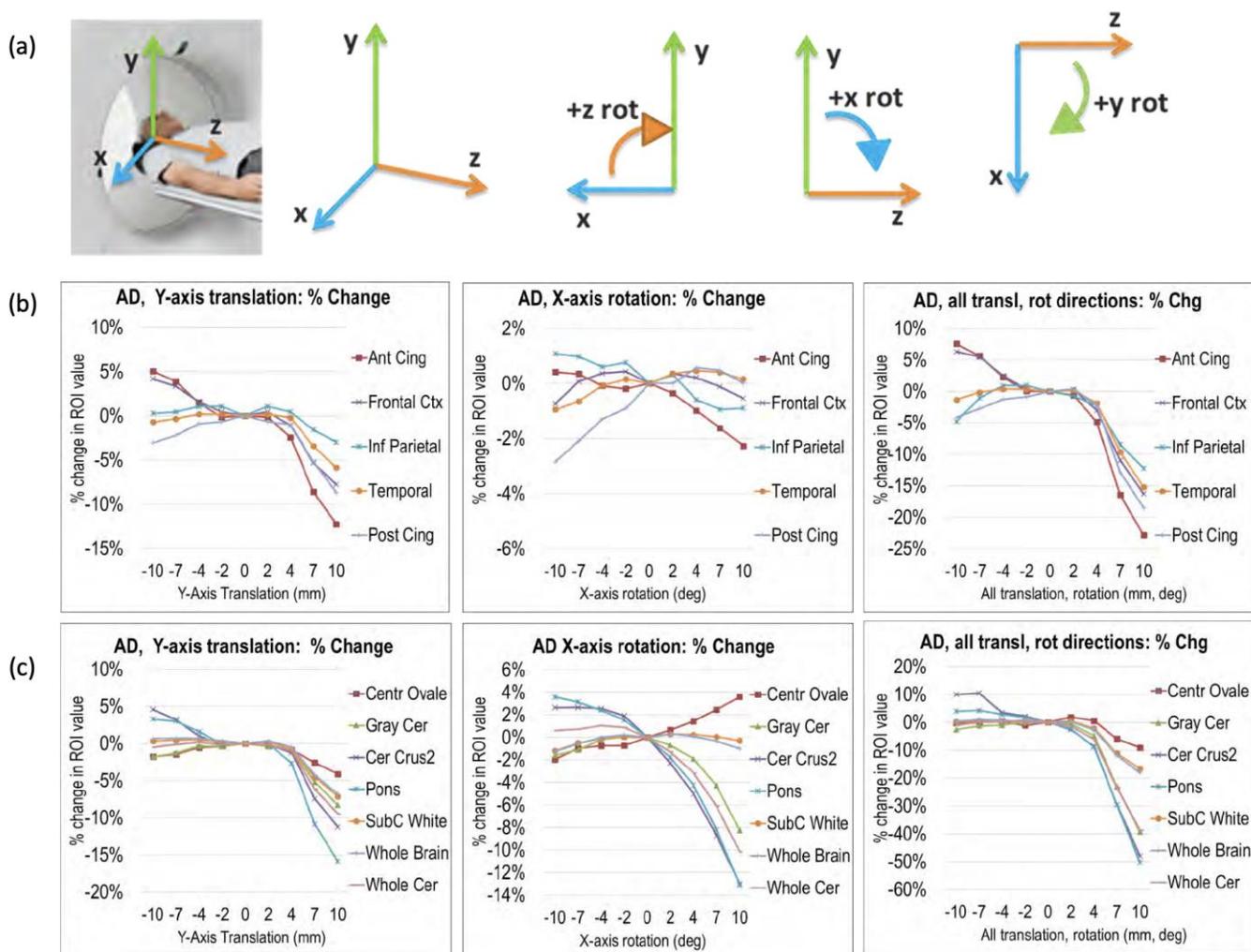
Parameter	Entity/Actor	Specification
Tracer Injection Time	Technologist	The time of amyloid tracer injection shall be entered into PET scanner console during the acquisition.
Tracer Uptake Time	Technologist	The Technologist shall ensure that the tracer uptake time for the baseline scan is within the acceptable range for the specific radiotracer (see Tracer Uptake Table in Section 3.4.1.1). When repeating a scan on the same subject, especially in the context of therapy response assessment, the Technologist shall apply the same time interval used at the earlier time point (as closely as possible and not more than $\pm 5$ minutes).

618 The following sections describe the imaging procedure.

619 **3.4.1.2 Subject Positioning**

620 Proper and consistent subject head positioning is critically important for amyloid PET imaging. It is  
 621 important to take the time necessary to ensure not only that the subject is properly positioned but can  
 622 comfortably maintain that position throughout the duration of the scanning session. Excessive motion  
 623 and in particular a difference in the subjects' position between the emission scan and the transmission  
 624 scan used for attenuation correction is the single most common cause of failed studies. Motion can be  
 625 measured in terms of linear movement in the x, y, and z directions and rotational movement around those  
 626 axes. Figure 6 illustrates the effects of subject head motion between the emission scan and transmission  
 627 scan upon measured regional values. These were determined by systematically translating and rotating  
 628 the mu maps for the same scan and then reconstructing the image each time (QIBA grant funded project).  
 629 Similar errors resulted from the simulation of subject head motion within the emission scan through  
 630 systematic translation and rotation of the reconstructed scan relative to region of interest placement.

631



632

633 **Figure 6.** The effects of linear, rotational, and combined linear and rotational head movement between  
 634 the transmission scan and emission scan upon several target regions and reference regions: (a) x, y, and  
 635 z directions, (b) percent change in target region of interest measures, (c) percent change in reference

636 region measures. The SUVR error incorporates the ratio of the percent change in the target region(s) /  
 637 the percent change in the reference region.

638 NOTE: The successful implementation of strategies to minimize head motion (and maximize signal to  
 639 noise) is critical to overall conformance to the Profile requirements. This can be addressed both at the  
 640 time of image acquisition (through the use of head immobilization techniques described in the paragraphs  
 641 immediately below) and at the time of image acquisition set-up and reconstruction, described in Section  
 642 3.5.

643 Position the subject on the PET or PET-CT scanner table so that their head and neck are relaxed. The head  
 644 should ideally be positioned to have axial slices passing through the cerebellum without intersection with  
 645 the posterior occipital lobe. This avoids contamination of the posterior cerebellar region by the occipital  
 646 lobe and the tentorium. To minimize head motion, the subject’s head should be immobilized using the  
 647 institution’s head holder/fixation equipment (e.g., thermoplastic mask, tape, etc.). It may be necessary  
 648 to place additional pads beneath the neck to provide sufficient support. Vacuum bean bags can also be  
 649 used in this process. The head should be approximately positioned parallel to the imaginary line between  
 650 the external canthus of the eye and the external auditory meatus. Lasers are recommended to aid in  
 651 horizontal and vertical centering. Foam pads can be placed alongside the head for additional support.  
 652 Velcro straps and/or tape should be used to secure the head position.

653 It should be assured that the head of the subject is positioned in the scanner with the total brain within  
 654 the field of view (FOV). Special attention must be paid to include the entire cerebellum in the image as  
 655 this region may be used as a reference region for subsequent quantification.

656 For dedicated amyloid tracer PET brain scans, the arms should be positioned down along the body. If the  
 657 subject is physically unable to maintain arms alongside the body for the entire examination, then the arms  
 658 can be positioned on their chest or abdomen.

659 Use support devices under the back and/or legs to help decrease the strain on these regions. This will  
 660 assist in the stabilization of motion in the lower body.

661 The Technologist shall document factors that adversely influence subject positioning or limit the ability to  
 662 comply with instructions (e.g., remaining motionless).

663 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Subject Positioning	Technologist	The Technologist shall position the subject according to the protocol specifications consistently for all scans, with brain fully in field of view, ideally centered with bottom of cerebellum at least 2.5 cm away from edge of axial FOV unless otherwise specified by protocol
Subject Positioning	Technologist	The Technologist shall ensure the comfort of the subject in the head holder prior to initiating the scan, to minimize the likelihood of movement.
Subject positioning	Technologist	The Technologist shall instruct the subject to hold as still as possible during the scan.

Parameter	Entity/Actor	Specification
Subject Positioning	Technologist	The Technologist shall document the head position of the subject in the scanner FOV so that this can be replicated for subsequent scans.
Positioning Non-compliance	Technologist	The Technologist shall document issues regarding subject non-compliance with positioning.
		The Technologist shall document issues regarding subject non-compliance with breathing and positioning using the common data format mechanism (Appendix E).
Motion non-compliance	Technologist	The Technologist shall document issues regarding subject non-compliance with not remaining still.
		The Technologist shall document issues regarding subject non-compliance (not remaining still) motion using the common data format mechanism (Appendix E).

664

### 665 3.4.1.3 Scanning Coverage and Direction

666 Anatomic coverage should include from the skull base to the skull vertex, ensuring complete inclusion of  
667 the cerebellum. The anatomic coverage should be included in a single bed position.

#### 668 SPECIFICATIONS

Parameter	Entity/Actor	Specification
Anatomic Coverage	Technologist	The Technologist shall perform the scan such that the anatomic coverage (including the entire brain from craniocervical junction to vertex) is acquired in a single bed position according to the protocol specifications and the same for all time points.

669

### 670 3.4.1.4 Scanner Acquisition Mode Parameters

671 We define acquisition mode parameters as those that are specified by the Technologist at the start of the  
672 actual PET scan. These include the acquisition time for the single bed position and the acquisition mode  
673 (3D mode only). These parameters do not include aspects of the acquisition that occur earlier (e.g.,  
674 injected amount of 18F-amyloid tracer or uptake duration) or later (e.g., reconstruction parameters) in  
675 the overall scan process.

#### 676 3.4.1.4.1 PET Acquisition

677 If possible, for SUVR measurement the PET data should be acquired in listmode format (for fullest  
678 flexibility for correcting for head movement) or divided into multiple acquisitions with a maximum of 5  
679 minutes each. If there were no head motion during the scan, a single acquisition frame would be sufficient.  
680 However, this is difficult to predict ahead of time, use of multiple time slices is critical for proper motion  
681 correction if the subject does not remain still throughout the scan. A full dynamic scan would include  
682 additional frames but should also provide for multiple time slices in the late timeframes. Individualized,

683 site-specific acquisition parameters should be determined upon calibration with the appropriate phantom  
684 (see below).

## 685 SPECIFICATIONS

Parameter	Entity/Actor	Specification
PET acquisition mode	Study Sponsor	The key 3-D PET acquisition mode parameters (e.g., time per bed position, acquisition mode, etc.) <u>shall be specified</u> in a manner that is expected to produce comparable results regardless of the scanner make and model.
		The key acquisition mode parameters shall be specified according to pre-determined harmonization parameters.
PET acquisition mode	Technologist	The key PET acquisition mode parameters (e.g., time per bed position, acquisition mode, etc.) <u>shall be set as specified</u> by study protocol and used consistently for all patient scans.
		PET shall be acquired in listmode format (best) or dynamic time frames of no more than 5 minutes each, when possible, in order to allow checking and correction for subject motion.

686

### 687 3.4.1.4.2 CT Acquisition

688 For the CT acquisition component of the PET/CT scan, this Profile only addresses the aspects related to  
689 the quantitative accuracy of the PET image. In other words, aspects of CT diagnostic accuracy are not  
690 addressed in this Profile. In principle, any CT technique (parameters include kVp, mAs, pitch, and  
691 collimation) will suffice for accurate corrections for attenuation and scatter. However, it has been shown  
692 that for estimating PET tracer uptake in bone, lower kVp CT acquisitions can be more biased. Thus, higher  
693 kVp (greater than or equal to 80 kVp) CT acquisitions are recommended in general (Abella et al). In  
694 addition, if there is the potential for artifacts in the CT image due to the choice of acquisition parameters  
695 (e.g., truncation of the CT field of view), then these parameters should be selected appropriately to  
696 minimize propagation of artifacts into the PET image through CT-based attenuation and scatter correction.

697 The actual kVp and exposure (CTDI, DLP) for each subject at each time point should be recorded. CT dose  
698 exposure should be appropriately chosen wherever possible, particularly in smaller patients. The radiation  
699 principle ALARA (As Low As Reasonably Achievable) for minimizing radiation dose should be considered  
700 during imaging protocol development. Refer to educational initiatives, such as Image Wisely  
701 ([www.imagewisely.org](http://www.imagewisely.org)) which provides general information on radiation safety in adult medical imaging,  
702 though not specific to amyloid imaging. Note that the ALARA principle is for radiation mitigation and does  
703 not address the diagnostic utility of an imaging test. The technique used for an imaging session should be  
704 repeated for that subject for all subsequent time points assuming it was properly performed on the first  
705 study.

706

707 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
CT acquisition mode	Study Sponsor	The key CT acquisition mode parameters (kVp, mAs, pitch, and collimation) shall be specified in a manner that is expected to produce comparable results regardless of the scanner make and model and with the lowest radiation doses consistent for the role of the CT scan: diagnostic CT scan, anatomical localization, or corrections for attenuation and scatter.
		If diagnostic or anatomical localization CT images are not needed, then the CT acquisition mode shall utilize the protocol that delivers the lowest possible amount of radiation dose to the subject (e.g., an ultra-low low dose protocol) that retains the quantitative accuracy of corrections for attenuation and scatter.
CT acquisition mode	Technologist	The key CT acquisition mode parameters (kVp, mAs, pitch, and collimation) shall be set as specified by study protocol and used consistently for all subject scans.
CT acquisition mode	Technologist	If CT kVp is not specified in the study protocol, a minimum kVp of 80 shall be used and used consistently for all subject scans.

708

709 **3.5 Imaging Data Reconstruction and Post-Processing**710 **3.5.1 Image Data Reconstruction**

711 Reconstructed image data is the PET image exactly as produced by the reconstruction process on the PET  
712 scanner, i.e., a PET image volume with no processing other than that occurring during image  
713 reconstruction. This is always a stack of DICOM slices/files constituting a PET image volume that can be  
714 analyzed on one or more of the following: PET scanner console, PET image display workstation, PACS  
715 system, etc. See Section 4.0 for specifications.

716 The PET reconstruction parameters include the choice of reconstruction algorithm, number of iterations  
717 and subsets (for iterative algorithms), the type and amount of smoothing, the field of view, and voxel size.  
718 The quantitative accuracy of the PET image should be independent of the choice of CT reconstruction  
719 parameters, although this has not been uniformly validated. In addition, if there is the potential for  
720 artifacts in the CT image due to the choice of processing parameters (e.g., compensation for truncation of  
721 the CT field of view), then these parameters should be selected appropriately to minimize propagation of  
722 artifacts into the PET image through CT-based attenuation and scatter correction.

723 At the time of this profile version, most newer scanners have a z-slice thickness less than or equal to 2.5  
724 mm, although several GE models have a thickness of approximately 3.27 mm and older scanners such as  
725 the GE Advance and Discovery LS may have a slice thickness of up to 4.25 mm (not as recommended).  
726 Greater resolution is desirable particularly for small structures and to measure local changes.

## 727 SPECIFICATIONS

Parameter	Entity/Actor	Specification
PET image reconstruction	Study Sponsor	The key PET reconstruction parameters (algorithm, iterations, smoothing, field of view, voxel size) shall be specified in a manner that is expected to produce comparable results regardless of the scanner make and model.
		The key PET image reconstruction parameters shall be specified according to pre-determined harmonization parameters.
PET image reconstruction	Technologist	The key PET reconstruction parameters (algorithm, iterations, smoothing, field of view, voxel size) shall be identical for a given subject across time points.
PET image reconstruction	Technologist	If available, the Point Spread Function (PSF) option can be used; the use or non-use of PSF must be consistent for a given subject across time points.
PET image reconstruction	Technologist	If available, the time of flight (TOF) option can be used; the use or non-use of TOF must be consistent for a given subject across time points.
PET Matrix/Voxel size	Technologist	The Technologist shall perform the image reconstruction such that the matrix, slice thickness, and reconstruction zoom shall yield a voxel size of $\leq 2.5$ mm in the x and y dimensions and $\leq 2.5$ mm in the z direction (3.27 mm in the z direction for some scanner models such as GE; older scanners limited to a thickness of 4.25 mm are not as recommended). The final size shall not be achieved by re-binning, etc., of the reconstructed images.
Correction factors	Technologist	All quantitative corrections shall be applied during the image reconstruction process. These include attenuation, scatter, random, dead-time, and efficiency normalizations. However, no partial volume correction should be performed at this stage.
Calibration factors	Scanner	All necessary calibration factors needed to output PET images in units of Bq/ml shall be automatically applied during the image reconstruction process.

728

729 As part of the image reconstruction and analysis, correction factors for known deviations from the  
730 acquisition protocol can potentially be applied. Corrections for known data entry errors and errors in  
731 scanner calibration factors should be corrected prior to the generation of the reconstructed images, or  
732 immediately afterwards.

### 733 **3.5.2 Image Data Post-processing**

734 Processed image data are images that have been transformed in some manner in order to prepare them  
735 for additional operations enabling measurement of amyloid burden. Some post-processing operations are  
736 typically performed by the PET technologist immediately following the scan. Additional steps may be  
737 performed by a core imaging lab, or by an analysis software package accessed by the radiologist or nuclear  
738 medicine physician.

739 Initial post-processing operations typically performed by the PET technologist at the imaging site include  
740 binning image time frames into a pre-specified discrete frame duration and total number of frames and  
741 putting the images into a spatial orientation specified by the post-processing protocol.

742 In post-processing images, only those steps specified per protocol should be performed, as each transform  
743 can slightly modify the image signal, and the intent is to preserve the numerical accuracy of the true PET  
744 image values. Studies including full dynamic imaging and kinetic modeling rather than evaluation of a late  
745 timeframe static scan may require additional processing as specified in the individual protocol.

#### 746 **3.5.2.1 Ensure image orientation**

747 Whether the image is being prepared for a quantitative “read” by a physician using clinical diagnostic  
748 software, or for transmission to a facility for centralized image quality control, processing, and analysis, it  
749 is important to ensure that the image is spatially oriented per protocol. This step may occur before or  
750 after the creation of a static image below, depending upon the actors and image transfer sequence  
751 involved in the protocol.

#### 752 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Image orientation	Technologist	The raw image will be spatially oriented per study protocol.

753

#### 754 **3.5.2.2 Create Static Image**

755 Depending upon the study protocol, one or more steps may be involved in the creation of the late  
756 timeframe static image that is then further processed and used for measurement of the SUVR. In the  
757 simplest case, the image may be acquired as a single frame (e.g., 20 minutes long), thus forming a static  
758 image without the need to combine timeframes. In this case, Section 3.3.2.2 below is not applicable.  
759 Due to the inability to correct for subject motion, this single frame approach may increase the risk of  
760 variability outside of the tolerances targeted in this Profile. Alternatively, and commonly in clinical trials,  
761 the output may be a set of discrete time frame images (e.g., four five-minute frames) that are then  
762 combined into a single static image in subsequent steps. The alternative approach of full dynamic data  
763 acquisition typically involves many (>15) frames of variable length, starting with rapid frames acquired  
764 immediately at tracer injection.

##### 765 **3.5.2.2.1 Intra-scan inter-timeframe assessment and alignment**

766 For a scan comprised of multiple timeframes, it is important to ensure that the frames are spatially aligned  
767 so that the same brain tissue is located in the same coordinates for measurement across the frames. It is

768 preferable that this alignment be performed prior to attenuation correction (that is, as part of the steps  
769 in the previous Section 3.3.2.2) in order to prevent embedded error due to misalignment between  
770 emission and transmission scan. However, at present, because of limitations in the tools provided with  
771 typical scanner workstations, inter-timeframe alignment is typically not performed during image  
772 reconstruction and attenuation correction. Rather, visual checks are typically applied, and excessive  
773 motion may or may not be flagged. If automated, precise tools become available in scanner workstations  
774 in the future, the inter-frame alignment and static image formation described in this section may become  
775 part of the image reconstruction process. Even when inter-timeframe alignment is performed prior to  
776 attenuation correction or at the imaging site, it is important that the discrete binned frames prior to inter-  
777 frame alignment, the transmission scan, and the alignment parameters applied, be made available for  
778 quality control in later processing and analysis steps.

779 Inter-frame alignment is typically performed using automated software that employs mathematical fitting  
780 algorithms to match the image from each timeframe to a reference. The reference frame may be that  
781 acquired closest to the time of transmission scan (e.g., the first frame in late frame acquisition if the  
782 transmission scan precedes the emission scan) or as otherwise stated per protocol. The amounts of  
783 translation or linear adjustment, in each of the x, y, and z directions, and the amount of rotational  
784 adjustment in each of three orthogonal directions are measured by the software. Depending upon the  
785 software platform, these parameters are available for review by the image analyst or may be pre-  
786 programmed to make pass/fail or other decisions. Large values (greater than 4-degree rotation or 4 mm  
787 translation) indicate that subject motion is likely embedded within one or more frames introducing noise  
788 (signal variability) that cannot be removed from those particular frames. In addition, unless attenuation  
789 correction was performed on a frame-by-frame basis during image reconstruction, large values indicate  
790 that emission-transmission scan misalignment error is also embedded in one or more frames.

791 The study protocol should define the allowable translation and rotation permitted between the reference  
792 frames and other frames. Frames exceeding these limits may be removed, with the following caveats: (a)  
793 removal of too many frames (e.g., more than half of the total acquisition window) may result in  
794 inadequate total counts and a noisy scan; and (b) frame removal should be consistent across longitudinal  
795 scans for the same subject, or slight error can be introduced. Note that particularly in certain subject  
796 populations it is not uncommon to observe translational or rotational motion exceeding 2 mm or 2  
797 degrees and exceeding 5 mm or 5 degrees in some scans. Typical clinical studies of MCI and AD patients  
798 have had mean (standard deviation) values of 1.7 (1.1) mm for maximum translation and 1.5 (1.1) degrees  
799 for maximum rotation. Motion tends to worsen with longer duration scans. The decision to extend  
800 allowable motion thresholds becomes a balance between retaining subject frames and tolerating  
801 increased signal variability.

802 Currently, most scanner workstations do not provide readily used automated tools for inter-frame motion  
803 measurement and correction, and automated alignment to the transmission (or CT) scan prior to  
804 attenuation correction. Once such tools are available, the activity of frame alignment would best be  
805 performed prior to attenuation correction, to prevent embedded attenuation correction error that cannot  
806 be removed through subsequent inter-frame alignment. On occasion, even with current tools, this can be  
807 performed at the site. Even when realignment at the imaging site becomes feasible, the inter-frame  
808 alignment parameters of the original scan acquisition should be available to the Image Analyst, as under  
809 certain conditions enough within-frame motion may have occurred to merit removal of the frame  
810 regardless of inter-frame correction.

811 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Inter-timeframe spatial alignment	Image analyst	When a multi-frame PET scan is provided, the translational and rotational adjustment required to align the frames will be assessed prior to combining frames into a single scan.
Action based on inter-timeframe consistency check	Image analyst	If <u>inter-frame alignment has been performed</u> prior to attenuation correction, frames will be removed if inter-frame translation exceeds a recommended threshold of 4 mm or inter-frame rotation exceeds 4 degrees (or less if indicated by study protocol) or <u>if inter-frame alignment has not been performed</u> prior to attenuation correction, frames will be removed if inter-frame translation exceeds a recommended threshold of 4 mm or inter-frame rotation exceeds a recommended threshold of 4 degrees from position of the CT scan used for attenuation correction (or less if indicated by study protocol).

812

813 **3.5.2.2.2 Combine discrete timeframes**

814 Once all or a subpopulation of the appropriately aligned timeframes have been identified, a composite  
815 image is generated for further processing and analysis. For late timeframe scans, this is accomplished  
816 through averaging or summation of the timeframes into a single image volume. In full dynamic scanning,  
817 a “parametric” image can be created through a more complex procedure that involves measuring signal  
818 in amyloid “rich” (having high tracer binding) and amyloid “poor” (low tracer binding) regions, or using  
819 blood measurements if available, and solving simultaneous equations to determine voxel values. The  
820 parametric image can then be measured using the same Volume of Interest or other methods described  
821 below, with the difference that the measure becomes a Distribution Volume Ratio (DVR) rather than SUVR.

822 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Static Image generation	Image analyst or image processing workstation	Only timeframes identified as appropriately aligned will be included in this image generation.

823

824 **3.5.3 Imaging Data Storage and Transfer**

825 Discussions of archiving PET data often mention 'raw data'. This is an ambiguous term as it can refer to: **scanner**  
826 **raw data** (i.e., sinograms or list-mode) or image raw data. To avoid confusion, the term raw data should not be  
827 used without making it clear which form is under discussion.

828 **Image raw data** is the image data exactly as produced by the reconstruction process on the PET or PET/CT scanner.  
 829 i.e., a stack of DICOM slices/files constituting a PET image volume with no processing other than that occurring  
 830 during image reconstruction. This is typically a stack of DICOM slices/files constituting a PET image volume that can  
 831 be analyzed on one or more of the following: PET scanner console, PET image display workstation, PACS system,  
 832 etc. If inter-frame alignment is performed prior to attenuation correction, then “raw data” may include both the  
 833 emission and transmission frames prior to any inter-frame or inter-scan alignment, the realigned frames that were  
 834 used for attenuation correction, and the attenuation corrected frames.

835 **Post-processed image data** are images that have been transformed after reconstruction in some manner. This is  
 836 typically a stack of DICOM slices/files constituting a PET image volume that can still be analyzed on one or more of  
 837 the following: PET scanner console, PET image display workstation, PACS system, etc.

838 For archiving at the local site or imaging core lab (if relevant), the most important data are the original images, i.e.,  
 839 the image raw data. In the unlikely event that the scanner raw data (which should be archived by the local site) is  
 840 required for later reprocessing; this should be made clear in the protocol.

841 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Data archiving: raw images	Technologist	The originally reconstructed PET images (image raw data), with attenuation correction, and CT images shall always be archived at the local site. If scanner raw data need to be archived for future reprocessing, this should be defined prospectively in the Protocol.
Data archiving: post-processed images	Image analyst	If a static image has been generated by aligning frames and summing or averaging discrete timeframes, or through other parametric image generation, the image will be archived at the site where the static image generation occurred.

842

843 **3.6 Image Analysis**

844 The Image Analyst, through interaction with the Workstation Analysis tools, shall be able to perform  
 845 specified measurements and analyses on the images. Image Analysis has qualitative and quantitative  
 846 tasks. Both tasks require high quality image submission and consistency of image interpretation.  
 847 Quantitative imaging requires additional system characteristics described further in Section 3.2, Image  
 848 Data Acquisition, and Section 3.6, Quality Control, of this Profile.

849 **3.6.1 Input Data**

850 The output of image Reconstruction and Post-processing (inclusive of Static Image Generation) resulting  
 851 in a single image volume, corrected for attenuation, scatter, randoms and radiotracer decay, is considered  
 852 the input for static scan Image Analysis. In the case of full dynamic imaging for kinetic analysis, the Post-  
 853 processing output may be a set of timeframes. The original input data (deidentified when applicable),  
 854 without modification, should be maintained as a separate file (or set of files), to be stored along with the  
 855 processed data that is ultimately used to perform measurements (See Section 3.2).

## 856 **3.6.2 Image Quality Control and Preparation**

857 Before Image Analysis is performed, stringent image quality control is essential to ensure that images are  
858 suitable for processing and analysis. The elements of raw image quality control that should be performed  
859 during performance of post-reconstruction processing are defined in Section 3.3, Image Post-Processing.  
860 Elements of post-processed image quality control that should be performed by the Image Analyst or the  
861 Processing Workstation software prior to further processing and analysis of the image data are listed in  
862 Section 3.6, Quality Control.

### 863 **3.6.2.1 Correction for Partial Volume Effects (PVE)**

864 Partial Volume Effects Correction (PVEc) is not recommended as a “by default” step in this Profile due to  
865 the fact that the process itself can introduce a great deal of variability, countering the tolerance goals of  
866 the Profile. However, we discuss this step here, as it may be included in certain study protocols particularly  
867 if methodology is systematically employed that does not increase variability.

868 As background on this topic, due to the limits of PET scanner resolution, the signal measured at the  
869 borders of white and gray tissue, or tissue and cerebrospinal fluid (CSF) can contain contributions from  
870 both types of tissue within the boundaries of the same voxel. In particular, some amyloid PET tracers have  
871 high levels of nonspecific white matter uptake, producing high signal intensity that “spills into”  
872 neighboring gray tissue measures. In addition, neurodegenerative patients may exhibit substantial,  
873 progressive atrophy, increasing spill-in from CSF that can dilute increases or accentuate decreases  
874 originating from the atrophic tissue elements.

875 Several different mathematical algorithms and approaches have been developed to correct or  
876 compensate for PVE and tissue atrophy. However, these approaches are not necessarily sensible in the  
877 setting of amyloid imaging and quantification. Simply applying correction for the loss of cerebral gray  
878 matter results in upscaling of image signal intensity and is most appropriate when the tissue origin of the  
879 signal is lost, resulting in the atrophy (such as loss of synaptic neuropil in [18F]2-fluoro-D-2-deoxyglucose  
880 (FDG) cerebral glucose metabolism imaging). In the case of amyloid deposition in neurodegenerative  
881 dementia, however, the deposits are not contained with normal cerebral gray matter elements. Amyloid  
882 plaques are extracellular accumulations and are unlikely to degenerate as gray matter atrophies due to  
883 losses of synapses and neurons ensues. Thus, applying gray matter atrophy-correction PVEc may  
884 inappropriately “upscale” the amyloid signal from atrophic cortical regions. Usually, PVEc approaches  
885 result in a new image, typically containing only gray matter, and has been shown to increase the apparent  
886 amyloid in AD patients by as much as 30% to 56%. The most sensible approach to PVEc in amyloid images  
887 is to apply correction for spillover from subcortical white matter into the gray matter regions, which is  
888 likely to become increasingly problematic as the cortical gray matter becomes atrophic.

889 Appropriate use of PVEc can potentially help to increase sensitivity to longitudinal change, and to reduce  
890 error associated with changes in atrophy or white matter uptake. However, PVEc methods can also  
891 introduce variability, and results are highly sensitive to subjective selections of the parameters used in  
892 calculating the correction. Effects upon measurement of longitudinal change have varied from no effect  
893 to an increase in measured change. The tradeoff between benefit vs. these considerations must be  
894 considered and the decision as to whether or not to use may be study dependent. The point in the  
895 process at which PVEc is applied may vary, for example either applied to spatially normalized images or  
896 to native images, prior to or after the creation of a SUVR image.

### 897 3.6.2.2 Image Smoothing

898 Depending upon whether more than one scanner and reconstruction software combination is being used  
 899 to acquire patient data, and the objective of the image analysis, it may be necessary to smooth the image.  
 900 Smoothing applies a mathematical filter to the image signal at each voxel to help compensate for  
 901 differences in spatial resolution that exist between different scanners. Even if the same scanner is used  
 902 for each visit by a particular subject, being able to compare the SUVR value to a threshold derived using  
 903 images from multiple scanners, or to other study subjects whose data is collected on other scanners,  
 904 requires adjustment for scanner differences. If not reconciled, these differences can cause a few percent  
 905 difference in SUVR (Joshi et al, 2009).

906 By “spreading” signal out, smoothing also helps to increase the spatial overlap of amyloid accumulation  
 907 across different subjects, increasing the ability to identify group effects in voxel-based comparisons.  
 908 However, smoothing also dilutes signal, particularly in small structures, and can also increase the mixing  
 909 of white, gray, and CSF signal.

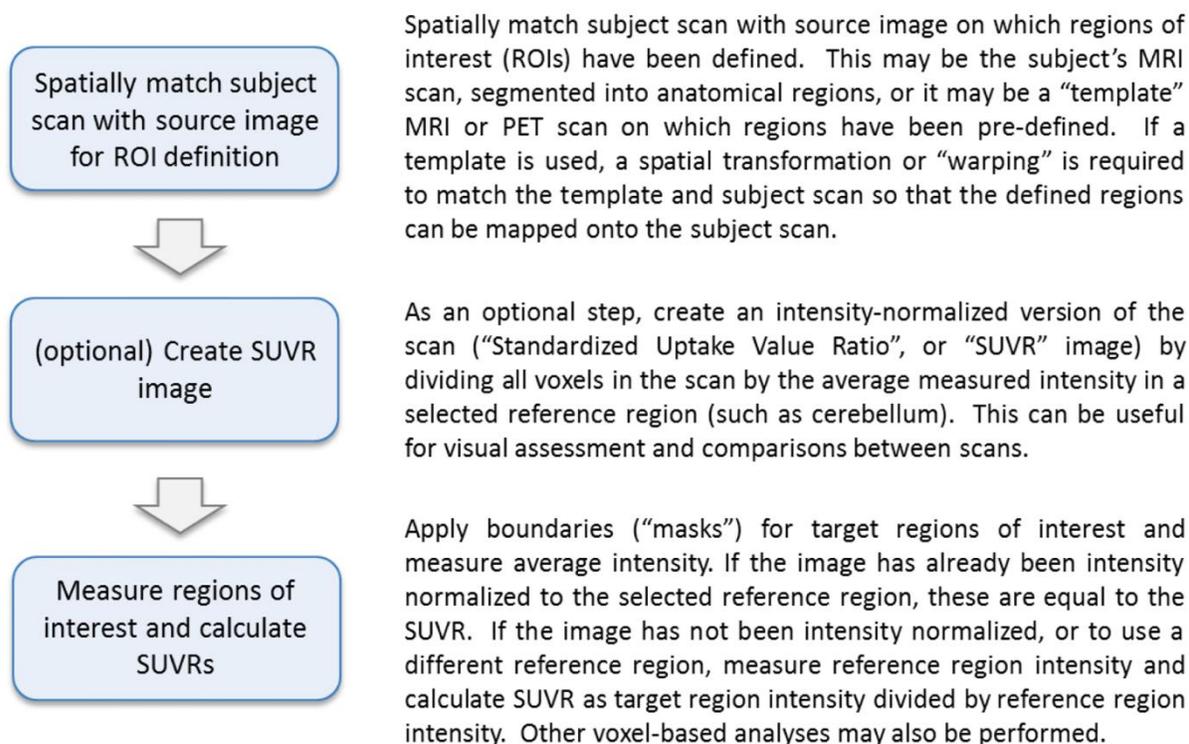
#### 910 SPECIFICATIONS

Parameter	Entity/Actor	Specification
Image smoothing	Image analyst	When combining scans from different scanners and/or reconstruction software that produce different image resolutions, filtering will be applied per protocol to produce comparable signal for the same amount of radioactivity.

911

### 912 3.6.3 Methods to Be Used

913 The methodology and sequence of tasks used to perform amyloid tracer analysis have historically varied  
 914 across studies depending upon the radiotracer, image analysis workstation, software workflow and  
 915 parameters determined to be of interest in the study design. Processing and analysis steps have ranged  
 916 from a manual workflow to a semiautomatic workflow (which requires some user interaction with the  
 917 workstation) to an automatic workflow (with little or no user interaction), with various alternatives  
 918 possible at each step. An outline of the major steps typically included in the workflow is provided below.  
 919 These steps are associated with a Standardized Uptake Value Ratio (SUVR) calculation approach using an  
 920 equilibrium stage “late timeframe” image. Details, considerations impacting analysis reliability, and  
 921 guidelines are then provided. Points where order of operations can vary without impacting end result,  
 922 such as the option to generate an SUVR image prior to target region measurement, are noted. Notes are  
 923 also included regarding the alternative use of the full dynamic scan and kinetic modeling to produce  
 924 measures of amyloid burden.



925

926

**Figure 7.** Typical steps in image processing and measurement for SUVR calculation

927

928 Despite variability in workflows that may be applied, several fundamental factors can impact the accuracy  
 929 and reproducibility of measurement. These factors are discussed below, and guidance is provided to  
 930 achieve accuracy and reproducibility.

### 931 3.6.3.1 Spatially Match Subject and Template

932 The fitting of Volumes of Interest (VOIs) to a scan for amyloid studies has typically been performed by  
 933 automated software, reducing the subjectivity, inter-reader differences, and labor intensity of manual  
 934 delineation. In order to measure pre-defined VOIs for SUVR calculation (or DVR in the case of full dynamic  
 935 scanning), it is necessary to map these spatial boundaries to the subject's specific brain morphology or  
 936 vice versa.

#### 937 3.6.3.1.1 "Fuse" MRI and PET images

938 The majority of amyloid test-retest studies and most clinical trials with quantitative amyloid imaging have  
 939 used the subject's MRI scan as a high-resolution vehicle for the spatial mapping approaches described  
 940 above. With clinical application as a consideration, processing pipelines using specific amyloid PET  
 941 radiotracers have been developed to use PET-to-PET spatial transformation. An optimized PET-to-PET  
 942 transformation approach has been developed for flutemetamol, and similar approaches have been  
 943 developed for other tracers. In cases where an MRI is used, the subject's MRI and PET are "fused" or co-  
 944 registered to one another using a linear transformation performed by automated software. While either  
 945 MRI or PET can serve as the target to which the other is co-registered, registering the MRI to the PET  
 946 prevents interpolation of the PET image. However, preserving the resolution of the MRI image, typically  
 947 higher than that of the original PET, is useful for later operations including segmentation of the MRI and

948 transformation to template space. This can be accomplished by co-registering the PET to MRI, or by up-  
 949 sampling the PET prior to co-registration of the MRI to the PET or otherwise preserving output resolution.

950 Since mapping operations performed on the MRI will be applied to its co-registered PET scan, it is critical  
 951 to ensure that the PET and MRI have been properly aligned to one another. Visual inspection should be  
 952 conducted with careful attention to proper left-right orientation and alignment in all three planes  
 953 (transaxial, sagittal, and coronal); quantitative goodness of fit measures can also be applied. Successful  
 954 fusion may be indirectly checked through verification of correct VOI placement and/or correct spatial  
 955 normalization. However, if misalignment occurs, one must backtrack to determine where in the process  
 956 this happened, and verification of each step is recommended. Automated methods to assure goodness  
 957 of fit may also be employed.

958 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
PET and MRI image fusion	Image analyst	When coregistering a subject’s PET and MRI images, accurate alignment of the images in all planes (transaxial, coronal, sagittal) will be verified visually or using an alternate method that achieves this.

959

960 **3.6.3.1.2 Longitudinal PET co-registration**

961 For longitudinal amyloid measurement, co-registering subsequent PET scans to the baseline PET scan is  
 962 recommended, as separate MRI to PET co-registrations or separate spatial warping operations (described  
 963 below) may produce slightly different alignments. This can cause differences in VOI measurement, and  
 964 even a few percent can be significant for longitudinal evaluation. Goodness of fit of inter-PET scan  
 965 alignment should be visually verified; quantitative metrics such as correlation can also be applied.

966 Successful longitudinal co-registration may again be indirectly checked through verification of correct VOI  
 967 placement and/or correct spatial normalization. In addition, if a process involving separate spatial  
 968 normalization of longitudinal scans is applied and achieves comparable fit, the result would be acceptable.  
 969 However, if misalignment occurs, one must backtrack to determine where in the process this happened,  
 970 and therefore explicit verification of proper longitudinal coregistration is recommended.

971 It is noted here that some studies (unpublished, multiple groups) have shown that a superior longitudinal  
 972 alignment of sequential PET scans can be achieved when co-registering the series of PET scans together  
 973 rather than separately co-registering each PET to the MRI. However, it is also noted that in cases of  
 974 substantial longitudinal atrophy or ventricular expansion, care must be taken in ensuring that the VOIs  
 975 applied to each scan account for the actual gray tissue present in the brain.

976 In addition, it is also noted that although not ordinarily expected, it is possible for longitudinal structural  
 977 changes (abnormalities) to occur that impact the ability to use a common mapping across scans. One such  
 978 example is cerebellar atrophy. However, such an event is not within the scope of this profile  
 979 version, and it is rather recommended to exclude the subject in this case or to use target and reference  
 980 regions that are unaffected by the abnormality.

981 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Co-registration of longitudinal scans	Image analyst	When coregistering a subject's longitudinal PET images, accurate alignment of the images in all directions (transaxial, coronal, sagittal) will be verified visually or using an alternate method to achieve this.

982

983 **3.6.3.1.3 Spatial Mapping of Subject Image and Template Image**

984 The following approaches can be applied for spatial mapping:

985 (a) Spatial mapping (“warping”) of individual brain scans to a template brain having pre-defined VOI  
 986 boundaries. The VOIs are then measured in “template space”, with some spatial distortion to the original  
 987 brain tissue. The goodness of fit of subject to template depends upon multiple factors including: the  
 988 spatial warping algorithm applied, the parameters selected for the warping algorithm, and the template  
 989 selected. For example, scans acquired in an aging, atrophic population may warp in a superior manner to  
 990 a template that was also derived from an aging, atrophic population.

991 (b) Spatial mapping of the template brain and pre-defined VOI boundaries to the individual brain scans. In  
 992 this case, the VOIs are still probabilistic but are mapped to the subject's original morphology.

993 (c) Use of segmentation algorithms that identify each anatomical structure of interest within the subject's  
 994 native morphology using the subject's MRI (e.g., Freesurfer). The resulting segmentation (i.e., the  
 995 identification of various gray tissue regions) can vary depending upon several factors including: the  
 996 segmentation software and version applied, the operating system on which the software is run, the  
 997 parameters selected in the segmentation software, the MRI sequence used, and .

998 The mapping between subject image and template image is accomplished through automated spatial  
 999 normalization or warping software algorithms. When an MRI is used, the transformation is determined  
 1000 though a “warp” between subject MRI and template, and the same mathematical transform is applied to  
 1001 the coregistered PET scan (if transforming to template space) and/or to the ROIs (if transforming to the  
 1002 native subject scan). The accuracy of the spatial transformation depends upon the algorithm. Certain  
 1003 software and software versions have shown superior alignment of cerebellum, deep structures such as  
 1004 putamen and medial temporal regions, and ventricles as compared to older algorithms (Klein et al, 2009).  
 1005 In addition, the template to which images are warped can impact goodness of fit and optimization for the  
 1006 study population may be of use.

1007 When an MRI is not available, the subject PET scan can be transformed directly to the template PET. Since  
 1008 the signal within gray matter and the intensity contrast between gray and white matter in a negative  
 1009 amyloid scan are substantially different than those in an amyloid positive scan, images at the extremes of  
 1010 positive and negative may not spatially normalize well. To address this, various approaches have been  
 1011 developed that test the fit to a series of templates (Lundqvist et al, 2013), selecting the best fit. Other  
 1012 confounds in PET-based spatial normalization can occur when the amyloid PET image has high intensity  
 1013 signal in portions of dura or skull or missing (truncated) tissue at the top or bottom of the brain. Various  
 1014 additional steps have been employed to address these issues.

1015 Regardless of the approach used for spatial normalization, an accurate match between subject and  
 1016 template is critical to amyloid measurement. Goodness of fit should be evaluated using visual inspection,  
 1017 and quantitative goodness of fit algorithms can also be applied. As a note, ad hoc manual (e.g., touch  
 1018 screen or mouse based) modification of warping results should not be used as changing the fit for one set  
 1019 of slices through “eyeballing” is very likely to introduce error into other slices.

## 1020 SPECIFICATIONS

Parameter	Entity/Actor	Specification
Spatial mapping with template image	Image analyst	When spatially mapping a subject image and a template image to one another accurate alignment of the images in all directions (transaxial, coronal, sagittal) will be verified visually.

1021

### 1022 3.6.3.2 VOI Placement: Target / Reference

#### 1023 3.6.3.2.1 Determine Target Regions for Measurement

1024 The selection and delineation of target regions for amyloid measurement vary depending upon study  
 1025 objectives and should be specified in the protocol. For clinical application, some manufacturers have  
 1026 specified predefined VOIs associated with a threshold SUVR that they have correlated to autopsy data.  
 1027 Some clinical trials have used a cortical average consisting of 4 to 6 regions, with individual regional  
 1028 amyloid measures providing further information. When “emerging” subjects with amyloid levels nearer  
 1029 to threshold are studied in clinical trials, analysis of specific sub-regions may become important.

1030 Given a specified anatomical region (e.g., frontal, or cingulate), there are several ways to define the tissue  
 1031 that is included in the region, and several considerations that are not mutually exclusive, listed below.  
 1032 Automation of region definition is important given the high level of subjectivity that can be associated  
 1033 with manual definition.

- 1034 • *Region Boundaries:* Some approaches use the entire anatomical region, whereas others define a  
 1035 sub-region empirically determined to accumulate greatest amyloid burden.
- 1036 • *Method to match the region to subject’s anatomy:* Some methods apply a standard atlas of region  
 1037 definitions (pre-defined anatomical boundaries based upon reference brains) and rely upon the  
 1038 transformation between the subject’s morphology and the atlas template to match the atlas  
 1039 regions to the subject. These may be referred to as “probabilistic” regions. Other approaches  
 1040 estimate anatomical boundaries based upon the individual subject’s MRI, incorporating atlas  
 1041 reference information in a more complex way (e.g., Freesurfer).
- 1042 • *Region confinement to gray tissue:* When atlas-based regions are applied, these may or may not  
 1043 be thresholded (restricted) using the gray tissue segment from the subject’s MRI. This masking  
 1044 can help to assure alignment between template regions and the subject’s actual morphology and  
 1045 can be done using either native space images or warped images.
- 1046 • *Region erosion from surrounding tissue or CSF:* VOI boundaries may be eroded (e.g., perimeter  
 1047 reduced by one to two voxels) away from the neighboring CSF and white tissues, in order to reduce  
 1048 atrophy effects and spillover from non-gray tissue types. This is most often applied to probabilistic  
 1049 regions that tend to be larger and incorporate tissue adjacent to gray matter.

- “Native space” vs. “Template space”: VOIs may be defined only in template space, for measuring the subject’s warped scan, or may be transformed to the subject’s native scan. Use of the native scan can reduce interpolation and signal changes arising from stretching or compressing subject anatomy.

Comparisons of different approaches to regional definition, including whether native vs. template scans are used, have yielded high correlation coefficients (Landau et al, 2013). However, it is important to note that measurement of different portions of tissue will give different results. It is therefore important that the same tissue definition be applied across scans and across subjects within a study.

**SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Target Region Definition	Image Analyst	The same target region definitions (which may be transformed to each individual subject’s morphology) will be applied consistently to subjects and across a study.

**3.6.3.2 Determine Reference Region**

The definition of the reference region is one of the most critical aspects of image analysis. Reference regions are used for image comparison because raw image counts for the same subject will change from scan to scan due to injected dose, scanner calibration, or other factors unrelated to amyloid. If every region in the brain changes in the same proportion due to these factors, then such changes will cancel by taking the ratio of target region to reference region. The reference region is typically a region that does not accumulate or lose amyloid, enabling changes in target regions due to amyloid to be detected.

This Profile does not dictate a specific reference region because tracer manufacturers and leading research institutions have differed and continue to evolve, on this topic. However, there is a growing body of evidence that certain reference regions exhibit less longitudinal variability. Published work also suggests that the optimal reference region may differ for some radiotracers (Villemagne, AAIC 2015). Regardless of the reference region, certain practices should be followed to minimize variability arising from the scanner and to ensure the validity of the reference measurement. Reference regions and practices to minimize variability are discussed below.

**Cerebellar cortex:** The cerebellar cortex (gray matter) has been a reference region of choice in numerous studies of amyloid since it typically does not accumulate fibrillar amyloid and because its gray tissue kinetics are assumed be reasonably matched to those of gray tissue target regions. Because of its low signal and lack of binding, the cerebellar cortex provides the most sensitive reference for measuring cross sectional differences. However, due to its low signal level, small swings in value will create large swings in calculated SUVR. Further, the physical location of the cerebellum toward the edge of the scanner transaxial field of view makes it susceptible to edge noise, scatter, and tissue exclusion (particularly in scanners with a shorter axial field of view). In head rotation and in emission-transmission scan misalignment, the posterior edge of the cerebellar cortex can be particularly impacted. In addition, slight shifts in position can cause a blending of white and gray tissue that will impact the reference measurement. Further, the cerebellum is located in transaxial slices that are not in proximity to several typical target VOIs, and signal in those slices may not change in the same way due to technical factors. In longitudinal studies of florbetaben, the cerebellar cortex has been demonstrated to show stability over

1087 time (Villemagne, AAIC 2015) while for others variability with regard to measured change has been shown,  
1088 decreasing statistical power. Even in cross-sectional measurements, technical noise embedded in the  
1089 cerebellum (or any reference region) may cause a subject whose amyloid burden is at the threshold of  
1090 positivity to “tip” in one direction or another. If the reference regions does include the cerebellum, it is  
1091 recommended to omit the superior portions of the cerebellum to avoid radiotracer contamination from  
1092 surrounding structures such as the occipital cortex or the fusiform gyrus and to omit the lowest slices that  
1093 exhibit greatest variability. These strategies have been employed in various studies (Shcherbinin et al,  
1094 2016; Barrtet et al, 2016; Pontecorvo et al, 2017; Hahn et al, 2017). Alternate reference region  
1095 comparisons are also recommended to ensure that noise has not driven the SUVR result.

1096 **Whole cerebellum:** Use of whole cerebellum has been specified as a reference of choice with some PET  
1097 tracers (such as florbetapir) and can reduce variability arising from shifts that include more white matter  
1098 (Joshi, JNM 2015), since white matter is already included. However, the same issues with spatial location,  
1099 edge noise, and lower average signal still apply. It is noted that the Centiloid measurement method,  
1100 discussed in further detail in section 3.6.3.4, uses the whole cerebellum in its pipeline (2015). However,  
1101 the scope of that selection was for cross-sectional measurement rather than the longitudinal measure  
1102 that is the subject of the first Claim of this Profile. Subsequent work by Bourgeat et al (2021) found that a  
1103 composite reference including subcortical white matter has lower variance for longitudinal florbetapir  
1104 imaging. Nonetheless, although the literature supporting the Claim of this Profile was achieved using  
1105 white matter reference regions, the tight control of head motion, head placement, scanner uniformity  
1106 may support claim achievement with whole cerebellum per the Centiloid pipeline.

1107 **Pons:** As an alternative reference, the pons has been applied in multiple studies, and found to have a  
1108 slightly lower variability. Its advantages include higher signal due to white matter inclusion, and more  
1109 central location in the brain at a slightly further distance from the edge of the scanner transaxial field of  
1110 view. Some studies using florbetapir, flutemetamol and 11C-PIB have found that the pons exhibited lower  
1111 longitudinal variability than a cerebellar reference region (Thurfjell et al, 2014; Shokouhi et al, 2016;  
1112 Edison et al, 2012). However, the narrow cylindrical size and shape of the pons make it vulnerable to  
1113 subject motion, and it, too, can be affected by technical variability.

1114 **Subcortical white matter:** Subcortical white matter provides another alternate reference region, with the  
1115 advantages of higher signal, larger measurement volume, transaxial alignment with target regions of  
1116 interest. Studies have demonstrated benefit in lower variability using subcortical white matter, and thus  
1117 greater statistical power in measuring longitudinal change, relative to other reference regions (Chen et al,  
1118 2015; Brendel et al, 2015; Schwarz et al, 2016; Blautzik et al, 2017). One consideration in the use of a white  
1119 matter reference is that the kinetic properties of white matter differ from those of the gray tissue target  
1120 regions, with unclear impact upon measurement validity. There is not yet a published full dynamic  
1121 modeling study of white matter as a reference. White matter axonal integrity may decline with AD  
1122 progression and age, potentially increasing advantageous cross-sectional differences between AD and  
1123 Normal, and introducing possible variability over time. However, findings support the ability to detect  
1124 increases in amyloid positive populations as expected and seen with gray tissue reference regions, yet  
1125 with lower variability (ideally this would be compared to full kinetic modeling results to demonstrate  
1126 accuracy). When white matter is used, careful definition based upon the MRI, with erosion from  
1127 neighboring gray tissue, is recommended.

1128 **Composites:** Combinations of whole cerebellum, pons, and subcortical white matter, or cerebellar white  
1129 matter and pons, or “amyloid poor” gray regions other than cerebellum have also been applied with  
1130 reductions in longitudinal variability (for florbetapir) resulting in increased statistical power (Tryputsen et

1131 al, 2015; Landau et al, 2015). It is finally noted that regions comprised of both gray and white matter,  
 1132 whether whole cerebellum or composite regions, may include divergent changes over time. These may be  
 1133 a suitable match for probabilistic target regions that include both gray and white matter or given white  
 1134 matter spillover into gray tissue. However, for "pure" gray target regions, their longitudinal use may  
 1135 introduce some non-amyloid related variability. All of this must be weighed against other sources of  
 1136 variability arising from use of a pure cerebellar cortex reference due to low signal, scatter, subject motion,  
 1137 and differences in the axial placement from scan to scan.

1138 **“Amyloid poor” gray tissue** in the same axial plane as the target regions can provide the dual benefit of  
 1139 co-location, protecting against sometimes major changes arising from differences in slice sensitivity in a  
 1140 scanner, as well as matching of gray tissue perfusion rates. A caveat is that if these regions slowly  
 1141 accumulate amyloid or do have amyloid accumulation that can be removed during an anti-amyloid drug  
 1142 study, reference stability may be compromised.

1143 With the above caveats in mind, the use of a combined reference, subcortical white matter, or other stable  
 1144 “amyloid poor” regions proximal to target regions may be advised, depending on the radiotracer, for  
 1145 longitudinal studies and for measurement of amyloid in subjects near the threshold of positivity. A cross  
 1146 check across reference regions can also be used to screen for reference region reliability.

1147 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Reference Region Definition	Image Analyst	The reference region definition will conform to protocol by including the specified tissue.  Quality control measures will be applied to ensure that longitudinal change is not attributable to technical noise or artifact in a particular reference region.

1148  
 1149 **3.6.3.2.3 Apply Regions to Subject Scans for Measurement**

1150 Target VOIs may be applied for measurement either to the non-intensity normalized image, or to an SUVR  
 1151 image that was first generated by dividing each voxel by the average value in the reference region. When  
 1152 placing VOIs, it is critical to ensure accurate fit, and that only appropriate tissue is included. Potential  
 1153 sources of error include the following:

1154 Differences in tissue composition: Positioning of a cortical VOI toward the edge of gray matter in one scan  
 1155 vs. toward white matter in a second longitudinal scan will introduce measurement error due to the tissue  
 1156 composition and partial volume effects. In cross-sectional measurement, these differences can also be  
 1157 significant for subjects at threshold of positivity.

1158 Tissue truncation: If the scan does not have a complete cerebellum or other region, and the VOI samples  
 1159 the empty space, a large error can result depending upon proportion of missing tissue for the VOI.

1160 Differences in tissue sampled: Measuring different portions of tissue (e.g., the full region in one scan vs.  
 1161 only a part of the region due to tissue truncation in the second scan) across longitudinal scans can  
 1162 introduce errors of a few to several percent.

1163 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Region placement	Image Analyst	The placement of all regions of interest and reference region(s) will be verified to be on the correct tissue
Region placement	Image Analyst	All regions will be checked to ensure that boundaries do not include empty space (scan truncation). Regions will be adjusted using a consistent approach, such as automated exclusion of voxels, with a sub-threshold value, to exclude voxels where tissue is missing.
Region placement	Image Analyst	The same portion of tissue will be measured between longitudinal scans for the same subject.

1164

1165 **3.6.3.3 Determine SUVR**1166 **3.6.3.3.1 Generate SUVR image**

1167 There are two ways to generate SUVR values. In one case, the SUVR image can be generated, and then  
 1168 each target region measurement constitutes a SUVR value, as there is no need to divide by the reference  
 1169 region, which is 1. In the other case, SUVR values are generated by measuring values in target regions and  
 1170 dividing each by the value measured in the reference region. To generate a SUVR image, once a reference  
 1171 region has been applied to the scan (i.e., the boundaries aligned with the scan), the SUVR image (or DVR  
 1172 in the case of a fully dynamic scan) can optionally be generated by dividing each voxel value by the  
 1173 reference region mean.

1174 This is useful for visual comparison and evaluation of images, regardless of which regions are to be  
 1175 measured quantitatively. Once an SUVR image has been generated, target VOIs can also be applied and  
 1176 measured without further division by a reference region value.

1177 **3.6.3.3.2 Measure Regional Values**

1178 The mean value within each VOI is calculated as the numerator for the SUVR. A cortical average may be  
 1179 calculated as the average of multiple VOIs or weighted by the number of voxels in each VOI. While the  
 1180 selection of which regions to include and how to combine them is dependent upon the study objectives,  
 1181 minimizing variation due to numerous technical factors (including subject motion, axial variability, and  
 1182 image alignment) is best achieved when using an average of multiple regions. The performance claim is  
 1183 derived from published studies in which a non-weighted average of cingulate, frontal, lateral temporal,  
 1184 and lateral parietal regions was applied.

1185 **3.6.3.3.3 Calculate SUVR**

1186 If a SUVR image is not being used, then the SUVR is calculated by dividing the VOI value by the reference  
 1187 region value (which will be 1.0 if measured on a SUVR image). If a parametric image was generated using  
 1188 full dynamic scanning, or if a kinetic model is being applied to a multi-timeframe dynamic image, a DVR  
 1189 value is generated instead.

1190

### 1191 3.6.3.4 Relating SUVR values to other studies: the Centiloid

#### 1192 3.6.3.4.1 The Centiloid Method

1193 Different protocols involve different tracers, target regions, and reference regions, and all of these  
1194 contribute to how the SUVR can be interpreted with regard to amyloid burden. A value of 1.2, for example,  
1195 can be amyloid positive using one tracer and/or set of regions for analysis, but amyloid negative using a  
1196 different tracer and/or regions. In order to reconcile findings across data acquisition, processing, and  
1197 analysis protocols, the concept of the Centiloid was developed (Klunk et al, 2015). The Centiloid is not  
1198 intended to dictate the method for acquiring and processing data, but rather to provide a way to equate  
1199 results obtained with a broad variety of protocol parameters. The basis for the Centiloid is a “gold  
1200 standard” set of results derived from young healthy controls and elderly AD patients. These results have  
1201 been generated using the radiotracer 11C-PiB and a defined set of target region, reference region, and  
1202 image processing and analysis steps. A linear progression of values from 0 (no amyloid) to 100 (mean for  
1203 amyloid positive sporadic AD patients) has been established using this approach.

1204 To establish the equivalent “Centiloid value” for a tracer and/or acquisition and analysis protocol that  
1205 differ from the gold standard, two sets of relationships are required to be empirically derived. Using the  
1206 control image set provided by the Centiloid project, it is first confirmed that by using the prescribed  
1207 regions and analysis approaches, the Centiloid values can be replicated with a correlation ( $r^2$ ) exceeding  
1208 0.98. Secondly, using the new tracer and/or acquisition and analysis parameters, values are generated  
1209 using both the “gold standard” method and 11C-PiB, and the alternate tracer and/or methods. The  
1210 regression between the two sets of results yields a transform equation that can be applied to results to  
1211 convert them to “Centiloid units” for comparison to other studies. If a tracer and set of approaches are  
1212 being applied that for which conversion to Centiloid units has already been established, this reference  
1213 transform can be directly applied to new studies using the same conversion parameters. PiB,  
1214 flutemetamol, fluorbetaben and other image, SUVR and conversion data are available on the GAAIN  
1215 website: <http://www.gaain.org/centiloid-project>.

1216 It is noted that while the Centiloid can be used to reconcile values across tracers and methods, its use  
1217 does not change the within-method variability or error that is already present (Su et al, 2018).

#### 1218 3.6.3.4.2 Reference Region when using Centiloids

1219 During the development and evaluation of the Centiloid approach, several different reference regions  
1220 were compared, and the best performance was obtained using the Whole Cerebellum, which  
1221 outperformed cerebellar cortex and pons (Klunk et al, 2015). The Whole Cerebellum is incorporated into  
1222 the standard Centiloid pipeline. However, longitudinal evaluation was outside the scope of the original  
1223 work, and left for future evaluation (Klunk et al, 2015). More recently, the standard Whole Cerebellum  
1224 reference region was compared to a Subcortical White Matter and Whole Cerebellum (WM+WC)  
1225 reference for potential use in Centiloid harmonization across longitudinal studies (Bourgeat et al, 2021).  
1226 Based upon results, a composite reference region including subcortical white matter was recommended  
1227 for Florbetapir longitudinal Centiloids. As discussed in section 3.6.3.2.2, the whole cerebellum is not  
1228 excluded by this Profile but requires particular attention (as must always be paid) to subject motion, edge  
1229 of scanner field of view effects, and consistent head placement within the scanner from scan to scan;  
1230 statistically, the longitudinal studies that support the claim tolerance suggested an advantage for  
1231 subcortical white matter.

1232 **3.6.3.4.3 Other Factors when using Centiloids**

1233 While beyond the scope of this profile, it is noted that Bourgeat et al (2021) also found that use of a “non-  
 1234 negative factorization” approach in which SUVR images were decomposed into components used in  
 1235 calculating Centiloid values improved longitudinal measurement robustness in Centiloid measurement.

1236 **3.6.4 Required Characteristics of Resulting Data**

1237 The specific trial protocol shall prospectively define the SUVR (regions to be measured, which regions are  
 1238 to be included in a cortical average if applicable, and how the average is to be calculated) that is required  
 1239 for the imaging endpoint. SUVR measures and the analysis tools used to obtain them, including software  
 1240 version shall be specified for each protocol and shall be used consistently across all subjects and across all  
 1241 sequential measurements.

1242 It should be clear which values belong to which brain region. Reports must clearly associate the region,  
 1243 including any hemispheric reference, with the measured value via column headers or other information  
 1244 display. Correct association of value and region should be assured via documentation that may include  
 1245 audit log via software that has been validated to correctly produce this information, DICOM coordinates  
 1246 captured along with the SUV, provision of the sampling “masks” or boundaries used to make the  
 1247 measurements for each subject, or secondary screen captures of the ROI for identification. The volume  
 1248 of each region measured, in voxels that can be translated into cc, or in cc, should also be included, along  
 1249 with the minimum, maximum, and standard deviation within the region mentioned.

1250 The reference tissue (e.g., cerebellum (whole or gray), pons, subcortical white matter, combination, other)  
 1251 must be reported along with the target region SUV data. Identification should be specific, indicating  
 1252 whether gray, white, or both tissue types were included, and which slices were included or excluded.

1253 The analysis software should generate a report that is clear, traceable, and interpretable.

1254

1255 **3.7 Image Interpretation and Reporting**

1256 In the context of this quantitative Profile, interpretation refers to the way in which the quantitative SUVR  
 1257 or DVR measurements are used, rather than to a visual interpretation of the scan. Reporting of SUVR or  
 1258 DVR values is subject to the requirements of the study.

1259 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Image Reporting	Image analyst	Imaging reports shall conform to the requirements of the study protocol.

1260

1261 **3.8 Quality Control**

1262 The following section deals with multiple aspects of quality control in amyloid-PET studies. This includes  
 1263 selecting and qualifying a PET/CT imaging facility, imaging personnel and PET/CT scanners and ancillary  
 1264 equipment. In addition, the use of phantom imaging (prior to study initiation and ongoing) is discussed as

1265 well as identifying subjects whose data may need to be censored due to a lack of data integrity. Finally,  
 1266 post-image-acquisition quality assessment is detailed.

1267 **3.8.1 Imaging Facility**

1268 It is essential to implement quality processes that ensure reliable performance of the scanner and  
 1269 consistent image acquisition methodology. These processes must be in place prior to subject imaging and  
 1270 be followed for the duration of the trial. A facility “imaging capability assessment” is a prerequisite to  
 1271 facility selection for participation in any clinical trial involving the use of amyloid-PET/CT as an imaging  
 1272 biomarker. This imaging capability assessment will include:

- 1273 • Identification of appropriate imaging equipment intended for use in the trial
- 1274 • Documented performance of required quality control procedures of the scanner and ancillary  
 1275 equipment (e.g., radionuclide calibrator)
- 1276 • Radiotracer quality control procedures
- 1277 • Experience of key personnel (technologists, radiologists, physicists and/or other imaging experts)
- 1278 • Procedures to ensure imaging protocol conformance during the trial

1280 **3.8.1.1 Site Accreditation/Qualification Maintenance**

1281 Whilst imaging facility accreditation is generally considered to be adequate for routine clinical practice  
 1282 purposes (e.g., ACR, IAC, and TJC), facility qualification (e.g., EARL, SNMMI-CTN, ACRIN, and imaging core  
 1283 labs) -may be required for clinical research/clinical trial participation. In order to be considered to be  
 1284 conformant with this Profile, an imaging scanner/facility must provide documentation of current qualified  
 1285 status. Appropriate forms, checklists or other process documents should be maintained and presented  
 1286 upon request to verify that ongoing quality control procedures are being performed in a timely manner  
 1287 as dictated by specific clinical study requirements. If exceptions to any of the performance standards  
 1288 stated below occur and cannot be remediated on site, the site should promptly communicate the issue to  
 1289 the appropriate internal overseer for advice as to how the irregularity should be managed. In addition to  
 1290 documenting the level of performance required for this Profile (and the level of performance achieved),  
 1291 the frequency of facility accreditation/qualification also needs to be described.

1292 It is important to note that that imaging facility Accreditation and/or Qualification, as defined in this  
 1293 Profile, are considered necessary, but are not sufficient for being conformant with this Profile. In order to  
 1294 be conformant with the Profile, and thus to support the claims of the Profile, all normative requirements  
 1295 must be met.

1296 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Accreditation / Qualification	Imaging Facility Coordinator	Shall maintain and document Accredited status for clinical practice (ACR, IAC, TJC, etc.) or Qualified status for clinical trials (e.g., ACRIN, SNMMI-CTN, EARL, iCROs, etc.).

1297

1298 **3.8.2 Imaging Facility Personnel**

1299 For each of the personnel categories described below, there should be training, credentialing, continuing  
 1300 education and peer review standards defined. Guidelines for training/credentialing for each resource  
 1301 category are summarized below (UPICT Protocol Section 2.1). Note that only physicians reading the  
 1302 PET/CT amyloid scans need specific training and certification for PET amyloid interpretation.

1303 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Personnel Roster	Imaging Facility Coordinator	Each site shall, at the time of trial activation and prior to subject accrual, have the support of certified technologists, physicists, and physicians (as defined below), experienced in the use of amyloid-PET/CT in the conduct of clinical trials.
Technologist	Imaging Facility Coordinator	Technologist certification shall be equivalent to the recommendations published by the representatives from the Society of Nuclear Medicine and Molecular Imaging Technologists Section (SNMMI-TS) and the American Society of Radiologic Technologists (ASRT) and should also meet all local, regional, and national regulatory requirements for the administration of ionizing radiation to patients.
Medical Physicist	Imaging Facility Coordinator	Medical physicists shall be certified in Medical Nuclear Physics or Radiological Physics by the American Board of Radiology (ABR); in Nuclear Medicine Physics by the American Board of Science in Nuclear Medicine (ABSNM); in Nuclear Medicine Physics by the Canadian College of Physicists in Medicine; or equivalent certification in other countries; or have performed at least two annual facility surveys over the last 24 months.
Physician	Imaging Facility Coordinator	Physicians overseeing PET/CT scans shall have board certification by the American Board of Nuclear Medicine (ABNM) and/or the American Board of Radiology (ABR) (Diagnostic and/or Nuclear Radiology) or equivalent within the United States or an equivalent entity appropriate for the geographic location in which the imaging study(ies) will be performed and/or interpreted. Physicians interpreting the scans should have appropriate, specific initial training in interpretation of amyloid brain PET studies (specific to the PET amyloid tracer being used) and maintain continuing proficiency as outlined by national imaging professional societies, appropriate for the geographic location in which imaging studies are performed.

1304

### 1305 **3.8.3 PET Scanner**

#### 1306 **3.8.3.1 PET scanner models**

1307 Amyloid-PET studies as described in this Profile require either a PET/CT scanner or a dedicated PET scanner  
1308 with the ability to acquire a transmission image. PET/MR scanners may also be used if the repeatability  
1309 of the SUVRs from these scanners is conformant with the assumptions underlying the claims.

1310 Scanners used in a study should be identified based on manufacturer, name and model. Hardware  
1311 specifications should be documented. Scanner software name and version should be documented at the  
1312 time of trial initiation and at the time of any and all updates or upgrades.

1313 PET scanner technology continues to evolve and in general for a study, and where possible it is advisable  
1314 to minimize variability in scanner resolution and performance across sites. Newer scanners with greater  
1315 resolution and lower noise offer the opportunity to resolve signal in smaller structures and to minimize  
1316 spill-in to cortical regions from surrounding tissue. It is advisable to use scanners that are well supported  
1317 by the manufacturer, and likely to be in use for the duration of a clinical trial.

#### 1318 **3.8.3.2 Use of same scanner for longitudinal scans**

1319 To achieve its longitudinal claim, this Profile requires that all scans for a given subject be imaged on the  
1320 same device over the entire course of a study. In theory, it may be feasible to use a replacement scanner  
1321 if quantitative equivalence with the replacement scanner can be clearly demonstrated. However, there  
1322 are currently no accepted criteria for demonstrating quantitative equivalence between scanners. Future  
1323 versions of this Profile may provide such criteria. It is imperative that the trial sponsor be notified of a  
1324 scanner substitution if a scanner change occurs.

1325 It is also advisable that the same scanner software be used for all longitudinal scans for a subject. In the  
1326 event that software upgrades are required, the quality control measures discussed in section 3.8.4 should  
1327 be performed before and after to assure that SUVR or other quantitative endpoints will be consistent.

1328

### 1329 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Scanner hardware	Imaging Facility Coordinator	The same scanner will be used for all longitudinal scans acquired for the same subject.
Scanner operating software	Imaging Facility Coordinator	The same scanner software will be used for all longitudinal scans acquired for the same subject (or requalified if update is necessary).

1330

### 1331 **3.8.4 PET Scanner Quality Control**

#### 1332 **3.8.4.1 Requirements for quality control**

1333 In order to meet profile claims, it is important that the PET scanner meets certain performance  
1334 specifications. PET scanners must undergo routine quality assurance and quality control processes  
1335 (including preventive maintenance schedules) appropriate for clinical applications, as have been well

1336 established by professional and/or regulatory agencies. In order to assure adequate quantitative accuracy  
1337 and precision of imaging results, several quality assurance measures require particular attention and  
1338 explicit testing. These are discussed in the sections below and include: uniformity, calibration, resolution,  
1339 and contrast. A baseline assessment of these scanner imaging properties is required before any subjects  
1340 are scanned in the trial, after any major hardware or software modifications that could affect these  
1341 properties, and at least annually in an extended study.

1342 During clinical trials, any changes to scanner equipment, either hardware or software, should be  
1343 immediately reported to the trial sponsor and/or imaging CRO and may result in the need for re-  
1344 qualification prior to imaging additional trial subjects.

### 1345 **3.8.4.2 Phantoms for quality control**

#### 1346 **3.8.4.2.1 Phantom requirements**

1347 Some of the required tests, such as uniformity, can be performed with a uniform cylinder and appropriate  
1348 measurement software. Other tests, such as contrast or spatial resolution, require phantoms and/or  
1349 software methods beyond simple uniform cylinder measurements. The type of phantom(s) that can be  
1350 used to test each specification are indicated for each case below. Phantoms should be adequate to model  
1351 and characterize effects of attenuation correction and scatter correction.

#### 1352 **3.8.4.2.2 Anthropomorphic phantoms**

1353 An anthropomorphic phantom with a spatial distribution similar to cortical gray/white matter, such as the  
1354 Hoffman Phantom, is recommended when available for testing some of the specifications. Such a  
1355 phantom is useful to simulate the human brain, amyloid uptake patterns, and the amyloid SUVR  
1356 measurand. Tests (described in sections below) for which such a phantom can be used include verifying:

- 1357 • contrast
- 1358 • resolution
- 1359 • uniformity
- 1360 • scanner normalization via in-plane and axial comparisons to an analytical gold standard for that  
1361 phantom over the complete field of view to be used by the amyloid measurement.

1362 Contrast ratios of amyloid tracer uptake vary between normal and abnormal subjects, and also between  
1363 different amyloid tracers. However, it is recommended that the phantom be filled such that the activity  
1364 concentration in the highest uptake regions be similar to the expected white matter uptake in subjects  
1365 with amyloid deposition. For the Hoffman phantom, it is recommended that the activity at the start of the  
1366 scan be 0.5-0.6 mCi (18.5-22.2 MBq) to obtain approximately a 15 kBq/ml activity in the gray matter  
1367 regions of the phantom. For data acquisition, the Hoffman phantom should be centered in the FOV of the  
1368 PET scanner and data acquired for 20 minutes. Moreover, image reconstruction methods and settings  
1369 should equal those specified in the study. The post-processing and data analysis should be as similar as  
1370 possible to those used with patient data. See Appendices G and H for best practices guidance for this  
1371 phantom.

1372 A caveat in using the Hoffman phantom is that due to its complexity, filling artifacts (air bubbles, uneven  
1373 mixing) can arise, leading to erroneous conclusions regarding uniformity.

1374 To support use of phantoms such as the Hoffman, options that might be considered on a per-protocol  
1375 basis include but are not limited to:

1. Each site uses a single phantom for the duration of the trial but not necessarily the same model of phantom used at other sites.
2. All sites use phantoms of the same model for the duration of the trial.
3. All sites use phantoms built to precise specifications for the duration of the trial.
4. All sites share a single phantom for the duration of the trial.

### 3.8.4.2.3 Alternate phantoms

Phantoms such as the Hoffman are relatively expensive and therefore many or most imaging sites do not own one. Sharing a phantom may not be feasible for a clinical trial, or for clinical application that does not involve a centrally managed trial. Alternative phantom approaches are therefore listed for each of the test requirements. In addition, software developed by Lodge et al (2009) and available to SNMMI members at [www.SNMMI.org/PAT](http://www.SNMMI.org/PAT) allows systematic measurement of the following scanner characteristics: using a uniform cylinder:

- contrast
- resolution
- uniformity
- scanner normalization

An example report produced by the software is included as Appendix J.

Alternative phantoms having variable intensity regions may also be used for testing.

### 3.8.4.2.4 Other considerations

For phantom image analysis, there are many combinations of hardware and software that are used. The software alone comprises multiple layers including the operating system, several base modules for input and display, and the components that draw/calculate ROIs and calculate the SUVR. See Section 4.4 and Appendix F for information regarding analysis workstations.

### 3.8.4.3 Routine quality control schedule

#### SPECIFICATIONS

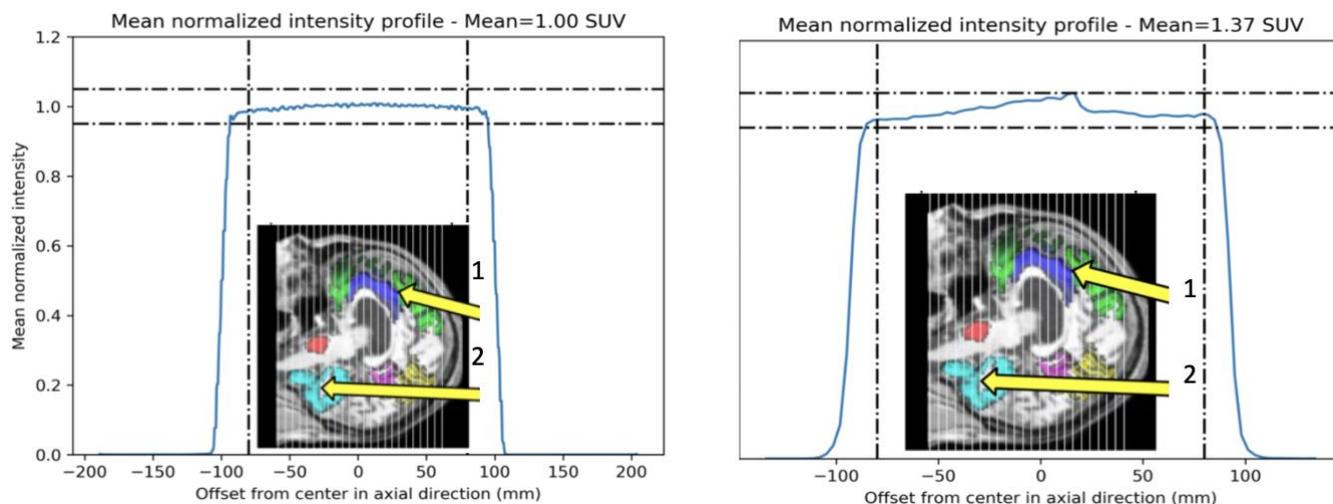
Parameter	Entity/Actor	Specification
Routine QA/QC Checks	Technologist	At a minimum, QA/QC procedures shall be performed daily, quarterly, and annually according to vendor recommendations. Daily QC procedures shall be performed prior to any subject scan.

### 3.8.4.4 Uniformity and Calibration

Verification of scanner normalization with a uniform phantom is a minimum requirement for all scanners used in clinical trials including those that only have qualitative endpoints.

1407 **In addition to head motion, variation in the uniformity of the PET scanner can have one of the greatest**  
 1408 **adverse effects upon longitudinal amyloid measurement variability.**

1409 To illustrate this, Figure 8 shows a volumetric MRI brain positioned within the axial field of view of two  
 1410 different scanners. Within the brain, an example target region and reference region are delineated. The  
 1411 deviations of the actual slice-by-slice decay- and scatter-corrected values measured using a uniform  
 1412 cylindrical phantom relative to the average value are plotted. These graphs were generated using software  
 1413 (Lodge et al, 2009) available to members of SNMMI at [www.SNMMI.org/PAT](http://www.SNMMI.org/PAT). The scanner on the left has  
 1414 uniformity within 1.55% of the mean axial value, whereas the scanner on the right deviates by more than  
 1415 5%. Worse cases exist in the field, and the standard allowed tolerance is 10%. This tolerance is problematic  
 1416 for longitudinal amyloid measurement and can introduce error that would invalidate the longitudinal  
 1417 Claim of this profile. In the case on the right, if the head is positioned differently from one scan to the  
 1418 next, an automatic measurement error will be introduced into the SUVR due to the difference in slice  
 1419 sensitivities. For example, target region and/or reference region values may change by several percent  
 1420 simply because they are now aligned with a slice(s) whose sensitivity deviates from that of the previous  
 1421 slice(s) with which the regions were aligned. If the reference region and target region are in the same axial  
 1422 slices, the difference will cancel out. However, the cerebellum or pons, often used as reference regions,  
 1423 do not occupy the same slices as most target regions and therefore error does not cancel out. In practice,  
 1424 the head is typically at an angle within the scanner, but the same principles apply.



1425

1426 **Figure 8.** Uniformity measurement across the axial field of view, and impact on SUVR  
 1427 measurement. The scanner at left has a maximum deviation from the mean value of -1.55%,  
 1428 whereas the scanner on the right deviates by 5.05%. **Typical standards allow deviations of up to**  
 1429 **10%, which can introduce significant error into longitudinal measurement.**

1430

1431 In addition, in both of the examples shown in Figure 7, **it can be seen that toward the edges of the axial**  
 1432 **field of view (FOV), measurement sensitivity becomes much more variable. This is particularly**  
 1433 **problematic in scanners with short FOVs** such as the Siemens ECAT HR+. The filtering that is typically  
 1434 applied to compensate for sensitivity loss at the edges actually serves to amplify noise. If the reference  
 1435 tissue is at the edge of the scanner field of view additional error may be introduced that causes large

1436 swings in measured SUVR. Longitudinal errors of up to 33% have been measured in data from ADNI 1, for  
 1437 example, when using cerebellar cortex as the reference region.

1438 Selection of reference region and target region in the same axial slices can help to mitigate this potential  
 1439 source of noise, as the differences cancel out. Alternatively, or in addition, positioning the subject’s head  
 1440 in exactly the same location from scan to scan can help to minimize error as long as the scanner slice-by-  
 1441 slice sensitivity has not changed (which may or may not be the case). Despite these mitigations, it is still  
 1442 important to assure that scanner uniformity (other than at the very edge, where typically infeasible), is  
 1443 within a tolerance that is +/- 3% in this Profile.

1444 Note that uniformity should also be consistent in-plane, i.e., in x and y directions. An example of poor in-  
 1445 plane uniformity is shown in Appendix H, Example 5, visibly obvious using a Hoffman phantom.

1446

1447 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Uniformity QC	Technologist	<p>At baseline and at least quarterly and following software upgrades, maintenance or repairs, and new setups, shall assess transverse and axial uniformity across image planes by imaging a uniform cylinder phantom:</p> <ol style="list-style-type: none"> <li>1. Visual check that no streak artifacts or axial plane non-uniformities are present.</li> <li>2. The mean values of a large central 2D ROI for all image slices (resulting in a 3D VOI) shall be compared with similar previous scans to check for measurable differences.</li> </ol> <p>Alternatively, if the Hoffman phantom or equivalent is available, in-plane and axial uniformity can also be visually assessed as shown in Appendix H.</p>
Uniformity measurement	Technologist or Medical Physicist	<p>Axial uniformity shall be measured at least monthly by placing a circular ROI that is at least 1 cm in diameter less than the active diameter of the cylinder phantom, centered on each of the axial planes. The phantom image is to be corrected for attenuation, scatter, and decay. Mean axial concentrations in ROIs in the central 80% of planes shall be within <math>\pm 3\%</math> of the overall average for each qualified axial slice within sufficient distance from the axial edge of the field of view (2-4 cm as available). A method and software such as the PAT Uniformity software available from SNMMI may be used for measurement.</p> <p>Uniformity across planes against a gold standard reference can also be measured using a Hoffman phantom as described in Appendix H.</p>

Parameter	Entity/Actor	Specification
		Harmonized image reconstruction protocols are available. (i.e., known recovery coefficients versus size for a given test object such as the modified NEMA NU-2 Image Quality phantom.

1448

1449 **3.8.4.5 Resolution**

1450 The spatial resolution of a scanner refers to its ability to distinguish between two different point sources  
 1451 in a reconstructed image, typically referred to as the full-width at half-maximum (FWHM) of a point spread  
 1452 function (PSF). PET scanner hardware, reconstruction methods and reconstruction parameter selections  
 1453 can result in dramatically different spatial resolutions in the reconstructed images. Because partial volume  
 1454 effects (especially between gray and white matter regions) can bias many amyloid PET measurands, it is  
 1455 essential to calibrate the spatial resolution of each scanner using the acquisition and reconstruction  
 1456 protocol planned for patient imaging. The assessment of adequate scanner resolution should include both  
 1457 a qualitative evaluation (using clinical or anthropomorphic phantom images) and quantitative assessment  
 1458 (using phantom-defined criteria).

1459 For group analyses involving scans acquired from different scanners, a post-reconstruction smoothing  
 1460 operation can then be applied for calculation of a measurand at a uniform spatial resolution across  
 1461 scanners. Reducing variability translates into increased statistical power given a certain sample size. A  
 1462 slight favorable impact of smoothing upon longitudinal variability was reported by Bourgeat et al (2021),  
 1463 although this effect was not as great as reference region or other factors. For a single within-subject  
 1464 evaluation where cross-scanner reconciliation is not relevant, ensuring adequate resolution may translate  
 1465 to clinical impact regarding the ability to distinguish amyloid signal and to detect change. In this case,  
 1466 while smoothing to adjust for small spatial differences in signal between longitudinal scans may be useful,  
 1467 oversmoothing could reduce sensitivity to change. The Claim of this Profile is for a single subject and  
 1468 smoothing, while recommended for group analyses, is not stated as a required activity.

1469

1470 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
PET scanner Resolution	Nuclear Medicine Physician or Image Analyst	Shall perform and document, on at least an annual basis or during an initial site qualification process, a <u>qualitative</u> resolution QC test by using the manufacturer's settings and verifying resolution of normal gross anatomic features within either a clinical image or representative brain phantom.
PET scanner Resolution	Medical Physicist	Shall perform (during an initial site qualification process, and then at least every one year) and document performance of a <u>quantitative</u> assessment (using a phantom with differing size defined targets such as the Hoffman, ACR or NEMA IQ phantoms) for spatial resolution.

Parameter	Entity/Actor	Specification
		<p>The FWHM resolution of the scanner should be <math>\leq 8.0</math> mm with a preferable target of 4 to 5 mm.</p> <p>Measurement methods may include the following:</p> <ol style="list-style-type: none"> <li>(1) Acquire data using the Hoffman phantom and compute the FWHM “Hoffman equivalent” [Joshi/Koeppe NeuroImage 46 (2009) 154-159] FWHM resolution, in transverse and axial directions. See appendix H for details.</li> <li>(2) Follow the modified procedure developed by Lodge et al. [JNM 2009; 50:1307-1314] to use a slightly tilted uniform phantom to get axial and in-plane spatial resolution. Use the software available to SNMMI members at <a href="http://www.SNMMI.org/PAT">www.SNMMI.org/PAT</a>.</li> <li>(3) Use a published method as in Gong et al, [Phys Med Biol. 2016 Mar 7; 61(5): N193–N202], or Quality assurance for PET and PET/CT systems. — Vienna: International Atomic Energy Agency, 2009, ISBN 978–92–0–103609–4, or alternative reference.</li> </ol>

1471

1472 **3.8.4.6 Noise**1473 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Phantom tests: Frequency of noise measurements	Medical physicist	Shall perform at baseline, quarterly and after scanner upgrades, maintenance or repairs, and new setups.
Phantom test: noise measurements	Medical physicist	A uniform cylinder phantom or equivalent shall be filled with an 18-F concentration in the uniform area (approximately 0.1 to 0.2 $\mu\text{C}/\text{ml}$ ) and scanned using the intended acquisition protocol. Using a rectangular or spherical region as close as possible to, but no smaller than, 3 cm to a side, the COV of the voxel values within the region should be below 15%, for the slices within the central 80% of the axial FOV.

1474

1475 **3.8.4.7 Contrast**

1476 Generally, the purpose-specific phantom scans must provide a metric to characterize these imaging  
1477 properties:

1478 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Phantom test: contrast measurement	Medical physicist	<p>At baseline and at least quarterly and following software upgrades, maintenance or repairs, and new setups, shall assess image contrast as follows:</p> <p>Using a phantom that contains different regions having uptake ratios between 2:1 and 4:1, measure the high to low ratio and ensure that the ratio is within the spec.</p> <ul style="list-style-type: none"> <li>• If using ACR PET phantom, see the American Association of Physicists in Medicine (AAPM) Task Group 126 (TG-126) 2019 report on PET/CT Acceptance Testing and Quality Assurance.</li> <li>• If using Hoffman phantom, see Appendix H for more details on use of the Hoffman phantom, which has a 4:1 gray to white contrast ratio.</li> </ul>

1479

1480 **3.8.4.8 Accuracy**

1481 For trials with quantitative PET measurements, assessment of scanner uniformity should also include a  
 1482 comparison against a radionuclide calibrator to ensure quantitative accuracy; that is, a comparison of the  
 1483 absolute activity measured versus the measured amount injected should be performed. A cross calibration  
 1484 of the PET system against the (locally) used radionuclide calibrator should be within 10%. The QC  
 1485 procedures should utilize the same acquisition/reconstruction protocol, software and settings that are  
 1486 used for the subject scans. This comparison is particularly important after software or hardware upgrades.  
 1487 If the trial requires absolute quantification in baseline images or absolute changes in longitudinal studies,  
 1488 it should be considered to include an image quality and/or contrast recovery QC assessment as part of the  
 1489 routine QC procedures and/or scanner validation process.

1490 Clinical trials using only relative changes in longitudinal studies, such as for the claim in this Profile, may  
 1491 not require contrast recovery assessments provided there is appropriate consideration for the minimum  
 1492 size of target lesions based on the partial volume effect.

1493

Parameter	Entity/Actor	Specification
Phantom test: SUVR accuracy	Medical physicist	<p>The quantitative accuracy of the scanner shall be within <math>\pm 10\%</math> of the cross-referenced radionuclide calibrator (when properly calibrated).</p> <p>Accuracy may be tested using the SNMMI PAT Uniformity software and a uniform cylinder. Alternatively, using a Hoffman phantom PET image or an alternate phantom measurement method that provides similar contrast intensities, perform the</p>

Parameter	Entity/Actor	Specification
		intended post-processing and image analysis to confirm SUVR accuracy. See Appendix H for more details on the Hoffman phantom, and Appendix F for DRO.

1494

1495 **3.8.5 Ancillary Equipment**1496 **3.8.5.1 Radionuclide Calibrator**

1497 The following guidelines are collected from ANSI standard N42.13, 2004 and IAEA Technical Report Series  
1498 TRS-454. All requirements assume measurements on unit doses of amyloid tracer and that calibration  
1499 sources are in the 'syringe' geometry (i.e., no bulk doses).

1500 The Constancy test ensures reproducibility of an activity measurement over a long period of time by  
1501 measuring a long-lived source of known activity.

1502 The Accuracy test ensures that the activity values determined by the radionuclide calibrator are correct  
1503 and traceable to national or international standards within reported uncertainties.

1504 The Linearity test confirms that, for an individual radionuclide, the same calibration setting can be applied  
1505 to obtain the correct activity readout over the range of use for that radionuclide calibrator.

1506 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Radionuclide Calibrator Constancy	Technologist	Shall evaluate daily (or after any radionuclide calibrator event) using a NIST-traceable (or equivalent) simulated 18F, Cs-137, or Co-57 radionuclide calibrator standard and confirmed that measured activity differs by no greater than $\pm 2.5\%$ from the expected value.
Radionuclide Calibrator Accuracy	Technologist	Shall evaluate annually (or after any radionuclide calibrator event) with a NIST-traceable (or equivalent) simulated F-18 radionuclide calibrator standard (preferred although use of other long-lived NIST standards are acceptable). Shall confirm that net measured activities differ no greater than $\pm 2.5\%$ from expected value.
Radionuclide Calibrator Linearity	Technologist or Radiation safety officer or Medical Physicist	Shall evaluate quarterly (or after any radionuclide calibrator event) using either 18F or Tc-99m and should be within $\pm 2.5\%$ of the true value over an operating range of 37-1110 MBq (1 to 30 mCi) and the true value is determined by a linear fit (to the log data) over the same operating range. Concentric sleeve method is acceptable.
PET Radiation Dose	Technologist	Shall record the radiation dose from the administered activity and accompanying information in a DICOM

Parameter	Entity/Actor	Specification
		Radiopharmaceutical Administration Radiation Dose Structured Report.

1507

### 1508 3.8.5.2 Scales and stadiometers

1509 Scales and stadiometers should be inspected and calibrated at installation and annually.

#### 1510 SPECIFICATIONS

Parameter	Entity/Actor	Specification
Scales	Technologist / Physicist / Approved personnel	Shall evaluate annually or after any repair by qualified personnel.

1511

### 1512 3.8.5.3 Clocks and timing devices

1513 The PET and CT scanner computers and all clocks in an imaging facility used to record activity/injection  
 1514 measurements should be synchronized to standard time reference within +/-1 minute. These include any  
 1515 clocks or timekeeping systems that are connected with a subject's amyloid-PET study, in particular those  
 1516 associated with the radionuclide calibrator, the injection room, the scanner, and the acquisition  
 1517 computer(s). The synchronization of all clocks (to date, time of day and to time zone) should be monitored  
 1518 periodically as part of ongoing QA program. In particular, clocks should be inspected immediately after  
 1519 power outages or civil changes for Daylight Savings (NA) or Summer Time (Eur). Correct synchronization  
 1520 could be achieved using the Consistent Time Integration Profile as defined in the IHE IT Infrastructure  
 1521 Technical Framework. The Consistent Time Profile requires the use of the Network Time Protocol (NTP)  
 1522 ([www.NTP.org](http://www.NTP.org)).

#### 1523 SPECIFICATIONS

Parameter	Entity/Actor	Specification
Scanner and site clocks	Technologist / Physicist / approved personnel	PET and CT scanner computers and all clocks in an Imaging facility used to record activity/injection measurements shall be synchronized to standard time reference within +/-1 minute.  Synchronization of all clocks used in the conduct of the amyloid-PET study shall be checked weekly and after power outages or civil changes for Daylight Savings (NA) or Summer Time (Eur)
Scanner and site clocks	Specific Device	Provide time synchronization as per the IHE Consistent Time Integration Profile.

Parameter	Entity/Actor	Specification
Dose calibrator clock	Dose Calibrator	Electronic record of output from a dose calibrator shall be synchronized with other time keeping devices.

1524

### 1525 **3.8.6 Quality Control of Amyloid-PET studies**

#### 1526 **3.8.6.1 Data Integrity**

1527 The integrity of DICOM image headers should be reviewed and confirmed for DICOM standard  
1528 compliance, regulatory compliance (including privacy protection, such as may be required by such rules  
1529 as the HIPAA Privacy Rule if applicable), protocol compliance, sufficiency for the intended analysis (e.g.,  
1530 to compute SUV) and consistency with source data such as CRFs.

#### 1531 **3.8.6.2 Determination of Image Quality**

1532 CT and 68-Ge transmission images should be reviewed by the Image Analyst for assessment of image  
1533 quality and for potential artifacts such as beam hardening, metal objects, and motion. PET images should  
1534 be compared to the transmission images for proper image registration and potential attenuation  
1535 correction artifacts. Both uncorrected and attenuation corrected images may need to be assessed to  
1536 identify any artifacts caused by contrast agents, metal implants and/or subject motion. For example,  
1537 movement or mis-registration can lead to poor quality quantitative data and invalid numbers. Some  
1538 images may be too poor in quality to quantify. Statistical quality of images is important to report, but not  
1539 a full substitute for quality.

1540

1541

## 4. Conformance Procedures

Relation of this Profile to Expectations for QIBA Profile Conformance

Definitions (from Appendix C):

Qualified: The imaging site is formally approved by an appropriate body (i.e., ACRIN, CQIE, SNM-CTN, EANM-EARL, an imaging laboratory or CRO) for a specific clinical research study.

Accredited: Approval by an independent body or group for broad clinical usage (requires ongoing QA/QC) e.g., ACR, IAC, TJC.

Conformant: The imaging site and equipment meet all the requirements described herein, which are necessary to meet the QIBA Profile claim.

The requirements included here are intended to establish a baseline level of capabilities. Providing higher levels of performance or advanced capabilities is both allowed and encouraged. Furthermore, the QIBA Profile is not intended to limit equipment suppliers in any way with respect to how they meet these requirements. Institutions meeting the stated criteria are considered to be QIBA Conformant.

### 4.1 Performance Assessment: Image Acquisition Site

Typically, clinical sites are selected due to their competence in neurology and access to a sufficiently large subject population under consideration. For imaging sites, it is important to have availability of:

- Appropriate imaging equipment and quality control processes,
- Appropriate ancillary equipment and access to radiotracer and contrast material,
- Experienced Technologists (CT and PET trained) for the subject handling and imaging procedure,
- Appropriately trained Radiologists/Nuclear Medicine Physicians for image analysis and diagnostic interpretation,
- Appropriately trained image analysts, with oversight by a Radiologist or Nuclear Medicine Physician,
- Medical Physics support to ensure appropriate scanner and equipment calibration, and to address issues relating to quantification such as attenuation maps or movement
- Processes that assure imaging QIBA Profile-conformant image generation in appropriate time window

A QA/QC program for PET scanners and ancillary devices must be in place to achieve the goals of the clinical trial. The minimum requirements are specified above. This program shall include (a) elements to verify that imaging facilities are performing imaging studies correctly and (b) elements to verify that facility's PET scanners are performing within specified calibration values. These may involve additional PET and CT phantom testing that address issues relating to both radiation dose and image quality (which may include issues relating to water calibration, uniformity, noise, spatial resolution – in the axial plane-, reconstructed slice thickness z-axis resolution, contrast scale, and others) and constancy. There is agreement that some performance testing (e.g., constancy phantom) adds value; however, acceptable performance levels, frequency of performance, triggers for action and mitigation strategies need further definition before these can be required. This phantom testing may be done in addition to the QA program defined by the device manufacturer as it evaluates performance that is specific to the goals of the clinical trial.

1579 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
PET Scanner	Site	This Profile shall only address full ring PET scanners that have the capability of acquiring a transmission image for attenuation correction and have a minimum axial FOV of 15 cm for a single bed position.
CT Scanner Calibration	Technologist	Follow manufacturer's recommendations.
PET Scanner Calibration	Technologist	Shall perform daily/weekly/monthly scanner QA and vendor recommended maintenance procedures (e.g., replace weak transmission sources for dedicated PET scanner); ensure that output values are acceptable and manually enter on form/electronic database
PET Scanner Calibration Constancy Check	Technologist	Shall perform constancy (for example, a Ge-68 cylinder if applicable) scan (preferably NIST traceable or equivalent to gather information regarding uniformity as well) at least weekly and after each calibration.
Radionuclide calibrator	Technologist	Calibrated to 18F using NIST traceable source or equivalent either by site or calibrator manufacturer.

1580

1581 **4.2 Performance Assessment: PET Acquisition Device**

1582 Distinct from the performance specifications and frequency of testing described in Section 4.1, which  
 1583 apply to quality control of the Acquisition Device at the imaging facility, this Section defines performance  
 1584 specifications of the Acquisition Device to be met upon leaving the manufacturing facility. In order to be  
 1585 in conformance with this Profile, the Acquisition Device should be held to the same standard whether a  
 1586 mobile utility or a fixed installation; a mobile scanner may require additional calibration to achieve this  
 1587 performance.

1588 The PET scanner should use DICOM attributes to follow version numbers of software for: 1 Acquisition, 2  
 1589 Reconstruction, 3 Post-processing, 4 Display/ROI analysis, 5 Dynamic Analysis. Performance requirements  
 1590 regarding software version identification, documentation and tracking across time are described in  
 1591 Section 4.5.

1592 The PET scan acquisition start time should be used for the decay reference time and the integral model  
 1593 should be used for decay correction. The scanner should perform all decay corrections (i.e., not the  
 1594 operator). Image data are to be given in units Bq/ml. "Derived" images (distinct from "Original") should  
 1595 be flagged following the DICOM standard and should retain the scan acquisition date and time fields.

1596 All needed information for fully corrected administered activity (e.g., residual activity, injection time,  
 1597 calibration time) is required. Note that use of the term administered activity below refers to fully corrected  
 1598 net radioactivity.

1599 Baseline level conformance requires that the DICOM image set from the subject’s PET scan and necessary  
 1600 metadata (that is not currently captured by all PET scanner acquisition processes) is captured in trial  
 1601 documentation, e.g., case report forms. The metadata is required to perform the quantitative analysis and  
 1602 perform quality control on SUV covariates. This includes for example, post-injection residual activity and  
 1603 subject height. This data should be captured in the 'Common Data Format Mechanism' as described in  
 1604 Appendix E.

1605 The DICOM format used by the PET scanner should meet the Conformance Statement written by  
 1606 manufacturer of the PET system. PET data shall be encoded in the DICOM PET or Enhanced PET Image  
 1607 Storage SOP Class, and in activity-concentration units (Bq/ml) with additional parameters in public DICOM  
 1608 fields to calculate SUVs (e.g., height, weight, scale factors). CT data should be encoded in CT or Enhanced  
 1609 CT Image Storage SOP Class. DICOM data shall be transferred using the DICOM Part 8 network protocol or  
 1610 as offline DICOM Part 10 files for media storage including CDs and DVDs. They shall be transferred without  
 1611 any form of lossy compression.

1612 The meta-information is the information that is separate, or in addition to, the image values (in units of  
 1613 Bq/ml) that is deemed necessary for quantitatively accurate representation of PET SUVs. The meta-  
 1614 information may also include other information beyond that need for calculation of SUVs, i.e., the type  
 1615 and or sequencing of therapy, the blood glucose levels, the scanner SUV stability history, etc. The actual  
 1616 mechanism of capturing the information is not specified in this Profile. The intent here is to list what  
 1617 information should be captured rather than the mechanism itself. The mechanism can range from paper  
 1618 notes, to scanned forms or electronic data records, to direct entry from the measurement equipment into  
 1619 pre-specified DICOM fields (i.e., from the PET scanner or auxiliary measurement devices such as the  
 1620 radionuclide calibrator). Ideally all of the specified meta-data will be captured by direct electronic entry  
 1621 to DICOM fields, after suitable modification of the DICOM format for PET imaging.

1622 In some facility workflows, the Acquisition Device may also provide workstation/analysis tool  
 1623 functionality. For example, the display of an SUV statistic or display of Tracer Uptake Time may also apply  
 1624 to the Acquisition Device, if used in this manner.

1625 The concept endorsed here is that the needed meta-data is identified. Through revisions of this Profile,  
 1626 the DICOM standard, and technology the meta-data is inserted into the analysis stream (Figure 5) in a  
 1627 more direct manner and technology and accepted standards evolve.

1628 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
CT calibration tracking	Acquisition Device	Daily water equivalent phantom values shall be tracked in the DICOM header.
PET calibration factor	Acquisition Device	The current SUV calibration factor shall be included in the DICOM header.
PET QA status	Acquisition Device	Date/time and status of system-wide QA checks should be captured separately.
Radionuclide calibrator calibration	Acquisition Device	Calibration factor for an F-18 NIST -traceable (or equivalent) source with identifying information shall be tracked in the DICOM header with Date/Time.

Parameter	Entity/Actor	Specification
PET Scanner calibration	Acquisition Device	Shall be able to be calibrated according to the specifications in section 3.8.4
Weight	Acquisition Device	Shall be able to record patient weight in lbs or kg as supplied from the modality worklist and/or operator entry into scanner interface. Shall be stored in Patient Weight field (0010,1030) in the DICOM image header, as per DICOM standard.
		Patient weight shall be specifiable with 4 significant digits. Patient weight shall be transferrable directly from measurement device into scanner by electronic, HIS/RIS, or other means, bypassing all operator entry, but still permitting operator correction.
BMI	Acquisition Device	Depending upon the study requirements, BMI shall be specified.
Height	Acquisition Device	Shall be able to record patient height in feet/inches or cm/m as supplied from the modality worklist and/or operator entry into scanner interface. Shall be stored in Patient Size field (0010,1020) in the DICOM image header, as per DICOM standard.
		Patient height shall be specifiable with 3 significant digits. Patient height shall be transferrable directly from measurement device into scanner by electronic, HIS/RIS, or other means, bypassing all operator entry, but still permitting operator correction.
Administered Radionuclide	Acquisition Device	Shall be able to accept the radionuclide type (i.e., F-18) from the DICOM Modality Worklist either from the NM/PET Protocol Context, if present, or by deriving it from the Requested Procedure Code via a locally configurable tables of values. Shall be able to enter the radionuclide type (i.e., F-18) by operator entry into the scanner interface. Shall be recorded in Radionuclide Code Sequence (0054,0300) in the DICOM image header (e.g., (C-111A1, SRT, “ <sup>18</sup> Fluorine”).
		Shall be able to accept the radionuclide type (i.e., F-18) directly from the measurement device (dose calibrator) or management system, using the Sup 159 Radiopharmaceutical Administration Radiation Dose Report bypassing all operator entry, but still permitting operator correction.
Administered Radiotracer	Acquisition Device	Shall be able to record the specific radiotracer as supplied by operator entry into the scanner interface. Shall be recorded in Radionuclide Code Sequence field (0054,0300) in the DICOM image header, e.g., (C-B1031, SRT, “Fluorodeoxyglucose F <sup>18</sup> ”).

Parameter	Entity/Actor	Specification
Administered Radiotracer radioactivity	Acquisition Device	Shall be able to enter the administered radioactivity, in both MBq and mCi, as supplied by operator entry into the scanner interface. Shall be recorded in Radionuclide Total Dose field (0018,1074) in the DICOM image header in Bq.
		Shall be able to record with separate entry fields on scanner interface: the pre-injection 18F-Amyloid tracer radioactivity time of measurement of pre-injection 18F-Amyloid tracer radioactivity the residual activity after injection time of measurement the residual radioactivity after injection Shall automatically calculate the administered radioactivity and store in the Radionuclide Total Dose field (0018,1074) in the DICOM image header. Alternatively, shall be able to receive this information as per DICOM Supplement 159.
		Patient Administered Radiotracer radioactivity information shall be transferred directly from measurement device into scanner by electronic, HIS/RIS, or other means, bypassing all operator entry, but still permitting operator correction.
Administered Radiotracer Time	Acquisition Device	Shall be able to record the time of the start of activity injection as supplied by operator entry into the scanner interface. Shall be recorded in Radiopharmaceutical Start Date Time field (0018,1078) (preferred) or Radiopharmaceutical Start Time field (0018,1072).
		Shall be able to record the time of the start of activity injection as supplied by operator entry into the scanner interface. Shall be recorded in Radiopharmaceutical Start Date Time field (0018,1078). I.e., not Radiopharmaceutical Start Time field (0018,1072). Shall be able to record the time of the stop of activity injection as supplied by operator entry into the scanner interface. Shall be recorded in Radiopharmaceutical Stop Date Time field (0018,1079).
Decay Correction Methodology	Acquisition Device	Encoded voxel values with Rescale Slope field (0028,1053) applied shall be decay corrected by the scanner software (not the operator) to a single reference time (regardless of bed position), which is the start time of the first acquisition, which shall be encoded in the Series Time field (0008,0031) for original images. Corrected Image field (0028,0051) shall include the value "DECY" and Decay Correction field (0054,1102) shall be "START", which

Parameter	Entity/Actor	Specification
		means that the images are decay corrected to the earliest Acquisition Time (0008, 0032).
Scanning Workflow	Acquisition Device	Shall be able to support Profile Protocol (Section 3) PET and CT order(s) of acquisition. Shall be able to pre-define and save (by imaging site) a Profile acquisition Protocol for patient acquisition.
		Shall be able to interpret previously-reconstructed patient images to regenerate acquisition protocol. Shall be configurable to store (or receive) acquisition parameters as pre-defined protocols (in a proprietary or standard format), to allow re-use of such stored protocols to meet multi-center specifications and to achieve repeatable performance across time points for the same subject.
CT Acquisition Parameters	Acquisition Device	Shall record all key acquisition parameters in the CT image header, using standard DICOM fields. Includes but not limited to: Actual Field of View, Scan Duration, Scan Plane, Total Collimation Width, Single Collimation Width, Scan Pitch, Tube Potential, Tube Current, Rotation Time, Exposure and Slice Width in the DICOM image header.
CT based attenuation correction	Acquisition Device	Shall record information in PET DICOM image header which CT images were used for corrections (attenuation, scatter, etc.).
PET-CT Alignment	Acquisition Device	Shall be able to align PET and CT images within $\pm 2$ mm in any direction.
		Shall be able to align PET and CT images within $\pm 2$ mm in any direction under maximum load over the co-scan length.
CT Absorbed Radiation Dose	Acquisition Device	Shall record the absorbed dose (CTDI, DLP) in a DICOM Radiation Dose Structured Report.
Activity Concentration in the Reconstructed Images	Acquisition Device	Shall be able to store and record (rescaled) image data in units of Bq/ml and use a value of BQML for Units field (0054,1001).
Tracer Uptake Time	Acquisition Device	Shall be derivable from the difference between the Radiopharmaceutical Date Time field (0018,1078) (preferred) or Radiopharmaceutical Start Time field (0018,1072) and the Series Time field (0008,0031) or earliest Acquisition Time field (0008,0032) in the series (i.e., the start of acquisition at the first bed position), which should be reported as series time field (0008,0031).

Parameter	Entity/Actor	Specification
PET Voxel size	Acquisition Device	See Section 4.3 (PET Voxel size) under the Reconstruction Software specification requirements.
CT Voxel size	Acquisition Device	Shall be no greater than the reconstructed PET voxel size. Voxels shall be square, although are not required to be isotropic in the Z (head-foot) axis. Not required to be the same as the reconstructed PET voxel size.
Subject Positioning	Acquisition Device	Shall be able to record the subject position in the Patient Orientation Code Sequence field (0054,0410) (whether prone or supine) and Patient Gantry Relationship Code field Sequence (0054,0414) (whether head or feet first).
Scanning Direction	Acquisition Device	Shall be able to record the scanning direction (craniocaudal vs. caudocranial) into an appropriate DICOM field.
Documentation of Exam Specification	Acquisition Device	Shall be able to record and define the x-y axis FOV acquired in Field of View Dimensions (0018,1149) and reconstructed in Reconstruction Diameter (0018,1100).
		Shall be able to define the extent of anatomic coverage based on distance from defined landmark site (e.g., vertex, EAM). (both the landmark location (anatomically) and the distance scanned from landmark) would require DICOM tags). Shall be able to be reportable for future scanning sessions. The Acquisition Device shall record the z-axis FOV which represents the actual distance of scan anatomic coverage (cm).
Differential Acquisition Time	Acquisition Device	Shall be able to acquire and record non uniform scan times dependent upon areas of clinical concern. Recording can be done through the use of Actual Frame Duration (0018,1242) and Frame Reference Time (0054, 1300).
Events	Acquisition Device	Shall record any events such as patient stopped scanning session or got up out of scanner during scanning session. (These events are to be recorded on the scanning session CRF at a minimum.)
DICOM Compliance	Acquisition Device	All image data and scan parameters shall be transferable using appropriate DICOM fields according to the DICOM conformance statement for the PET scanner.
DICOM Data transfer and storage format	PET Scanner or Display Workstation	PET images shall be encoded in the DICOM PET or Enhanced PET Image Storage SOP Class, using activity-concentration units (Bq/ml) with additional parameters stored in public DICOM fields to enable calculation of SUVs. PET images shall be transferred and stored without any form of lossy compression.
DICOM Editing	Acquisition Device	Shall be able to edit all fields relevant for SUV calculation before image distribution from scanner.

Parameter	Entity/Actor	Specification
		Shall provide appropriate warnings if overriding of the current values is initiated.

1629

### 1630 4.3 Performance Assessment: Reconstruction Software

1631 Reconstruction Software shall propagate the information collected at the prior Subject Handling and  
1632 Imaging Acquisition stages and extend it with those items noted in the Reconstruction section.

1633 Data can be reconstructed including all corrections needed for quantification as well as without scatter  
1634 and attenuation correction. Analytical or iterative reconstruction methods should be applied. If the system  
1635 is capable of providing resolution recovery and/or time of flight, then the decision to ‘turn on’ or ‘turn off’  
1636 this /these capabilities should be made prospectively, as dictated by the specific protocol, and should be  
1637 consistent for a given subject across multiple time points.

1638 Standardization of reconstruction settings is necessary to obtain comparable resolution and SUV  
1639 recoveries across the same subject and inter-subject across sites.

#### 1640 SPECIFICATIONS

Parameter	Entity/Actor	Specification
Metadata	Reconstruction Software	Shall be able to accurately propagate the information collected at the prior stages and extend it with those items noted in the Reconstruction section.
Data Corrections	Reconstruction Software	PET emission data must be able to be corrected for geometrical response and detector efficiency, system dead time, random coincidences, scatter and attenuation.
Reconstruction Methodology	Reconstruction Software	Shall be able to provide iterative and/or analytical (e.g., filtered back projection) reconstruction algorithms.
		Shall be able to indicate, for both TOF and Resolution recovery, if either is being used for purposes of image reconstruction.
Reconstruction Methodology / Output	Reconstruction Software	Shall be able to perform reconstructions with and without attenuation correction.
Data Reconstruction 2D/3D Compatibility	Reconstruction Software	Shall be able to perform reconstruction of data acquired in 3D mode using 3D image reconstruction algorithms. If 3D mode data can be re-binned into 2D mode, shall be able to perform reconstruction of data acquired in 3D mode using 2D image reconstruction algorithms.

Parameter	Entity/Actor	Specification
Quantitative calibration	Reconstruction software	Shall apply appropriate quantitative calibration factors such that all images have units of activity concentration, e.g., kBq/mL.
Voxel size	Reconstruction software	Shall allow the user to define the image voxel size by adjusting the matrix dimensions and/or diameter of the reconstruction field-of-view.
		Shall be able to reconstruct PET voxels with a size 2.5 mm or less in the transaxial directions and 2.5 mm or less in the axial dimension (as recorded in Voxel Spacing field (0028,0030) and computed from the reconstruction interval between Image Position (Patient) (0020,0032) values of successive slices) (3.27 mm in z-direction permissible; older scanners with greater slice thickness not as recommended). Pixels shall be square, although voxels are not required to be isotropic in the z (head-foot) axis.
		Shall be able to reconstruct PET voxels with a size of 2 mm or less in all three dimensions (as recorded in Voxel Spacing field (0028,0030) and computed from the reconstruction interval between Image Position (Patient) (0020,0032) values of successive slices). Voxels shall be isotropic.
Reconstruction parameters	Reconstruction software	Shall allow the user to control image noise and spatial resolution by adjusting reconstruction parameters, e.g., number of iterations, post-reconstruction filters.
		Shall be able to record reconstruction parameters used in image DICOM header using the Enhanced PET IOD, developed by DICOM working group.
Reconstruction protocols	Reconstruction software	Shall allow a set of reconstruction parameters to be saved and automatically applied (without manual intervention) to future studies as needed.

1641

#### 1642 4.4 Performance Assessment: Image Analysis Workstation

1643 Currently, there is no commercially available tool with which image analysis workstation conformance can  
1644 be assessed. Versions of a Hoffmann brain DRO have been used by some labs to perform some of the  
1645 necessary tasks, but not all requirements, as defined in this Profile can be assessed with this/these DROs.

1646 A digital reference object (DRO) series of synthetic PET volumes derived from a single patient's MRI scan  
1647 (also provided) shall be used to evaluate conformance of the image analysis workstation (IAW). Users  
1648 should use the DRO series (as per the DRO user's guide in Appendix F) to verify correct implementation of  
1649 VOI placement for both target and reference regions, SUVR calculations, PET alignment to standardized  
1650 atlases (when applicable), system linearity and system reproducibility.

1651 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Performance Evaluation	Image Analyst & Analysis Workstation	Shall use the DRO series to verify adequate performance as described in Appendix F and save the results with any study compliant with this Profile.
Repeatability	Image Analysis Workstation	Shall be validated to achieve repeatability with a within-subject CV of less than or equal to 2.6%. See Appendix F.
	Image Analyst	Shall, if operator interaction is required by the Image Analysis Workstation tool to perform measurement, be validated to achieve repeatability with a within-subject CV of less than or equal to 2.6%. See Appendix F.
Linearity	Image Analysis Workstation	Shall be validated to achieve: <ul style="list-style-type: none"> <li>• slope (<math>\hat{A}_1</math>) between 0.95 and 1.05</li> <li>• R-squared (<math>R^2</math>) &gt;0.90</li> </ul> See Appendix F.

1652

1653 The post-processing software, which may be integral to the scanner workstation or provide by a third-party vendor, shall have the ability to perform the operations specified in Section 3.3.2, Image Data Post-processing.

1656 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Metadata	Image Post-processing workstation	Shall be able to accurately propagate the information collected at the prior stages and extend it with those items noted in the Image Analysis Workstation section.
		Shall be able to display all information that affects SUVs either directly in calculation (e.g., region of interest intensity) or indirectly (image acquisition parameters).
Image acquisition parameters: Display	Image Post-processing workstation	Shall be capable to display or include link to display the number of minutes between injection and initiation of imaging (as per derivation guidelines described in Section 4.2), and the duration of each timeframe in cases where the image consists of multiple timeframes.

1657

1658 The Image Post-processing workstation will allow for the following operations that may or may not have been performed as part of image reconstruction.

1659

1660 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Decay correction	Image Post-processing workstation	Shall allow for image decay correction if not performed during reconstruction. Shall use either the Acquisition Time field (0008,0032) or Radiopharmaceutical Start Time (0018,1072), if necessary. If a series (derived or not) is based on Acquisition Time decay correction, the earliest Acquisition Time (0008,0032) shall be used as the reference time for decay correction.
Image orientation	Image Post-processing workstation	Shall allow user to orient image per protocol in x, y, and z directions.
Intra-scan, inter-frame alignment	Image Post-processing workstation	Shall be able to automatically spatially align the different timeframes that may have been acquired
Intra-scan, inter-frame alignment	Image Post-processing workstation	Shall allow selection of an anchor frame to which other frames are aligned
Intra-scan, inter-frame alignment	Image Post-processing workstation	Shall measure and display the translational and rotational parameters necessary to align each frame to the reference frame.
Static image creation	Image Post-processing workstation	Shall allow exclusion of one or more frames from the static image that is created through frame averaging or summation
Static image creation	Image Post-processing workstation	Shall be able to sum and/or average the selected timeframes to create a static image for analysis
Smoothing	Image Post-processing workstation	Shall be able to apply a 3D smoothing filter if indicated as part of study protocol
Data storage and transfer	Image Post-processing workstation	Shall be able to store images after each major step of image manipulation (e.g., after frame summation)

1661

1662 The features required of the analysis workstation are dependent in part upon the methods chosen for  
 1663 definition and application of the target and reference regions of interest to the PET scan. Certain  
 1664 additional features such as kinetic modeling for full dynamic scans, partial volume correction, and MRI  
 1665 segmentation to create regions of interest may also be relevant per study protocol, but their description  
 1666 is beyond the scope of this document.

1667 **SPECIFICATIONS**

Parameter	Entity/Actor	Specification
Image Quality control: Visual inspection	Image Analysis workstation	Shall be able to display each image in a manner such that all image slices in the transaxial, sagittal, and coronal views may be examined visually.

Parameter	Entity/Actor	Specification
Spatial mapping: Image fusion (co-registration)	Image Analysis workstation	Shall be able to automatically and accurately spatially align the PET image with the subject's MRI scan in cases where this approach is implemented.
Spatial mapping: Co-registration between visits	Image Analysis workstation	Shall be able to automatically and accurately spatially align multiple PET visits to one another when this approach is implemented.
Spatial Mapping: warp to template	Image Analysis workstation	Shall be able to automatically and accurately spatially map the subject's scan and template to each other when this approach is implemented.
Target and reference region definition	Image Analysis workstation	Shall provide either the means for defining target and reference region of interest boundaries to be applied to the subject scan, or for importing pre-defined region of interest boundaries (or masks) that may have been generated using other software (such as generated through segmentation of subject's MRI or pre-defined based upon an image template and atlas).
SUVR image creation	Image Analysis workstation	Shall be able to create an SUVR image by dividing each voxel by the average value within a selected reference region, if this option is implemented.
Region placement	Image Analysis workstation	Shall be able to apply (place for measurement) pre-specified regions of interest onto the PET scan in an anatomically accurate manner.
Region placement quality control	Image Analysis workstation	Shall allow means for quality assurance that regions for measurement have been accurately placed on the PET scan (either by final region placement inspection and/or inspection and/or automatic quality measurements performed at each image manipulation step). (Accuracy is defined by alignment with the target tissue, placed on the correct region or structure without overlap into unintended CSF or white matter.)
Region of interest measurement	Image Analysis workstation	Shall be able to calculate the mean value within each region of interest, and store for SUVR calculations (if not based on an SUVR image) and/or reporting.
SUVR calculation	Image Analysis workstation	Shall be able to calculate SUVR values by dividing the mean value in a target region by the mean value in the reference region (if not based on an SUVR image).
SUVR output	Image Analysis workstation	Shall be able to store and output SUVR values for display and for transfer to a study report, to a precision as required by the study protocol.

1668

## 1669 4.5 Performance Assessment: Software Version Tracking

1670 Ideally, the PET scanner should be able to build a list on the console of the dates of all software versions  
1671 (software changes that might impact quantitative accuracy would typically be inclusive of hardware  
1672 change). Furthermore, the scanner software version should be identified and tracked across time, with  
1673 updates and changes in scanner software noted during the trial. At a minimum, Software Versions should  
1674 be manually recorded during the qualification along with the phantom imaging performance data and the  
1675 record should be updated for every software-upgrade over the duration of the trial. This includes the  
1676 flagging of the impact on quantification for now; in the future, record all software version numbers in  
1677 DICOM header.

### 1678 SPECIFICATIONS

Parameter	Entity/Actor	Specification
Software Version tracking	Acquisition Device	Shall record the software version(s) used for acquisition and reconstruction in appropriate DICOM field(s).
Software version back-testing compatibility	Workstation	Shall provide mechanism to provide analysis of the image data using updated as well as prior (platform-specific) versions of analysis software.

1679

1680

1681 **5. References**1682 **Test-Retest Papers**1683 **Inter-scan period less than 60 days**

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**6. Appendices**

<b>Appendix</b>	<b>Topic</b>
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J	SNMMI PAT Uniformity Test Report Example
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1975

1976 **6.1 Appendix A: Acknowledgements and Attributions**

1977 This document is proffered by the Radiological Society of North America (RSNA) Quantitative Imaging  
 1978 Biomarker Alliance (QIBA) Nuclear Medicine Coordinating Committee. The Amyloid PET Biomarker  
 1979 Committee, a subcommittee of the Nuclear Medicine Coordinating Committee, is composed of physicians,  
 1980 scientists, engineers and statisticians representing the imaging device manufacturers, image analysis  
 1981 software developers, image analysis facilities and laboratories, biopharmaceutical companies, academic  
 1982 institutions, government research organizations, professional societies, and regulatory agencies, among  
 1983 others. A more detailed description of the QIBA Amyloid-PET Biomarker Committee and its work can be  
 1984 found at the following web link: [http://qibawiki.rsna.org/index.php/PET\\_Amyloid\\_Biomarker\\_Ctte](http://qibawiki.rsna.org/index.php/PET_Amyloid_Biomarker_Ctte)

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1987 The Amyloid PET Biomarker Committee and Nuclear Medicine Coordinating Committee are deeply  
 1988 grateful for the support and technical assistance provided by the staff of the Radiological Society of North  
 1989 America.  
 1990

## 6.2 Appendix B: Background Information for Claim

A meta-analysis of published data was performed to determine the repeatability of amyloid PET imaging with <sup>18</sup>F Fluorine labeled radiotracers. Two types of repeatability studies were considered. The first of these restricted the test-retest period to less than 60 days, over which factors such as longer-term scanner drift or appreciable amyloid accumulation would not occur. These studies provided the basis of the wCV value used in the technical performance Claim. The second set of studies compared baseline values to those acquired after a two-year period, a typical clinical trial duration. Since amyloid accumulation is unlikely to occur in a majority (though not all) of amyloid negative cognitively normal subjects, longitudinal values in this group were examined. These studies were not used to determine the wCV but did provide a practical indicator of longer-term technical variance given a population presumed to be fairly stable with regard to amyloid pathology.

**Test-Retest studies:** Test-retest amyloid PET studies were identified for the tracers florbetapir (Joshi et al, 2012, scans within 4 weeks) and flutemetamol (Vandenberghe et al, 2010, scans 7 to 13 days apart). Other available studies with images acquired during this time period were excluded for reasons including: a) use of <sup>11</sup>C-PIB and a 60-to-90-minute timeframe at the end of a full dynamic scanning session where greater technical variability is observed; this can be due to subject motion and also to low signal whereby decay correction amplifies the noise contribution; and b) intentional varying of administered radioactivity during the study to test the impact of that parameter. The study by Joshi et al acquired florbetapir PET images in 10 AD patients and 10 healthy controls (HC) over a time window of 50 to 70 minutes post injection and used whole cerebellum as the reference region. Mean Repeatability Coefficient (RC) and 95% confidence intervals (CI) were 5.38% (3.76% to 9.44%) for AD subjects and 3.32% (2.32% to 5.84%) for HC. Values for wCV were 1.94% and 1.20% respectively. The study by Vandenberghe et al acquired flutemetamol PET images in 5 AD patients over a time period of 85 to 115 minutes post injection and used cerebellar cortex as the reference region. Mean Repeatability Coefficient (RC) was 3.18% with a 95% CI of 1.99% to 7.81%. The value for wCV was 1.15%. The greatest (“worst”) value of 1.94% from these studies was applied to the Claim. As noted in the Claim Considerations, the number of short-term test-retest studies was a limitation, and for this reason and for practical context, this value was also compared to the wCVs calculated for the longer-term studies described below.

**Longer term longitudinal variability:** Several studies have examined the effects of applying different reference regions or other parameters to amyloid SUVR data acquired over one or two years. Two studies were identified that measured amyloid SUVR in florbetapir PET scans acquired in subjects from the Alzheimer’s Disease Neuroimaging Initiative (ADNI) at baseline and after 2 years. This period is representative of a clinical trial duration. The table below shows the RC means and 95% CI for these studies, using different reference regions. The mean RC in four of the five cases ranged from 3.45% to 4.45%, within the range of 3.18% to 5.38% of the short-term test-retest studies described above (Joshi, Vandenberghe). In the Brendel analyses, SUVRs measured using the same subjects but two different reference regions resulted in an RC% of 9.37% that was more than 2x larger when using a whole (full) cerebellum reference as that using white matter as a reference. This was also double the RC% measured by Chen using a different subset of ADNI scans across three different reference regions: pons, cerebellar cortex, and subcortical white matter. These comparisons suggest the following: 1) even over a longitudinal period of 2 years, it is feasible to achieve the wCV identified through the short-term test-retest studies above; and 2) choice of reference region coupled with analysis methods can materially impact the RC% and wCV, using the same subject scans.

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Author	Chen et al 2015	Chen et al 2015	Chen et al 2015	Brendel et al 2015	Brendel et al 2015
Population	CN	CN	CN	CN	CN
Number of subjects	88	88	88	62	62
Amyloid status	Negative	Negative	Negative	Negative	Negative
Time between scans	2 years	2 years	2 years	2 years	2 years
Reference Region	Pons	Cerebellum	White	Full cerebellum	White
RC%	3.45%	4.45%	4.28%	9.37%	3.81%
95% CI - lower	3.01%	3.87%	3.73%	7.97%	3.24%
95% CI - upper	4.05%	5.21%	5.02%	11.36%	4.61%

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CN = cognitively normal

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## 6.3 Appendix C: Conventions and Definitions

### 6.3.1 Convention Used to Represent Profile requirements

Requirements for adhering to this Profile are presented in tables/boxes as shown in the example below. Shaded boxes are intended future requirements and are not at this time required for adhering to the Profile.

Illustrative example:

Parameter Entity/Actor Normative text: Clear boxes are current requirements

Shaded boxes are intended for future requirements

Phantom tests: transaxial uniformity measurement	Imaging Site	Using ACR, uniform cylinder phantom or equivalent shall obtain an SUV for a large central ROI of 1.0 with an acceptable range of 0.9 to 1.1.
		Using ACR or uniform cylinder phantom or equivalent shall obtain an SUV for a large central ROI of 1.0 with an acceptable range of 0.95 to 1.05.

Items within tables are normative (i.e., required to be conformant with the QIBA Profile). The intent of the normative text is to be prescriptive and detailed to facilitate implementation. In general, the intent is to specify the final state or output, and not how that is to be achieved.

All other text outside of these tables is considered informative only.

### 6.3.2 Definitions

3D	Three-dimensional
11C	Carbon-11, an isotope of carbon
18F	Flourine-18, an isotope of fluorine
AB	Amyloid-B
AC	Attenuation Correction. Attenuation is an effect that occurs when photons emitted by the radiotracer inside the body are absorbed by intervening tissue. The result is that structures deep in the body are reconstructed as having falsely low (or even negative) tracer uptake. Contemporary PET/CT scanners estimate attenuation using integrated x-ray CT equipment. While attenuation-corrected images are generally faithful representations of radiotracer distribution, the correction process is itself susceptible to significant artifacts.
Accreditation	Approval by an independent body or group for broad clinical usage (requires ongoing QA/QC) e.g., ACR, IAC, TJC.
AD	Alzheimer's Disease
ALARA	As Low As Reasonably Achievable
BBB	Blood Brain Barrier

BP <sub>ND</sub>	Binding Potential. BP <sub>ND</sub> is the ratio of the density of available receptors to the affinity of the tracer for the receptor, corrected for the free fraction of ligand in the non-displaceable compartment.
CLIA	Clinical Laboratory Improvement Amendments: Accreditation system for establishing quality standards for laboratory testing.
Co-57	Cobalt-57, an isotope of cobalt
Conformance	Meeting the list of requirements described in this document, which are necessary to meet the measurement claims for this QIBA Profile.
CRF	Case Report Form (CRF) is a paper or electronic questionnaire specifically used in clinical trial research. The CRF is used by the sponsor of the clinical trial (or designated CRO etc.) to collect data from each participating site. All data on each patient participating in a clinical trial are held and/or documented in the CRF, including adverse events.
CRO	Contract Research Organization. A commercial or not-for-profit organization designated to perform a centralized and standardized collection, analysis, and/or review of the data generated during a clinical trial. Additional activities which may be performed by an imaging core lab include training and qualification of imaging centers for the specific imaging required in a clinical trial, development of imaging acquisition manuals, development of independent imaging review charters, centralized collection and archiving of images received from study sites, performing pre-specified quality control checks/tests on incoming images and development and implementation of quality assurance processes and procedures to ensure that images submitted are in accord with imaging time points specified in the study protocol and consistent with the quality required to allow the protocol-specified analysis /assessments
Cs-137	Cesium-137, an isotope of Cesium
CSF	Cerebrospinal fluid
CT	X-ray computed tomography (CT) is a medical imaging technique that utilizes X-rays to produce tomographic images of the relative x-ray absorption, which is closely linked to tissue density.
CTDI	Computed tomography dose index
DICOM	Digital Imaging and Communications in Medicine (DICOM) is a set of standards for medical images and related information. It defines formats for medical images that can be exchanged in a manner that preserves the data and quality necessary for clinical use.
DLP	Dose length product
Dose	Can refer to either radiation dose or as a jargon term for 'total radioactivity'. For example, 10 mCi of 18F-FDG is often referred to as a 10 mCi dose.
DRO	Digital Reference Object
DVR	Distribution Volume Ratio
FDG	Fluorodeoxyglucose
FWHM	Full width at half maximum

HIPAA	Health Insurance Portability and Accountability Act
IAC	The Intersocietal Accreditation Commission (IAC) provides accreditation programs for Vascular Testing, Echocardiography, Nuclear/PET, MRI, CT/Dental, Carotid Stenting and Vein Center.
IAEA	International Atomic Energy Agency
IOD	Information Object Definition
kBq	Kilobecquerel
kVp	Peak kilovoltage
LBM	Lean Body Mass is calculated by subtracting body fat weight from total body weight. The Lean body mass (LBM) has been described as an index superior to total body weight for prescribing proper levels of medications and for assessing metabolic disorders.
mAs	Milliampere-seconds
MBq	Megabecquerel. An SI-derived unit of radioactivity defined as $1.0 \times 10^6$ decays per second.
MCI	Mild Cognitive Impairment
mCi	millicuries. A non-SI unit of radioactivity, defined as $1 \text{ mCi} = 3.7 \times 10^7$ decays per second. Clinical FDG-PET studies inject (typically) 5 to 15 mCi of $^{18}\text{F}$ -FDG.
mpi	minutes post injection
MRI	Magnetic Resonance Imaging
NA	North America
NTP	Network Time Protocol
PACS	Picture archiving and communication system
PiB	Pittsburgh compound B, a radioactive analog of thioflavin T.
PET	Positron emission tomography (PET) is a tomographic imaging technique that produces an image of the in vivo distribution of a radiotracer, typically FDG.
PET/CT	Positron emission tomography / computed tomography (PET/CT) is a medical imaging system that combines in a single gantry system both Positron Emission Tomography (PET) and an x-ray Computed Tomography (CT) scanners, so that images acquired from both devices can be taken nearly-simultaneously.
PSF	Point Spread Function
PVEc	Partial Volume Effects Correction
QA	Quality Assurance. Proactive definition of the process or procedures for task performance. The maintenance of a desired level of quality in a service or product, esp. by means of attention to every stage of the process of delivery or production.
QC	Quality Control. Specific tests performed to ensure target requirements of a QA program are met. Typically, this is done by testing a sample of the output against the specification.

QIBA	Quantitative Imaging Biomarkers Alliance. The Quantitative Imaging Biomarkers Alliance (QIBA) was organized by RSNA in 2007 to unite researchers, healthcare professionals and industry stakeholders in the advancement of quantitative imaging and the use of biomarkers in clinical trials and practice.
Qualification	Approved by an independent body or group for either general participation in clinical research (ACRIN-CQIE, SNM-CTN others) or for a specific clinical trial (requires ongoing QA/QC). This includes CROs, ACRIN, SNM-CTN, CALGB and other core laboratories.
ROI	Region of interest. A region in an image that is specified in some manner, typically with user-controlled graphical elements that can be either 2D areas or 3D volumes. These elements include, but not limited to, ellipses, ellipsoids, rectangles, rectangular volumes, circles, cylinders, polygons, and free-form shapes. An ROI can also be defined by a segmentation algorithm that operates on the image. Segmentation algorithms include, but are not limited to, fixed-value thresholding, fixed-percentage thresholding, gradient edge detection, and Bayesian methods. With the definition of an ROI, metrics are then calculated for the portion of the image within the ROI. These metrics can include, but are not limited to, mean, maximum, standard deviation, and volume or area. Note that the term ROI can refer to a 2D area on a single image slice or a 3D volume. In some cases, the term ROI is used to refer to 2D area and the term volume of interest (VOI) is used to refer to a 3D volume. In this Profile, the term ROI is used to refer to both 2D areas and 3D volumes as needed.
SUV	Standardized Uptake Value. A measure of relative radiotracer uptake within the body. Typically defined for a time point $t$ as
SUV <sub>max</sub>	The maximum SUV within the ROI.
SUV <sub>mean</sub>	The average SUV within the ROI.
SUV <sub>peak</sub>	The average SUV within a fixed-sized ROI, typically a 1 cm diameter sphere. The sphere's location is adjusted such that the average SUV is maximized.
Tc-99m	Technetium-99m, an isotope of technetium
TOF	Time of Flight (TOF) is a PET imaging technique utilizing differential annihilation photon travel times to more accurately localize the in vivo distribution of a radiotracer.
USP	United States Pharmacopeial Convention establishes written and physical (reference) standards for medicines, food ingredients, dietary supplement products and ingredients in the U.S.
VOI	Volume of Interest

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Organizations

AAPM	The American Association of Physicists in Medicine is a member society concerned with the topics of medical physics, radiation oncology, imaging physics. The AAPM is a scientific, educational, and professional organization of 8156 medical physicists.
ABNM	American Board of Nuclear Medicine
ABR	The American Board of Radiology

ABSNM	Nuclear Medicine Physics by the American Board of Science in Nuclear Medicine
ACR	The 36,000 members of  include radiologists, radiation oncologists, medical physicists, interventional radiologists, nuclear medicine physicians and allied health professionals.
ACRIN	The American College of Radiology Imaging Network (ACRIN) is a program of the American College of Radiology and a National Cancer Institute cooperative group. Focused on cancer-related research in clinical trials.
ANSI	American National Standards Institute
CQIE	The Centers of Quantitative Imaging Excellence (CQIE) program was developed by ACRIN in response to a solicitation for proposals issued in December 2009 by SAIC-Frederick on behalf of the National Cancer Institute (NCI). The primary objective of the CQIE Program is to establish a resource of ‘trial ready’ sites within the NCI Cancer Centers Program that are capable of conducting clinical trials in which there is an integral molecular and/or functional advanced imaging endpoint.
CRO	Contract Research Organization. A commercial or not-for-profit organization designated to perform a centralized and standardized collection, analysis, and/or review of the data generated during a clinical trial. Additional activities which may be performed by an imaging core lab include training and qualification of imaging centers for the specific imaging required in a clinical trial, development of imaging acquisition manuals, development of independent imaging review charters, centralized collection and archiving of images received from study sites, performing pre-specified quality control checks/tests on incoming images and development and implementation of quality assurance processes and procedures to ensure that images submitted are in accord with imaging time points specified in the study protocol and consistent with the quality required to allow the protocol-specified analysis /assessments
CTN	The Clinical Trials Network (CTN) was formed by SNMMI in 2008 to facilitate the effective use of molecular imaging biomarkers in clinical trials.
EANM	The European Association of Nuclear Medicine (EANM) constitutes the European umbrella organization of nuclear medicine in Europe
EARL	EANM Research Ltd (EARL) was formed by EANM in 2006 to promote multicenter nuclear medicine and research.
ECOG-ACRIN	A National Cancer Institute cooperative group formed from the 2012 merger of the Eastern Cooperative Oncology Group (ECOG) and the American College of Radiology Imaging Network (ACRIN).
EMA	European Medicines Agency is a European Union agency for the evaluation of medicinal products. Roughly parallel to the U.S. Food and Drug Administration (FDA), but without FDA-style centralization.
EU	European Union
FDA	Food and Drug Administration is responsible for protecting and promoting public health in the U.S. through the regulation and supervision of food safety, tobacco products, dietary supplements, prescription and over-the-counter pharmaceutical medications, vaccines, biopharmaceuticals, blood transfusions, medical devices, electromagnetic radiation emitting devices, and veterinary products.

HIPAA	Health Insurance Portability and Accountability Act
IAC	The Intersocietal Accreditation Commission (IAC) provides accreditation programs for Vascular Testing, Echocardiography, Nuclear/PET, MRI, CT/Dental, Carotid Stenting and Vein Center.
IAEA	International Atomic Energy Agency
MITA	The Medical Imaging & Technology Alliance is a division NEMA that develops and promotes standards for medical imaging and radiation therapy equipment. These standards are voluntary guidelines that establish commonly accepted methods of design, production, testing and communication for imaging and cancer treatment products.
NEMA	National Electrical Manufacturers Association is a forum for the development of technical standards by electrical equipment manufacturers.
NIST	National Institute of Standards and Technology is a measurement standards laboratory which is a non-regulatory agency of the United States Department of Commerce.
QIBA	Quantitative Imaging Biomarkers Alliance. The Quantitative Imaging Biomarkers Alliance (QIBA) was organized by RSNA in 2007 to unite researchers, healthcare professionals and industry stakeholders in the advancement of quantitative imaging and the use of biomarkers in clinical trials and practice.
RSNA	Radiological Society of North America (RSNA). A professional medical imaging society with more than 47,000 members, including radiologists, radiation oncologists, medical physicists and allied scientists. The RSNA hosts the world's largest annual medical meeting.
SNMMI	Society of Nuclear Medicine and Molecular Imaging (formerly called the Society of Nuclear Medicine (SNM)). A nonprofit scientific and professional organization that promotes the science, technology and practical application of nuclear medicine and molecular imaging. SNMMI represents 18,000 nuclear and molecular imaging professionals worldwide. Members include physicians, technologists, physicists, pharmacists, scientists, laboratory professionals and more
TJC	The Joint Commission (TJC) accredits and certifies health care organizations and programs in the United States.
UPICT	Uniform Protocols for Imaging in Clinical Trials (UPICT). An RSNA-QIBA initiative that seeks to provide a library of annotated protocols that support clinical trials within institutions, cooperative groups, and trials consortia. The UPICT protocols are based on consensus standards that meet a minimum set of criteria to ensure imaging data quality.

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2058 **6.4 Appendix D: Model-specific Instructions and Parameters**

2059 The presence of specific product models/versions in the following tables should not be taken to imply that  
 2060 those products are fully in conformance with the QIBA Profile. Conformance with a Profile involves  
 2061 meeting a variety of requirements of which operating by these parameters is just one. To determine if a  
 2062 product (and a specific model/version of that product) is conformant, please refer to the QIBA  
 2063 Conformance Document for that product.

2064 **6.4.1 Image Acquisition Parameters**

2065 PET image acquisition parameters have been optimized through large multi-site studies such as the  
 2066 Alzheimer’s Disease Neuroimaging Initiative (ADNI), and many clinical trials have adopted these data  
 2067 acquisition protocols. For each phase of ADNI, the protocols for each of the scanners included in the study  
 2068 (a range of Siemens, GE, and Philips models) have been made available on-line, including both acquisition  
 2069 and reconstruction parameters.

2071 **6.4.2 Quality Assurance Procedures**

2072 Examples of recommend quality assurance procedures are shown for specific GE, Philips, and Siemens  
 2073 PET/CT scanners in the tables below. However, since equipment models continually evolve, it is important  
 2074 to reference the manufacturer’s specifications for the particular models of equipment in use for data  
 2075 acquisition.

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QC procedures and schedules for Philips Gemini TF, V3.3 and V3.4			
Device	QA Procedure	Frequency	
CT	Tube Calibration	Daily	
	Air Calibration	Daily	
	Noise. On head phantom	Daily	
	Noise and Artifacts. On body phantom	Daily	
	Contrast scale and artifacts	Monthly	
	Impulse Response	Advanced test as needed	
	Slice thickness	Advanced test as needed	
PET	Daily PET CT	System Initialization	Daily
		Baseline collection (analog offsets of all photomultiplier channels)	Daily
		PMT gain calibration	Daily
		Energy test and analysis	Daily
		Timing test	Daily
	AutoQC	Emission sinogram collection and analysis	Daily
		Automated System Initialization	Daily, prescheduled to shorten daily QC
	Uniformity check	Automated Baseline collection	Daily, prescheduled to shorten daily QC
			Monthly
	SUV calibration		Every 6 months, after recalibration, when SUV validation shows discrepancy
SUV validation		Every 2 months, when PM is performed	

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QA procedures and schedules for GE Discovery ST, STE, Rx and Discovery 600/700 series PET/CT systems				
Device	QA Procedure	Frequency		
Computers	System reboot	Daily or as needed		
	CT tube warm up	Daily or after 2 hours of inactivity		
CT	Air calibrations (fast cals)	Daily		
	Generator calibrations	Daily		
	CT QA phantom	Contrast Scale	Acquire scans daily	
		High Contrast Spatial Resolution	Acquire scans daily	
		Low Contrast Detectability	Acquire scans daily	
		Noise and Uniformity	Acquire scans daily	
		Slice Thickness	Acquire scans daily	
	PET	Full system calibration	Performed after tube replacement or as PM	
		PET Daily Quality Assurance (DQA)	Coincidence	Daily
			PET coincidence mean	Daily
PET coincidence variance			Daily	
Singles			Daily	
PET singles mean			Daily	
PET singles variance			Daily	
Deadtime			Daily	
PET mean deadtime			Daily	
Timing			Daily	
PET timing mean			Daily	
Energy		Daily		
PET energy shift		Daily		
PET singles update gain		Weekly		
Clean database		Weekly		
PET 2D normalization		Quarterly (if appropriate for the system)		
PET 2D well counter correction		Quarterly (if appropriate for the system)		
PET 3D normalization and well counter correction	Quarterly			
Establish new DQA baseline	Quarterly			
Ge-68 source pin replacement	Every 18 months			

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QA procedures and schedules for Siemens Biograph 6/16 Hi-Rez, Biograph 16 Truepoint, Biograph 16 Truepoint with TrueV, PET Syngo 2010A, Biograph mCT

Device	QA Procedure	Frequency	
Computers	Restart computers	Daily at Startup	
	Clear scheduler	Daily	
	Clear network, local, and film queues	Four times daily	
	Archive patient data	Daily	
	System cleanup/defragmentation	Weekly	
CT	CT Checkup/Calibration	Daily, after 60 minutes of full load, within 1 hour of patient scan	
	CT Quality	Water HU	Daily
		Pixel noise	Daily
Tube voltages		Daily	
PET	PET Daily QC	Daily normalization	Daily
		Computation/ verification of the PET calibration factor (ECF)	Daily
		Normalization results display and sinogram inspection	Daily
		System quality report	Daily
		Partial detector setup: generate crystal region maps/energy profiles	Weekly
		Full detector setup and time alignment	Quarterly

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## 6.5 Appendix E: Data fields to be recorded in the Common Data Format Mechanism

The list below comprises meta-information (i.e., in addition to image values of kBq/ml) that is necessary for quantitatively accurate (i.e., known and minimal uncertainties) of PET SUVRs. The intent here is to list what information should be captured rather than the mechanism itself. The format and corresponding mechanism of data capture/presentation is currently unspecified, but ranges from paper notes, to scanned forms or electronic data records, to direct entry from the measurement equipment (i.e., the PET/CT scanner or auxiliary measurement devices such as the radionuclide calibrator) into pre-specified DICOM fields. Ideally all the specified meta-data will be captured by direct electronic entry to DICOM fields, after suitable modification of the DICOM format for PET imaging.

The concept endorsed here is that the needed meta-data is identified. Through revisions of this Profile, the DICOM standard, and technology the meta-data is inserted into the analysis stream (Figure 5) in a more direct manner and technology and accepted standards evolve.

- The needed information, where feasible, is listed in order from least frequently changing to most frequently changing.
- In all cases note whether measurements are made directly or estimated. If the latter case, note the source of information and the date and time (e.g., if subject cannot be moved from bed to measure weight or height).

Data fields to be recorded:

1. Site specific
  - a. Site information (include name and/or other identifiers)
  - b. Scanner make and model
  - c. Hardware Version numbers
  - d. Software Version numbers
  - e. Confirmation that scanner used was previously qualified (or not)
2. Protocol specific
  - a. PET
    - i. Duration per bed
    - ii. Acquisition mode (3D)
    - iii. Reconstruction method
  - b. CT technique (if PET/CT scan)
3. Scanner specific QA/QC
  - a. Most recent calibration factors (scanner)
  - b. Scanner daily check values
  - c. most recent clock check
  - d. most recent scanner QA/QC
4. Subject exam specific
  - a. Weight (optional)
  - b. Pre- and post-injection assayed activities and times of assay
  - c. Injection time

- 2124 d. Site of injection (and assessment of infiltration)
- 2125 e. Net injected activity (calculated including decay correction)
- 2126 f. Uptake time
- 2127
- 2128

## 6.6 Appendix F: Testing PET Measurement Systems with the UW-PET QIBA Amyloid Digital Reference Object (DRO)

### 6.6.1 DRO Description

The University of Washington-PET QIBA PET Amyloid DRO series is a synthetically generated set of DICOM image files of known voxel values for PET. The PET data were derived from a single deidentified subject's MRI scan (provided with the DRO series). The UW-PET QIBA DRO series is intended to test the computation of standardized uptake value ratios (SUVRs) by PET amyloid image analysis workstations (IAWs). This is motivated by vendor-specific variations in PET amyloid IAWs. The development of the UW-PET QIBA DRO series is supported by the Quantitative Imaging Biomarker Alliance (QIBA) and the University of Washington.

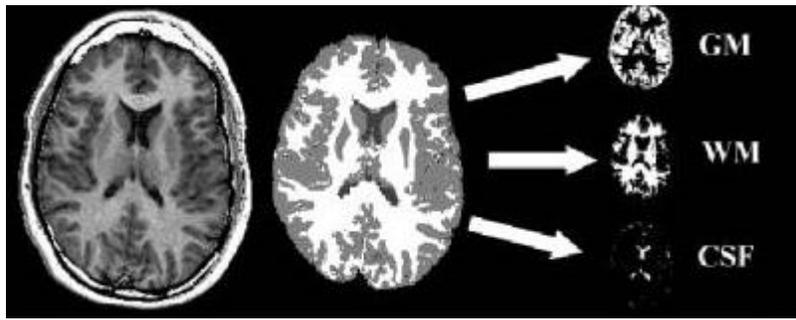
The primary goals and objectives of the UW-PET QIBA DRO series are to support the QIBA PET amyloid 'Performance Assessment: Image Analysis Workstation and Software' efforts for Profile development. This will be done by (1) visual evaluation of the target and reference region placement, (2) evaluation and validation of SUVR calculations with regards to reproducibility and linearity and (3) providing a common reference standard that can be adopted and modified by IAW manufacturers.

As mentioned above, the UW-PET QIBA PET Amyloid DRO series is based on a single segmented MRI scan of a patient. The MRI scan digitally had the skull and skin removed, and then was segmented into GM, WM, and CSF, which allows for different values of PET activity to be simulated in these regions. Six different versions of the same "subject" (having the same brain morphology) have been created, each with a different ratio of cortical gray tissue value to white tissue value. These simulate progressive levels of tracer uptake (in this case, amyloid accumulation) in cortex. The cerebellar cortex is maintained at a constant value, simulating gray tissue devoid of tracer target and uptake. The range of values (ratios between cortical tissue and white tissue) was selected to cover negative and positive SUVR values that could be encountered using a range of tracers including florbetapir and flutemetamol.

These simulated images have been modulated with digital noise to simulate the somewhat lower resolution and increased technical noise that would be expected in a PET image. For each ratio of gray to white matter, five different "noise instances" have been created in which random digital noise was applied to the image. These instances are intended to capture additional technical variability that would be encountered in clinical PET images. However, for each of the six ratio versions, the noise variation should not impact the mean SUVR value measured in the tissue.

**The simulated PET scans that comprise the DRO series are deidentified, and any subject or birth date information present in the image headers do not represent an actual individual.** The file names for each instance are identified by their ratio of gray to white matter.

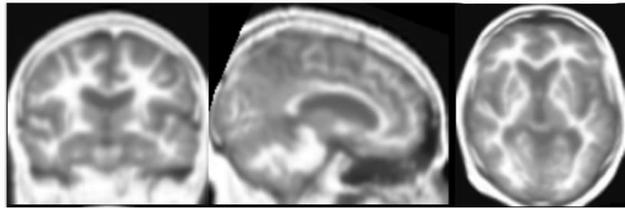
A deidentified T1 weighted MRI scan is made available for use in image processing pipelines that use an MRI for region of interest segmentation and/or spatial warping. As in typical clinical studies, the PET images should be coregistered to the MRI scan and any other processing steps applied as part of the measurement pipeline. The simulated PET images may also be processed and measured using PET-only pipelines.



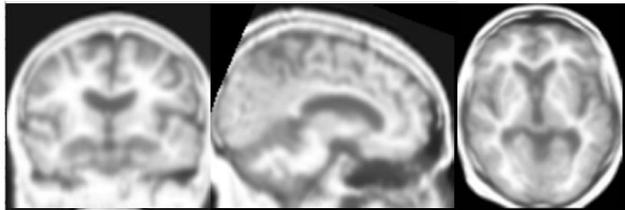
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2170 Figure 10 below shows three of the DRO gray/white ratios, prior to inclusion of random noise. In this case,  
 2171 the image was spatially warped to a common template.

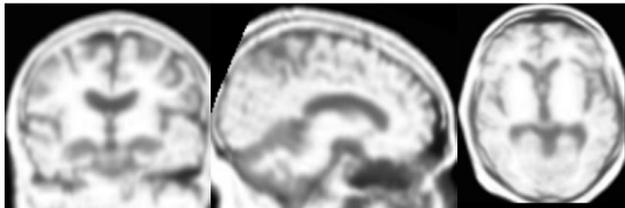
Gray/white ratio = 0.5,  
 showing high contrast  
 (“amyloid negative”) between  
 gray and white tissue



Gray/white ratio = 0.8, with  
 lessened contrast between  
 gray and white tissue



Gray/white ratio = 1.0,  
 simulating higher end of  
 amyloid positivity



2172

2173 Normally, a system of measurement would have assessments and conformance levels for bias, linearity  
 2174 and reproducibility. Since the claim in this Profile is a longitudinal claim (as opposed to a cross-sectional  
 2175 claim) and the same imaging methods shall be used at each time point, bias does not need to be assessed.  
 2176 Therefore, conformance assessment as detailed here will focus on linearity and reproducibility.

2177 **6.6.2 Linearity**

2178 The linearity of the IAW will be assessed by testing a range of different subjects, as defined by varying  
 2179 SUVR values. The table below gives more detail about the simulated subjects and their respective SUVR  
 2180 values. Note that due to the simulation of PET-like resolution and noise in the images, the actual ratios  
 2181 measured will likely not be identical to the designed ratio shown in the table below. Similarly, depending  
 2182 upon the region definition boundaries applied for target regions and reference region, the measured  
 2183 SUVRs may vary. However, for a given processing and measurement pipeline or software platform, the  
 2184 relationship between the measured values and the ratios shown in the table should be linear. The slope  
 2185 of the relationship will be important in application of the claim.

Simulated SUVRs by reference region			SUV settings in DRO				Ratios	
Ref. Whole Cbl	Ref. Cbl Cortex	Ref. White	Cerebellar cortex	Cortical gray tissue	White**	CSF	Gray / White	White / Gray
0.88	1.00	0.50	0.5	0.50	1.0	0.25	0.50	2.00
1.06	1.20	0.60	0.5	0.60	1.0	0.25	0.60	1.67
1.23	1.40	0.70	0.5	0.70	1.0	0.25	0.70	1.43
1.41	1.60	0.80	0.5	0.80	1.0	0.25	0.80	1.25
1.59	1.80	0.90	0.5	0.90	1.0	0.25	0.90	1.11
1.76	2.00	1.00	0.5	1.00	1.0	0.25	1.00	1.00

Cbl = cerebellum

Hippocampus, amygdala, thalamus, putamen, globus pallidus regions are same value as cortical gray

Subcortical white, white cerebellum, and pons all have same value

### 6.6.3 Reproducibility

The reproducibility of the IAW will be assessed by making multiple realizations of the same subject. This can be thought of as simulating test-retest multiple times on the same subject. The multiple realizations will be done by adding typical levels of clinical noise five times to each subject. Please see the figure below for a pictorial representation.

The simulation of six subjects and five realizations means that the DRO series will contain 30 simulated PET volumes. These volumes will be stored in DICOM format and can be downloaded from the Quantitative Imaging Data Warehouse (QIDW), with the link given below.

#### 6.6.3.1 IAW Conformance Procedure

- Download the UW-PET QIBA PET Amyloid DRO series from QIDW:  
[http://depts.washington.edu/petctdro/DRObrain\\_main.html](http://depts.washington.edu/petctdro/DRObrain_main.html)
- Analyze the 30 volumes using the same procedure, target regions and reference regions as will be used with patient data.
- For each target region for a fixed reference region, the information to form the graph below should be calculated, and will be called a given target's results, e.g., (Frontal Target/Whole Cerebellum Reference Region). Note that the appropriate value range for "truth" depends upon the reference region selected. The slope of the line does not need to be, and is not expected to be, 1 because of the degraded resolution, added noise, and the variation introduced by region of interest boundary

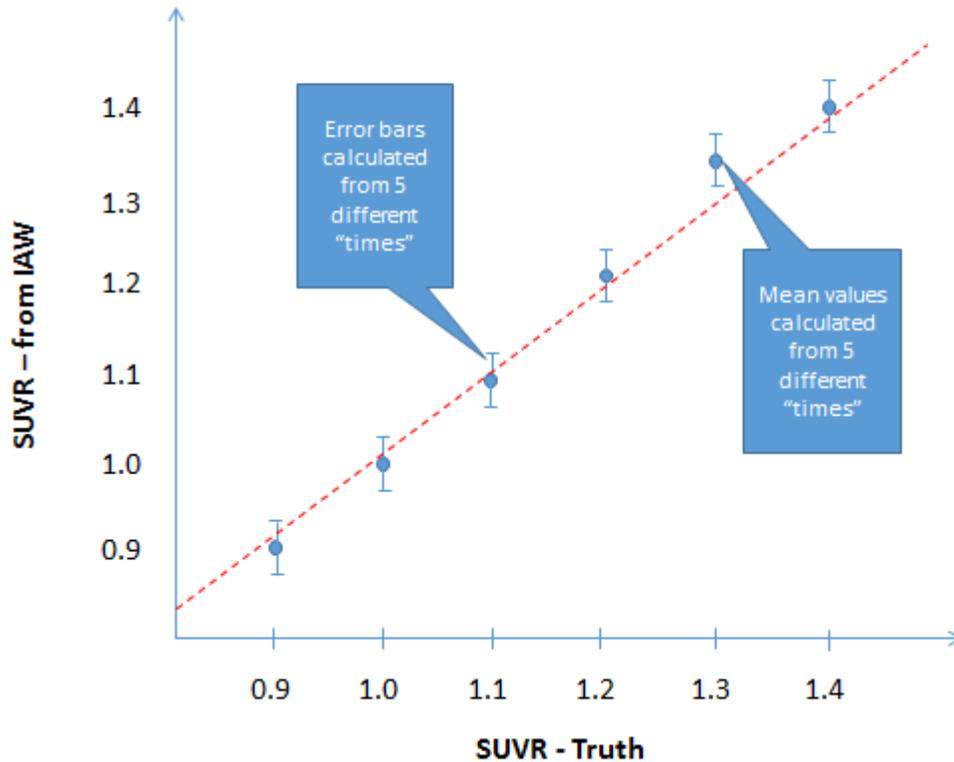
2214  
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definition. However, that slope should be documented and taken into account when calculating study power based upon expected performance. Results:

### Example Output – For Single Target Region

Will be one graph for each Target Region if single reference region is used  
If multiple reference regions, then total graphs = (number of target regions) x (number of reference regions)

#### IAW Conformance – Target Region 1



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4. If multiple reference regions will be used, generate the same information as in point 3 above using this new reference region. The final number of target results or graphs will be (number of target regions) x (number of reference regions).

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5. The following statistical analysis should be performed on each target result.
  - a. Fit an ordinary least squares (OLS) regression of the  $Y_i$ 's on  $X_i$ 's (where  $Y$ 's are the SUV measurements from the IAW, and  $X$ 's are the true SUV measurements). A quadratic term is first included in the model:  $Y = \beta_0 + \beta_1 X + \beta_2 X^2$ .
    - The estimate of  $\beta_0$ ,  $\beta_1$  and  $\beta_2$ , along with their 95% Confidence Intervals (CIs), shall be reported as part of the assessment record (see last point below).
  - b. Re-fit a linear model:  $Y = A_0 + A_1 X$  (red dotted line on graph above).
    - The estimate of  $A_0$  and  $A_1$ , along with their 95% CIs, shall be reported as part of the assessment record (see last point below).
    - R-squared ( $R^2$ ) shall be  $>0.90$  for the IAW to be compliant for the given target and reference regions.

- c. For each of the 6 true SUVR values, calculate the mean (blue points in graph above) of the 5 measurements and the wSD (blue error bars in graph above) using the following equations where the summations are from J=1 to J=5:

$$\bar{Y}_i = \sum(Y_{ij})/J \text{ and } wSD_i^2 = \sum(Y_{ij} - \bar{Y}_i)^2 / (J - 1).$$

- d. Estimate wCV using the equation, where N=6:

$$wCV = \sqrt{\sum_{i=1}^N (wSD_i^2 / \bar{Y}_i^2) / N}.$$

- f. Estimate the % Repeatability Coefficient (%RC) using the equation:

$$\widehat{\%RC} = 2.77 \times wCV \times 100.$$

- The **%wCV** shall be  $\leq 2.6\%$  for the IAW to be compliant for the given target and reference regions. (Note that this conformance criterion allows 95% confidence that the %RC of the IAW meets the Profile claim. **Because this is a small sample set, the value of 2.6% may not be met.** The value increases with a reasonable reduction in the required confidence interval for a sample set of this size. It is also noted that if the pons is used as a reference region for these calculations, the variability in the DRO is likely to be higher. Therefore, for the purposes of conformance, it may be useful to apply whole cerebellum, cerebellar cortex, or white matter as the reference rather than pons.
- For future reference, the number of subjects and tests per subjects can be changed in the DRO series, which will change the wCV% threshold as per the table below.

# of Subjects (SUVRs)	# of Realizations (Tests per subject)	wCV% Threshold
6	5	2.6%
7	5	2.8%
9	5	2.9%
11	5	3.0%
6	10	3.1%

6. For each target's results, report the following in a format similar to the example table below.

Ref Region	Visual Placement Check	Target Region	Visual Placement Check	$\beta_0$	$\beta_1$	$\beta_2$	$A_0$	$A_1$	$R^2$	$R^2 > 0.90$	wCV	%RC	%RC $\leq 2.6\%$
1	Pass	1	Pass	0.03	0.91	0.01	0.1	0.97	0.92	Pass	$7.6 \times 10^{-3}$	2.1	Pass
1	Pass	2	Pass	0.05	0.9	0.02	0.07	0.95	0.91	Pass	$1.05 \times 10^{-2}$	2.9	Fail
1	Pass	3	Fail	-	-	-	-	-	-	-	-	-	-
1	Pass	4	Pass	0.16	0.81	0.14	0.14	1.2	0.85	Fail	-	-	-
2	Fail	-	-	-	-	-	-	-	-	-	-	-	-

QIBA Amyloid PET Profile

Ref Region	Visual Placement Check	Target Region	Visual Placement Check	$\beta_0$	$\beta_1$	$\beta_2$	$A_0$	$A_1$	$R^2$	$R^2 > 0.90$	wCV	%RC	%RC $\leq$ 2.6%
3	Pass	1	Pass	0.03	0.91	0.01	0.1	0.97	0.92	Pass	$7.6 \times 10^{-3}$	2.1	Pass
3	Pass	2	Pass	0.04	0.95	0.04	0.03	0.92	0.93	Pass	$8.0 \times 10^{-3}$	2.2	Pass
...	...	...	...	...	...	...	...	...	...	...	...	...	...

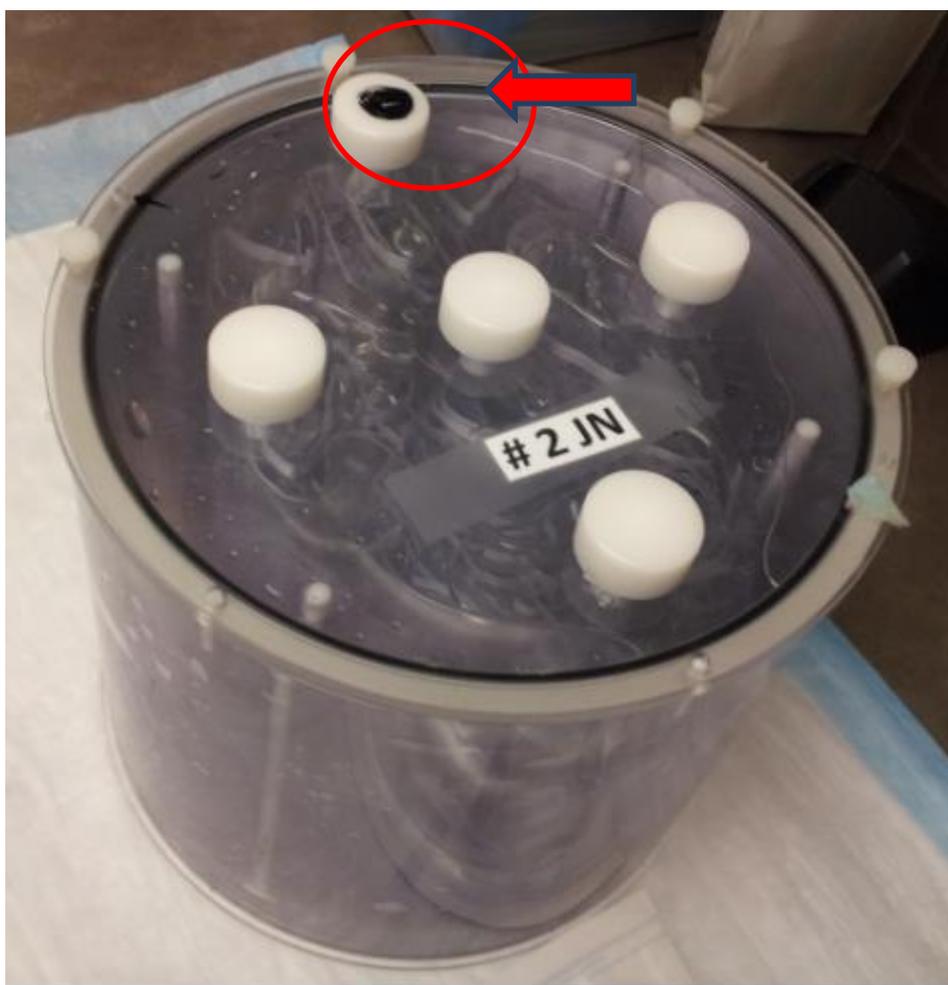
2253

2254 The table report above should be saved and archived with any PET amyloid patient study that is compliant  
 2255 with this Profile.

2256

2257 **6.7 Appendix G: Best Practice Guidance for the Hoffman Brain Phantom**

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- Make sure that before the 18-F or 18-FDG is added, you start with a completely filled phantom (less ~100ml, described later). It is helpful to fill the phantom with water the day before to help remove small air bubbles.
  - Purified or distilled water is preferred, normal tap water is OK.
  - When you are filling, it helps to tip the phantom slightly (use a syringe or similar object underneath one side). It also helps to open more than one of the filling ports while filling. Once you have the phantom completely filled, then use a 50-60cc syringe to take out ~75-100ml before injecting with the FDG. This allows for better mixing.
  - Prepare the F18 tracer (typically FDG) in a volume of **3-5ml**, calibrated for an injected amount of 0.5-0.6 mCi (18.5 – 22.2 MBq) at the projected time of scanning.



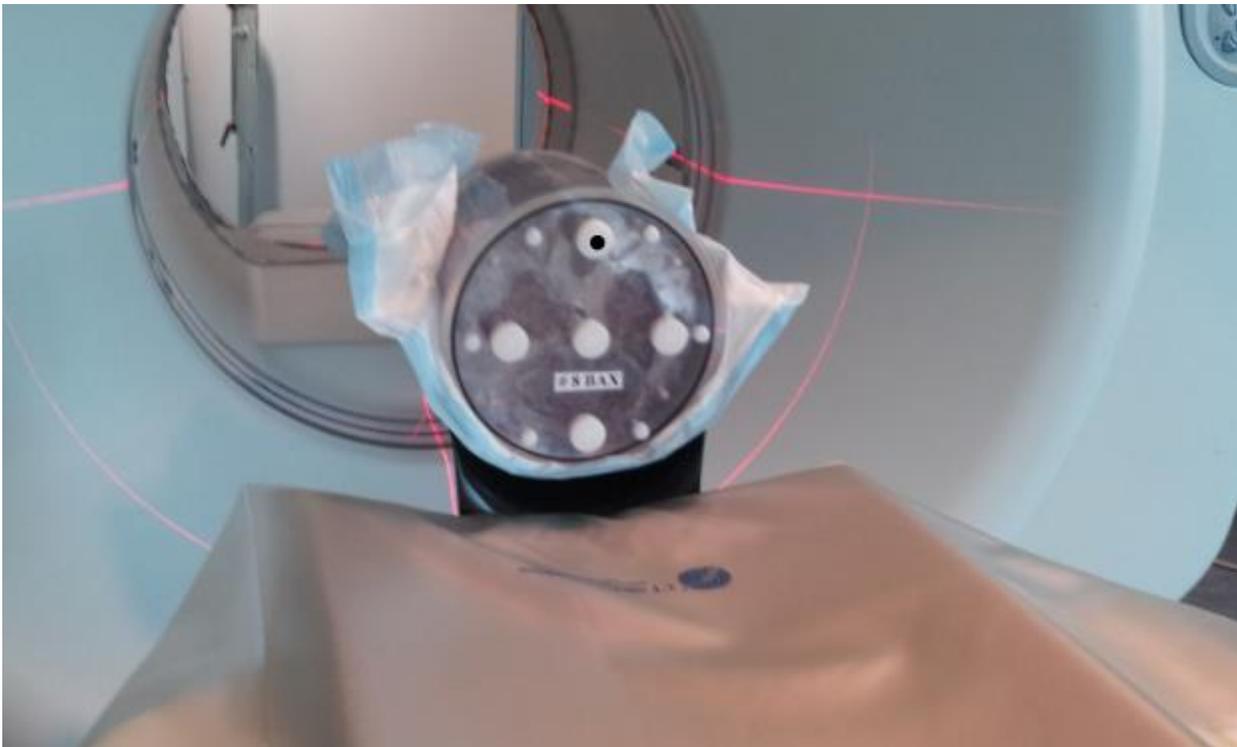
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- Switch the needle on the syringe to a long, blunt tip needle. Insert through the top filling port (the brain's **anterior** side) until the tip of the needle is **approximately halfway down through the phantom**. Rinse the syringe 2 or 3 times to reduce the residual in the syringe.
  - To ensure there is no tracer left in the original (short) needle, attach that needle, and also rinse 2-3 times.
  - Measure the residual in both needles and syringe. We suggest you place these in a surgical glove before placing in the dose calibrator to prevent contamination of the dose calibrator.

- 2277 • Once injected, replace the cap and roll back and forth vigorously for about 5min. Occasionally, pick  
2278 up and tip up and down the other way.
- 2279 • Top off as best you can, filling through 1 or two of the ports (wherever bubbles are).
- 2280 • Roll a 2<sup>nd</sup> time, briefly for about 1min. this will help to get bubbles out.
- 2281 • Top off a 2<sup>nd</sup> time. The focus now is to remove any remaining air getting bubbles. An effective  
2282 method is to hold upright (with filling ports up) and shake back and forth vigorously to make the  
2283 bubbles rise. (Remember when filling to minimize spills. Wipe with a paper towel, and this goes to  
2284 radioactive waste)
- 2285 • Roll a final 3<sup>rd</sup> time. Then top off again to remove any remaining air bubbles.
- 2286 • As a final check, look through the phantom at a bright light to check for bubbles. If there are some  
2287 large bubbles (greater than ~3 mm), try another shaking/tapping/rolling/filling session.
- 2288 • Finally, if you do the CT scan and notice there are big bubbles or air spaces, take the phantom and  
2289 try to top off/remove the bubbles before doing the final CT/Pet scans

2290

2291 Generally, this process takes about 10-20min.

2292



2293

2294 Position the phantom on the scanner bed with the filling ports towards the foot of the bed, and the  
2295 anterior filling port at 12 o'clock. (In this position, the cerebellar lobes should be visible at the bottom of  
2296 the phantom and should appear in the reconstructed image as if you were imaging a supine subject).

2297

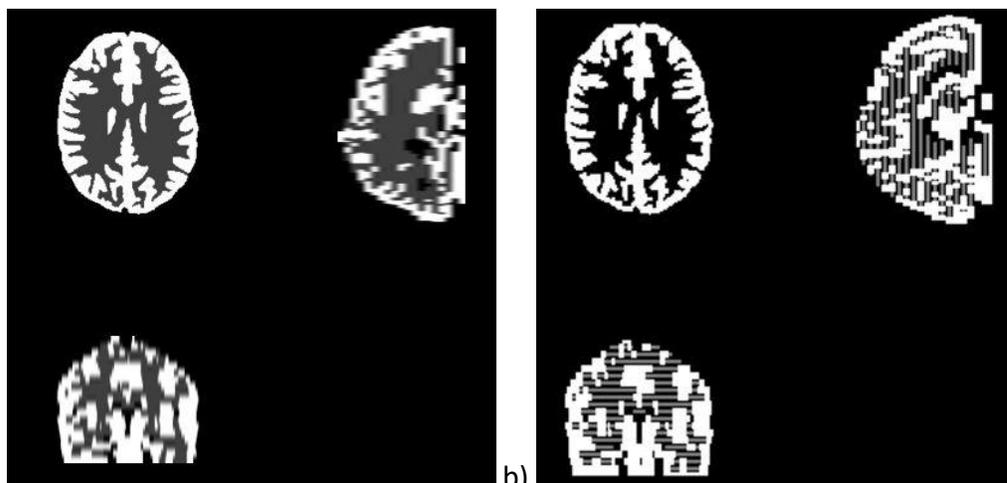
2298

## 2299 6.8 Appendix H: Detailed Example of Hoffman Phantom Data Analysis

2300 The basic methodology in the quantitative analysis is to first align the test scan to the digital atlas using  
 2301 an affine registration, then to intensity normalize the data, and finally to find a smoothing factor for the  
 2302 digital atlas that best matches the spatial resolution of the test scan. Once a registered, the intensity  
 2303 normalized test image and smoothed gold standard are computed, and the difference image can be  
 2304 viewed visually and quantified by various methods described below to assess overall scan quality.

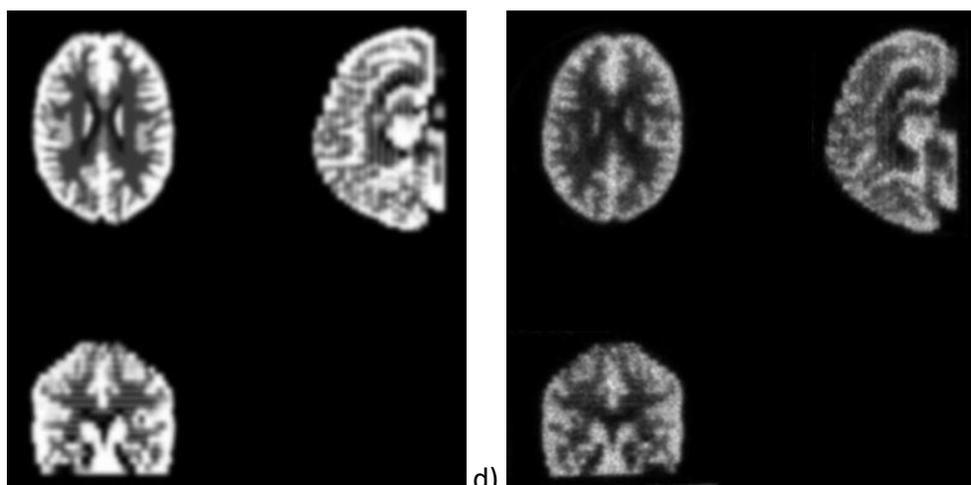
2305 (Note that contributions to scan quality outcome include (a) the scanner, (b) reconstruction software, (c)  
 2306 implementation of the measurement methods described below, and (d) proper (or improper) filling of the  
 2307 phantom. Phantom filling artifacts can include air spaces as well as laterality. When poor quality is  
 2308 identified, all factors should be assessed in order to form a proper conclusion regarding the scanner. If the  
 2309 problem is the scanner, then the Medical Physicist and technical support should be involved to address  
 2310 the issue(s).)

2311



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2313



2314

2315 Figure 1. Digital Hoffman Phantom. a) 19-slice version supplied by Data Spectrum. b) 90-slice version  
 2316 modeling more accurately individual layers of each slice. c) smoothed version of the 90-slice digital  
 2317 phantom. d) sample real phantom data obtained from the high-resolution HRRT scanner.

## 2318 **6.8.1 Phantom Description**

2319 The interior of the Hoffman brain phantom is composed of 19 separate plexiglass plates, each 6.1 mm  
2320 thick. To achieve the 4:1 gray:white uptake ratio via displacement of a uniform concentration of  
2321 radioisotope solution, each plate is composed of a “sandwich” of eight separate layers, of “gray” slices  
2322 (G), cut to the shape of modeled gray matter, and “white” slices (W), cut to the shape of modeled white  
2323 matter. Areas of CSF are left completely void. Each layer is therefore composed of a “sandwich” in this  
2324 order: GG|W|GG|W|GG. The most caudal slice and most cranial slice consist of just 4 gray layers (GG|GG).

2325 Data Spectrum, who manufactures the phantom, supplies a 256x256x19 voxel digital atlas that models  
2326 the phantom appearance as having one of 3 types of uniform areas in each 6.1 mm slice (gray=4, white=1,  
2327 csf=0). See Figure 1a. Dr. Bob Koeppel from the University of Michigan, in collaboration with Data Spectrum  
2328 and CTI (now Siemens) constructed a more accurate 160x160x90 voxel, 1.548x1.548x1.548 mm version of  
2329 this phantom that models the individual layers between the slices. Each slice of this 90-slice phantom  
2330 represents either a “GG” all gray layer with values either 0 or 1.0; or a “GW” layer with values either 0, 0.5  
2331 or 1.0. This digital phantom (Fig 1b,c) looks much more like data obtained from a high-resolution PET  
2332 scanner (Fig 1d) and can be smoothed to approximate images from lower-resolution scanners. The  
2333 individual layers can actually be seen in some higher resolution scanners, such as the Siemens HRRT.

2334 One important item to note is that the actual phantom size, especially the actual physical slice thickness  
2335 of each phantom, can vary slightly. Therefore, when comparing data, it is important to deal with the  
2336 scaling appropriately. Alternatively, if comparisons are made between two acquisitions, one must ensure  
2337 that the identical phantom is used in the comparison. If there are multiple phantoms in use, it is good  
2338 practice to track each phantom with an appropriate identification number.

2339 Regarding smoothing, it is assumed that the PET scanner resolution can be modeled by smoothing with a  
2340 Gaussian kernel with the same size in the transaxial direction (i.e., x and y direction), and another size in  
2341 the axial direction (i.e., z direction). This is approximate, since blurring increases transaxially away from  
2342 the center, and is different in the radial and tangential directions. Also, axial resolution is degraded in the  
2343 outer end planes of the scanner. However, the uniform smoothing assumption is fairly reasonable for  
2344 head imaging, where the field of view is fairly close to the center of the scanner.

## 2345 **6.8.2 Methods and Metrics**

### 2346 **6.8.2.1 Method Overview**

2347 The method for quantitative analysis can be summarized by the following steps:

- 2348 1) Sum a dynamic PET test image, which we will call the “Source Image” acquisition, to produce a  
2349 single average PET volume
- 2350 2) Register the averaged Source Image to the 90-slice digital reference using an affine transformation
- 2351 3) Determine Gaussian smoothing factors FWHM<sub>xy</sub>, FWHM<sub>z</sub>, to be applied to the digital phantom so  
2352 that it best matches the registered Source dataset.
- 2353 4) Compute image metrics on differences between the matched smooth “gold standard” data, and  
2354 the registered Source data.
- 2355 5) Create different images and graphics to augment a visual assessment of image quality.

2356 (Note: The methods described here make use of certain software packages such as MATLAB and PMOD.  
2357 These packages may have license requirements that would need to be addressed by the user. The

2358 descriptions provided here convey the functionality needed, which may also be addressed using other  
2359 software platforms with similar capabilities.)

### 2360 6.8.2.2 Relevant Data Files

2361 The following input and reference files are used in the analysis:

2362 Reference Files

2363 **ctiHoffman0.0\_0.0.nii** – This is the 160x160x90 digital gold standard data.

2364 **ctiHoffman5.0\_5.0.nii** – This is ctiHoffman0.0\_0.0.nii smoothed by a Gaussian kernel 5.0 mm FWHM in  
2365 the x, y, and z dimensions. This represents an image at about the resolution of the highest-resolution  
2366 scanners, such as the HRRT.

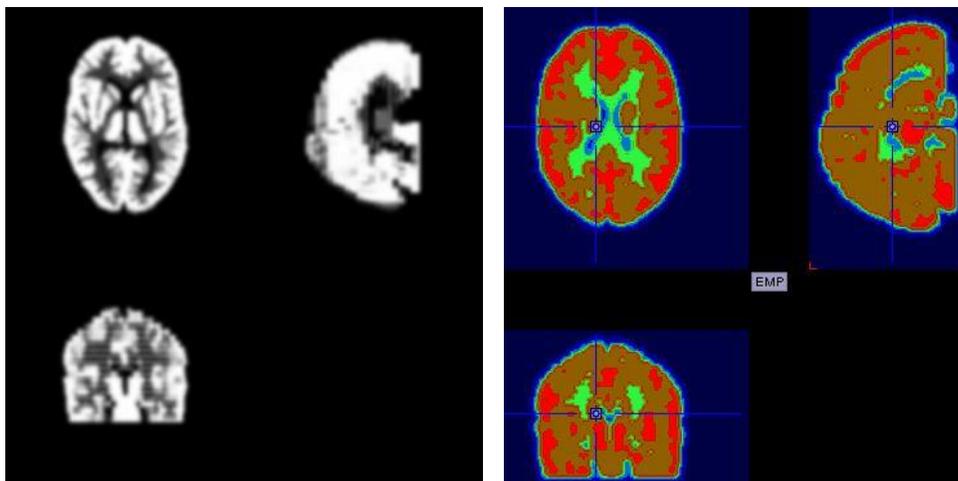
2367 **HoffmanVOI5mm6Level.25\_.95BrainMask.nii** – This is a volume-of-interest (VOI) mask file with six levels  
2368 created in PMOD using multi-level thresholding on the smoothed, phantom file, **ctiHoffman5.0\_5.0.nii**.  
2369 The resulting segmentation is seen in Figure 2. Idealized voxel intensities for CSF, white matter and gray  
2370 matter are 0.0, .025, 1.0 respectively, but blurring of the digital phantom results in a partial volume effect  
2371 so that voxel values vary continually between 0.0 – 1.0. Regions were defined with the following IDs and  
2372 thresholding criteria as follows:

2373

Region ID	Threshold	Description
1	Val < 0.01 outside brain contour	nonbrain
2	Val < 0.05	Pure CSF
3	0.05 < Val < .20	White/CSF mixture
4	0.20 < Val < .30	Mostly “pure” white
5	.30 < Val < .90	Gray/white mixture
6	.90 < Val	Mostly “pure” gray

2374 Regions 4 and 6, which represent areas of mostly white and gray matter, respectively, are the main regions  
2375 used for comparison in the analysis.

2376



2377

2378 Figure 2. Six-region Volume of Interest mask. The smoothed digital reference (left), and the volume of  
 2379 interest mask volume created in PMOD using multi-thresholding segmentation (right). The VOI mask is  
 2380 used to define areas representing primarily pure gray (shown in red) and pure white matter (shown in  
 2381 green). These regions are used for image intensity normalization and various image quality metrics.

2382 Input files

2383 **SourceXXX** – original dynamic PET data. Usually in DICOM format, and for this profile is recommended to  
 2384 be a 4 x 5 minute acquisition.

2385

2386 Intermediate Files

2387 **Avg SourceXXX.nii** – summed dynamic data.

2388 **RegSourceXXX.nii** – summed dynamic data registered to 160x160x90 voxel digital phantom template

2389 **RegSourceNorm.nii** – version of **RegSourceXXX.nii** intensity normalized to values between 0 and 1.0.

2390

2391 Output Files

2392 Volumes

2393 **RegSourceXXXFit.nii** – smoothed version of the Hoffman digital template , **ctiHoffman0.0\_0.0.nii** , that is  
 2394 the best fit to **RegSourceNorm.nii**.

2395 **RegSourceXXXAbsDiff.nii** – absolute difference volume between **RegSourceXXXFit.nii** and  
 2396 **RegSourceNorm.nii**

2397

2398 Text

2399 **RegSourceXXXfit.txt** – summary output file

2400

2401 JPG -

2402 **RegSourceXXXXplotAbsDiffProfile.jpg** – plot showing slices-by-slice profiles of ROI absolute difference  
 2403 sums vs image plane number in the RegSourceXXXXAbsDiff.nii volume for these four ROIs: whole volume,  
 2404 whole brain, pure grey ROI, pure white ROI (see example plot < >)

2405 **RegSourceXXXXplotGrayWhiteProfile.jpg** - plot showing slice-by-slice profiles of ROI # 4 (pure white  
 2406 matter) and #6 (pure grey matter)" ratios between the reference data (RegSourceXXXFit.nii) and the test  
 2407 data (RegSourceNorm.nii) (see example plot < >)

2408 **RegSourceXXXXplotImgDiff.jpg** - central three orthogonal planes through **RegSourceXXXXAbsDiff.nii**, gray  
 2409 scale set between -0.2 and 0.2.

2410 **RegSourceXXXXplotImgNorm.jpg** – central three orthogonal planes through **RegSourceNorm.nii**, gray  
 2411 scale set between 0.0 and 1.0

2412

### 2413 **6.8.3 Method Details: Processing Steps**

2414

2415 1) Manual step: Load/visual check of image data. Add to PMOD batch file list

2416 Images need to be manually loaded to check visually that the orientation is correct. If the image loads  
 2417 using default parameters, it can be simply added to a PMOD file list for later batch processing. If the default  
 2418 settings do not work, the image must be manually loaded using the correct image reorientation switches,  
 2419 saved as a new dynamic file, then added to the PMOD batch file list.

2420 2) Batch step: PMOD script: Dynamic Averaging, Affine Registration to Hoffman Digital reference

2421 This step sums the dynamic PET data to obtain an averaged PET source file, and then registers the  
 2422 averaged PET to the Hoffman reference image. It is assumed that there is no motion between image time  
 2423 frames, so a motion correction step is not necessary like it would be for a patient study. As a reference  
 2424 image, the version of the Hoffman reference smoothed with a 5 mm isotropic Gaussian filter is used  
 2425 (**ctiHoffman5.0\_5.0.nii**). This represents the resolution of an image that would be expected from the  
 2426 highest resolution PET scanners. In PMOD's registration module, Normalized Mutual Information and the  
 2427 "scale" option are selected to allow an affine match that will compensate for slightly different phantom  
 2428 actual sizes. No other pre-smoothing is used during the registration. The batch process saves the averaged  
 2429 and the registered dataset as two separate files. This step can be run on one or many different PET files.  
 2430 PMOD is not set up yet to record the reorientation matrix (I have requested this), so we do not have a full  
 2431 track of all operations.

2432 3) Batch step: Matlab script: Normalize PET, Fit Smoothing Model, Quantify Difference Image

2433 Once the PET source has been registered to the Hoffman reference, the following steps are carried out  
 2434 using a matlab script:

2435 a) *Normalize the Registered PET source intensity.* The noiseless digital phantom has values ranging  
 2436 between 0.0 and 1.0. Rather than normalizing to maximum intensity of the source image, the  
 2437 following approach is taken which adjusts for the partial volume effect and for the expected  
 2438 Poisson-related variability around the mean for the expected values in the areas representing gray

and white matter. Using the 6-level VOI mask, we use region 6, the area representing mostly pure gray matter, as a reference region. The mean intensity of voxel values in this region is computed in both the smoothed reference volume and the registered source volume. A scale term is computed as the ratio of reference volume gray region mean intensity / source volume gray region mean intensity. This results in the mean with the area representing pure gray area to be set to a voxel intensity of 1.0 in the normalized image.

- b) *Fit Gaussian smoothing kernels, FWHM<sub>xy</sub> and FWHM<sub>z</sub>*. An unconstrained nonlinear estimation approach is used to find the Gaussian smoothing kernels that produce a smoothed version of the digital reference phantom best matching the normalized source volume. (using MATLAB's "fminsearch" function). We investigated various image difference measures: absolute difference, squared difference, correlation, and brain-masked differences, and the simple absolute difference appeared to work well. The code is written so that any of these options can be selected, but the default is the absolute difference.

## 2) Calculation of Quality Metrics from the Normalized Source Image and Difference Image

The difference between the normalized source image and the digital reference smoothed to fit the source image is the main basis for the comparison. Additionally, some measures can also be computed from the normalized source image alone. Basic ideas to consider in this analysis include:

- The ideal gray:white contrast ratio should be 4:1 in a noise free setting with perfect spatial resolution. We need to consider the partial volume effect, so most evaluations are made in comparison to global or VOI measures on the noise-free smoothed digital reference.
- For evaluations using a uniform phantom, the usual figure of merit for an acceptable measurement variance is +/- 10% from the mean both in-plane and axially. Therefore, an absolute difference of about 10%, i.e., +/- 0.1 intensity units would ideally be a maximum difference between the normalized source and the smoothed reference image.

## Quality Metrics

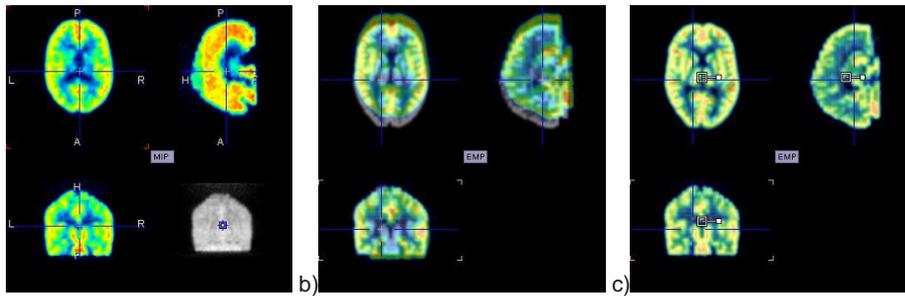
### a) Global Volume Metrics

- i) **Comparison of fit smoothing parameters to published data from ADNI / Bob Koeppe's group.** This value should be consistent for a given scanner type. Differences in Z-smoothing compared to ADNI results are expected due primarily to Z-scaling during the affine registration process. Based on empirical observation, there most likely is a problem if the fit smoothing parameters differ by more than 1 mm FWHM.
- ii) **Average Global Absolute Difference – total image volume** : ideally, this should be less than 10%, therefore less than 0.1 for the image's intensity normalized to values between 0.0 and 1.0.
- iii) **Average Global Absolute Difference in the brain region only**: ideally, this should be less than 10%, therefore less than 0.1 for the image's intensity normalized to values between 0.0 and 1.0.
- iv) **Gray:White mater ratio in the source image.** Ideally, this should be 4.0. For scanners of lower resolution, we would expect the value to be less.
- v) **Ratio of Gray:White in the Source image compared to smoothed reference.** Ideally, this should be 1.0. Would expect at most a 10% variation.
- vi) **Ratio of White matter intensity standard deviation in the Source imaging compared to the smoothed reference:** This measure gives an indication of image noise. By comparing to the

- 2482 reference volume, variation with the white matter region due to the partial volume effect  
 2483 should cancel out.
- 2484 vii) **Ratio of Gray matter intensity standard deviation in the Source imaging compared to the**  
 2485 **smoothed reference.** : This measure gives an indication of image noise. By comparing to the  
 2486 reference volume, variation with the white matter region due to the partial volume effect  
 2487 should cancel out.
- 2488 b) Slice-by-slice Metrics (computed between planes 10-80, which represent the plane with brain data  
 2489 in the Hoffman reference volume)
- 2490 i) **Average Slice Absolute Difference – total slice:** ideally, this should be less than 10%, therefore  
 2491 less than 0.1 for the image’s intensity normalized to values between 0.0 and 1.0.
- 2492 ii) **Average Slice Absolute Difference – brain region only:** ideally, this should be less than 10%,  
 2493 therefore less than 0.1 for the image’s intensity normalized to values between 0.0 and 1.0.
- 2494 iii) **Average Slice Absolute Difference – gray matter only (VOI region #6):** ideally, this should be  
 2495 less than 10%, therefore less than 0.1 for the image’s intensity normalized to values between  
 2496 0.0 and 1.0.
- 2497 iv) **Average Slice Absolute Difference – white matter only (VOI region #4):** ideally, this should be  
 2498 less than 10%, therefore less than 0.1 for the image’s intensity normalized to values between  
 2499 0.0 and 1.0.
- 2500 v) Ratio of mean gray intensity in VOI region #6 for Source compared to smoothed reference:  
 2501 ideally, this should be 1.0
- 2502 vi) Ratio of mean white intensity in VOI region #6 for Source compared to smoothed reference.  
 2503 Ideally, this should be 1.0.
- 2504 vii) **Profile Coefficient of Variation for Gray slice mean gray intensity.** This metric can be used as  
 2505 a sentinel for unacceptable variations in axial sensitivities.
- 2506
- 2507 3) Outputs: Graphics, Text Summary and Imaging volumes
- 2508 a) JPGs
- 2509 i) 3 orthogonal slices through the center of the difference volume – color bars set to +/- 0.2 for all  
 2510 evaluations to highlight significant areas that differ from the reference volume. A
- 2511 ii) 3 orthogonal slices through the normalized, registered source volume
- 2512 iii) Slice-by-slice profiles of error measures between source and reference volumes
- 2513 iv) Slice-by-slice profiles of the ratio of mean gray and white matter region intensity regions for  
 2514 the source volume compared to the reference volume.
- 2515 b) Text file
- 2516 i) Numerical values for the global and plane-by-plane metrics
- 2517 c) Image volumes
- 2518 i) Difference Volume
- 2519 ii) Fit Smoothed Reference Volume
- 2520

2521 **Note: Matlab Modules Used.** In addition to the base Matlab package, the processing pipeline used the  
 2522 standard Matlab Image Processing Toolbox and the Optimization Toolbox. The pipeline also used the 3<sup>rd</sup>  
 2523 party Matlab package for reading, writing and displaying NIFTI files, “Tools for NifTI and ANALYZE image”,  
 2524 found at <http://www.rotman-baycrest.on.ca/~jimmy/NifTI> .

2525



2526

2527

2528

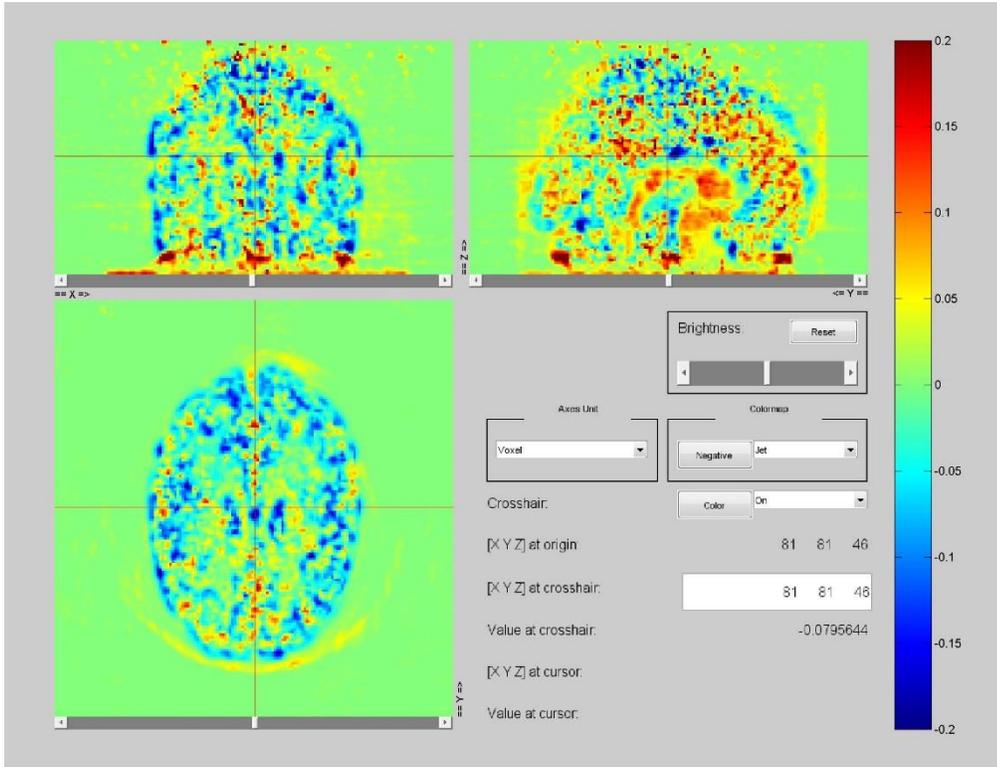
2529

Figure 3. Affine Registration Process. Source image in original orientation (a). Source image (colored grayscale, and digital gold standard (grayscale) unregistered (b), and after registration in PMOD (c).

2530 Example Results using the ADNI Hoffman Qualification Data

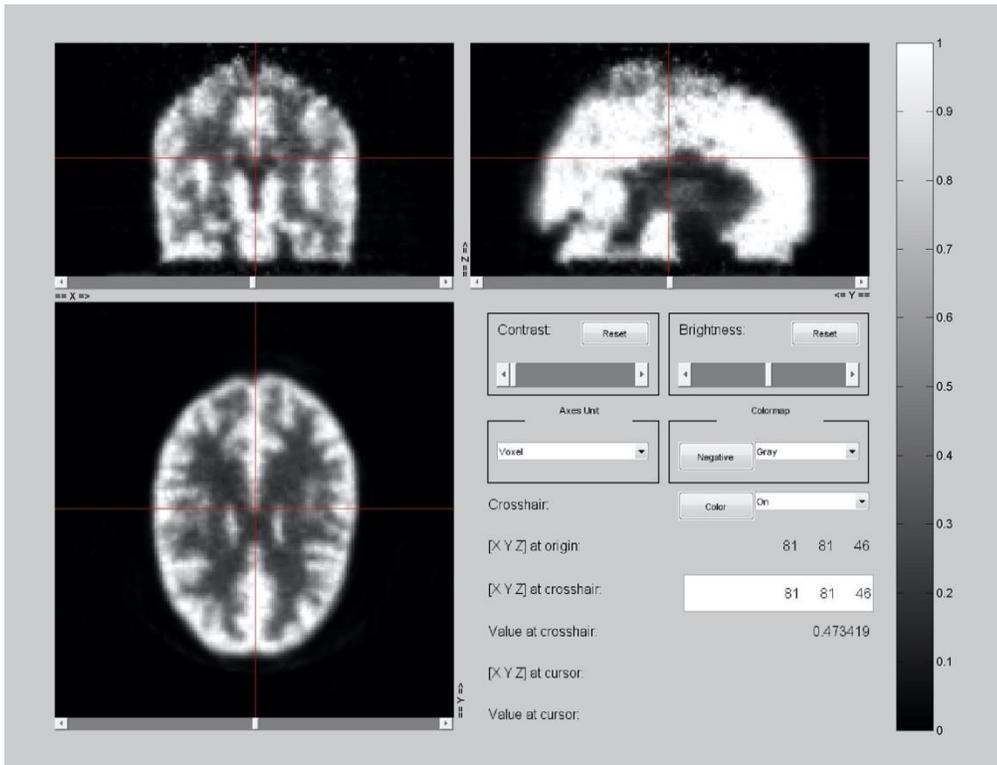
2531

2532 Example 1. Good quality scan. Siemens HIREZ (037\_P\_0001)

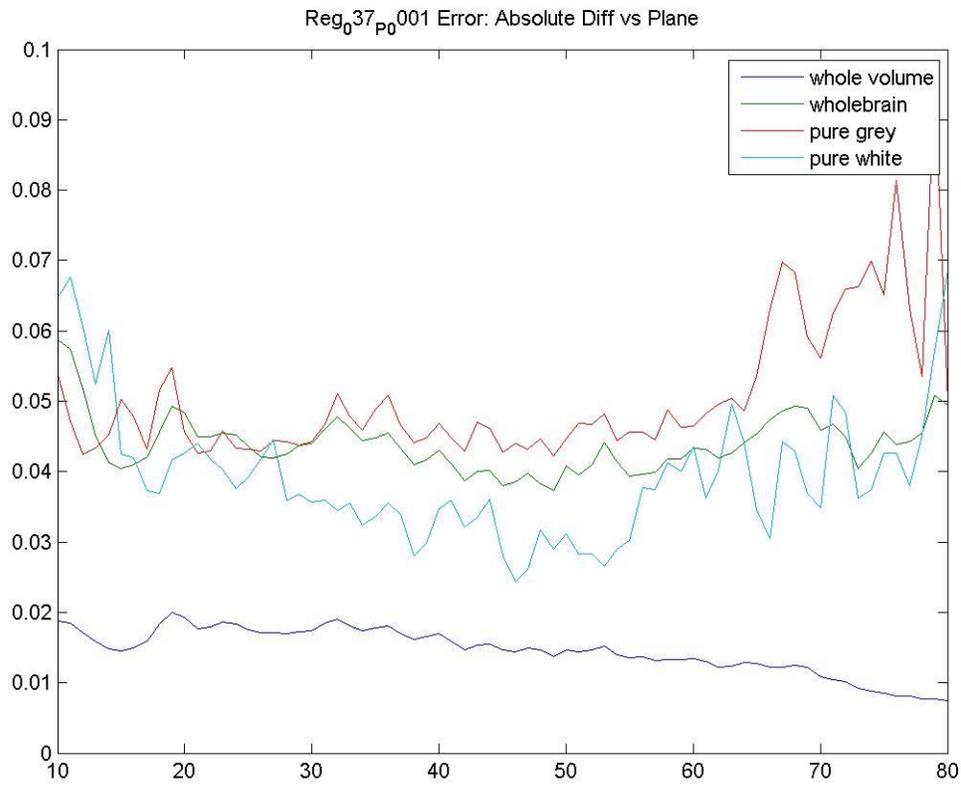


2533

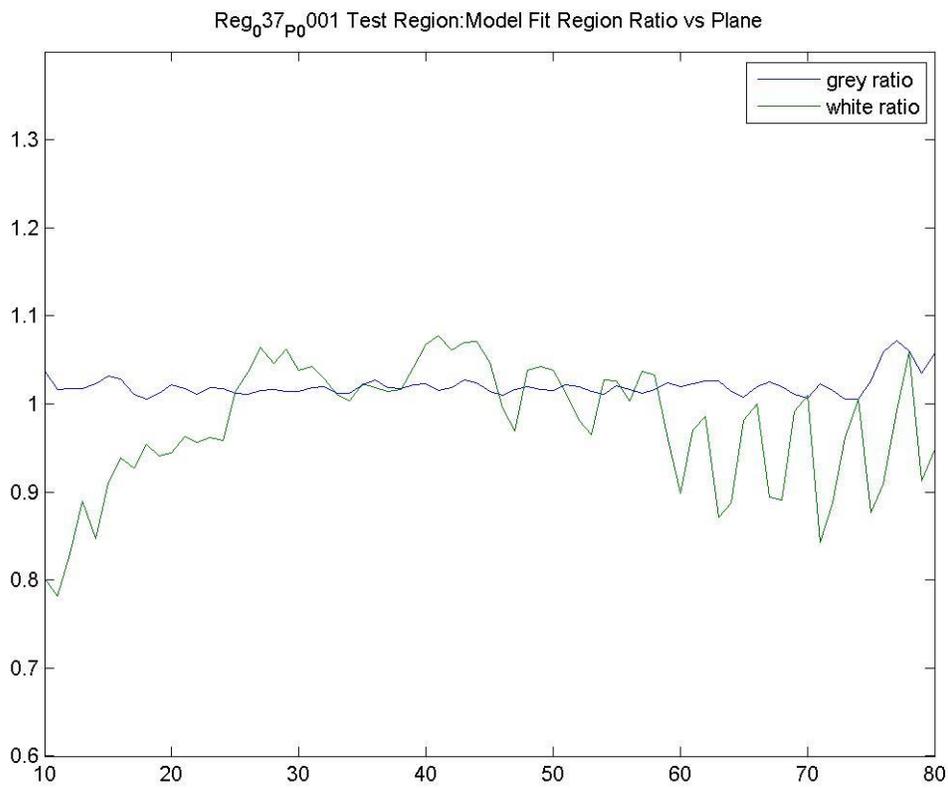
2534



2535

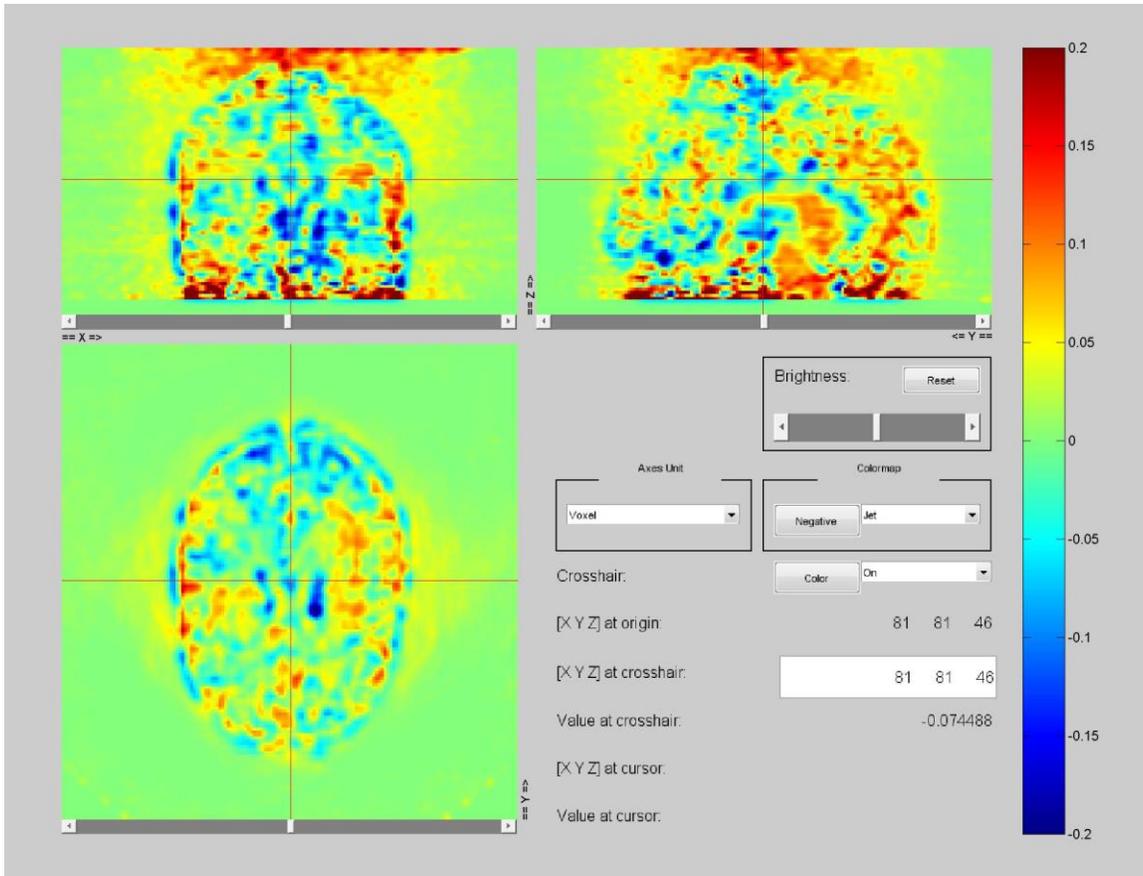


2536



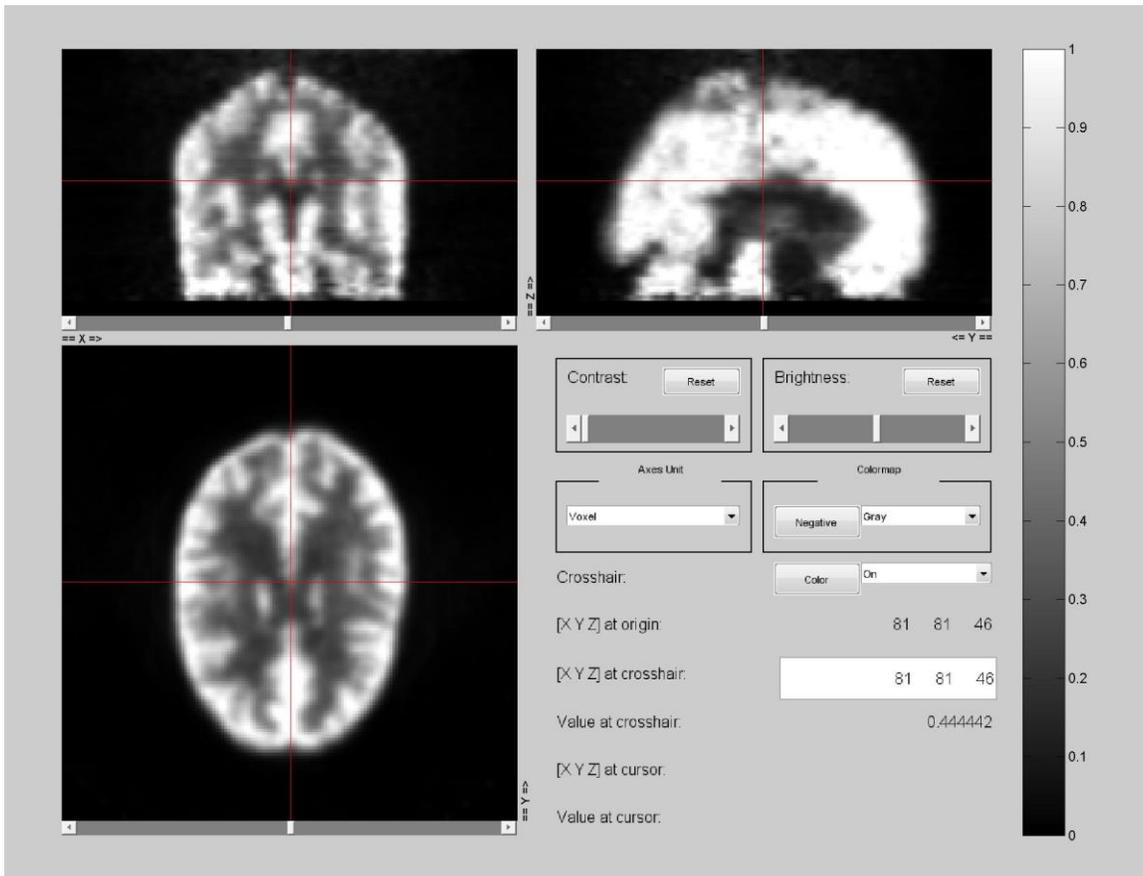
2537  
2538

2539 Example #2. Another example of a good quality scan. ECAT HR+ (006\_P\_0001)



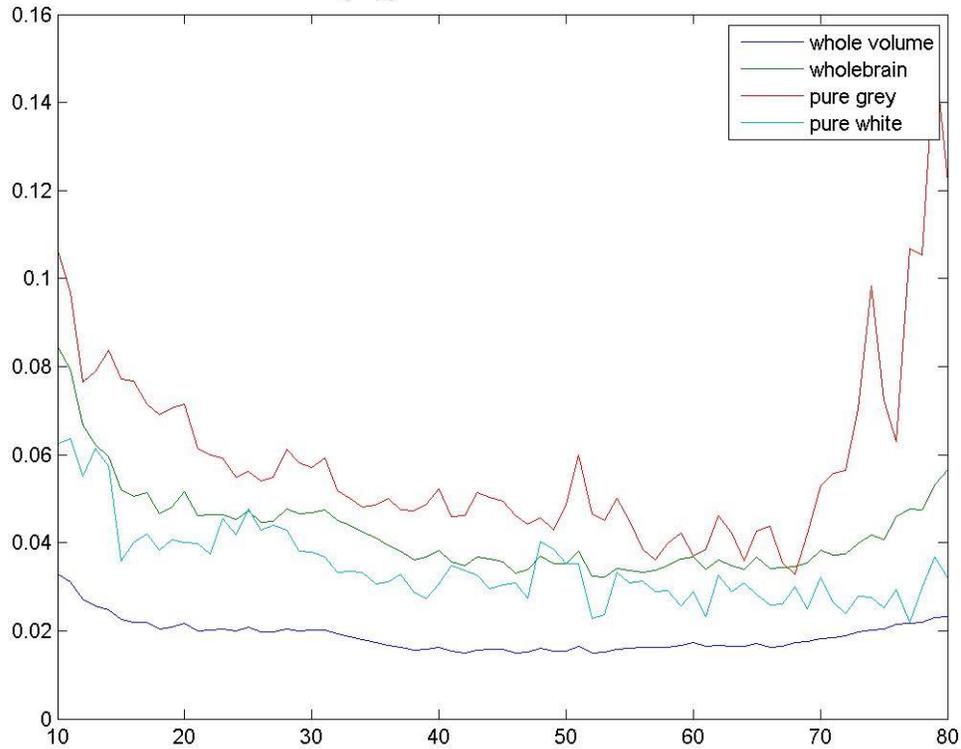
2540

2541



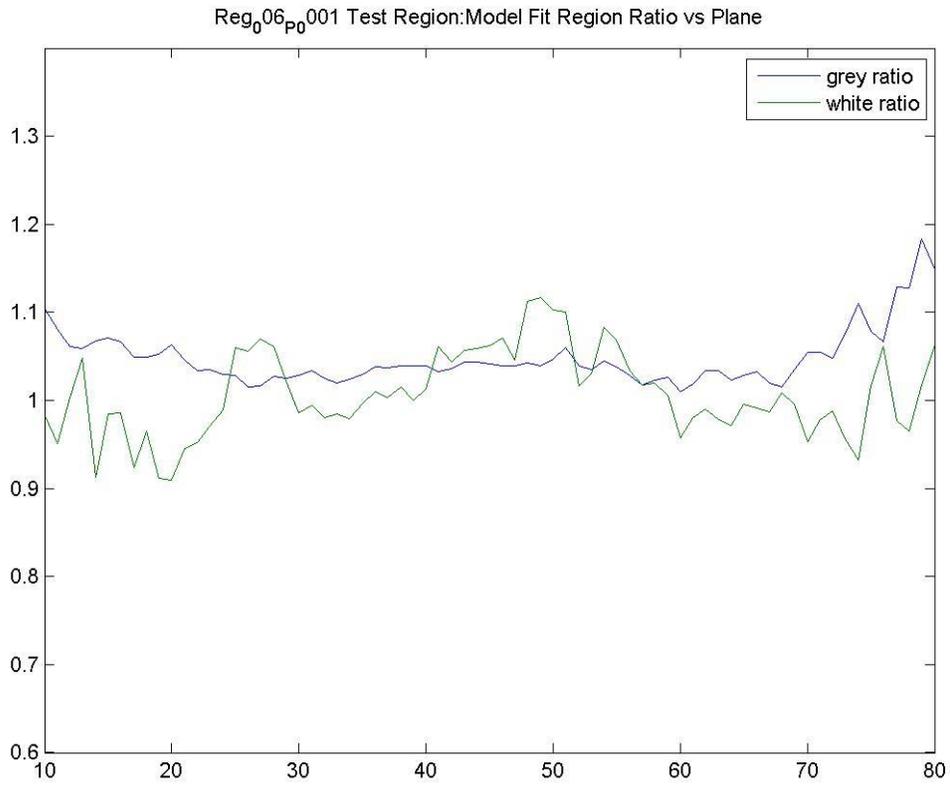
2542

Reg<sub>0</sub>06<sub>p0</sub>001 Error: Absolute Diff vs Plane



2543

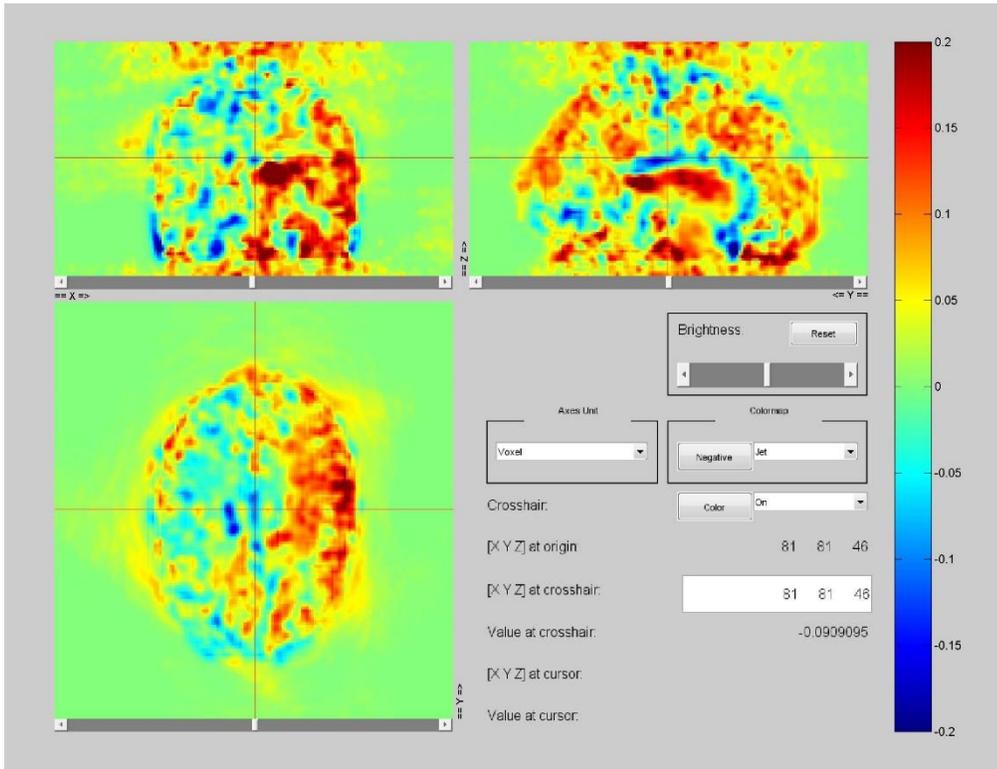
2544



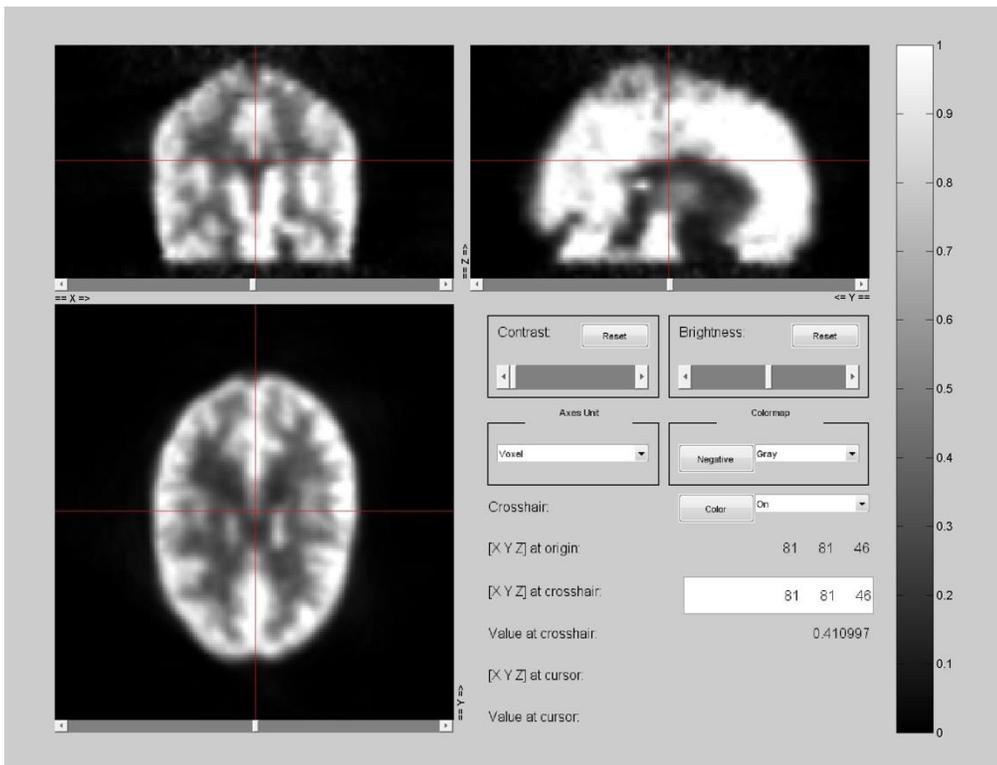
2545

2546

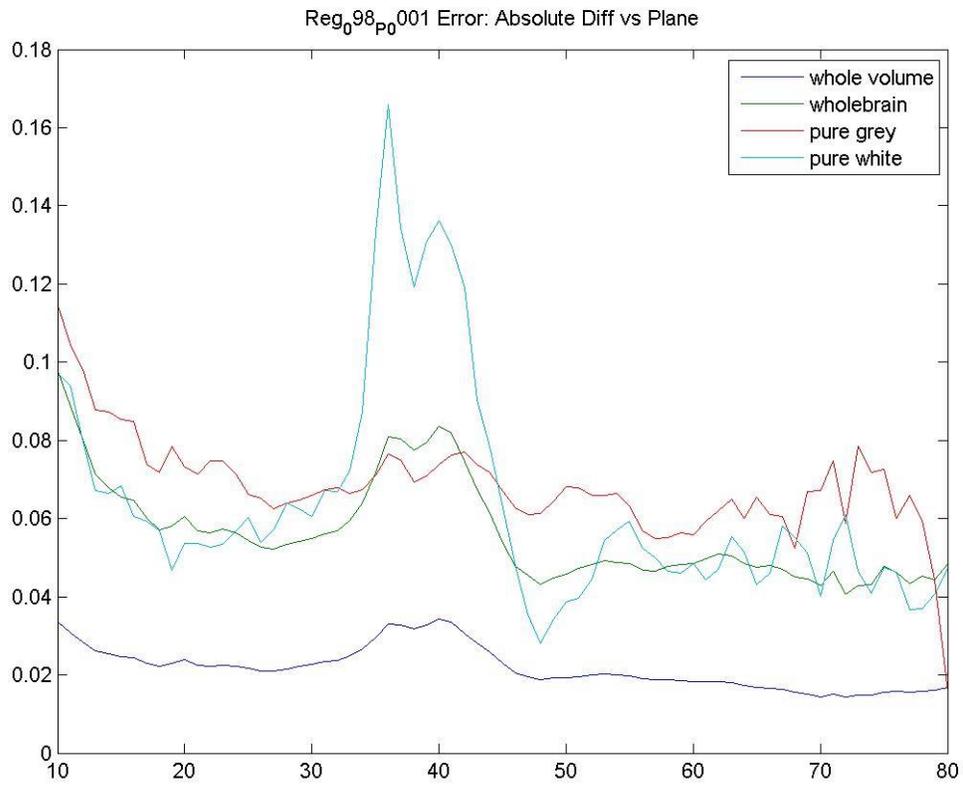
2547 Example #3. Siemens ECAT Accel (098\_P\_0002). Example with relatively poor image quality. Asymmetry  
 2548 seen between left and right side, and large errors between planes 30 and 50. But is this a function of poor  
 2549 scan quality, or a Hoffman phantom with extra space between plexiglass planes?



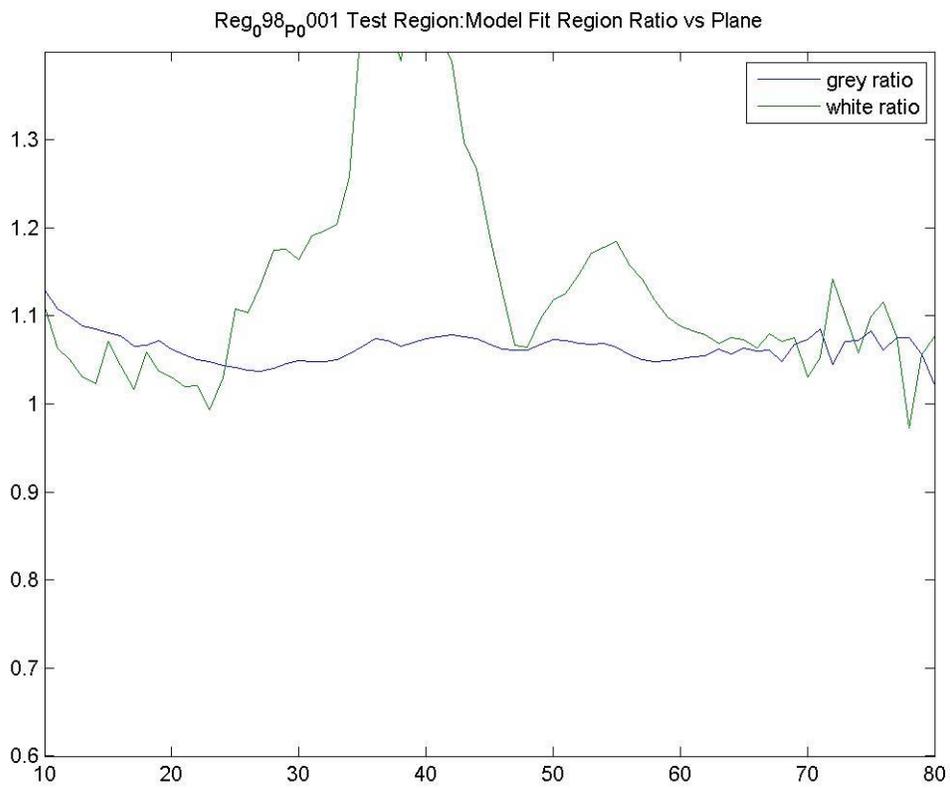
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2552

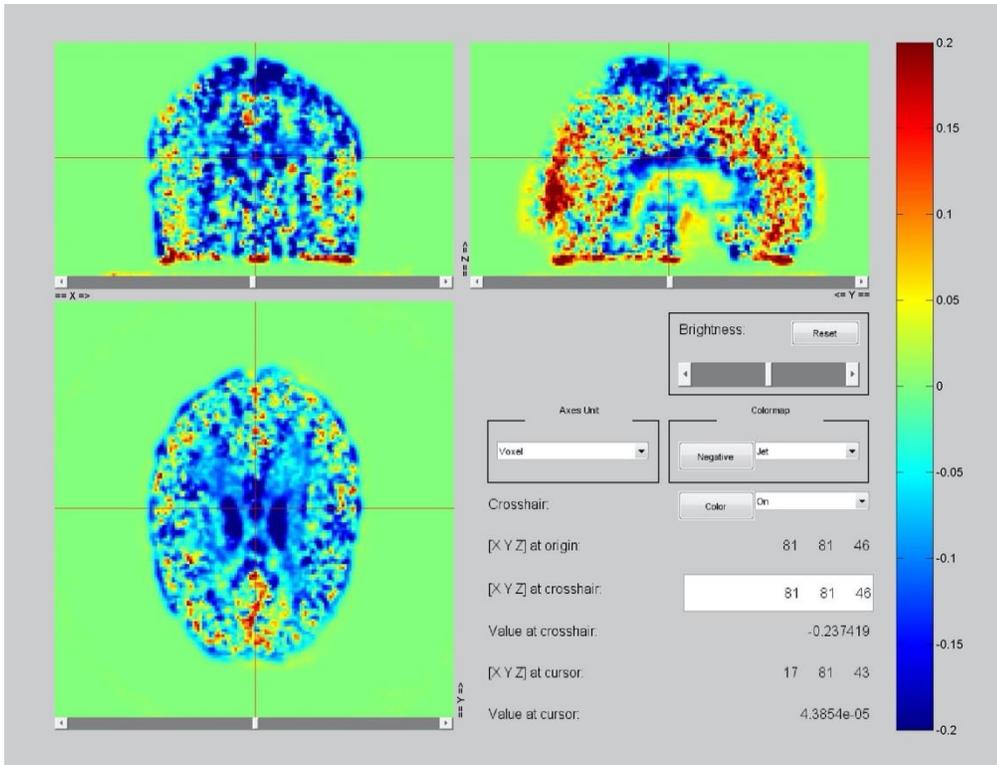


2553

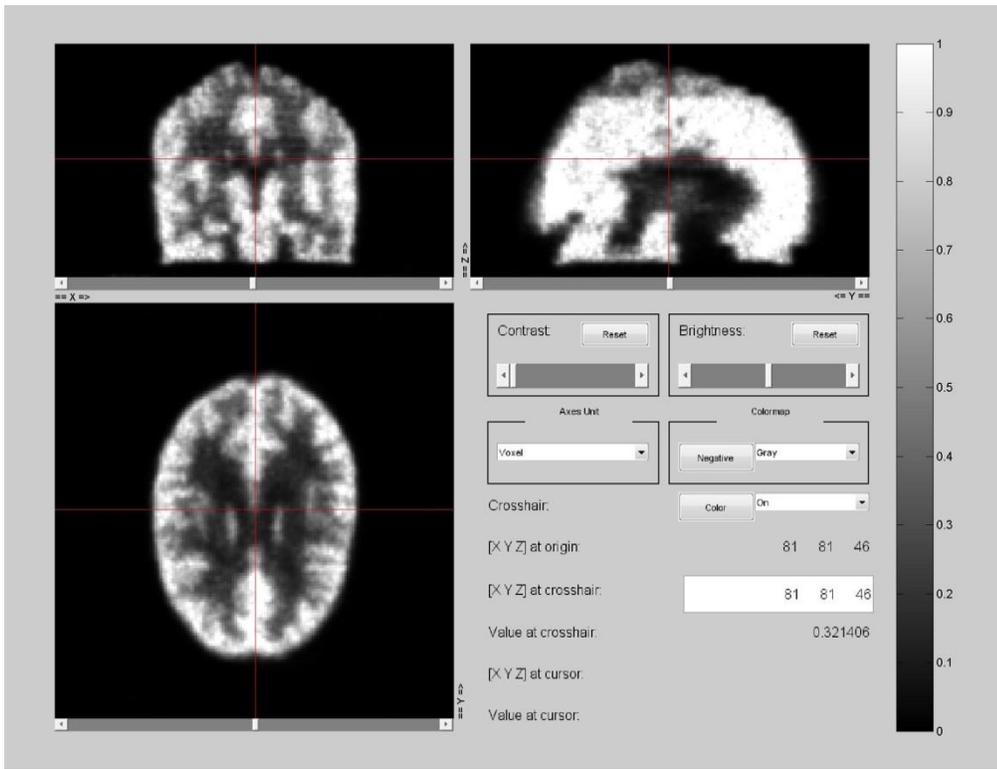


2554  
2555

2556 Example #4. HRRT Example (128\_P\_0001). Poor performance at bottom of volume most likely due to  
 2557 scatter correction problems. Otherwise, the scan quality is reasonably good. Difference image for most of  
 2558 the brain is negative (blue regions) probably due to global image intensity normalization been driven too  
 2559 low by the high intensities seen in the lower planes.

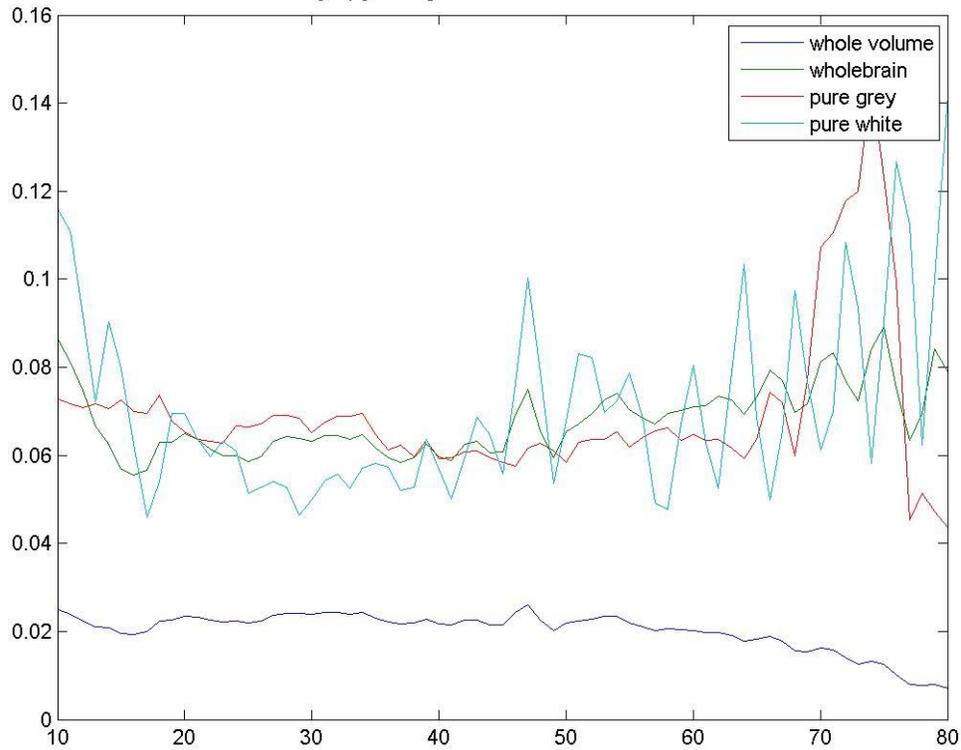


2560

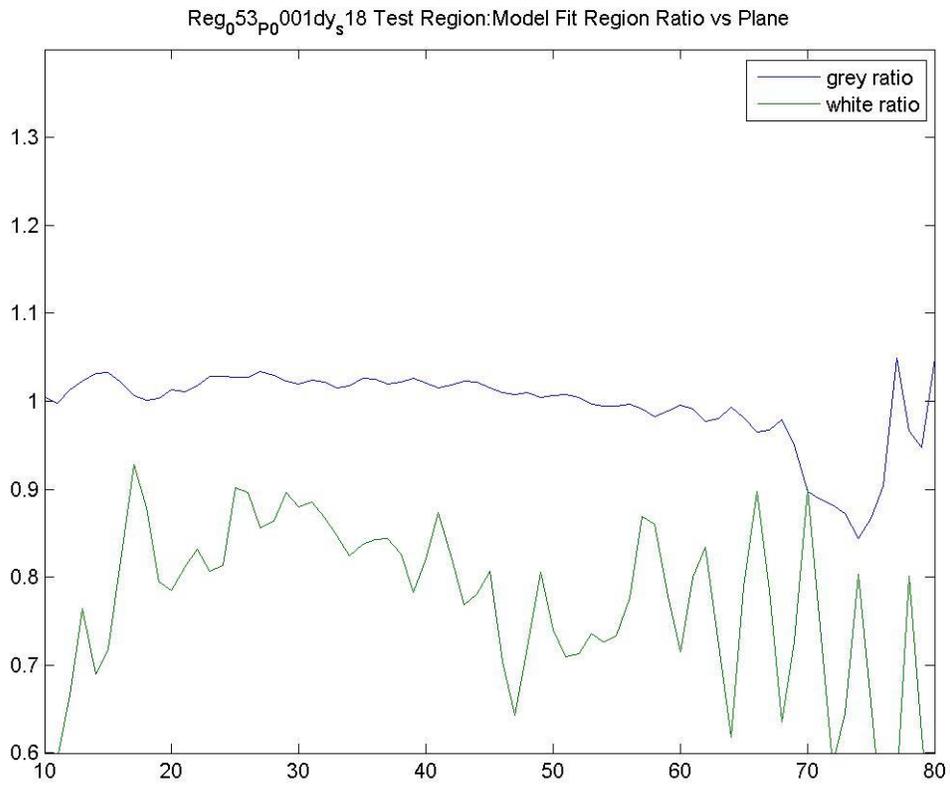


2561

Reg<sub>0</sub>53<sub>p0</sub>001dy<sub>s</sub>18 Error: Absolute Diff vs Plane



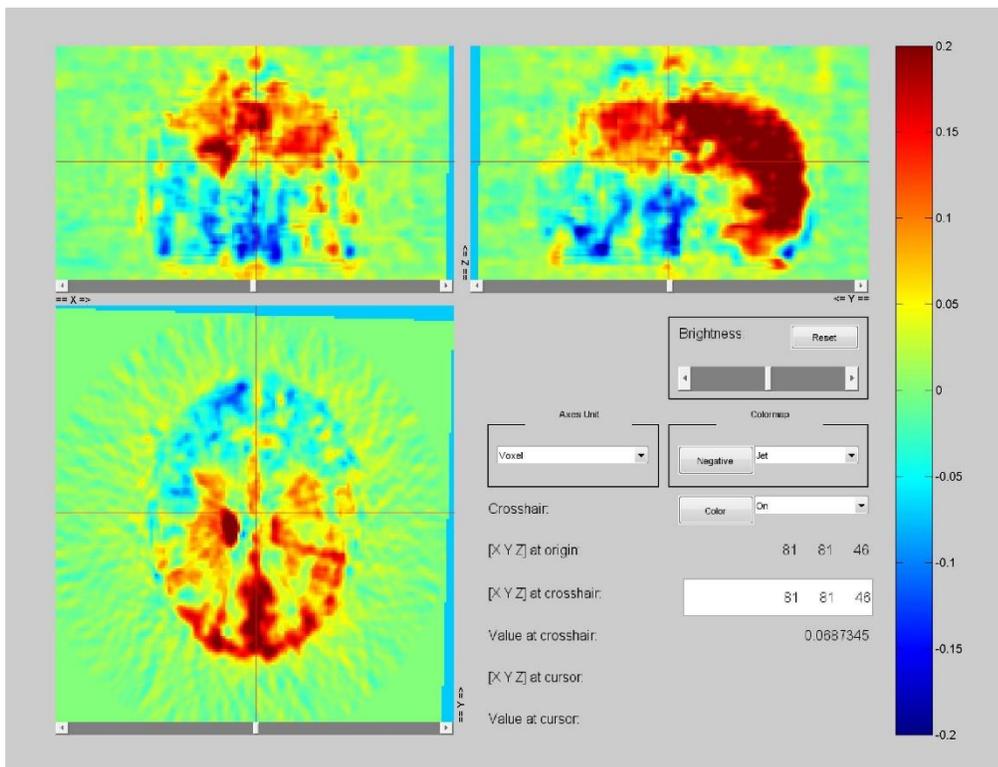
2562



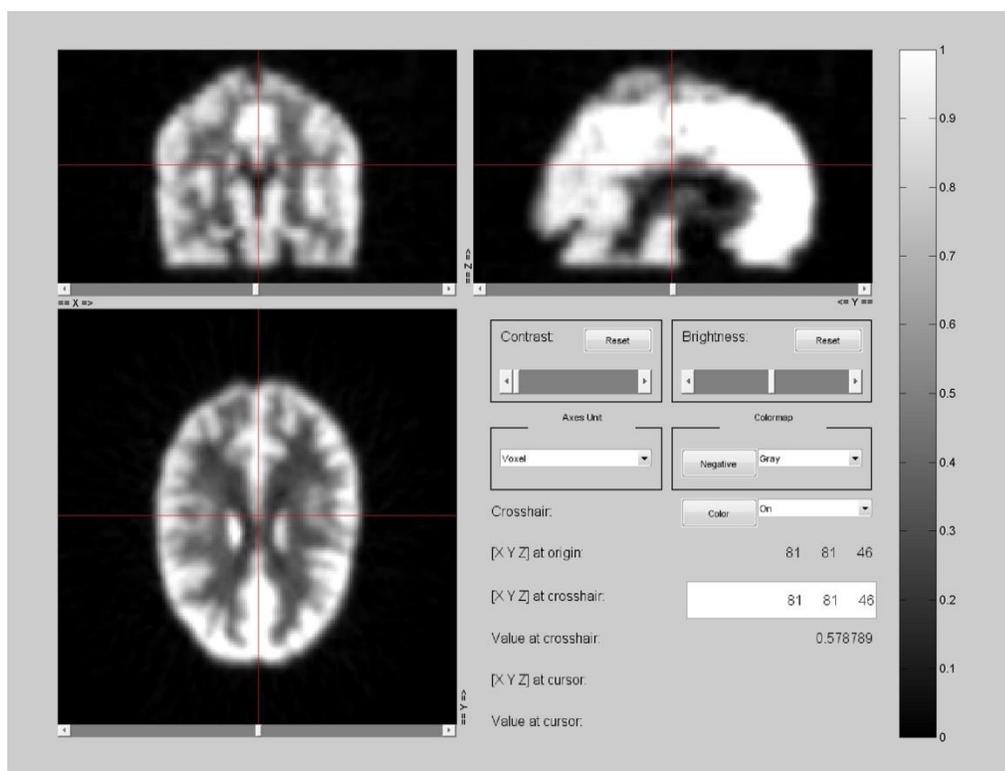
2563  
2564

2565 Example #5. (136\_P\_0004) – GE Discovery ST. Poor Quality – likely fail. Very large errors in the frontal lobe regions. White matter values compared to reference very high.  
 2566

2567 It is noted that a poor quality phantom scan may point to the scanner itself but can also be caused by  
 2568 improper filling of the phantom. For example, in cases where laterality is observed in a phantom scan, the  
 2569 possible contribution of phantom filling could be determined (and ruled out as appropriate) by flipping  
 2570 the direction of the phantom and rescanning.

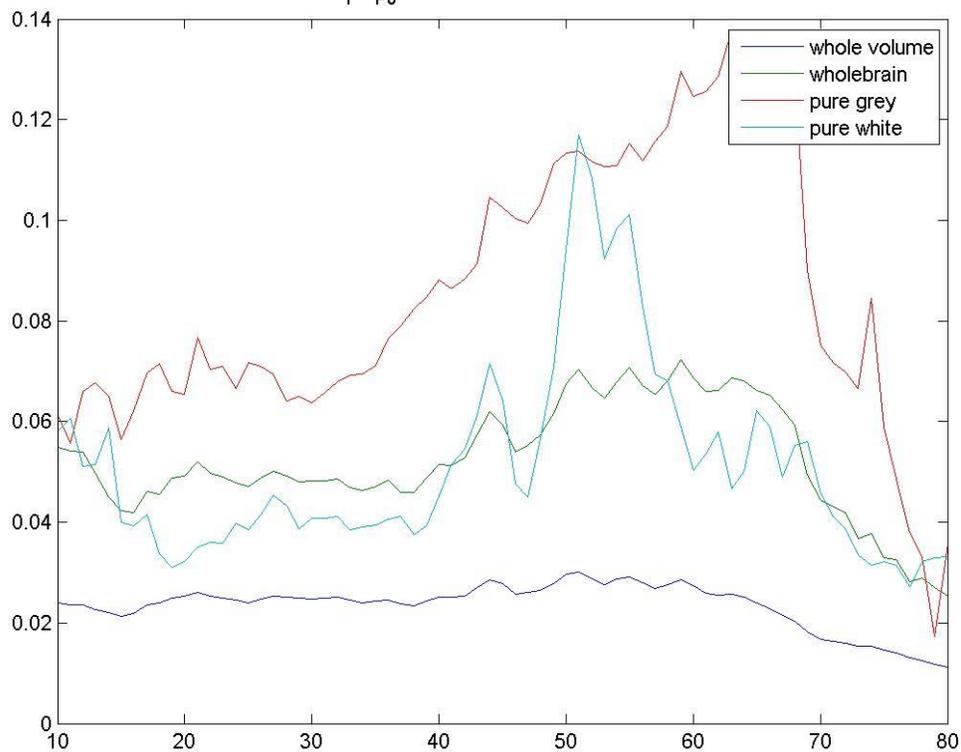


2571  
 2572



2573

Reg<sub>136</sub>P<sub>004</sub> Error: Absolute Diff vs Plane



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2575

2576

2577

## 6.9 Appendix I: Kinetic Modeling and Comparison to SUVR

### 6.9.1 Introduction

This section is intended as a reference to explain (a) the difference between late timeframe SUVR measurement, and the DVR measure calculated through full kinetic modeling, (b) reasons that amyloid burden values can differ between these two approaches, (c) cautions regarding potential sources of error introduced in SUVR measurement that are addressed through kinetic modeling, (d) logistical considerations in acquiring full dynamic images, and (e) recommendations for measurement approaches.

### 6.9.2 The contributors to amyloid PET signal

The signal intensity measured in a particular image voxel (three dimensional pixel) of a PET image reflects the amount of radiotracer present in that location at the time of measurement. To translate the signal intensity of an amyloid PET tracer into a meaningful measure of amyloid binding, it is necessary to separate out the contributions of tracer present in the blood, tracer bound to the target (the measurement of interest), tracer bound non-specifically (to entities other than target, for example white matter) and unbound tracer in tissue. The amount of tracer in each of these is dependent upon blood flow rate, membrane permeability impacting the rate of tracer diffusion into tissue, the presence of target (e.g., amyloid) in tissue, and the rate at which the tracer is cleared from the body (“clearance rate”).

Signal intensity in first few minutes reflects perfusion

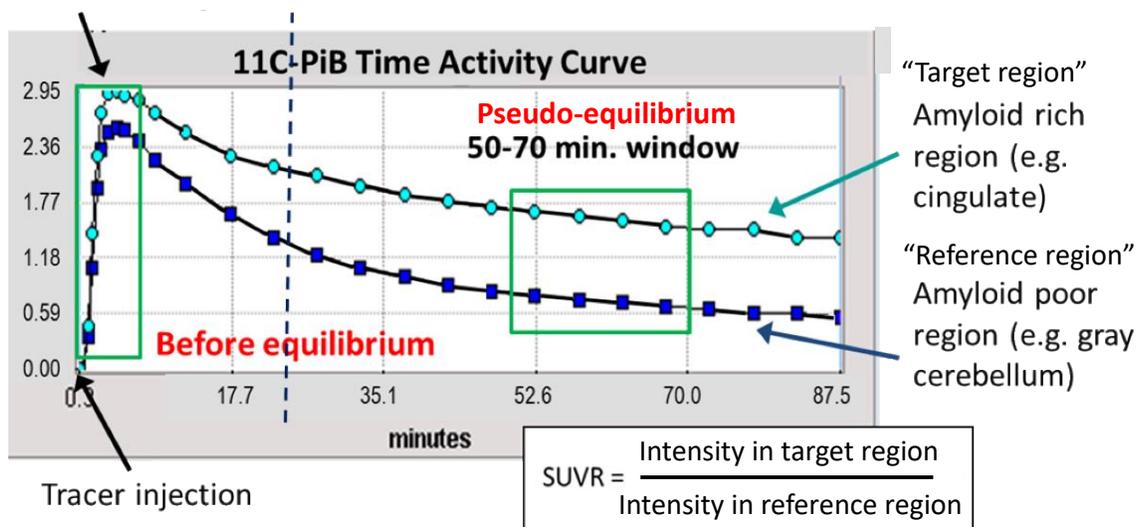
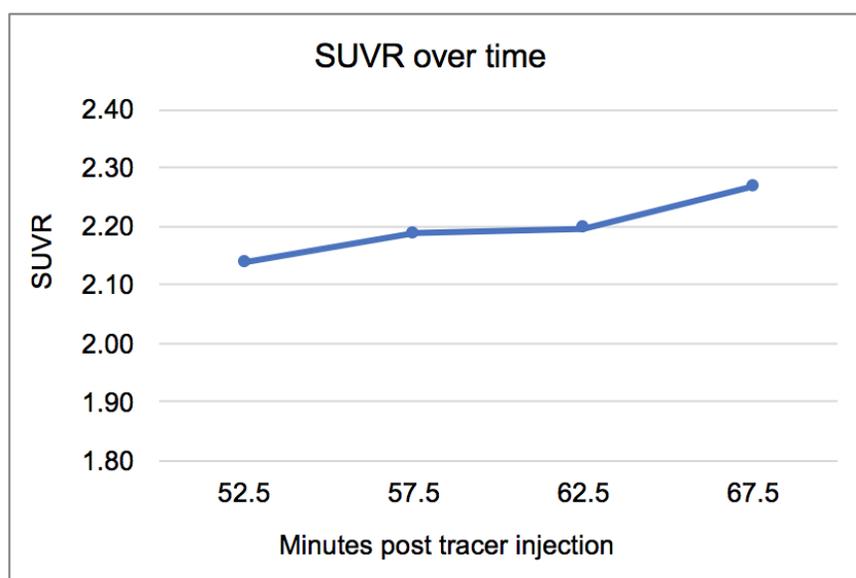


Figure 1. Time activity curves.

Figure 1 shows the signal intensity measured for the original amyloid tracer 11C-PIB in two different regions of the brain from the time of tracer injection to 90 minutes post-injection. The signal intensity curve for any given region over the time from tracer injection to a time following achievement of relative equilibrium is called a Time Activity Curve (TAC). In the initial minutes, the signal intensity reflects the rate at which the tracer is being taken up into tissue (perfusion multiplied by first pass extraction), which is driven by the combination of blood flow rate and membrane permeability. Studies of amyloid tracers

2604 including 11C-PIB and Amyvid (florbetapir) have demonstrated a strong correlation between the early  
2605 frame image and that of a blood flow image for the same subject (Forsberg 2012, Gjedde 2013, Hsiao  
2606 2012, Rostomian 2011). Following the first few minutes, the tracer begins to clear from the tissue, clearing  
2607 less rapidly from amyloid-containing tissue to which the tracer binds. The rate of clearance into the  
2608 bloodstream and out of the body is determined by several factors including kidney function and  
2609 medication effects. After a tracer-specific period of time (40 to 45 minutes for 11C-PIB), the rate of tracer  
2610 influx to tissue is in approximate equilibrium with its efflux back to the bloodstream.

2611 Using the TAC values from Figure 1, the SUVR over time is shown in Figure 2. It can be noted that this  
2612 SUVR is not a stable value over time, for reasons discussed below. For a visualization of SUVR over time  
2613 using the amyloid tracer flutemetamol see also Figure 6 of Nelissen et al (2009).



2614

2615

Figure 2. SUVR over time based upon the TAC values in Figure 1.

### 2616 **6.9.3 Kinetic modeling**

2617 Several different models have been developed that use simultaneous differential equations to solve for  
2618 the “flux” into and out of compartments, and ultimately the amount of tracer bound to target (in this case,  
2619 amyloid). The gold standard approach uses arterial blood measurements to obtain the actual tracer  
2620 concentration in blood. This method has some disadvantages due to patient and staff burden and  
2621 variability in the blood measurements (Lopresti 2005, Tolboom 2009). Alternate modeling approaches  
2622 make use of regional measurement of carotid artery radioactivity (Lopresti 2005) or eliminate the need  
2623 for blood sampling by making use of reference measurements in tissue that does not contain the binding  
2624 target. For amyloid tracers, this is often the cerebellar cortex, which is generally devoid of amyloid except  
2625 in latest stages of Alzheimer’s disease (ref) and certain familial forms of AD (Sepulveda-Falla 2011). The  
2626 validity of the reference region approach as an approximation for blood based modeling must be tested  
2627 for each new tracer, as it has been for 11-PIB (Price 2005), Amyvid (florbetapir, Wong 2010), Vizamyil  
2628 (flutemetamol, Nelissen 2009), and Neuroseq (florbetaben, Becker 2013). All kinetic models make use of  
2629 the entire time course of tracer measurement (TAC) from time of injection to a point at which a “pseudo-  
2630 equilibrium” has been reached. All of these models have the advantage of segregating the contribution  
2631 of blood flow and clearance from that of bound tracer. In the process, they provide a measure of “R1”,  
2632 i.e., perfusion relative to reference perfusion. Given the correlation between blood flow and cerebral

2633 glucose metabolism that exists in many cases, this provides an additional “FDG like” image reflecting  
 2634 neuronal function. The creation of a full TAC using an early time window and late time window has also  
 2635 been demonstrated (Bullich 2017). The measure of target burden (in this case amyloid) derived from a  
 2636 kinetic model is called the Distribution Volume Ratio (DVR or  $V_{\text{tissue}}/V_{\text{nondisplaceable}}$ ), equal to non-  
 2637 displaceable Binding Potential (BPnd) + 1. Published studies that used kinetic modeling may state the DVR  
 2638 value or may alternatively state the BPnd value when stating amyloid burden.

#### 2639 **6.9.4 Standardized Uptake Value Ratio**

2640 Despite the advantages provided by full kinetic modeling in accounting for contributions from blood flow,  
 2641 binding, and clearance, there are practical drawbacks. It is difficult for patients, particularly those with  
 2642 disease, to lie still in the scanner for the hour plus it may take to acquire a dynamic scan. Acquiring dynamic  
 2643 scans presents additional burden on staff and starting the scan at time of injection may require two  
 2644 technicians to be present. Historically, not all scanners have supported the acquisition modes or memory  
 2645 capacity required to acquire the number of discrete timeframes necessary to capture a full TAC, although  
 2646 most newer scanners have this capability. Using the scanner for a full hour or more also precludes its use  
 2647 for other patients during that entire time.

2648 For these reasons, the SUVR is often used as an approximation for DVR. This measurement uses only a  
 2649 “late timeframe” segment during which the tracer is in equilibrium. In true equilibrium, and assuming that  
 2650 blood flow rates are the same in target and reference tissue, the ratio of the two tissues provides a relative  
 2651 measure of the signal contribution due to amyloid binding. In reality, equilibrium is “pseudo”, in that tissue  
 2652 continues to lose activity. However, numerous studies have demonstrated that the simpler SUVR  
 2653 approach can provide discrimination between normal, MCI, and AD groups and, with adequate numbers  
 2654 of subjects, measure group level increases or decreases (Biogen ref) over time.

#### 2655 **6.9.5 Bias in SUVR measurements**

2656 The fact that true equilibrium is never reached can create an upward bias in SUVR value relative to DVR  
 2657 (Slifstein et al, 2007, Carson et al, 1993, Frokjaer et al, 2007, van Berckel et al, 2013). To illustrate this  
 2658 conceptually, from the TACs in Figure 1, it can be seen that the “receptor poor” reference region TAC  
 2659 asymptotes, or flattens, more rapidly than the “receptor rich” TAC. This is because tracer binding slows  
 2660 tracer flux back into the bloodstream. Even in late timeframes, neither curve is flat, which would be the  
 2661 case if equilibrium were reached, and net flux were zero. However, the receptor poor curve approaches a  
 2662 “flatter” stage first, as the concentration difference between tissue and plasma is lower. The difference  
 2663 between the rate of change in the receptor rich TAC (the SUVR numerator) and the reference TAC (the  
 2664 SUVR denominator) creates an artificially high value. A mathematical expression of this is provided in  
 2665 Slifstein et al (2007), which the reader is encouraged to review for further detail along with other  
 2666 references cited. In brief, as described mathematically in Slifstein, a change in concentration in a given  
 2667 region is depicted by  $[k_1 * C_{\text{plasma}}] \text{ minus } [k_2 * C_{\text{tissue}}]$ , where  $k_1$  is the transport coefficient from plasma to  
 2668 tissue,  $C_{\text{plasma}}$  is the concentration in plasma,  $k_2$  is the transport coefficient from tissue to plasma, and  $C_{\text{tissue}}$   
 2669 is the concentration in tissue. At equilibrium, these would sum to zero consistent with a lack of net  
 2670 concentration change. The expression  $C_{\text{tissue}}/C_{\text{reference}}$ , which is the SUVR, would equal the DVR (where  $\text{DVR} = V_{\text{tissue}}/V_{\text{ND}}$  and ND refers to nondisplaceable binding in reference region). However, only “pseudo-  
 2671 equilibrium” is reached and instead,  $C_{\text{tissue}}/C_{\text{reference}} = [V_{\text{tissue}} * (k_1 C_{\text{plasma}} + |dC_{\text{tissue}}/ct|)] / [V_{\text{tissue}} * (k_1 C_{\text{plasma}} + |dC_{\text{reference}}/ct|)]$ . The rate of change in tissue  $|dC_{\text{tissue}}/ct|$  in the numerator of this expression is greater  
 2672 than the rate of change  $|dC_{\text{reference}}/ct|$  for the reference tissue (which “flattened” earlier) in the expression  
 2673 denominator. This erroneously increases the value of the  $C_{\text{tissue}}/C_{\text{reference}}$ , the SUVR.  
 2674  
 2675

2676 SUVR bias is often on the order of 10% (Lopresti 2005) but can reach 20% or greater depending upon the  
2677 value of  $k_1$  (van Berckel et al, 2013). Bias increases from the point at which the approach toward pseudo-  
2678 equilibrium begins (e.g., 30 to 35 minutes for 11C-PIB) and continues to increase (until approximately 70  
2679 minutes for 11C-PIB, van Berckel et al, 2013) before plateauing. If blood flow and clearance rates do not  
2680 change from scan to scan, this bias would cancel out for longitudinal measurement. However, longitudinal  
2681 error in measuring a change in SUVR can occur if the  $k_1$  value changes from one scan to another. Changes  
2682 in  $k_1$  are influenced by blood flow and first pass extraction. Blood flow in particular can be impacted by  
2683 medications including candidate therapeutics for AD. In a simulation modeled by van Berckel et al, error  
2684 decreases with later timeframes, but for a decrease in  $k_1$  from 0.32 to 0.26 the error introduced at 60  
2685 minutes would be approximately -4%, significant in the context of amyloid accumulation rates.

2686 Longitudinal error can also occur if the ratio (R1) of the rate of tracer delivery to the target (“amyloid rich”)  
2687 region to the rate of tracer delivery to the reference region changes from one scan to another. Such a  
2688 change could be produced by (a) blood flow rate changes (e.g., decreases) in certain cortical regions  
2689 relative to flow rate in a cerebellar reference region, or (b) changes in regional membrane permeability  
2690 influencing tracer extraction efficiency. Using a longitudinal follow up period of 30 +/- 5 months, Van  
2691 Berckel et al found that R1 values were stable over time in normal controls and MCI patients but were  
2692 reduced by approximately 20% in AD patients. This is consistent with decreases in blood flow that have  
2693 been observed with AD progression in regions consistent with those in which glucose hypometabolism  
2694 becomes pronounced. Changes in regional blood flow rate and local membrane permeability can also be  
2695 caused by therapeutic agents. A 20% reduction in R1 value was estimated to create a 2% longitudinal  
2696 increase in SUVR at 60 minutes post tracer injection (van Berckel). A study that used the early (first 20  
2697 minutes) and late frames (50 to 70 minutes) of florbetapir images acquired in ADNI subjects to estimate  
2698 the contribution of blood flow unaccounted for in SUVR measures, also found that potential longitudinal  
2699 errors on the order of 2% to 5% could occur in late MCI/AD patients due to changes in blood flow (Cselenyi  
2700 et al, 2015). In the van Berckel example (Figure 1 of the reference publication), it can be seen that the  
2701 error is more pronounced in the 60 to 90 minute SUVR than the 40 to 60 minute SUVR. While part of this  
2702 may be due to the bias phenomenon, it has also been observed that 60 to 90 minute PIB SUVR  
2703 measurements involve substantially more technical variability than earlier measurement, likely arising  
2704 from lower tracer signal with noise inflated through decay correction, and greater subject motion as time  
2705 in scanner proceeds.

2706 Bias in kinetic models (and SUVRs) that use a reference region

2707 It should be noted that bias also occurs in kinetic models, depending upon the model (and potentially the  
2708 tracer) used, for a different reason than that discussed above for SUVRs. All reference tissue models,  
2709 whether DVR or SUVR assume that:

- 2710 1. the level of non-specific binding is the same in target and reference regions
- 2711 2. the ratio  $K1/k2$  is the same for target and reference regions.

2712 If either of these assumptions is violated, then the reference tissue model will not produce a true  
2713 reflection of binding to target. Whether or not the model can still be used on a practical basis depends  
2714 upon study objectives. Assumption 1 could be violated in the case of off-target binding, which is not  
2715 homogeneous, and assumption 2 could be violated in the case of blood brain barrier (BBB) breakdown.

2716

2717 In a comparison of several modeling methods applied to the same 11C-PIB scans, Lopresti et al (2005)  
2718 compared DVRs generated using the Logan graphical model with arterial blood sampling over 90 minutes  
2719 (“gold standard”) to DVRs generated using methods including arterial sampling and a 60 minute interval,  
2720 Logan reference region models with cerebellar cortex as reference, the Simplified Reference Tissue Model  
2721 (SRTM), and SUVRs measured from 40 to 60 minutes and 40 to 90 minutes with cerebellar cortex as  
2722 reference. Logan reference tissue models showed a negative bias averaging -11% for high DVR subjects,  
2723 while the SRTM model showed a mean 5% bias but with broader variance than all other models for low  
2724 DVR subjects, and a mean -5% bias for high DVR subjects. For comparison, the mean bias for SUVR models,  
2725 high DVR subjects was 6% (60 minutes) to 9% (90 minutes). Van Berckel et al (2013) showed that DVRs  
2726 generated using the Logan reference region method were 6% lower than those generated using the model  
2727 Receptor Parametric Mapping (RPM2), while SUVRs were biased upward. Kinetic model bias has been  
2728 attributed to a suspected difference between tracer clearance rate in the cerebellar cortex reference  
2729 tissue vs. plasma (Lopresti 2005), or to differences in model susceptibility to reference region noise (van  
2730 Berckel 2013). These factors can be mitigated in part through optimized model selection.

### 2731 **6.9.6 Logistical considerations for dynamic modeling**

2732 Acquisition of discrete timeframe data for dynamic modeling requires several short duration frames  
2733 occurring immediately following tracer injection, followed by longer timeframes later on. The scanner  
2734 must be capable of acquiring multi-frame data and must have adequate memory storage to support what  
2735 will likely be more than 20 frames in a single session (this issue has decreased with newer scanners). The  
2736 site must also either have scanner equipment that provides for a button enabling start of scan along with  
2737 tracer injection, or a second staff person available to initiate scanner data acquisition at time of injection.  
2738 There are further considerations with the length of the IV line depending upon the tracer (due to affinity  
2739 for tubing walls for some tracers), and the position of the subject within the scanner. As additional  
2740 considerations, scanner utilization time and patient burden are increased. A dual “early” (first minutes  
2741 post injection) and “later” (pseudo equilibrium) data acquisition approach has been demonstrated that  
2742 allowed extrapolation of a full TAC for kinetic modeling while also allowing the subject to have a “break”  
2743 (Bullich 2017). However, the potential benefit of allowing a site to fit an extra scan within that “break”  
2744 period is offset by the potential occurrence of a delay in continuing the scan, and associated introduction  
2745 of technical variability. To assess blood flow changes, alternate modalities such as arterial spin labeling  
2746 (ASL) MRI have been proposed; however, these require validation for use in this context and do not  
2747 capture clearance changes.

2748 It should be noted that kinetic modeling does not overcome error introduced by subject motion,  
2749 misalignment between emission and transmission scan, or other technical sources of noise. Since the risk  
2750 of subject movement increases with longer times in the scanner, these variables can actually outweigh  
2751 the benefits unless provisions are made to align each timeframe prior to attenuation correction.

### 2752 **6.9.7 Conclusions**

2753 Longitudinal changes in SUVR arising from systematic changes in blood flow ratios and clearance rates  
2754 mentioned in this section are not accounted for in the coefficient of variation in the profile Claim, which  
2755 captures non-systematic variability. The impact of systematic changes is highly dependent upon the study  
2756 population and therapeutic agent. When evaluating patient populations where the disease process may  
2757 impact blood flow or clearance rate, or where a therapeutic intervention could impact these factors, it is  
2758 strongly recommended to conduct at least an initial study using full dynamic modeling in order to  
2759 determine whether the SUVR approach is an acceptable substitute. Despite the logistical challenges of

2760 conducting full dynamic imaging, there are certain sites that routinely acquire data of this type. The  
2761 benefit of characterizing potential erroneous signal changes due to changes in blood flow or clearance  
2762 merits inclusion of such studies prior to broadening a longitudinal amyloid measurement trial through use  
2763 of SUVR.

2764

2765

## 6.10 Appendix I: SNMMI PAT Uniform Phantom Analysis sample report



### Introduction

The Uniform Phantom Analysis is meant to provide five distinct measures of scanner performance. These are relevant for daily clinical performance as well as qualifying a scanner for use in trials.

1. Scanner Quantitative Calibration Accuracy
2. Uniformity in the axial (across planes) direction
3. Uniformity in the radial (within planes) direction
4. Spatial resolution in the axial direction
5. Spatial resolution in the radial direction

### Phantom Data Acquisition and Reconstruction

This phantom study is meant to quantify some of the most fundamental metrics associated with your PET scanner performance. To get accurate measures this test is meant to be performed using:

1. A lengthy two-bed position (at least) scan of your 20 cm diameter uniform phantom (15-30 minutes per bed position). The phantom is tilted on a slight incline (front edge raised approximately 2 cm) so that spatial resolution can be accurately assessed from the edge of the phantom given that its physical edge occurs at a gradual progression of y-locations (floor to ceiling) in different axial slices. The long acquisition minimizes statistical noise.
2. Your standard clinical oncology reconstruction to get an accurate assessment of resolution using your clinically-used reconstruction algorithm and parameters.

### Software Functioning

The software expects the uniform phantom data to be acquired on a slight incline. It understands the cylindrical geometry of the phantom and analyzes the images to determine the 3D equation of the central axis of the cylinder. Given this information, a series of measurements is made without requiring user interaction.

- **Calibration Accuracy:** A large cylindrical VOI is placed in the center of the phantom (avoiding edge effects).
- **Uniformity in the Axial Direction:** Individual approximately 15 cm diameter circular ROIs are placed in the center of each axial slice.
- **Uniformity in the Radial Direction:** Five individual circular regions of interest approximately 4 cm in diameter are placed in each axial slice anterior, posterior, left, right, and center.
- **Spatial Resolution in the Axial Direction:** An edge profile is drawn for the central axial slice, and several slices in front and several slices behind. Using the measured phantom axis angle to calculate fractional offset of the adjacent edge curves, a highly sampled edge response curve can be pieced

together. A mathematical function is fit to this curve in order to measure the axial resolution.

- Spatial Resolution in the Radial Direction:** An edge profile is drawn on the central coronal slice and several slices to the left and right. In a manner similar to the previous step, piecing these several profiles together creates a highly sampled edge response function that can be used to assess the radial resolution.

### Caveats

The software expects the phantom data to be collected at a slight incline. If it is not, and the scan is performed with the phantom parallel to the axis of the scanner then all measurements will still be valid EXCEPT the resolution measurements, which require the higher sampling afforded by the inclined phantom.

### Report Header

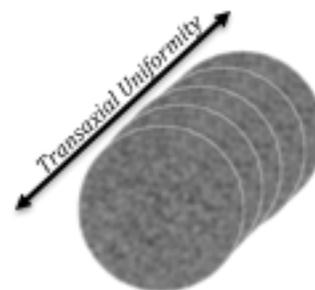
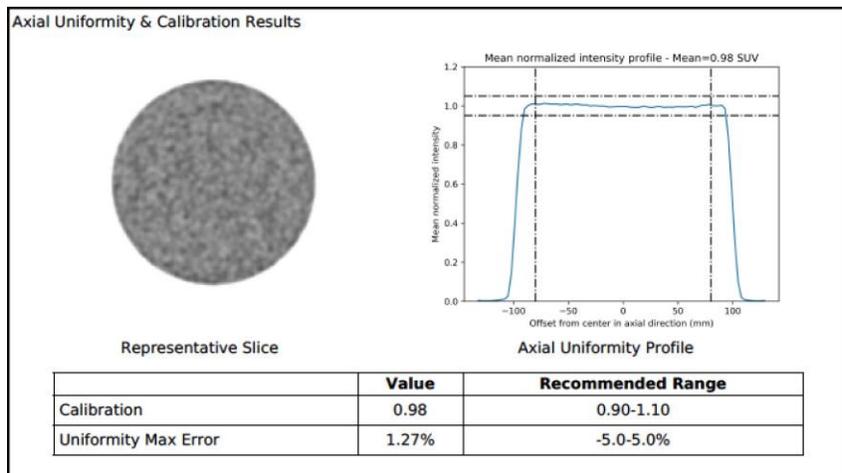
The header of the report is at the top of the first page. Example below.

Facility: University of Iowa Hospitals	Phantom: Uniform	Concentration: 0.21 $\mu$ Ci/ml
Scanner Model: SIEMENS Biograph64_Vision 600	Scan: 08/02/2019	Time Per Bed: 3.0min.
Reconstruction: PSF+TOF 4i5s Gauss3.00		

This Section reads the facility name, scanner make and model, reconstruction, scan date, and time per bed position from the DICOM Tags. It also reports the actual concentration in the phantom based upon the reported activity injected into the phantom, and the phantom volume.

### Scanner Calibration and Axial Uniformity

The scanner calibration accuracy is reported at the bottom of the first box. The “Calibration” reported is the PET measured concentration from a large cylindrical VOI automatically placed on the image data, divided by the actual concentration at scan time as determined by the decay corrected concentration as calculated from the data entered into PAT (activity injected into the phantom, time of dose measurement, the phantom fill volume). The Calibration reported should ideally be 1.00 with an acceptable range between 0.90 -1.10 (within  $\pm 10\%$  of actual concentration).

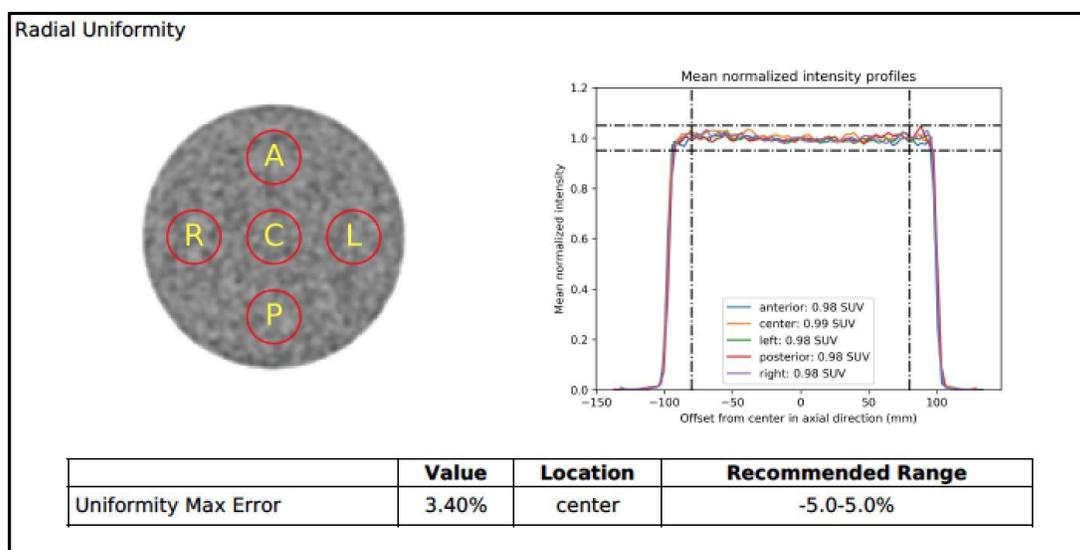


2836 Axial uniformity is reported both graphically as a profile through all axial slices of the scanner, and  
 2837 numerically in a downloadable spreadsheet available from PAT. For purposes of uniformity (but not of  
 2838 accuracy) the plot is normalized to the mean measured across the scanner’s axial field of view and will always  
 2839 be centered around 1.0. A circular region of interest of approximately 15 cm is centered in each slice around  
 2840 the centroid pixel to determine the mean concentration per slice.

2841 For purposes of uniformity assessment, only the central 80% of slices are analyzed (designated by two dotted  
 2842 vertical lines in the plot) so as to avoid edge/resolution effects. Two horizontal dotted lines are provided at  $\pm$   
 2843 5%. Typically, a scanner should have uniformity that stays within that  $\pm$  5% window. The largest deviation  
 2844 from 1.0 is reported in the first box underneath the Calibration measure. One should *not* observe a gradient  
 2845 from front to back (or vice versa), and this would be evidence of a problem, even if it were to stay within the  
 2846  $\pm$  5% boundaries.  
 2847

2848 **Radial Uniformity**

2849 Radial uniformity is reported both graphically and numerically in the second box as a profile through all axial  
 2850 slices of the scanner. For this measurement, five individual circular regions of interest approximately 4 cm  
 2851 diameter are placed in each axial slice anterior, posterior, left, right, and center to assess radial uniformity in  
 2852 each slice. Like the first box, this plot is normalized to the mean measured across the scanners axial field of  
 2853 view, and so will always be centered around 1.0.  
 2854



2855 For purposes of uniformity assessment, only the central 80% of slices are analyzed (designated by two dotted  
 2856 vertical lines in the plot) so as to avoid edge/resolution effects. Two horizontal dotted lines are provided at  
 2857  $\pm$  5%. Typically, all five regions should have uniformity that stays within that  $\pm$  5% window, however because  
 2858 these are smaller regions, noise may result in excursions slightly above and below the 5% line, which is to be  
 2859 expected and is likely of no consequence. Here we are looking for geometric bias. Is the anterior region  
 2860 systematically different than the posterior region? Is the left different than the right? Is the center region  
 2861 higher or lower than the peripheral regions (as might be seen if either attenuation or scatter corrections are  
 2862 not being performed appropriately)? It is up to the reader to make these determinations, as no automated  
 2863 detection of regional bias is performed.  
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2865 The largest deviation from 1.0 is reported in the first box underneath the Calibration measure, along with  
 2866 which region this occurred in.

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## Resolution Measurement

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Spatial resolution measurements of PET scanners have historically been performed using point sources of F-18 in air reconstructed using filtered back-projection. This is the NEMA approach, which has the explicit purpose of measuring the *intrinsic* resolution of a PET scanner; it does not, however, provide a meaningful measurement of resolution under clinical scanning conditions.

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The PAT approach targets providing sites with a meaningful measure of spatial resolution under more clinically relevant conditions. PAT implements an algorithm developed by Lodge<sup>1</sup> that uses the edge response function measurement from the uniform phantom acquired at a slightly oblique angle to measure both axial and radial resolution. This approach uses the phantom data reconstructed with the site's clinical reconstruction method in the presence of scatter and attenuation material to generate a clinically meaningful measurement of resolution.

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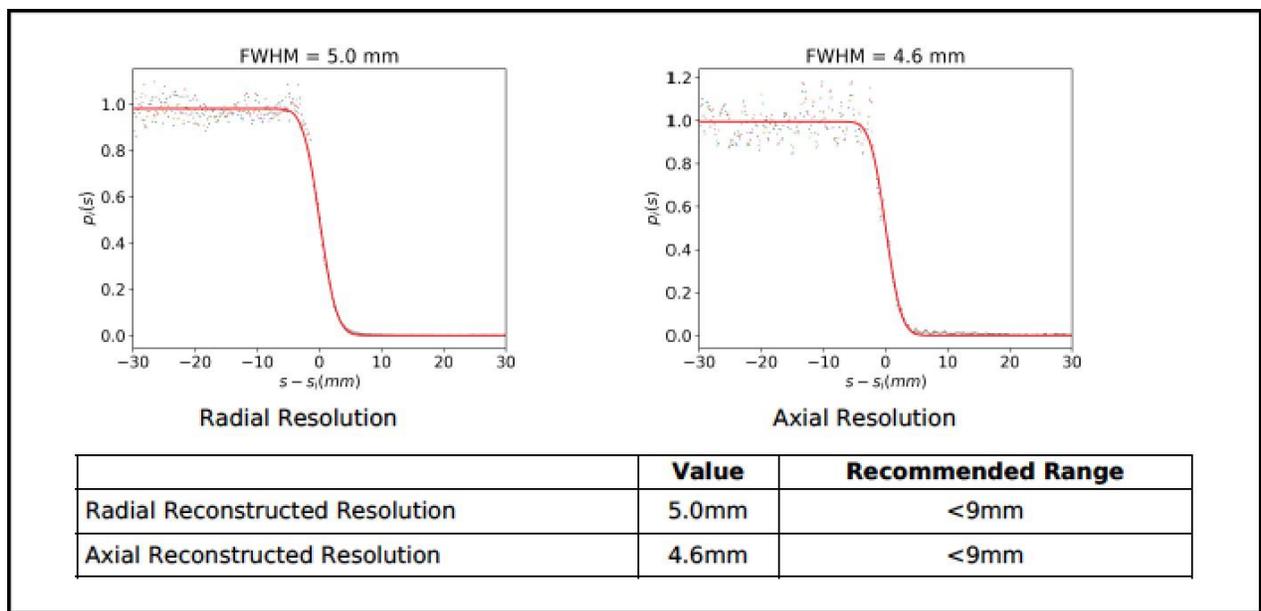
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The table provided in the PAT report includes the composite edge response function for the radial and axial planes, along with the functional fit to the data. The table below documents the axial and radial resolution measurements. The dots indicate the data, and the curves indicate the function fit from which the resolution measure is derived.



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## DICOM and Fill Information

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Relevant DICOM header and fill information is displayed in fourth box. This is provided to provide a simple means to check the fill and reconstruction information.

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Name	Value
Institution	University of Iowa Hospitals
Phantom	Uniform
Series Description	PET WB ultraHD
Scan Date	08/02/2019
Scan Time	14:58:07
Assay Time	14:32:00
Background Volume	6303.0g
Background Activity	1.59
Uptake Time	26.1
Minutes per Bed	3.00
Voxel Dimensions	1.65x1.65x3.00mm
Matrix Dimensions	440x440x88
Scanner Make and Model	SIEMENS Biograph64_Vision 600
Reconstruction Method	PSF+TOF 4i5s
Reconstruction Parameters	
Reconstruction Filter	XYZ Gauss3.00

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2894 **References**

2895 *Measuring PET Spatial Resolution Using a Cylinder Phantom Positioned at an Oblique Angle.*

2896 Lodge MA, Leal JP, Rahmim A, Sunderland JJ, Frey EC. J Nucl Med. 2018 Jun 14

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2914 **6.11 Appendix K: Conformance Checklists**2915 **6.11.1 INSTRUCTIONS**

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**Amyloid PET Imaging**

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2919 This Checklist is organized by "Actor" for convenience. If a QIBA Conformance Statement is already  
 2920 available for an actor (e.g., your analysis software), you may choose to provide a copy of that statement  
 2921 rather than confirming each of the requirements in that Actors checklist yourself.

2922 Within an Actor Checklist the requirements are grouped by the corresponding Activity in the QIBA Profile  
 2923 document. If you are unsure about the meaning or intent of a requirement, additional details may be  
 2924 available in the Discussion section of the corresponding Activity in the Profile.

2925 Conforms (Y/N) indicates whether you have performed the requirement and confirmed conformance.  
 2926 When responding N, please explain why.

2927 An additional Site Opinion column is included during the Technical Confirmation process to allow you to  
 2928 indicate how the requirement relates to your current, preferred practice. When responding Not Feasible  
 2929 or Feasible, will not do (i.e., not worth it to achieve the Profile Claim), please explain why.

2930 An additional column has been included to assess the impact of a given step for the purposes of checklist  
 2931 finalization. This column could be migrated to a quantitative scoring or note regarding quantitative impact  
 2932 in future versions. Some items that are "Low Impact" or else "Done anyway" may not be as important to  
 2933 include in practical use. For example, in the case of requirements that relate to DICOM fields, typically  
 2934 these could be confirmed through knowledge of the scanner model, software version, and DICOM  
 2935 conformance, rather than checked separately.

2936 Feedback on all aspects of the Profile and associated processes is welcomed.

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Site checklist	Page 2
Imaging Facility Coordinator checklist	Page 3
Nuclear Medicine Physician / Radiologist checklist	Page 4
Medical Physicist checklist	Page 5
Technologist checklist	Page 7
Acquisition Device and Reconstruction software checklist	Page 11
Image Analyst / Tool checklist	Page 16

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**6.11.2 SITE CHECKLIST**

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Parameter	Conforms (Y/N)	Requirement (Site)
Acquisition Devices		Shall confirm all participating acquisition devices conform to this Profile.
Reconstruction Software		Shall confirm all participating reconstruction software conforms to this Profile.
Image Analysis Tools		Shall confirm all participating image analysis tools conform to this Profile. (not applicable in clinical trial with central data QC, processing, analysis)
Radiologists		Shall confirm all participating radiologists conform to this Profile.
Physicists		Shall confirm all participating physicists conform to this Profile.
Technologists		Shall confirm all participating technologists conform to this Profile.

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2945 **6.11.3 IMAGING FACILITY COORDINATOR CHECKLIST**

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Section	Parameter	Conforms (Y/N)	Requirement (Imaging Facility Coordinator)	Inclusion notes
3.8.2	Accreditation / Qualification		Shall maintain and document Accredited status for clinical practice (ACR, IAC, TJC, etc.) or Qualified status for clinical trials (e.g., ACRIN, SNMMI-CTN, EARL, iCROs, etc.).	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.2	Personnel Roster		Each site shall have the support of certified technologists, physicists, and physicians experienced in the use of amyloid-PET/CT in the conduct of clinical trials.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.2	Technologist		Technologist certification shall be equivalent to the recommendations published by the Society of Nuclear Medicine and Molecular Imaging Technologists Section (SNMMI-TS) and the American Society of Radiologic Technologists (ASRT) and meet all relevant regulatory requirements.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.2	Medical Physicist		Medical physicists shall be certified in Medical Nuclear Physics or Radiological Physics by the American Board of Radiology (ABR) or equivalent certification.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.2	Physician		Physicians overseeing PET/CT scans shall have board certification by the American Board of Nuclear Medicine (ABNM) or equivalent.	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.3.2	Scanner hardware		The same scanner will be used for all longitudinal scans acquired for the same subject.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.3.2	Scanner operating software		The same scanner software will be used for all longitudinal scans acquired for the same subject (or requalified if update is necessary).	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.1	PET scanner		This Profile shall only address full ring PET scanners that have the capability of acquiring a transmission image for attenuation correction and have a minimum axial FOV of 15 cm for a single bed position.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway

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2949 **6.11.4 NUCLEAR MEDICINE PHYSICIAN / RADIOLOGIST CHECKLIST**

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2951 (Note: This Profile addresses quantitation and does not cover visual reads, which would involve additional  
 2952 requirements for the Nuclear Medicine Physician or Radiologist. Certification of the physicians is covered  
 2953 under the Facility Coordinator as an actor.)

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Section	Parameter	Conforms (Y/N)	Requirement (Physician)	Inclusion notes
3.3.3.1.3	Administered amyloid radiotracer Activity		Qualified health professional shall assay the pre-injection activity, record time of assay, inject quantity per protocol and record time of injection, assay residual activity after injection and record time of measurement	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
3.3.3.1.4	Amyloid radiotracer administration		Shall administer tracer intravenously through indwelling catheter (24 gauge or larger), with 3-way valve system attached to allow at least 10 cc normal saline flush after injection	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
3.3.3.1.4	Suspected infiltration or extraneous leakage		Shall record event and expected amount, and image infiltration site	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.4.5	PET scanner Resolution		Shall perform and document, on at least an annual basis or during an initial site qualification process, a qualitative resolution QC test by using the manufacturer’s settings and verifying resolution of normal gross anatomic features within either a clinical image or representative brain phantom.	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway

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### 6.11.5 **MEDICAL PHYSICIST CHECKLIST**

Section	Parameter	Conforms (Y/N)	Requirement (Physician)	Inclusion notes
3.8.4.4	Uniformity measurement		<p>Axial uniformity shall be measured at least monthly by placing a circular ROI that is at least 1 cm in diameter less than the active diameter of the cylinder phantom, centered on each of the axial planes. Mean axial concentrations in ROIs in the central 80% of planes shall be within <math>\pm 3\%</math> of the overall average for each qualified axial slice within sufficient distance from the axial edge of the field of view (2-4 cm). A method and software such as the PAT Uniformity software available from SNMMI may be used for measurement.</p> <p>Uniformity across planes against a gold standard reference can also be measured using a Hoffman phantom as described in Appendix H.</p>	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.4.5	PET scanner Resolution		<p>Shall perform (during an initial site qualification process, and then at least every one year) and document performance of a <u>quantitative</u> assessment (using a phantom with differing size defined targets such as the Hoffman, ACR or NEMA IQ phantoms) for spatial resolution. The FWHM resolution of the scanner should be <math>\leq 8.0</math> mm with a preferable target of 4 to 5 mm.</p>	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.4.6	Phantom tests: Frequency of noise measurements		<p>Shall perform at baseline, quarterly and after scanner upgrades, maintenance or repairs, and new setups.</p>	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
3.8.4.6	Phantom test: noise measurements		<p>A uniform cylinder phantom or equivalent shall be filled with an 18-F concentration in the uniform area (approximately 0.1 to 0.2 <math>\mu\text{C}/\text{ml}</math>) and scanned using the intended acquisition protocol. Using a rectangular or spherical region as close as possible to, but no smaller than, 3 cm to a side, the COV of the voxel values within the region should be below 15%, for the slices within the central 80% of the axial FOV.</p>	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
3.8.4.7	Phantom test: gray/white matter ratio measurement		<p>Using a phantom that contains different regions having uptake ratios between 2:1 and 4:1, measure the high to low ratio and ensure that the ratio is within 10% of specified contrast.</p>	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.4.8	Phantom test: SUVR accuracy		<p>The quantitative accuracy of the scanner shall be within <math>\pm 10\%</math> of the cross-referenced radionuclide calibrator (when properly calibrated).</p>	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway

Section	Parameter	Conforms (Y/N)	Requirement (Physician)	Inclusion notes
3.8.5.1	Radionuclide Calibrator Linearity		Shall evaluate quarterly (or after any radionuclide calibrator event) using either 18F or Tc-99m and should be within $\pm 2.5\%$ of the true value over an operating range of 37-1110 MBq (1 to 30 mCi) and the true value is determined by a linear fit (to the log data) over the same operating range. Concentric sleeve method is acceptable.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.5.2	Scales		Shall evaluate annually or after any repair by qualified personnel.	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.5.3	Scanner and site clocks		PET and CT scanner computers and all clocks in an Imaging facility used to record activity/injection measurements shall be synchronized to standard time reference within +/-1 minute.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway

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**6.11.6 TECHNOLOGIST CHECKLIST**

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Section	Parameter	Conforms (Y/N)	Requirement (Technologist)	Inclusion notes
3.3.3.1.3	Administered amyloid radio-tracer Activity		Qualified health professional shall assay the pre-injection activity, record time of assay, inject quantity per protocol and record time of injection, assay residual activity after injection and record time of measurement	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
3.3.3.1.4	Amyloid radiotracer administration		Shall administer tracer intravenously through indwelling catheter (24 gauge or larger), with 3-way valve system attached to allow at least 10 cc normal saline flush after injection	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
3.3.3.1.4	Suspected infiltration or extraneous leakage		Shall record event and expected amount, and image infiltration site	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.4.1.1	Tracer Injection Time		Shall enter the time of amyloid tracer injection into PET scanner console during the acquisition	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.4.1.1	Tracer Uptake Time		Shall ensure that the tracer uptake time for the baseline scan is within the acceptable range for the specific radiotracer	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.4.1.1	Tracer Uptake Time		When repeating a scan on same subject, shall apply the same time interval used at the earlier time point as closely as possible and not more than +/- 5 minutes	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.4.1.2	Subject Positioning		Shall position the subject according to protocol specifications consistently for all scans, with brain fully in field of view, ideally centered and with bottom of cerebellum at least 2.5 cm away from edge of axial FOV unless otherwise specified by protocol.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.4.1.2	Subject Positioning		Shall ensure the comfort of the subject in the head holder prior to initiating the scan, to minimize the likelihood of movement.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.4.1.2	Subject Positioning		Shall instruct the subject to hold as still as possible during the scan.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.4.1.2	Subject Positioning		Shall document the head position of the subject in the scanner FOV so that this can be replicated for subsequent scans.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.4.1.2	Subject Positioning (non-compliance)		Shall document issues regarding subject non-compliance with positioning.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway

Section	Parameter	Conforms (Y/N)	Requirement (Technologist)	Inclusion notes
3.4.1.3	Anatomic Coverage		Shall perform the scan such that the anatomic coverage (including the entire brain) is acquired in a single bed position according to the protocol specifications and the same for all time points.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
3.4.1.4.1	PET acquisition mode		The key PET acquisition mode parameters (e.g., time per bed position, acquisition mode, etc.) shall be set as specified by study protocol and used consistently for all patient scans.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
3.4.1.4.1	PET acquisition mode		PET shall be acquired in listmode format (best) or dynamic time frames of no more than 5 minutes each, when possible, in order to allow checking and correction for subject motion.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.4.1.4.2	CT acquisition mode		The key CT acquisition mode parameters (kVp, mAs, pitch, and collimation) shall be set as specified by study protocol and used consistently for all subject scans.	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.4.1.4.2	CT acquisition mode		If CT kVp is not specified in the study protocol, a minimum kVp of 80 shall be used and used consistently for all subject scans.	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.5.1	PET image reconstruction		The key PET reconstruction parameters (algorithm, iterations, smoothing, field of view, voxel size) shall be identical for a given subject across time points.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.5.1	PET image reconstruction		If available, the Point Spread Function (PSF) option can be used; the use or non-use of PSF must be consistent for a given subject across time points.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway  (High impact relates to the need for consistent use if applied.)
3.5.1	PET image reconstruction		If available, the time of flight (TOF) option can be used; the use or non-use of TOF must be consistent for a given subject across time points.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway  (High impact relates to the need for consistent use if applied.)
3.5.1	PET image reconstruction		The Technologist shall perform the image reconstruction such that the matrix, slice thickness, and reconstruction zoom shall yield a voxel size of $\leq 2.5$ mm in the x and y dimensions and $\leq 2.5$ mm in the z direction (relatively recent GE scanners have a resolution of 3.27 mm but are also acceptable; older scanners such as GE Advance and GE Discovery LS	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway  Loss of resolution reduces ability

Section	Parameter	Conforms (Y/N)	Requirement (Technologist)	Inclusion notes
			may require up to 4.25 mm and are not as recommended).	to detect signal change
3.5.1	Correction factors		All quantitative corrections shall be applied during the image reconstruction process. These include attenuation, scatter, random, dead-time, and efficiency normalizations.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.5.2.13.5.2.2.1	Image orientation		The raw image will be spatially oriented per study protocol.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.5.3	Data archiving: raw images		The originally reconstructed PET images (image raw data), with attenuation correction, and CT images shall always be archived at the local site.	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.5.1	Radionuclide Calibrator Constancy		Shall evaluate daily (or after any radionuclide calibrator event) using a NIST-traceable (or equivalent) simulated 18F, Cs-137, or Co-57 radionuclide calibrator standard and confirmed that measured activity differs by no greater than $\pm 2.5\%$ from the expected value.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.5.1	Radionuclide Calibrator Accuracy		Shall evaluate annually (or after any radionuclide calibrator event) with a NIST-traceable (or equivalent) simulated F-18 radionuclide calibrator standard (use of other long-lived NIST standards are acceptable). Shall confirm that net measured activities differ no greater than $\pm 2.5\%$ from expected value.	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.5.1	Radionuclide Calibrator Linearity		Shall evaluate quarterly (or after any radionuclide calibrator event) using either 18F or Tc-99m and should be within $\pm 2.5\%$ of the true value over an operating range of 37-1110 MBq (1 to 30 mCi).	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.8.5.1	PET Radiation Dose		Shall record the radiation dose from the administered activity.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
3.8.5.2	Scales		Shall evaluate annually or after any repair by qualified personnel.	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway  Not required for claim
3.8.5.3	Scanner and site clocks		PET and CT scanner computers and all clocks in an Imaging facility used to record activity/injection measurements shall be synchronized to standard time reference within $\pm 1$ minute.  Synchronization of all clocks used in the conduct of the amyloid-PET study shall be checked weekly and	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway

Section	Parameter	Conforms (Y/N)	Requirement (Technologist)	Inclusion notes
			after power outages or civil changes for Daylight Savings (NA) or Summer Time (Eur)	
4.1	CT Scanner Calibration		Follow manufacturer’s recommendations.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.1	PET Scanner Calibration		Shall perform daily/weekly/monthly scanner QA and vendor recommended maintenance procedures (e.g., replace weak transmission sources for dedicated PET scanner); ensure that output values are acceptable	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.1	Radionuclide calibrator		Calibrated to 18F using NIST traceable source or equivalent either by site or calibrator manufacturer.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway

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2965 **6.11.7 IMAGE ANALYST AND WORKSTATION CHECKLIST**

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2967 **IMAGE ANALYST**

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Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
3.5.2.2.1	Inter timeframe spatial alignment		When a multi-frame PET scan is provided, the translational and rotational adjustment required to align the frames will be assessed prior to combining frames into a single scan.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.5.2.2.1	Action based on inter-timeframe consistency check		If <u>inter-frame alignment has been performed</u> prior to attenuation correction, frames will be removed if inter-frame translation exceeds a recommended threshold or <u>if inter-frame alignment has not been performed</u> prior to attenuation correction, frames will be removed if inter-frame translation exceeds a recommended threshold.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.5.2.2.2	Static Image generation		Only timeframes identified as appropriately aligned will be included in this image generation.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.5.3	Data archiving: post-processed images		If a static image has been generated by aligning frames and summing or averaging discrete timeframes, or through other parametric image generation, the image will be archived at the site where the static image generation occurred.	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.6.2.2	Image smoothing		When combining scans from different scanners and/or reconstruction software that produce different image resolutions, filtering will be applied per protocol to produce comparable signal for the same amount of radioactivity.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.6.3.1.1	PET and MRI image fusion		When coregistering a subject's PET and MRI images, accurate alignment of the images in all planes (transaxial, coronal, sagittal) will be verified visually or using an alternate method that achieves this.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.6.3.1.2	Co-registration of longitudinal scans		When coregistering a subject's longitudinal PET images, accurate alignment of the images in all directions (transaxial, coronal, sagittal) will be verified visually or using an alternate method that achieves this.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.6.3.2.1	Target Region Definition		The same target region definitions (which may be transformed to each individual subject's morphology) will be applied consistently to subjects and across a study.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway

Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
3.6.3.2.2	Reference Region Definition		The reference region definition will conform to protocol by including the specified tissue. Quality control measures will be applied to ensure that longitudinal change is not attributable to technical noise or artifact in a particular reference region.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.6.3.2.3	Region placement		The placement of all regions of interest and reference region(s) will be verified to be on the correct tissue	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.6.3.2.3	Region placement		All regions will be checked to ensure that boundaries do not include empty space (scan truncation). Regions will be adjusted using a consistent approach, such as automated exclusion of voxels, with a sub-threshold value, to exclude voxels where tissue is missing.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
3.6.3.2.3	Region placement		The same portion of tissue will be measured between longitudinal scans for the same subject.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Image analysis workstation performance evaluation		Shall use the DRO series to verify adequate performance as described in Appendix F and save the results with any study compliant with this Profile.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Image analysis workstation repeatability		Shall, if operator interaction is required by the Image Analysis Workstation tool to perform measurement, be validated to achieve repeatability with a within-subject CV of less than or equal to 2.6%. See Appendix F.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway

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2970 **IMAGE POST PROCESSING WORKSTATION**

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Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
4.4	Metadata		Shall be able to accurately propagate the information collected at the prior stages and extend it with those items noted in the Image Analysis Workstation section.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.4	Metadata		Shall be able to display all information that affects SUVRs either directly in calculation (e.g., region of interest intensity) or indirectly (image acquisition parameters).	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Image acquisition		Shall be capable to display or include link to display the number of minutes between injection and	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway

Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
			initiation of imaging (as per derivation guidelines described in Section 4.2), and the duration of each timeframe in cases where the image consists of multiple timeframes.	
4.4	Decay correction		Shall allow for image decay correction if not performed during reconstruction. Shall use either the Acquisition Time field (0008,0032) or Radiopharmaceutical Start Time (0018,1072), if necessary. If a series (derived or not) is based on Acquisition Time decay correction, the earliest Acquisition Time (0008,0032) shall be used as the reference time for decay correction.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Image orientation		Shall allow user to orient image per protocol in x, y, and z directions.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Intra-scan, inter-frame alignment		Shall be able to automatically spatially align the different timeframes that may have been acquired	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Intra-scan, inter-frame alignment		Shall allow selection of an anchor frame to which other frames are aligned	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Intra-scan, inter-frame alignment		Shall measure and display the translational and rotational parameters necessary to align each frame to the reference frame.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Static image creation		Shall allow exclusion of one or more frames from the static image that is created through frame averaging or summation	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Static image creation		Shall be able to sum and/or average the selected timeframes to create a static image for analysis	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Smoothing		Shall be able to apply a 3D smoothing filter if indicated as part of study protocol	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.4	Data storage and transfer		Shall be able to store images after each major step of image manipulation (e.g., after frame summation)	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway

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2973 **IMAGE ANALYSIS WORKSTATION**

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Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
4.4	Performance Evaluation		Shall use the DRO series to verify adequate performance as described in Appendix F and save the results with any study compliant with this Profile.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Repeatability		Shall be validated to achieve repeatability with a within-subject CV of less than or equal to 2.6%. See Appendix F.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Linearity		Shall be validated to achieve: <ul style="list-style-type: none"> <li>• slope (<math>\widehat{A}_1</math>) between 0.95 and 1.05</li> <li>• R-squared (<math>R^2</math>) &gt;0.90</li> </ul> See Appendix F.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Image Quality control: Visual inspection		Shall be able to display each image in a manner such that all image slices in the transaxial, sagittal, and coronal views may be examined visually.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Spatial mapping: Image fusion (co-registration)		Shall be able to automatically and accurately spatially align the PET image with the subject's MRI scan in cases where this approach is implemented.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Spatial mapping: Co-registration between visits		Shall be able to automatically and accurately spatially align multiple PET visits to one another when this approach is implemented.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Spatial Mapping: warp to template		Shall be able to automatically and accurately spatially map the subject's scan and template to each other when this approach is implemented.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Target and reference region definition		Shall provide either the means for defining target and reference region of interest boundaries to be applied to the subject scan, or for importing pre-defined region of interest boundaries (or masks) that may have been generated using other software (such as generated through segmentation of subject's MRI or pre-defined based upon an image template and atlas).	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	SUVr image creation		Shall be able to create an SUVr image by dividing each voxel by the average value within a selected reference region, if this option is implemented.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Region placement		Shall be able to apply (place for measurement) pre-specified regions of interest onto the PET scan in an anatomically accurate manner.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	Region placement quality control		Shall allow means for quality assurance that regions for measurement have been accurately placed on the PET scan (either by final region placement inspection and/or inspection and/or automatic quality measurements performed at each image manipulation step). (see section 4.4 for accuracy description)	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway

Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
4.4	Region of interest measurement		Shall be able to calculate the mean value within each region of interest, and store for SUVR calculations (if not based on an SUVR image) and/or reporting.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	SUVR calculation		Shall be able to calculate SUVR values by dividing the mean value in a target region by the mean value in the reference region (if not based on an SUVR image).	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.4	SUVR output		Shall be able to store and output SUVR values for display and for transfer to a study report, to a precision as required by the study protocol.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway

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2976 **6.11.8 ACQUISITION DEVICE AND RECONSTRUCTION SOFTWARE CHECKLIST**

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- Requirements pertaining to acceptance of data in DICOM fields should be standard with DICOM conformant scanners. A more efficient approach to verifying those line items may be to confirm that the scanner used at the site is among an acceptable list of manufacturers and models.
- 2982
- The ability to accept information into DICOM headers does not preclude errors made during entry, and Quality control should be implemented through personnel, study protocol, and use of transmittal forms where applicable.
- 2983
- 2984
- Similarly, the reconstruction capabilities could be covered using a list of acceptable operating software and version numbers.
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- 2986
- Since this Profile makes use of SUVR and DVR, height and weight are not relevant unless to detect cases where injected dose compared to weight or body mass is out of expected range.
- 2987
- 2988
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Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
4.2	PET Scanner: calibration		Shall be able to be calibrated according to the specifications in section 3.8.4	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.2	PET scanner: Weight		Shall be able to record patient weight in lbs or kg as supplied from the modality worklist and/or operator entry into scanner interface. Shall be stored in Patient Weight field (0010,1030) in the DICOM image header, as per DICOM standard.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway Not required for claim
4.2	PET scanner: Height		Shall be able to record patient height in feet/inches or cm/m as supplied from the modality worklist and/or operator entry into scanner interface. Shall be stored in Patient Size field (0010,1020) in the DICOM image header, as per DICOM standard.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway Not required for claim
4.2	PET scanner: Administered Radionuclide		Shall be able to accept the radionuclide type (i.e., F-18) from the DICOM Modality Worklist either from the NM/PET Protocol Context, if present, or by deriving it from the Requested Procedure Code via a locally configurable tables of values. Shall be able to enter the radionuclide type (i.e., F-18) by operator entry into the scanner interface. Shall be recorded in Radionuclide Code Sequence (0054,0300) in the DICOM image header (e.g., (C-111A1, SRT, “ <sup>18</sup> Fluorine”)).	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway Impacts decay correction; impact lowered for SUVR due to ratio

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Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
4.2	PET scanner: Administered Radiotracer		Shall be able to record the specific radiotracer as supplied by operator entry into the scanner interface. Shall be recorded in Radionuclide Code Sequence field (0054,0300) in the DICOM image header, e.g., (C-B1031, SRT, "Fluorodeoxyglucose F18").	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.2	PET scanner: Administered Radiotracer radioactivity		Shall be able to enter the administered radioactivity, in both MBq and mCi, as supplied by operator entry into the scanner interface. Shall be recorded in Radionuclide Total Dose field (0018,1074) in the DICOM image header in Bq.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.2	PET scanner: Administered Radiotracer Time		Shall be able to record the time of the start of activity injection as supplied by operator entry into the scanner interface. Shall be recorded in Radiopharmaceutical Start Date Time field (0018,1078) (preferred) or Radiopharmaceutical Start Time field (0018,1072).	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.2	PET scanner: Decay Correction Methodology		Encoded voxel values with Rescale Slope field (0028,1053) applied shall be decay corrected by the scanner software (not the operator) to a single reference time (regardless of bed position), which is the start time of the first acquisition, which shall be encoded in the Series Time field (0008,0031) for original images. Corrected Image field (0028,0051) shall include the value "DECY" and Decay Correction field (0054,1102) shall be "START", which means that the images are decay corrected to the earliest Acquisition Time (0008, 0032).	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.2	PET scanner: Scanning Workflow		Shall be able to support Profile Protocol (Section 3) PET and CT order(s) of acquisition. Shall be able to pre-define and save (by imaging site) a Profile acquisition Protocol for patient acquisition.	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.2	PET scanner: CT Acquisition Parameters		Shall record all key acquisition parameters in the CT image header, using standard DICOM fields. Includes but not limited to: Actual Field of View, Scan Duration, Scan Plane, Total Collimation Width, Single Collimation Width, Scan Pitch, Tube Potential, Tube Current, Rotation Time, Exposure and Slice Width in the DICOM image header.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.2	PET scanner: PET-CT Alignment		Shall be able to align PET and CT images within $\pm 2$ mm in any direction.	<input checked="" type="checkbox"/> High impact <input type="checkbox"/> Low impact <input type="checkbox"/> Done anyway  In all but the newest scanners

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Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
				this is a manual operation and not frame by frame.
4.2	PET scanner: CT Absorbed Radiation Dose		Shall record the absorbed dose (CTDI, DLP) in a DICOM Radiation Dose Structured Report.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.2	PET scanner: Activity Concentration in the Reconstructed Images		Shall be able to store and record (rescaled) image data in units of Bq/ml and use a value of BQML for Units field (0054,1001).	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.2	PET scanner: Tracer Uptake Time		Shall be derivable from the difference between the Radiopharmaceutical Date Time field (0018,1078) (preferred) or Radiopharmaceutical Start Time field (0018,1072) and the Series Time field (0008,0031) or earliest Acquisition Time field (0008,0032) in the series (i.e., the start of acquisition at the first bed position), which should be reported as series time field (0008,0031).	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.2	PET scanner: PET Voxel size		See Section 4.3 (PET Voxel size) under the Reconstruction Software specification requirements.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway  This is simply a reference to another section.
4.2	PET scanner: CT Voxel size		Shall be no greater than the reconstructed PET voxel size. Voxels shall be square, although are not required to be isotropic in the Z (head-foot) axis. Not required to be the same as the reconstructed PET voxel size.	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.2	PET scanner: Subject Positioning		Shall be able to record the subject position in the Patient Orientation Code Sequence field (0054,0410) (whether prone or supine) and Patient Gantry Relationship Code field Sequence (0054,0414) (whether head or feet first).	<input type="checkbox"/> High impact <input checked="" type="checkbox"/> Low impact <input type="checkbox"/> Done anyway
4.2	PET scanner: Documentation of Exam Specification		Shall be able to record and define the x-y axis FOV acquired in Field of View Dimensions (0018,1149) and reconstructed in Reconstruction Diameter (0018,1100).	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.2	PET scanner: DICOM Compliance		All image data and scan parameters shall be transferable using appropriate DICOM fields according to the DICOM conformance statement for the PET scanner.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway

Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
4.2	PET scanner: DICOM Data transfer and storage format		PET images shall be encoded in the DICOM PET or Enhanced PET Image Storage SOP Class, using activity-concentration units (Bq/ml) with additional parameters stored in public DICOM fields to enable calculation of SUVs. PET images shall be transferred and stored without any form of lossy compression.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.2	PET scanner: DICOM Editing		Shall be able to edit all fields relevant for SUV calculation before image distribution from scanner. Shall provide appropriate warnings if overriding of the current values is initiated.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.3	Reconstruction Software: Metadata		Shall be able to accurately propagate the information collected at the prior stages and extend it with those items noted in the Reconstruction section.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.3	Reconstruction Software: Data Corrections		PET emission data must be able to be corrected for geometrical response and detector efficiency, system dead time, random coincidences, scatter and attenuation.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.3	Reconstruction Software: Reconstruction Methodology		Shall be able to provide iterative and/or analytical (e.g., filtered back projection) reconstruction algorithms.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.3	Reconstruction Methodology / Output		Shall be able to perform reconstructions with and without attenuation correction.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.3	Reconstruction Software: Data Reconstruction 2D/3D Compatibility		Shall be able to perform reconstruction of data acquired in 3D mode using 3D image reconstruction algorithms. If 3D mode data can be re-binned into 2D mode, shall be able to perform reconstruction of data acquired in 3D mode using 2D image reconstruction algorithms.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.3	Reconstruction Software: Quantitative calibration		Shall apply appropriate quantitative calibration factors such that all images have units of activity concentration, e.g., kBq/mL.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.3	Reconstruction Software: Voxel size		Shall allow the user to define the image voxel size by adjusting the matrix dimensions and/or diameter of the reconstruction field-of-view.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.3	Reconstruction Software: Voxel size		Shall be able to reconstruct PET voxels with a size 2.5 mm or less in the transaxial directions and 2.5 mm or less in the axial dimension (as recorded in Voxel Spacing field (0028,0030) and computed from the	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway

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Section	Parameter	Conforms (Y/N)	Requirement (Image Analyst)	Inclusion notes
			reconstruction interval between Image Position (Patient) (0020,0032) values of successive slices). Pixels shall be square, although voxels are not required to be isotropic in the z (head-foot) axis.	
4.3	Reconstruction Software: Reconstruction parameters		Shall allow the user to control image noise and spatial resolution by adjusting reconstruction parameters, e.g., number of iterations, post-reconstruction filters.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway
4.3	Reconstruction Software: Reconstruction protocols		Shall allow a set of reconstruction parameters to be saved and automatically applied (without manual intervention) to future studies as needed.	<input type="checkbox"/> High impact <input type="checkbox"/> Low impact <input checked="" type="checkbox"/> Done anyway

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