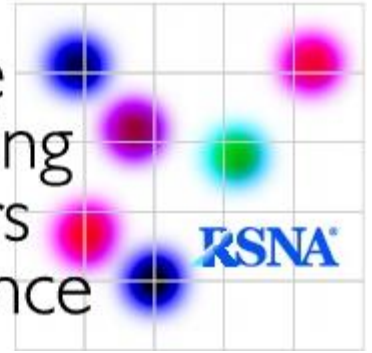


Quantitative  
Imaging  
Biomarkers  
Alliance



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# QIBA Profile. $^{18}\text{F}$ -labeled PET tracers targeting Amyloid as an Imaging Biomarker

Version DRAFT

10Nov2016

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**Open Issues:**

The following open issues have been raised. They are provided here to capture the associated discussion, to focus the attention of reviewers on topics needing feedback, and to track them so they are ultimately resolved. Comments on these issues are highly encouraged during the Public Comment stage.

**Claim Context**

If and how to address concern that large (>8% change in SUVr across time) may be accounted for by biologic change unrelated to amyloid deposition difference alone.

**Conformance Methodology**

DRO – University of Washington DRO development in progress with methodology for image analysis workstation conformance to follow

**Region Segmentation Requirements**

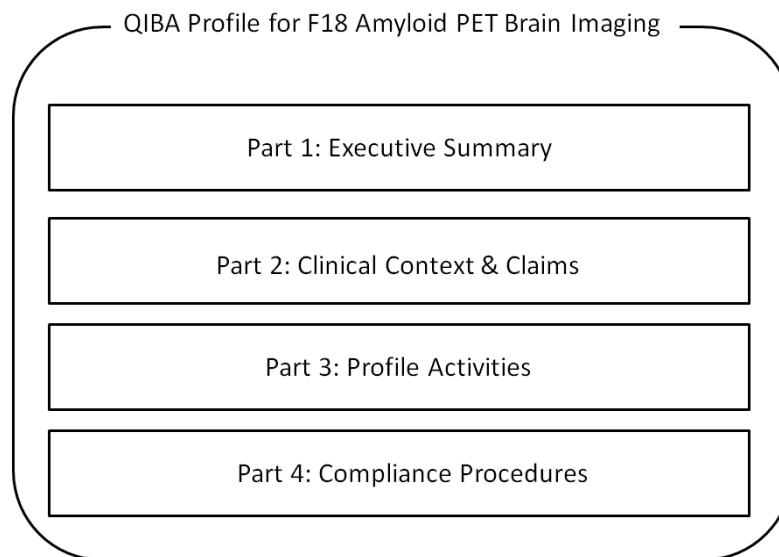
If and how to define requirements around anatomic region segmentation (whether anatomic specific to a subject (e.g. MRI-PET fused) or atlas based)) across time

55

## 56 1. Executive Summary

57 This QIBA Profile documents specifications and requirements to provide comparability and consistency for  
58 the use of PET imaging using 18F labeled tracers which target amyloid across scanners in neurology. The  
59 document primarily addresses PET/CT imaging; however, a dedicated PET that has transmission capabilities  
60 can also be used. PET/MR scanners are excluded in this version because of their novelty and unknown  
61 quantification differences as compared to PET/CT and dedicated PET scanners. The guidance in this Profile  
62 can be applied for both clinical trial use as well as individual patient management. This document organizes  
63 acquisition, reconstruction and post-processing, analysis and interpretation as steps in a pipeline that  
64 transforms data to information to knowledge.

65 The document, developed through the efforts of the amyloid Profile writing group in the QIBA Nuclear  
66 Medicine Technical Subcommittee, has shared content with the QIBA FDG-PET Profile, as well as additional  
67 material focused on the devices used to acquire and analyze amyloid tracer PET data.



68

69

Figure 1: Illustration of the Profile components

70 The Profile Part 3 is derived from multiple sources, including material contained in the work performed by  
71 the Alzheimer's Disease Neuroimaging Initiative (ADNI). A high level of image measurement precision may  
72 be most important for a cross-sectional Claim wherein the amyloid tracer is used primarily to select amyloid  
73 positive subjects. For the current Profile, which is a longitudinal Claim, the primary purpose is to assess for  
74 change in amyloid load following an intervention; precision may be more important than bias.

## 75 Summary for Clinical Trial Use

76 The QIBA Amyloid-PET Profile defines the technical and behavioral performance levels and quality control  
77 specifications for brain amyloid tracer PET scans used in single- and multi-center clinical trials of neurologic  
78 disease, primarily dementia. While the emphasis is on clinical trials, this process is also intended to apply  
79 for clinical practice. The specific claims for accuracy are detailed below in the Claims.

80 The aim of the QIBA Profile specifications is to minimize intra- and inter-subject, intra- and inter-platform,  
81 and inter-institutional variability of quantitative scan data due to factors other than the intervention under

82 investigation. PET studies using an amyloid tracer, performed according to the technical specifications of  
83 this QIBA Profile provides qualitative and/or quantitative data for multi-time point comparative  
84 assessments (e.g., response assessment, investigation of predictive and/or prognostic biomarkers of  
85 treatment efficacy). While the Profile details also apply to studies assessing subjects at a single time point, a  
86 cross-sectional Claim is not currently included in this Profile.

87 A motivation for the development of this Profile is that while a typical PET scanner measurement system  
88 (including all supporting devices) may be stable over days or weeks; this stability cannot be expected over  
89 the time that it takes to complete a clinical trial. In addition, there are well known differences between  
90 scanners and/or the operation of the same type of scanner at different imaging sites.

91 The intended audiences of this document include:

- 92 • Technical staff of software and device manufacturers who create products for this purpose.
- 93 • Biopharmaceutical companies, neurologists, and clinical trial scientists designing trials with imaging  
94 endpoints.
- 95 • Clinical research professionals.
- 96 • Radiologists, nuclear medicine physicians, technologists, physicists and administrators at healthcare  
97 institutions considering specifications for procuring new PET/CT (or PET/MR in subsequent document  
98 versions) equipment.
- 99 • Radiologists, nuclear medicine physicians, technologists, and physicists designing PET/CT (and PET/MR)  
100 acquisition protocols.
- 101 • Radiologists, nuclear medicine physicians, and other physicians or physicists making quantitative  
102 measurements from PET images.
- 103 • Regulators, nuclear medicine physicians, neurologists, and others making decisions based on  
104 quantitative image measurements.

105 Note that specifications stated as 'requirements' in this document are only requirements to achieve the  
106 claim, not 'requirements for standard of care.' Specifically, meeting the goals of this Profile is secondary to  
107 properly caring for the patient.

## 108 2. Clinical Context and Claims

109 Accumulation of amyloid-B (AB) fibrils in the form of amyloid plaques is a neuropathological requirement  
110 for the definitive diagnosis of dementia due to Alzheimer's disease (AD). Among the various biomarkers in  
111 development to assess AB, 18F PET amyloid tracers (see Table in Section 3.1.3.1.2 of current approved  
112 radiotracers for qualitative amyloid burden assessment which) offer the potential of directly detecting and  
113 quantifying cortical AB deposition. The 18F amyloid PET tracers have a high affinity for cortical AB. The  
114 rationale for their use in neurology is based on the typically increased presence of cortical AB deposition in  
115 individuals with mild cognitive impairment (MCI) due to AD and AD compared to normal control subjects  
116 without amyloid deposition.

### 117 Utilities and Endpoints for Clinical Utility

118 B-amyloid (AB) imaging with PET permits in vivo assessment of AB deposition in the brain.

119 This QIBA Profile specifically addresses the requirements for measurement of 18F- amyloid tracer uptake  
120 with PET as an imaging biomarker for assessing the within subject change in brain amyloid burden over

121 time (longitudinal Claim) to inform the assessment of disease status or possibly to evaluate therapeutic  
122 drug response. Quantitative assessment of amyloid burden at a single time point (cross sectional or bias  
123 Claim) is not part of the current Profile.

124 Biomarkers useful in clinical research for patient stratification or evaluation of therapeutic response would  
125 be useful subsequently in clinical practice for the analogous purposes of initial choice of therapy and then  
126 individualization of therapeutic regimen based on the extent and degree of response as quantified by  
127 amyloid-PET.

128 The technical specifications described in the Profile are appropriate for measuring longitudinal changes  
129 within subjects. Portions of the Amyloid PET Profile details are drawn from the FDG-PET Profile and are  
130 generally applicable to quantitative PET imaging for other tracers and in other applications.

131 A negative amyloid PET scan indicates sparse to no neuritic plaques and a positive amyloid scan indicates  
132 moderate to frequent amyloid neuritic plaques.

### 134 **Claim:**

135 If Profile criteria are met, then:

136 Claim 1: A measured change in SUVR of  $\Delta$  % indicates that a true change has occurred if  $\Delta > 8$  %, with 95%  
137 confidence.

138 Claim 2: If Y1 and Y2 are the SUVR measurements at two time points, then the 95% confidence interval for  
139 the true change is  $(Y2-Y1) \pm 1.96 \times \sqrt{[Y1 \times 0.043]^2 + [Y2 \times 0.043]^2}$ .

140 The following important considerations are noted:

141 1. This Claim applies only to subject scans that are considered evaluable with PET. In practice this means  
142 that scans are of sufficient diagnostic quality and performed with appropriate analysis requirements such  
143 that the target and reference tissue ROIs are evaluable. More details on which subjects scans are evaluable  
144 are described in Section 3.6.5.3.

145 2. Details of the claim were derived from a review of the literature and are summarized in Appendix B. In  
146 these reports (TBD), it was assumed that the repeatability of SUVR could be described.

147 3. This Claim is applicable for single-center studies using the same scanner model (and release). For multi-  
148 center studies, if 18F-amyloid tracer PET imaging is performed using the same scanner and protocol for  
149 each subject at each time point (as described in the Profile), then it is anticipated that this Claim will be  
150 met.

151 4. For this longitudinal Claim the percent change in SUVR is defined as  $[(\text{SUVR at Time Point 2 minus SUVR}$   
152  $\text{at Time Point 1}) / \text{SUVR at Time Point 1}] \times 100$ .

153 5. The statistical metric for Claim 1 is the Repeatability Coefficient (RC) and the statistical metric for Claim 2  
154 is the within-subject coefficient of variation.

155 6. For both Claims, it is presumed that a) the wCV is constant over the range of SUVR values and b) any bias  
156 in the measurements is constant over the range of SUVR values (linearity).

157 7. In this Profile, SUVR will be measured using SUVmean of the target regions of interest normalized to that  
158 of a reference region. SUV is a simplified metric representing the radiotracer uptake at a prescribed uptake  
159 time interval post injection. SUV is a composite signal consisting of contributions from radioactivity present

160 in tissue arising from tracer signal in blood (typically 3-8% of tissue consists of blood volume), the tracer  
161 free, non-specifically and/or non-selectively bound in tissue and the tracer specifically bound to a target of  
162 interest, in this case amyloid (Gunn RN et al. JCBFM. 2001 Jun;21(6):635-52, Innis et al, [JCBFM](#). 2007  
163 Sep;27(9):1533-9, [Schmidt KC<sup>1</sup>](#), [Turkheimer FE](#), [Q J Nucl Med](#). 2002 Mar;46(1):70-85.) . By normalising SUV  
164 to that of a reference region a simplified metric for the distribution volume ratio (DVR) is derived  
165 attempting to cancel or compensate for the contributions from the free and non-specifically bound tracer  
166 in tissue. However, the absolute signals and relative contributions arising from the various compartments  
167 are uptake time dependent as a result of differences in perfusion and non-specific and specific binding  
168 across the brain. In particular, it should be noted that perfusion does not only determine the wash-in  
169 (delivery) of the tracer, but also the wash-out of the tracer. Moreover, the wash-out is affected by the  
170 relative contributions of non-specific and specific binding as well, i.e., more 'binding slows down' wash-out.  
171 The latter also explaining the upward bias seen in SUVR compared with DVR (van Berckel et al, J Nucl Med.  
172 2013 Sep;54(9):1570-6). A detailed discussion on the various sources of bias when using the simplified  
173 reference tissue model (and SUVR) can be found in (Salinas et al. JCBFM Feb;35(2):304-11, 2015). From the  
174 fundamental kinetic properties of radiotracers it can be understood that both SUV and SUVR (as surrogate  
175 for DVR) are perfusion dependent and that changes in perfusion across the brain as well as longitudinally  
176 will result in changes in SUVR. Consequently, changes in SUVR may not represent only a change in specific  
177 signal (amyloid) but could, at least in part, be the result of changes or variability in perfusion (van Berckel et  
178 al, J Nucl Med. 2013 Sep;54(9):1570-6). Whether or not a change in SUVR is affected by changes in amyloid  
179 and/or perfusion ideally should be first demonstrated in a small cohort before SUVR is used in the larger  
180 clinical trial. At the very least these validation studies should be performed to assess the minimally required  
181 decrease in SUVR that is needed in order to rule out false positive findings because of (disease and/or drug  
182 related) perfusion effects.

183 While the claim has been informed by an extensive review of the literature (See Appendix B), it is currently  
184 a consensus claim that has not yet been substantiated by studies that strictly conform to the specifications  
185 given here. In addition, this claim should be re-assessed for technology changes, such as PSF (point spread  
186 function) based reconstruction or TOF (time of flight) imaging that were not utilized in published test-retest  
187 studies. A standard utilized by a sufficient number of studies does not exist to date. The expectation is that  
188 from future studies and/or field testing, data will be collected and changes made to this Claim or the Profile  
189 specifications accordingly.



### 3. Profile Activities

The following figure provides a graphical depiction that describes the marker at a technical level.

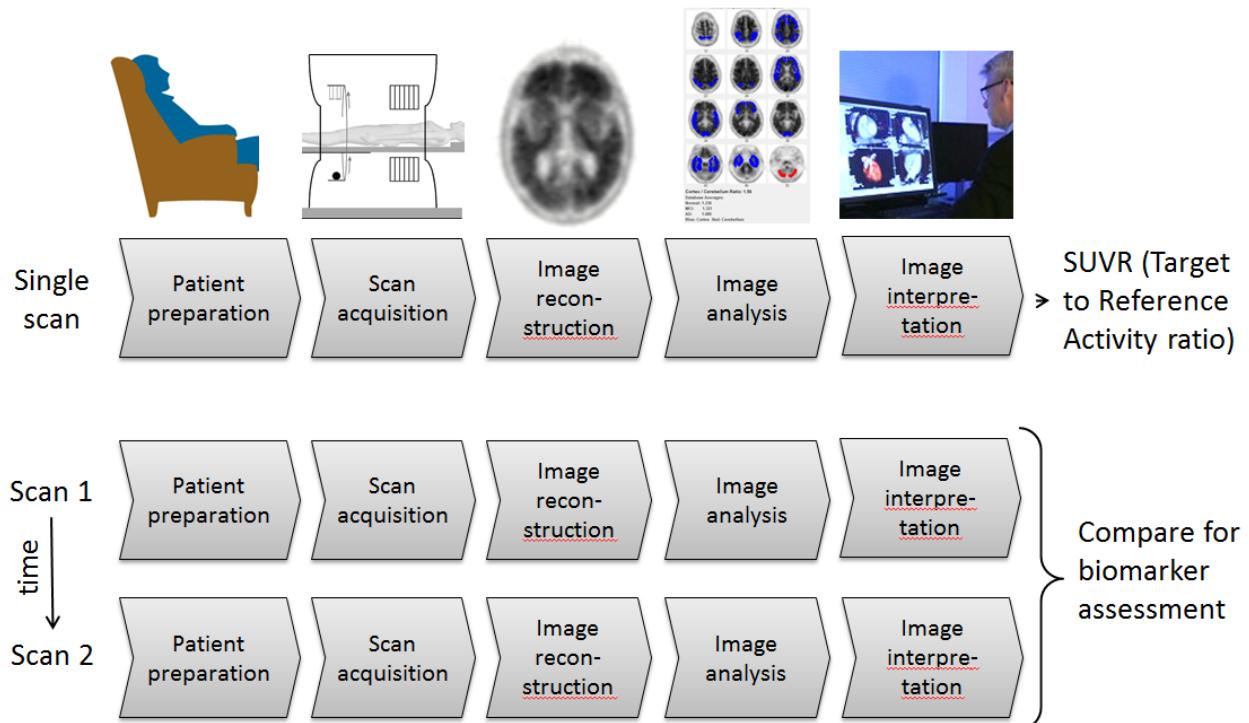


Figure 3: The method for computing and interpreting brain amyloid burden using PET may be viewed as a series of steps using either one scan (corresponding to a fit for use of a future ‘Cross-sectional’ Claim) or two or more scan sequences or time points (corresponding to a fit for use of the current Profile’s ‘Longitudinal’ Claim). For a given scan, the SUVR represents the ratio of tissue concentration for a designated brain region (or composite regions) compared to the activity from a reference region (which has typically been cerebellum (whole or gray) or pons but may involve other regions– see Section 4.4). The ratio of concentration from these distinct regions (target/reference) is then calculated, which is termed the SUVR.

Furthermore, as discussed in the Image Analysis Section of this Profile, the *Centiloid Scale* may, after further investigation, provide a mechanism whereby a study can be performed with different amyloid PET tracers mapped to a standard which is then comparable (e.g., by using a linear scaling process and looking at mean values [See Section 3.4.3.3]) to some (to be defined) degree. At this time, pending validation of the centiloid methodology, this Profile requires the use of a single radiotracer in a multi-center trial presuming pooling of data across centers is performed.

Patients may be selected or referred for amyloid-PET imaging through a variety of mechanisms.

The imaging steps corresponding to Figure 1 are:

- 1) Patients or subjects are prepared for scanning. The amyloid tracer is administered. Patient waits for bio-distribution and uptake of amyloid tracer. See Section 3.1.3.1.2 for ligand-specified timing.
- 2) Emission and transmission data are acquired (typically the PET scan and CT scan if a PET-CT scanner).
- 3) Data correction terms are estimated and the attenuation and scatter corrected images are

215 reconstructed.

216 4) Images are reviewed for qualitative interpretation.

217 5) Quantitative (and/or semi-quantitative) measurements are performed.

218 Note that steps 4 and 5 may occur in either order or at the same time, depending upon the context of the  
 219 review (clinical research versus clinical practice) with reference to the specifications described in each  
 220 tracer's package insert. **Currently, the quantitative use of amyloid-PET tracers is not approved by any**  
 221 **regulatory authorities in clinical practice.** More details on the requirements are given below.

222 Images may be obtained at a single time point or multiple time points over months or years, for example at  
 223 a minimum of two time points before and after therapeutic intervention for a response assessment.

224 The following sections describe the major components illustrated in Figure 3:

Section	Title	Performed by
3.1	Subject Handling	Personnel, (including Technologists and Schedulers) at an Image Acquisition Facility
3.2	Image Data Acquisition	Technologist, at an Image Acquisition Facility using an Acquisition Device
3.3	Image Data Reconstruction	Technologist, at an Image Acquisition Facility using Reconstruction Software
3.4	Image Analysis	Imaging Physician or Image Analyst using one or more Analysis Software tools
3.5	Image Interpretation	Imaging Physician before or after information obtained by Image Analysis using a pre-defined Response Assessment Criteria

225 Image data acquisition, reconstruction and post-processing are considered to address the collection and  
 226 structuring of new data from the subject. Image analysis is primarily considered to be a computational step  
 227 that transforms the data into information, extracting important values. Interpretation is primarily  
 228 considered to be judgment that transforms the information into knowledge.

### 229 **3.1. Subject Handling**

230 This Profile will refer primarily to 'subjects', keeping in mind that the recommendations apply to patients in  
 231 general, and that subjects are often patients too.

#### 232 ***3.1.1 Subject Selection and Timing***

233 The utility of correlative anatomic brain imaging, CT or MRI, can be viewed in two different contexts. From  
 234 a clinical perspective, the anatomic imaging study is used to assess for evidence of bleed, infection,  
 235 infarction, or other focal lesions (e.g., in the evaluation of subjects with dementia, the identification of  
 236 multiple lacunar infarcts or lacunar infarcts in a critical memory structure may be important). From the  
 237 perspective of establishing performance requirements for quantitative amyloid PET imaging, the purpose of  
 238 anatomic imaging (separate from the utility of providing an attenuation correction map) is to provide  
 239 assessment of cortical atrophy and consequently a falsely decreased SUVR. **The image analyst should also**  
 240 **be aware of the possibility of falsely increased SUVR due to blood-brain barrier (BBB) breakdown, such as in**  
 241 **the case of intracranial bleed. The effect of differential BBB integrity inter-time point is currently not**  
 242 **quantified in the scientific literature.** While the performance of anatomic imaging is not a performance

243 requirement of the Profile, the value of performing such imaging and the incorporation of its analysis with  
244 the amyloid PET findings may provide additional value in the interpretation for an individual subject. This  
245 should be considered in the design and implementation of the study protocol.

246 Aside from the exclusion (absolute or relative contraindications) of subjects who are unable to remain still  
247 enough to obtain adequate imaging (See Section 3.1.2.3 for information on subject sedation), subject  
248 selection for amyloid PET imaging is an issue beyond the scope of this Profile. Refer to Appropriate Use  
249 Criteria for Amyloid PET: A Report of the Amyloid Imaging Task Force, the Society of Nuclear Medicine and  
250 Molecular Imaging, and the Alzheimer's Association and manufacturer guidance for more information  
251 regarding patient selection.

### 252 3.1.1.1 Timing of Imaging Test Relative to Intervention Activity

253 The study protocol should specifically define an acceptable time interval that should separate the  
254 performance of the amyloid tracer PET scan from both (1) the index intervention (e.g., treatment with an  
255 amyloid reducing therapeutic agent) and (2) other interventions (e.g., prior treatment). This initial scan (or  
256 time point) is referred to as the "baseline" scan (or time point). The time interval between the baseline  
257 scan and the initiation of treatment should be specified as well as the time intervals between subsequent  
258 amyloid PET studies and cycles of treatment. Additionally, the study protocol should specifically define an  
259 acceptable timing variance for acquisition of the amyloid PET scan around each time point at which imaging  
260 is specified (i.e., the acceptable window of time during which the imaging may be obtained "on schedule").

### 261 3.1.1.2. Timing Relative to Confounding Activities

262 There are no identified activities, tests or interventions that might increase the chance for false positive  
263 and/or false negative amyloid tracer PET studies which need to be avoided prior to scanning.

### 264 3.1.1.3. Timing Relative to Ancillary Testing

265 Various neuropsychiatric tests may be performed on or around the day of amyloid tracer imaging and  
266 should be coordinated at the time of scheduling.

## 267 3.1.2 Subject Preparation

268 Management of the subject can be considered in terms of three distinct time intervals (1) prior to the  
269 imaging session (prior to arrival and upon arrival), (2) during the imaging session and (3) post imaging  
270 session completion. The pre-imaging session issues are contained in this section while the intra-imaging  
271 issues are contained in section 3.2.1 on image data acquisition.

### 272 3.1.2.1. Prior to Arrival

273 There are no dietary or hydration requirements or exclusions.

274 The conformance issues around these parameters are dependent upon adequate communication and  
275 oversight of the Scheduler or Technologist at the Image Acquisition Facility with the subject.  
276 Communication with the subject and confirmation of conformance should be documented.

### 277 3.1.2.2. Upon Arrival

278 Upon arrival confirmation of subject compliance with pre-procedure instructions should be documented  
279 on the appropriate case report forms.

**3.1.2.3 Preparation for Exam**

Subject preparation after arrival and prior to imaging should be standardized among all sites and subjects throughout the conduct of the clinical trial.

- Measurement and documentation of the subject’s weight (and height), though encouraged, is not a requirement of this Profile since the measurand, SUVR, is by definition a ratio of SUVs.
- The waiting and preparation rooms should be relaxing and warm (> 75° F or 22° C) during the entire uptake period (and for as long as reasonably practicable prior to injection, at least 15 minutes is suggested as acceptable). Blankets should be provided if necessary.
- The subject should remain recumbent or may be comfortably seated;
- After amyloid tracer injection, the subject may use the toilet. The subject should void immediately (within 5 – 10 minutes) prior to the PET image acquisition phase of the examination.
- Sedation is not routinely required. It is not certain whether sedation will interfere with amyloid tracer uptake; some preclinical testing indicates a possible interaction, but not all tracers have been tested for possible interaction effects. The decision regarding whether or not to use sedation is beyond the scope of this Profile and requires clinical evaluation of the particular subject for contraindications, as well as knowledge of whether the particular tracer is subject to interaction with the sedating agent. Since these interactions have not been fully defined, subject preparation (with or without sedation) should be consistent across time points for a given subject.
- The amount of fluid intake and use of all medications (e.g., diuretic, sedative) must be documented on the appropriate case report form.
- The subject should remove any bulky items from their pockets such as billfolds, keys, etc. In addition, they should remove eyeglasses, earrings and hair clips/combs (and anything that could cause discomfort while the head is resting in the head holder) if present. They should also remove hearing aids if possible although it is important that they be able to follow instruction (and hear them if necessary) to remain still while in the scanner.


### 3.1.3. Imaging-related Substance Preparation and Administration

#### 3.1.3.1. Radiotracer Preparation and Administration

##### 3.1.3.1.1 Radiotracer Description and Purpose

The specific amyloid radiotracer being administered should be of high quality and purity. For example, the amyloid seeking radiopharmaceutical must be produced under Current Good Manufacturing Practice as specified by the FDA, EU, European Pharmacopeia or other appropriate national regulatory agency. U.S. regulations such as 21CFR212 or USP<823> Radiopharmaceuticals for Positron Emission Tomography must be followed in the U.S. or for trials submitted to US Regulatory.

While beyond the scope of this document, for any new amyloid tracer it cannot be assumed that SUVR reflects amyloid load without validation, i.e., first full kinetic analysis needs to be performed to check that SUVR has a linear relationship with  $BP_{ND}$ .

##### 3.1.3.1.2 Radiotracer Activity Calculation and/or Schedule

The amyloid seeking radiotracer activity administered will depend upon the specific tracer utilized (See Table below). Typically, the dose ranges between about 185 – 370MBq (5 – 10 mCi); for regulatory approved tracers, this should be according to the package insert. The administered activity typically depends upon the local imaging protocol. The local protocol may require fixed activity, or the activity may vary as a function of various parameters including but not limited to subject size or age or scanning mode. The exact activity and the time at which activity is calibrated should be recorded. Residual activity remaining in the tubing, syringe or automated administration system or any activity spilled during injection should be recorded. The objective is to record the net amount of radiotracer injected into the subject to provide accurate factors for the calculation of the net SUV.

Parameter	Florbetapir (Amyvid) [1]	Flutemetamol (Vizamyl) [2]	Florbetaben (Neuraceq) [3]	NAV4694
Tracer Admin Activity	370 MBq Max 50 mcg mass dose	185MBq Max 20 mcg mass dose	300 MBq Max 30 mcg mass dose	300 MBq

Parameter	Entity/Actor	Specification
Administered amyloid Radiotracer Activity	Imaging Technologist	<p>The Technologist shall</p> <ol style="list-style-type: none"> <li>1. Assay the pre-injection radiotracer activity (i.e. radioactivity) and time of measurement,</li> <li>2. Record the time that radiotracer was injected into the subject,</li> <li>3. Assay the residual activity in the syringe (and readily available tubing and components) after injection and record the time of measurement.</li> </ol>

Parameter	Entity/Actor	Specification
		<p>4. Inject the quantity of radiotracer as prescribed in the protocol.</p> <p>These values shall be entered into the scanner during the PET/CT acquisition.</p> <p>For scanners that do not provide for entry of residual activity information, the net injected radioactivity should be manually calculated by decay correcting all measurements to the time of injection and then subtracting the residual radioactivity from the pre-injection radioactivity. The net injected radioactivity is then entered into the scanner during the PET acquisition.</p> <p>All data described herein on activity administration shall be documented.</p>
		All data should be entered into the common data format mechanism (Appendix E).

330 3.1.3.1.3 Radiotracer Administration Route

331 Amyloid seeking radiotracer should be administered intravenously through an indwelling catheter (21  
332 gauge or larger) into a large vein (e.g., antecubital vein). This is usually administered as a manual injection;  
333 a power injector may be used especially for studies in which SUVR measures of amyloid load are compared  
334 with dynamic measures (BP<sub>ND</sub>). Intravenous ports should not be used, unless no other venous access is  
335 available. If a port is used, an additional flush volume should be used. As reproducible and correct  
336 administration of radiotracer is required for quantification purposes, extravasation or paravenous  
337 administration should be avoided. If an infiltration or extraneous leakage is suspected, the event should be  
338 recorded. The anatomical location of the injection site should be documented on the appropriate case  
339 report form or in the Common Data Format Mechanism (Appendix E).

340 Please note that CT contrast agents are not recommended nor supported in the profile.

Parameter	Entity/Actor	Specification
Amyloid radiotracer Administration	Technologist or Physician	<p>Technologist or Physician shall administer the amyloid radiotracer intravenously through an indwelling catheter (24 gauge or larger), preferably into a large vein (e.g., antecubital vein). Intravenous ports should not be used, unless no other venous access is available.</p> <p>A three-way valve system should be attached to the intravenous cannula so as to allow at least a 10 cc normal (0.9% NaCl) saline flush following radiotracer injection.</p>
Suspected infiltration or extraneous leakage	Technologist and/or Physician or Physicist	<p>Technologist shall</p> <p>1. Record the event and expected amount of amyloid tracer: Minor (estimated less than 5%), Moderate (estimated more than 5% and less than 20%), Severe (estimated more than 20%). Estimation will be done based on images and/or known injected volumes.</p>

Parameter	Entity/Actor	Specification
		2. Image the infiltration site.
		Record the event and expected amount of amyloid tracer into the common data format mechanism (Appendix E).

### 3.2. Image Data Acquisition

This section summarizes the imaging protocols and procedures that shall be performed for an amyloid-PET exam by using either a PET/CT or a dedicated PET scanner with the requirement that a Germanium source can be used to perform attenuation correction. Note that PET scanners that do not measure in some way the attenuation of the brain and use a calculated algorithm for estimating the attenuation and scatter corrections are excluded from this profile. In addition, due to their novelty, PET/MR scanners are not covered in this version of the profile. More research and data need to be done with these scanners to understand any differences they may have in quantifying PET amyloid data as compared to PET/CT and dedicated PET scanners. Going forward in this document, PET scanner can mean either a PET/CT or a dedicated PET scanner.

For consistency, clinical trial subjects should be imaged on the same device over the entire course of a study. It is imperative, that the trial sponsor be notified of scanner substitution if it occurs.

For clinical trials with quantitative imaging requirements, a subject should have all scans performed on only one scanner unless quantitative equivalence with a replacement scanner can be clearly demonstrated. However, it should be noted that there are currently no accepted criteria for demonstrating quantitative equivalence between scanners. It is anticipated that future version of this Profile will provide such criteria.

When Amyloid PET imaging is performed across time points for a given subject (longitudinal claim), follow up scans should be performed with identical acquisition parameters as the first (baseline), inclusive of all the parameters required for both the CT and PET acquisitions as described further in this Section.

For amyloid tracer PET/CT perform imaging in the following sequence:

- CT Scout (i.e., topogram or scanogram etc.), followed by the following two acquisitions, in either order (ensuring that the same sequence is performed for a given subject across time points):
- CT (non-contrast) for anatomic localization and attenuation correction and
- PET Emission scan acquisition

For amyloid tracer scan performed on a dedicated PET system (no CT), the first two bulleted steps above are not performed. Instead, perform the Germanium-based attenuation correction scan first and then proceed with the PET Emission scan acquisition.

The issues described in this Section should be addressed in the clinical trial protocol, ideally with consistency across all sites and all subjects (both inter-subject, and intra- and inter-facility) with the target of consistency across all time points (longitudinal utility) for each given subject. The actual details of imaging for each subject at each time point should always be recorded.

### 3.2.1 Imaging Procedure

The imaging exam consists of two components, the PET emission scan and the transmission scan (performed either with CT or with a Germanium source). From these data sets, the non-attenuation-corrected PET images may be reconstructed for quality control purposes and attenuation-corrected PET images are reconstructed for qualitative interpretation and quantitative analysis. Instrument specifications relevant to the Acquisition Device are included in Section 4.0, Conformance Procedures.

#### 3.2.1.1 Timing of Image Data Acquisition

Amyloid tracer uptake is a dynamic process that may increase at different rates and peak at various times dependent upon multiple variables, different for each radiotracer. Therefore, it is extremely important that (1) in general, the time interval between amyloid tracer administration and the start of emission scan acquisition is consistent and (2) when repeating a scan on the same subject, it is essential to use the same interval between injection and acquisition in scans performed across different time points.

Parameter	Florbetapir (Amyvid) [1]	Flutemetamol (Vizamyl) [2]	Florbetaben (Neuraceq) [3]	NAV4694
Tracer Uptake Time (mpi = mins post injxn)	30 – 50 mpi	90 - mpi	45 - 130 mpi	50 – 70 mpi
Duration of Imaging Acquisition	10 min	20 min	15 – 20 min	20 min

The “target” tracer uptake time is dependent upon the radiotracer utilized. Reference the above table for acceptable tracer uptake times (in minutes post injection [mpi]) for each of the currently available tracers. The exact time of injection must be recorded; the time of injection initiation should be used as the time to be recorded as the radiotracer injection time. The injection and flush should be completed within one minute with the rate of injection appropriate to the quality of the vein accessed for amyloid tracer administration so as to avoid compromising the integrity of the vein injected.

When performing a follow-up scan on the same subject, especially in the context of therapy response assessment, it is essential to use the same time interval. To minimize variability in longitudinal scanning, for a given subject, the tracer uptake time should be exactly the same at each time point. There is to date no scientific literature quantifying the effect on SUVR with varying tracer uptake times in a no change scenario. The consensus recommendation, to balance practical and ideal, for this Profile is a target window of  $\pm 5$  minutes.

If, for scientific reasons, an alternate time (between activity administration and scan acquisition) is specified in a specific protocol, then the rationale for this deviation should be stated; inter-time point consistency must still be followed.

Parameter	Entity/Actor	Specification
Tracer Injection Time	Technologist	The time of amyloid tracer injection shall be entered into PET scanner console during the acquisition.
Tracer Uptake	Technologist	The Technologist shall ensure that the tracer uptake time for the



Parameter	Entity/Actor	Specification
Time:		<p>baseline scan is within the acceptable range for the specific radiotracer (see Tracer Uptake Table in Section 3.2.1.1).</p> <p>When repeating a scan on the same subject, especially in the context of therapy response assessment, the Technologist shall apply the same time interval used at the earlier time point <math>\pm</math> 5 minutes.</p>

The following sections describe the imaging procedure.

### 3.2.1.2 Subject Positioning

Proper and consistent subject head positioning is critically important for amyloid PET imaging. It is important to take the time necessary to ensure not only that the subject is properly positioned but can comfortably maintain that position throughout the duration of the scanning session. Excessive motion and in particular a difference in the subjects' position between the emission scan and the transmission scan used for attenuation correction is the single most common cause of failed studies.

NOTE: The successful implementation of strategies to minimize head motion (and maximize signal to noise) is critical to overall conformance to the Profile requirements. This can be addressed both at the time of image acquisition (through the use of head immobilization techniques described in the paragraphs immediately below) and at the time of image acquisition set-up and reconstruction, described in Section 3.3.2.2.1.

Position the subjects on the PET or PET-CT scanner table so that their head/necks are relaxed. To minimize head motion, the subject's head should be immobilized using the institution's head holder/fixation equipment (e.g., thermoplastic mask, tape, etc.). It may be necessary to add additional pads beneath the neck to provide sufficient support. Vacuum bean bags can also be used in this process. The head should be approximately positioned parallel to the imaginary line between the external canthus of the eye and the external auditory meatus. Foam pads can be placed alongside the head for additional support. Velcro straps and/or tape should be used to secure the head position.

It should be assured that the head of the subject is positioned in the scanner with the total brain within the field of view (FOV). Special attention must be paid to include the entire cerebellum in the image as this region serves as a reference region for subsequent quantification.

For dedicated amyloid tracer PET brain scans, the arms should be positioned down along the body. If the subject is physically unable to maintain arms alongside the body for the entire examination, then the arms can be positioned on their chest or abdomen.

Use support devices under the back and/or legs to help decrease the strain on these regions. This will assist in the stabilization of motion in the lower body.

The Technologist shall document factors that adversely influence subject positioning or limit the ability to comply with instructions (e.g., remaining motionless).

Parameter	Entity/Actor	Specification
Subject Positioning	Technologist	The Technologist shall position the subject according to the specific protocol specifications consistently for all scans.

431

Positioning Non-compliance	Technologist	The Technologist shall document issues regarding subject non-compliance with positioning.
		The Technologist shall document issues regarding subject non-compliance with breathing and positioning using the common data format mechanism (Appendix E).

432

433

Parameter	Entity/Actor	Specification
Motion non-compliance	Technologist	The Technologist shall document issues regarding subject non-compliance with not remaining still.
		The Technologist shall document issues regarding subject non-compliance (not remaining still) motion using the common data format mechanism (Appendix E).

434

### 435 3.2.1.3 Scanning Coverage and Direction

436 Anatomic coverage should include from the skull base to the skull vertex, ensuring complete inclusion of  
437 the cerebellum. The anatomic coverage should be included in a single bed position.

Parameter	Entity/Actor	Specification
Anatomic Coverage	Technologist	The Technologist shall perform the scan such that the anatomic coverage (including the entire brain from craniocervical junction to vertex) is acquired in a single bed position according to the protocol specifications and the same for all time points.

438

### 439 3.2.1.4 Scanner Acquisition Mode Parameters

440 We define acquisition mode parameters as those that are specified by the Technologist at the start of the  
441 actual PET scan. These include the acquisition time for the single bed position and the acquisition mode (3D  
442 mode only). These parameters do not include aspects of the acquisition that occur earlier (e.g., injected  
443 amount of 18F-amyloid tracer or uptake duration) or later (e.g., reconstruction parameters) in the overall  
444 scan process.

#### 445 *PET Acquisition*

446 If possible, the PET data should be acquired in listmode format (for fullest flexibility for correcting for head  
447 movement) or divided into multiple acquisitions with a maximum of 5 minutes each. Individualized, site-  
448 specific acquisition parameters should be determined upon calibration with the appropriate phantom (see  
449 below).

450

Parameter	Entity/Actor	Specification
PET acquisition mode	Study Sponsor	The key 3-D PET acquisition mode parameters (e.g., time per bed position, acquisition mode, etc.) <u>shall be specified</u> in a manner that is expected to produce comparable results regardless of the scanner make and model.
		The key acquisition mode parameters shall be specified according to pre-determined harmonization parameters.
PET acquisition mode	Technologist	The key PET acquisition mode parameters (e.g., time per bed position, acquisition mode, etc.) <u>shall be set as specified</u> by study protocol and used consistently for all patient scans.
		PET should be acquired in listmode format (best) or dynamic time frames of no more than 5 minutes each.

451

452 *CT Acquisition*

453 For the CT acquisition component of the PET/CT scan, this Profile only addresses the aspects related to the  
 454 quantitative accuracy of the PET image. In other words, aspects of CT diagnostic accuracy are not addressed  
 455 in this Profile. In principle, any CT technique (parameters include kVp, mAs, pitch, and collimation) will  
 456 suffice for accurate corrections for attenuation and scatter. However, it has been shown that for estimating  
 457 PET tracer uptake in bone, lower kVp CT acquisitions can be more biased. Thus higher kVp (**greater than**  
 458 **80kVp**) CT acquisitions are recommended in general. In addition, if there is the potential for artifacts in the  
 459 CT image due to the choice of acquisition parameters (e.g., truncation of the CT field of view), then these  
 460 parameters should be selected appropriately to minimize propagation of artifacts into the PET image  
 461 through CT-based attenuation and scatter correction.

462 The actual kVp and exposure (CTDI, DLP) for each subject at each time point should be recorded. CT dose  
 463 exposure should be appropriately chosen wherever possible, particularly in smaller patients. The radiation  
 464 principle ALARA (As Low As Reasonably Achievable) for minimizing radiation dose should be considered  
 465 during imaging protocol development. Refer to educational initiatives, such as Image Wisely  
 466 ([www.imagewisely.org](http://www.imagewisely.org)) which provides general information on radiation safety in adult medical imaging,  
 467 though not specific to amyloid imaging. Note that the ALARA principle is for radiation mitigation and does  
 468 not address the diagnostic utility of an imaging test.

469

Parameter	Entity/Actor	Specification
CT acquisition mode	Study Sponsor	The key CT acquisition mode parameters (kVp, mAs, pitch, and collimation) shall be specified in a manner that is expected to produce comparable results regardless of the scanner make and model and with the lowest radiation doses consistent for the role of the CT scan: diagnostic CT scan, anatomical localization, or corrections for attenuation and scatter.

Parameter	Entity/Actor	Specification
		If diagnostic or anatomical localization CT images are not needed, then the CT acquisition mode shall utilize the protocol that delivers the lowest possible amount of radiation dose to the subject (e.g., an ultra-low low dose protocol) that retains the quantitative accuracy of corrections for attenuation and scatter.
CT acquisition mode	Technologist	The key CT acquisition mode parameters (kVp, mAs, pitch, and collimation) shall be set as specified by study protocol and used consistently for all subject scans.

470

471

Parameter	Entity/Actor	Specification
CT Technique: Protocol Design	Technologist / Physician / Medical Physicist	A team comprising a Technologist / Physician / Medical Physicist shall ensure that CT protocols are designed such that dose exposure is the lowest radiation dose necessary to achieve the diagnostic objective. The protocol shall be recorded and documented.
CT Technique: Dose Exposure	Technologist	The Technologist shall ensure that CT dose exposure is the lowest radiation dose necessary to achieve the diagnostic objective.

472

473 Regarding CT radiation exposure, the lowest radiation dose necessary to achieve the diagnostic objective  
 474 should be used. For a given protocol, the purpose of performing the CT scan (i.e., only needed for  
 475 attenuation correction and/or anatomic localization versus one intended for diagnostic purposes) should be  
 476 determined. The CT technique (tube current, rotation speed, pitch, collimation, kVp, and slice thickness)  
 477 used should result in as low as reasonably achievable exposure needed to achieve the necessary PET image  
 478 quality. The technique used for an imaging session should be repeated for that subject for all subsequent  
 479 time points assuming it was properly performed on the first study.

### 480 3.3. Imaging Data Reconstruction and Post-Processing

#### 481 3.3.1 Imaging Data Reconstruction

482 Reconstructed image data is the PET image exactly as produced by the reconstruction process on the PET  
 483 scanner, i.e., a PET image volume with no processing other than that occurring during image  
 484 reconstruction. This is always a stack of DICOM slices/files constituting a PET image volume that can be  
 485 analyzed on one or more of the following: PET scanner console, PET image display workstation, PACS  
 486 system, etc. See Section 4.0 for specifications.

487 The PET reconstruction parameters include the choice of reconstruction algorithm, number of iterations  
 488 and subsets (for iterative algorithms), the type and amount of smoothing, the field of view and voxel size.  
 489 The quantitative accuracy of the PET image should be independent of the choice of CT reconstruction  
 490 parameters, although this has not been uniformly validated. In addition if there is the potential for artifacts

491 in the CT image due to the choice of processing parameters (e.g., compensation for truncation of the CT  
 492 field of view), then these parameters should be selected appropriately to minimize propagation of artifacts  
 493 into the PET image through CT-based attenuation and scatter correction.

494

Parameter	Entity/Actor	Specification
PET image reconstruction	Study Sponsor	The key PET reconstruction parameters (algorithm, iterations, smoothing, field of view, voxel size) shall be specified in a manner that is expected to produce comparable results regardless of the scanner make and model.
		The key PET image reconstruction parameters shall be specified according to pre-determined harmonization parameters.
PET image reconstruction	Technologist	The key PET reconstruction parameters (algorithm, iterations, smoothing, field of view, voxel size) shall be <b>identical for a given subject across time points.</b>
PET image reconstruction	Technologist	If available, any reconstruction algorithm that uses point spread function (PSF) modeling should NOT be used.
PET image reconstruction	Technologist	If available, the time of flight (TOF) option can be used; <b>the use or non-use of TOF must be consistent for a given subject across time points.</b>
PET Matrix/Voxel size	Technologist	The Technologist shall perform the image reconstruction such that the matrix, slice thickness, and reconstruction zoom shall yield a voxel size of <b><math>\leq 2.5</math> mm in the x and y dimensions and <math>\leq 3</math> mm in the z dimension.</b> The final size shall not be achieved by re-binning, etc., of the reconstructed images.
Correction factors	Technologist	All quantitative corrections shall be applied during the image reconstruction process. These include attenuation, scatter, random, dead-time, and efficiency normalizations. However, no partial volume correction should be performed.
Calibration factors	Scanner	All necessary calibration factors needed to output PET images in units of Bq/ml shall be automatically applied during the image reconstruction process.

495

496 As part of the image reconstruction and analysis, correction factors for known deviations from the  
 497 acquisition protocol can potentially be applied. Corrections for known data entry errors and errors in  
 498 scanner calibration factors should be corrected prior to the generation of the reconstructed images, or  
 499 immediately afterwards.

### 500 3.3.2 Image Data Post-processing

501 Processed image data are images that have been transformed in some manner in order to prepare them for

502 additional operations enabling measurement of amyloid burden. Some post-processing operations are  
 503 typically performed by the PET technologist immediately following the scan. Additional steps may be  
 504 performed by a core imaging lab, or by an analysis software package accessed by the radiologist or nuclear  
 505 medicine physician.

506 Initial post-processing operations typically performed by the PET technologist at the imaging site include  
 507 binning image time frames into a pre-specified discrete frame duration and total number of frames, and  
 508 putting the images into a spatial orientation specified by the post-processing protocol.

509 In post-processing images, only those steps specified per protocol should be performed, as each transform  
 510 can slightly modify the image signal, and the intent is to preserve the numerical accuracy of the true PET  
 511 image values. Studies including full dynamic imaging and kinetic modeling rather than evaluation of a late  
 512 timeframe static scan may require additional processing as specified in the individual protocol.

### 513 3.3.2.1 Ensure image orientation

514 Whether the image is being prepared for a quantitative “read” by a physician using clinical diagnostic  
 515 software, or for transmission to a facility for centralized image quality control, processing, and analysis, it is  
 516 important to ensure that the image is spatially oriented per protocol. This step may occur before or after  
 517 the creation of a static image below, depending upon the actors and image transfer sequence involved in  
 518 the protocol.

Parameter	Entity/Actor	Specification
Image orientation	PET technologist	The raw image will be spatially oriented per study protocol.

### 521 3.3.2.2 Create Static Image

522 Depending upon the study protocol, one or more steps may be involved in the creation of the late  
 523 timeframe static image that is then further processed and used for measurement of the SUVR. In the  
 524 simplest case, the image may be acquired as a single frame (e.g., 20 minutes long), thus forming a static  
 525 image without the need to combine timeframes. In this case, Section 3.3.2.2.2 below is not applicable. Due  
 526 to the inability to correct for subject motion, this single frame approach may increase the risk of variability  
 527 outside of the tolerances targeted in this Profile. Alternatively, and commonly in clinical trials, the output  
 528 may be a set of discrete time frame images (e.g., four five-minute frames) that are then combined into a  
 529 single static image in subsequent steps. The alternative approach of full dynamic data acquisition typically  
 530 involves many (>15) frames of variable length, starting with rapid frames acquired immediately at tracer  
 531 injection.

#### 533 3.3.2.2.1 Intra-scan inter-timeframe assessment and alignment

534 For a scan comprised of multiple timeframes, it is important to ensure that the frames are spatially aligned  
 535 so that the same brain tissue is located in the same coordinates for measurement across the frames. It is  
 536 preferable that this alignment be performed prior to attenuation correction (that is, as part of the steps in  
 537 the previous Section 3.3.2.2) in order to prevent embedded error due to misalignment between emission  
 538 and transmission scan. However, at present, because of limitations in the tools provided with typical

539 scanner workstations, inter-timeframe alignment is typically not performed during image reconstruction  
 540 and attenuation correction. Rather, visual checks are typically applied and excessive motion may or may  
 541 not be flagged. If automated, precise tools become available in scanner workstations in the future, the  
 542 inter-frame alignment and static image formation described in this section may become part of the image  
 543 reconstruction process. Even when inter-timeframe alignment is performed prior to attenuation correction  
 544 or at the imaging site, it is important that the discrete binned frames prior to inter-frame alignment, the  
 545 transmission scan, and the alignment parameters applied, be made available for quality control in later  
 546 processing and analysis steps.

547 Inter-frame alignment is typically performed using automated software that employs mathematical fitting  
 548 algorithms to match the image from each timeframe to a reference. The reference frame may be that  
 549 acquired closest to the time of transmission scan (e.g., the first frame in late frame acquisition if the  
 550 transmission scan precedes the emission scan) or as otherwise stated per protocol. The amounts of  
 551 translation or linear adjustment, in each of the x, y, and z directions, and the amount of rotational  
 552 adjustment in each of three orthogonal directions are measured by the software. Depending upon the  
 553 software platform, these parameters are available for review by the image analyst, or may be pre-  
 554 programmed to make pass/fail or other decisions. Large values (greater than 4 degree rotation or 4 mm  
 555 translation) indicate that subject motion is likely embedded within one or more frames introducing noise  
 556 (signal variability) that cannot be removed from those particular frames. In addition, unless attenuation  
 557 correction was performed on a frame by frame basis during image reconstruction, large values indicate that  
 558 emission-transmission scan misalignment error is also embedded in one or more frames.

559 The study protocol should define the allowable translation and rotation permitted between the reference  
 560 frames and other frames. Frames exceeding these limits may be removed, with the following caveats: (a)  
 561 removal of too many frames (e.g. more than half of the total acquisition window) may result in inadequate  
 562 total counts and a noisy scan; and (b) frame removal should be consistent across longitudinal scans for the  
 563 same subject, or slight error can be introduced. Note that particularly in certain subject populations it is not  
 564 uncommon to observe translational or rotational motion exceeding 2 mm or 2 degrees, and exceeding 5  
 565 mm or 5 degrees in some scans. Typical clinical studies of MCI and AD patients have had mean (standard  
 566 deviation) values of 1.7 (1.1) mm for maximum translation and 1.5 (1.1) degrees for maximum rotation.  
 567 Motion tends to worsen with longer duration scans. The decision to extend allowable motion thresholds  
 568 becomes a balance between retaining subject frames and tolerating increased signal variability.

569 Currently, most scanner workstations do not provide readily used automated tools for inter-frame motion  
 570 measurement and correction, and automated alignment to the transmission (or CT) scan prior to  
 571 attenuation correction. Once such tools are available, the activity of frame alignment would best be  
 572 performed prior to attenuation correction, to prevent embedded attenuation correction error that cannot  
 573 be removed through subsequent inter-frame alignment. On occasion, even with current tools, this can be  
 574 performed at the site. Even when realignment at the imaging site becomes feasible, the inter-frame  
 575 alignment parameters of the original scan acquisition should be available to the Image Analyst, as under  
 576 certain conditions enough within-frame motion may have occurred to merit removal of the frame  
 577 regardless of inter-frame correction.

Parameter	Entity/Actor	Specification
Inter timeframe consistency	Image analyst or, pending protocol,	When a multi-frame PET scan is provided, the translational and rotational adjustment required to align the frames will be assessed prior to

Parameter	Entity/Actor	Specification
	PET technologist	combining frames into a single scan.
Action based on inter-timeframe consistency check	Image analyst or, pending protocol, PET technologist	If <u>inter-frame alignment has been performed</u> prior to attenuation correction, frames will be removed if inter-frame translation exceeds a recommended threshold of 4 mm or inter-frame rotation exceeds 4 degrees (or less if indicated by study protocol) or <u>if inter-frame alignment has not been performed</u> prior to attenuation correction, frames will be removed if inter-frame translation exceeds a recommended threshold of 4 mm or inter-frame rotation exceeds a recommended threshold of 4 degrees from position of the CT scan used for attenuation correction (or less if indicated by study protocol)

579

### 580 3.3.2.2.2 Combine discrete timeframes

581 Once all or a subpopulation of the appropriately aligned timeframes have been identified, a composite  
582 image is generated for further processing and analysis. For late timeframe scans, this is accomplished  
583 through averaging or summation of the timeframes into a single image volume. In full dynamic scanning, a  
584 “parametric” image can be created through a more complex procedure that involves measuring signal in  
585 amyloid “rich” (having high tracer binding) and amyloid “poor” (low tracer binding) regions, or using blood  
586 measurements if available, and solving simultaneous equations to determine voxel values. The parametric  
587 image can then be measured using the same Volume of Interest or other methods described below, with  
588 the difference that the measure becomes a Distribution Volume Ratio (DVR) rather than SUVR.

589

Static Image generation	Image analyst or image processing workstation	Only timeframes identified as appropriately aligned will be included in this image generation.
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590

### 591 3.3.3 Imaging Data Storage and Transfer

592 Discussions of archiving PET data often mention 'raw data'. This is an ambiguous term as it can refer to:  
593 **scanner raw data** (i.e., sinograms or list-mode) or image raw data. To avoid confusion, the term raw data  
594 should not be used without making it clear which form is under discussion.

595 **Image raw data** is the image data exactly as produced by the reconstruction process on the PET or PET/CT  
596 scanner. i.e., a stack of DICOM slices/files constituting a PET image volume with no processing other than  
597 that occurring during image reconstruction. This is typically a stack of DICOM slices/files constituting a PET  
598 image volume that can be analyzed on one or more of the following: PET scanner console, PET image  
599 display workstation, PACS system, etc. If inter-frame alignment is performed prior to attenuation  
600 correction, then “raw data” may include both the emission and transmission frames prior to any inter-



601 frame or inter-scan alignment, the realigned frames that were used for attenuation correction, and the  
602 attenuation corrected frames.

603 **Post-processed image data** are images that have been transformed after reconstruction in some manner.  
604 This is typically a stack of DICOM slices/files constituting a PET image volume that can still be analyzed on  
605 one or more of the following: PET scanner console, PET image display workstation, PACS system, etc.  
606 For archiving at the local site or imaging core lab (if relevant), the most important data are the original  
607 images, i.e. the image raw data. In the unlikely event that the scanner raw data (which should be archived  
608 by the local site) is required for later reprocessing; this should be made clear in the protocol.  
609

Parameter	Entity/Actor	Specification
Data archiving: raw images	Technologist	The originally reconstructed PET images (image raw data), with attenuation correction, and CT images shall always be archived at the local site.  If scanner raw data need to be archived for future reprocessing, this should be defined prospectively in the Protocol.
Data archiving: post-processed images	Image analyst	If a static image has been generated by aligning frames and summing or averaging discrete timeframes, or through other parametric image generation, the image will be archived at the site where the static image generation occurred.

610

## 611 3.4. Image Analysis

612 The Image Analyst, through interaction with the Workstation Analysis tools, shall be able to perform  
613 specified measurements on the images. Image Analysis has qualitative and quantitative tasks. Both tasks  
614 require high quality image submission and consistency of image interpretation. Quantitative imaging  
615 requires additional system characteristics described further in Section 3.2, Image Data Acquisition, and  
616 Section 3.6, Quality Control, of this Profile.

### 617 3.4.1 Input Data

618 The output of image Reconstruction and Post-processing (inclusive of Static Image Generation) resulting in  
619 a single image volume, corrected for attenuation, scatter, randoms and radiotracer decay, is considered the  
620 input for static scan Image Analysis. In the case of full dynamic imaging for kinetic analysis, the Post-  
621 processing output may be a set of timeframes. The original input data as received, without modification,  
622 should be maintained as a separate file (or set of files), to be stored along with the processed data that is  
623 ultimately used to perform measurement (See Section 3.2).

### 624 3.4.2 Image Quality Control and Preparation

625 Before Image Analysis is performed, stringent image quality control is essential to ensure that images are  
626 suitable for processing and analysis. The elements of raw image quality control that should be performed  
627 during performance of post-reconstruction processing are defined in Section 3.3, Image Post-Processing.

628 Elements of post-processed image quality control that should be performed by the Image Analyst or the  
629 Processing Workstation software prior to further processing and analysis of the image data are listed in  
630 Section 3.6, Quality Control.

#### 632 **3.4.2.1 Correction for Partial Volume Effects**

633 Partial Volume Effects Correction (PVEc) is NOT recommended as a “by default” step in this Profile due to  
634 the fact that the process itself can introduce a great deal of variability, countering the tolerance goals of the  
635 Profile. However, we discuss this step here, as it may be included in certain study protocols particularly if  
636 methodology is systematically employed that does not increase variability. As background on this topic, due  
637 to the limits of PET scanner resolution, the signal measured at the borders of white and gray tissue, or  
638 tissue and cerebrospinal fluid (CSF) can contain contributions from both types of tissue within the  
639 boundaries of the same voxel. In particular, some amyloid PET tracers have high levels of nonspecific white  
640 matter uptake, producing high signal intensity that “spills into” neighboring gray tissue measures. In  
641 addition, neurodegenerative patients may exhibit substantial, progressive atrophy, increasing spill-in from  
642 CSF that can dilute increases or accentuate decreases originating from the atrophic tissue elements.  
643 Several different mathematical algorithms and approaches have been developed to correct or compensate  
644 for PVE and tissue atrophy. However, these approaches are not necessarily sensible in the setting of  
645 amyloid imaging and quantification. Simply applying correction for the loss of cerebral gray matter results  
646 in upscaling of image signal intensity, and is most appropriate when the tissue origin of the signal is lost,  
647 resulting in the atrophy (ex loss of synaptic neuropil in FDG cerebral glucose metabolism imaging). In the  
648 case of amyloid deposits in neurodegenerative dementia, however, the deposits are not contained with  
649 normal cerebral gray matter elements; amyloid plaques are extracellular accumulations and are unlikely to  
650 degenerate as gray matter atrophies due to losses of synapses and neurons ensues. Thus, applying gray  
651 matter atrophy-correction PVEc may inappropriately “upscale” the amyloid signal from atrophic cortical  
652 regions. Usual PVEc approaches result in a new image, typically containing only gray matter, and has been  
653 shown to increase the apparent amyloid in AD patients by as much as 30% to 56%. The most sensible  
654 approach to PVEc in amyloid images is to apply correction for spillover from subcortical white matter into  
655 the gray matter regions, which is likely to become increasingly problematic as the cortical gray matter  
656 becomes atrophic. Appropriate use of PVEc can potentially help to increase sensitivity to longitudinal  
657 change, and to reduce error associated with changes in atrophy or white matter uptake. However, PVEc  
658 methods can also introduce variability, and results are highly sensitive to subjective selections of the  
659 parameters used in calculating the correction. Effects upon measurement of longitudinal change have  
660 varied from no effect to an increase in measured change. The tradeoff between benefit vs. these  
661 considerations must be considered and the decision as to whether or not to use may be study dependent.  
662 The point in the process at which PVE correction is applied may vary, for example either applied to spatially  
663 normalized images or to native images, prior to or after the creation of a SUVR image.

#### 664 **3.4.2.2 Image Smoothing**

665 Depending upon whether more than one scanner and reconstruction software combination is being used to  
666 acquire patient data, and the objective of the image analysis, it may be necessary to smooth the image.  
667 Smoothing applies a mathematical filter to the image signal at each voxel to help compensate for  
668 differences in spatial resolution that exist between different scanners. Even if the same scanner is used for  
669 each visit by a particular subject, being able to compare the SUVR value to a threshold derived using images  
670 from multiple scanners, or to other study subjects whose data is collected on other scanners, requires  
671 adjustment for scanner differences. If not reconciled, these differences can cause a few percent difference

672 in SUVR.

673 By “spreading” signal out, smoothing also helps to increase the spatial overlap of amyloid accumulation  
674 across different subjects, increasing the ability to identify group effects in voxel-based comparisons.  
675 However, smoothing also dilutes signal, particularly in small structures, and can also increase the mixing of  
676 white, gray, and CSF signal.  
677

Parameter	Entity/Actor	Specification
Image smoothing	Image analyst	When combining scans from different scanners and/or reconstruction software that produce different image resolutions, filtering will be applied per protocol to produce comparable signal for the same amount of radioactivity.

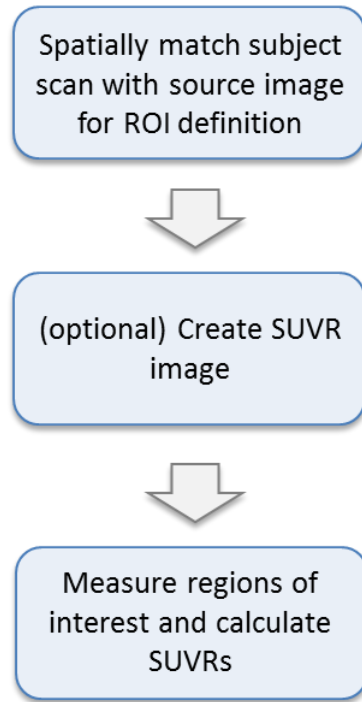
678

### 679 **3.4.3 Methods to Be Used**

680 The methodology and sequence of tasks used to perform amyloid tracer analysis have historically varied  
681 across studies depending upon the radiotracer, image analysis workstation, software workflow and  
682 parameters determined to be of interest in the study design. Processing and analysis steps have ranged  
683 from a manual workflow to a semiautomatic workflow (which requires some user interaction with the  
684 workstation) to an automatic workflow (with little or no user interaction), with various alternatives possible  
685 at each step. An outline of the major steps typically included in the workflow is provided below. These  
686 steps are associated with a Standardized Uptake Value Ratio (SUVR) calculation approach using an  
687 equilibrium stage “late timeframe” image. Details, considerations impacting analysis reliability, and  
688 guidelines are then provided. Points where order of operations can vary without impacting end result, such  
689 as the option to generate an SUVR image prior to target region measurement, are noted. Notes are also  
690 included regarding the alternative use of the full dynamic scan and kinetic modeling to produce measures  
691 of amyloid burden.

692

693



Spatially match subject scan with source image on which regions of interest (ROIs) have been defined. This may be the subject’s MRI scan, segmented into anatomical regions, or it may be a “template” MRI or PET scan on which regions have been pre-defined. If a template is used, a spatial transformation or “warping” is required to match the template and subject scan so that the defined regions can be mapped onto the subject scan.

As an optional step, create an intensity-normalized version of the scan (“Standardized Uptake Value Ratio”, or “SUVR” image) by dividing all voxels in the scan by the average measured intensity in a selected reference region (such as cerebellum). This can be useful for visual assessment and comparisons between scans.

Apply boundaries (“masks”) for target regions of interest and measure average intensity. If the image has already been intensity normalized to the selected reference region, these are equal to the SUVR. If the image has not been intensity normalized, or to use a different reference region, measure reference region intensity and calculate SUVR as target region intensity divided by reference region intensity. Other voxel-based analyses may also be performed.

Figure 4. Typical steps in image processing and measurement for SUVR calculation

Despite variability in workflows that may be applied, several fundamental factors can impact the accuracy and reproducibility of measurement. These are discussed below and guidance provided to achieve accuracy and reproducibility.

**3.4.3.1 Spatially Match Subject and Template**

The fitting of Volumes of Interest (VOIs) to a scan for amyloid studies has typically been performed by automated software, reducing the subjectivity, inter-reader differences, and labor intensity of manual delineation. In order to measure pre-defined VOIs for SUVR calculation (or DVR in the case of full dynamic scanning), it is necessary to map these spatial boundaries to the subject’s specific brain morphology or vice versa. The following approaches can be applied: (a) Spatial mapping of individual brain scans to a template brain having pre-defined VOI boundaries; (b) Spatial mapping of the template brain and pre-defined VOI boundaries based upon a probabilistic atlas of gray matter segments or otherwise delineated regions to the individual brain scans; and (c) Use of segmentation algorithms that “find” each anatomical structure of interest within the subject’s native morphology using the subject’s MRI (e.g., Freesurfer). Mapping individual subject scans to a brain template is also required to allow scans to be compared to one another using voxel-based analysis. Segmentation results are dependent upon the MRI sequence used; even the same sequence may produce different results on different MRI scanners.

Spatial Mapping	Image Analyst / Workstation	Perform spatial mapping consistently as defined in the Protocol
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714

715

### 716 3.4.3.1.1 “Fuse” MRI and PET images

717 The majority of amyloid test-retest studies and most clinical trials with quantitative amyloid imaging have  
 718 used the subject’s MRI scan as a high resolution vehicle for the spatial mapping approaches described  
 719 above. With clinical application as a consideration, processing pipelines using specific amyloid PET  
 720 radiotracers have been developed to use PET-to-PET spatial transformation. An optimized PET-to-PET  
 721 transformation approach has been developed for flutemetamol, and similar approaches have been  
 722 developed for other tracers. In cases where an MRI is used, the subject’s MRI and PET are “fused” or co-  
 723 registered to one another using a linear transformation performed by automated software. While either  
 724 MRI or PET can serve as the target to which the other is co-registered, registering the MRI to the PET  
 725 prevents interpolation of the PET image. However, preserving the resolution of the MRI image, typically  
 726 higher than that of the original PET, is useful for later operations including segmentation of the MRI and  
 727 transformation to template space. This can be accomplished by co-registering the PET to MRI, or by up-  
 728 sampling the PET prior to co-registration of the MRI to the PET or otherwise preserving output resolution.

729 Since mapping operations performed on the MRI will be applied to its co-registered PET scan, it is critical to  
 730 ensure that the PET and MRI have been properly aligned to one another. Visual inspection should be  
 731 conducted with careful attention to proper left-right orientation and alignment in all three planes  
 732 (transaxial, sagittal, and coronal) ; quantitative goodness of fit measures can also be applied. Successful  
 733 fusion may be indirectly checked through verification of correct VOI placement and/or correct spatial  
 734 normalization. However, if misalignment occurs, one must backtrack to determine where in the process  
 735 this happened, and verification of each step is recommended. Automated methods to assure goodness of  
 736 fit may also be employed.

737

Parameter	Entity/Actor	Specification
PET and MRI image fusion	Image analyst	When coregistering a subject’s PET and MRI images, accurate alignment of the images in all planes (transaxial, coronal, sagittal) will be verified.

738

### 739 3.4.3.1.2 Longitudinal PET co-registration

740 For longitudinal amyloid measurement, co-registering subsequent PET scans to the baseline PET scan is  
 741 recommended, as separate MRI to PET co-registrations or separate spatial warping operations (described  
 742 below) may produce slightly different alignments. This can cause differences in VOI measurement, and  
 743 even a few percent can be significant for longitudinal evaluation. Goodness of fit of inter-PET scan  
 744 alignment should be visually verified; quantitative metrics such as correlation can also be applied.

745 Successful longitudinal co-registration may again be indirectly checked through verification of correct VOI  
 746 placement and/or correct spatial normalization. In addition, if a process involving separate spatial  
 747 normalization of longitudinal scans is applied and achieves comparable fit, the result would be acceptable.  
 748 However, if misalignment occurs, one must backtrack to determine where in the process this happened,  
 749 and therefore explicit verification of proper longitudinal coregistration is recommended.

750

Parameter	Entity/Actor	Specification
Co-registration of longitudinal scans	Image analyst	When coregistering a subject's longitudinal PET images, accurate alignment of the images in all directions (transaxial, coronal, sagittal) will be verified.

751

### 752 3.4.3.1.3 Spatial Mapping of Subject Image and Template Image

753 Depending upon the approach taken to map regions of interest or reference regions to the PET scan, spatial  
 754 transformation (or "warping") between the image and a template image may be necessary. If the subject's  
 755 native space MRI is segmented and used to define region of interest boundaries, and no voxel-based group  
 756 analyses are performed, then spatial warping is not required. However, if regions pre-defined in template  
 757 space are to be applied to the scan, then the transformation is a critical step.

758 The mapping between subject image and template image is accomplished through automated spatial  
 759 normalization or warping software algorithms. When an MRI is used, the transformation is determined  
 760 though a "warp" between subject MRI and template, and the same mathematical transform is applied to  
 761 the coregistered PET scan (if transforming to template space) and/or to the ROIs (if transforming to the  
 762 native subject scan). The accuracy of the spatial transformation depends upon the algorithm. Certain  
 763 software and software versions have shown superior alignment of cerebellum, deep structures such as  
 764 putamen and medial temporal regions, and ventricles as compared to older algorithms (Klein et al, 2009).

765 When an MRI is not available, the subject PET scan can be transformed directly to the template PET. Since  
 766 the signal within gray matter and the intensity contrast between gray and white matter in a negative  
 767 amyloid scan are substantially different than those in an amyloid positive scan, images at the extremes of  
 768 positive and negative may not spatially normalize well. To address this, various approaches have been  
 769 developed that test the fit to a series of templates (Lundqvist et al, 2013), selecting the best fit. Other  
 770 confounds in PET-based spatial normalization can occur when the amyloid PET image has high intensity  
 771 signal in portions of dura or skull, or missing (truncated) tissue at the top or bottom of the brain. Various  
 772 additional steps have been employed to address these issues.

773 Regardless of the approach used for spatial normalization, an accurate match between subject and  
 774 template is critical to amyloid measurement. Goodness of fit should be evaluated using visual inspection,  
 775 and quantitative goodness of fit algorithms can also be applied. As a note, ad hoc manual (e.g. touch  
 776 screen or mouse based) modification of warping results should not be used as changing the fit for one set  
 777 of slices through "eyeballing" is very likely to introduce error into other slices.

778

Parameter	Entity/Actor	Specification
Spatial mapping with template image	Image analyst	When spatially mapping a subject image and a template image to one another accurate alignment of the images in all directions (transaxial, coronal, sagittal) will be verified visually.

### 779 3.4.3.2 VOI Placement: Target / Reference

### 3.4.3.2.1 Determine Target Regions for Measurement

The selection and delineation of target regions for amyloid measurement vary depending upon study objectives and should be specified in the protocol. For clinical application, some manufacturers have specified predefined VOIs associated with a threshold SUVR that they have correlated to autopsy data. Some clinical trials have used a cortical average consisting of 4 – 6 regions, with individual regional amyloid measures providing further information. When “emerging” subjects with amyloid levels nearer to threshold are studied in clinical trials, analysis of specific sub-regions may become important.

Given a specified anatomical region (e.g., frontal, or cingulate), there are several ways to define the tissue that is included in the region, and several considerations that are not mutually exclusive, listed below. Automation of region definition is important given the high level of subjectivity that can be associated with manual definition.

- *Region Boundaries:* Some approaches use the entire anatomical region, whereas others define a sub-region empirically determined to accumulate greatest amyloid burden.
- *Method to match the region to subject’s anatomy:* Some methods apply a standard atlas of region definitions (pre-defined anatomical boundaries based upon reference brains), and rely upon the transformation between the subject’s morphology and the atlas template to match the atlas regions to the subject. These may be referred to as “probabilistic” regions. Other approaches estimate anatomical boundaries based upon the individual subject’s MRI, incorporating atlas reference information in a more complex way (e.g., Freesurfer).
- *Region confinement to gray tissue:* When atlas based regions are applied, these may or may not be thresholded (restricted) using the gray tissue segment from the subject’s MRI. This masking can help to assure alignment between template regions and the subject’s actual morphology, and can be done using either native space images or warped images.
- *Region erosion from surrounding tissue or CSF:* VOI boundaries may be eroded (e.g., perimeter reduced by one to two voxels) away from the neighboring CSF and white tissues, in order to reduce atrophy effects and spillover from non-gray tissue types. This is most often applied to probabilistic regions that tend to be larger and incorporate tissue adjacent to gray matter.
- *“Native space” vs. “Template space”:* VOIs may be defined only in template space, for measuring the subject’s warped scan, or may be transformed to the subject’s native scan. Use of the native scan can reduce interpolation and signal changes arising from stretching or compressing subject anatomy.

Comparisons of different approaches to regional definition, including whether native vs. template scans are used, have yielded high correlation coefficients (Landau et al, 2013). However, it is important to note that measurement of different portions of tissue will give different results. It is therefore important that the same tissue definition be applied across scans and across subjects within a study.

Parameter	Entity/Actor	Specification
Target Region Definition	Image Analyst	The same target region definitions (which may be transformed to each individual subject’s morphology) will be applied consistently to subjects and across a study.

### 3.4.3.2.2 Determine Reference Region

The definition of the reference region is one of the most critical aspects of image analysis. Reference regions are used for image comparison because raw image counts for the same subject will change from scan to scan due to injected dose, scanner calibration, or other factors unrelated to amyloid. If every region in the brain changes in the same proportion due to these factors, then such changes will cancel by taking the ratio of target region to reference region. The reference region is typically a region that does not accumulate or lose amyloid, enabling changes in target regions due to amyloid to be detected.

This Profile does not dictate a particular reference region, since tracer manufacturers and leading research institutions have differed and continue to evolve, on this topic. However, there is a growing body of evidence that certain reference regions exhibit less longitudinal variability and it has been shown that the optimal reference region can be different for each radiotracer (Villemagne, AAIC 2015). In addition, certain practices should be followed to minimize variability arising from the scanner and to ensure the validity of the reference measurement. These considerations are discussed below.

The cerebellar cortex (gray matter) has been a reference region of choice in numerous studies of amyloid since it typically does not accumulate fibrillar amyloid and because its gray tissue kinetics are assumed be reasonably matched to those of gray tissue target regions. Because of its low signal and lack of binding, the cerebellar cortex provides the most sensitive reference for measuring cross sectional differences. However, due to its low signal level, small swings in value will create large swings in calculated SUVR. Further, the physical location of the cerebellum toward the edge of the scanner transaxial field of view makes it susceptible to edge noise, scatter, and tissue exclusion (particularly in scanners with a shorter axial field of view). In head rotation and in emission-transmission scan misalignment, the posterior edge of the cerebellar cortex can be particularly impacted. In addition, slight shifts in position can cause a blending of white and gray tissue that will impact the reference measurement. Further, the cerebellum is located in transaxial slices that are not in proximity to several typical target VOIs, and signal in those slices may not change in the same way due to technical factors. In longitudinal studies, for one radiotracer, the cerebellar cortex has been demonstrated to show stability over time (Villemagne, AAIC 2015) while for others variability with regard to measured change has been shown, decreasing statistical power. Even in cross-sectional measurements, technical noise embedded in the cerebellum (or any reference region) may cause a subject whose amyloid burden is at the threshold of positivity to “tip” in one direction or another. At a minimum, the inferior margin of the cerebellar reference boundaries should not extend to the edge of the FOV, where the greatest technical variability occurs. Alternate reference region comparisons are also recommended to ensure that noise has not driven the SUVR result.

Use of whole cerebellum has been specified as a reference of choice with some ligands, and can reduce variability arising from shifts that include more white matter (Joshi, JNM 2015), since it is already included. However, the same issues with spatial location, edge noise, and lower average signal still apply. As an alternative reference, the pons has been applied in multiple studies, and found to have a slightly lower variability. Its advantages include higher signal due to white matter inclusion, and more central location in the brain at a slightly further distance from the edge of the scanner transaxial field of view. Some studies using florbetapir, flutemetamol and 11C-PIB have found that the pons exhibited lower longitudinal variability than a cerebellar reference region (include reference). However, the narrow cylindrical size and shape of the pons make it vulnerable to subject motion, and it, too, can be affected by technical variability. Subcortical white matter provides another alternate reference region, with the advantages of higher signal, larger measurement volume, transaxial alignment with target regions of interest. Studies have demonstrated benefit in lower variability using subcortical white matter, and thus greater statistical power



862 in measuring longitudinal change, relative to other reference regions (**reference needed**). One  
 863 consideration in the use of a white matter reference is that the kinetic properties of white matter differ  
 864 from those of the gray tissue target regions, with unclear impact upon measurement validity. However,  
 865 findings seem to support the ability to detect increases in amyloid positive populations as expected and  
 866 seen with gray tissue reference regions, yet with lower variability. Combinations of whole cerebellum, pons,  
 867 and subcortical white matter, or cerebellar white matter and pons, or “amyloid poor” gray regions other  
 868 than cerebellum have also been applied with reductions in longitudinal variability (for florbetapir) resulting  
 869 in increased statistical power (**add a reference to justify the composite reference region**). It should be  
 870 noted, however, that the signal from reference regions using subcortical white matter may be affected by  
 871 vascular pathology, common in the elderly.

872 The use of a combined reference, subcortical white matter, or other “amyloid poor” regions proximal to  
 873 target regions may be advised (radiotracer dependent), particularly for longitudinal studies and for  
 874 measurement of amyloid in subjects near the threshold of positivity. A cross check across reference  
 875 regions can also be used to screen for reference region reliability.

Parameter	Entity/Actor	Specification
Reference Region Definition	Image Analyst	The reference region definition will conform to protocol by including the specified tissue.  Quality control measures will be applied to ensure that longitudinal change is not attributable to technical noise or artifact in a particular reference region.

### 877 3.4.3.2.3 Apply Regions to Subject Scans for Measurement

878 Target VOIs may be applied for measurement either to the non-intensity normalized image, or to an SUVR  
 879 image that was first generated by dividing each voxel by the average value in the reference region. When  
 880 placing VOIs, it is critical to ensure accurate fit, and that only appropriate tissue is included. Potential  
 881 sources of error include the following:  
 882

883 Differences in tissue composition: Positioning of a cortical VOI toward the edge of gray matter in one scan  
 884 vs. toward white matter in a second longitudinal scan will introduce measurement error due to the tissue  
 885 composition and partial volume effects. In cross-sectional measurement, these differences can also be  
 886 significant for subjects at threshold of positivity.  
 887

888 Tissue truncation: If the scan does not have a complete cerebellum or other region, and the VOI samples  
 889 the empty space, a large error can result depending upon proportion of missing tissue for the VOI.  
 890

891 Differences in tissue sampled: Measuring different portions of tissue (e.g., the full region in one scan vs.  
 892 only a part of the region due to tissue truncation in the second scan) across longitudinal scans can  
 893 introduce errors of a few to several percent.  
 894  
 895

Parameter	Entity/Actor	Specification
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Parameter	Entity/Actor	Specification
Region placement	Image Analyst	The placement of all regions of interest and reference region(s) will be verified to be on the correct tissue
Region placement	Image Analyst	All regions will be checked to ensure that boundaries do not include empty space (scan truncation). Regions will be adjusted using a consistent approach, such as automated exclusion of voxels, with a sub-threshold value, to exclude voxels where tissue is missing.
Region placement	Image Analyst	The same portion of tissue will be measured between longitudinal scans for the same subject.

896

#### 897 3.4.3.2.4 Generate SUVR Image

898 Once a reference region has been applied to the scan, and either before target region measurement, or  
899 afterward, a SUVR image (or DVR in the case of a fully dynamic scan) can optionally be generated by  
900 dividing each voxel value by the reference region mean.

901 This is useful for visual comparison and evaluation of images, regardless of which regions are to be  
902 measured quantitatively. Once an SUVR image has been generated, target VOIs can also be applied and  
903 measured without further division by a reference region value.

#### 904 3.4.3.3 Create SUVR

##### 905 3.4.3.3.1 Measure Regional Values

906 The mean value within each VOI is calculated as the numerator for the SUVR. A cortical average may be  
907 calculated as the average of multiple VOIs, or weighted by the number of voxels in each VOI.

##### 908 3.4.3.3.2 Calculate SUVR

909 The SUVR is calculated by dividing the VOI value by the reference region value (which will be 1.0 if  
910 measured on a SUVR image). If a parametric image was generated using full dynamic scanning, or if a  
911 kinetic model is being applied to a multi-timeframe dynamic image, a DVR value is generated instead.

##### 912 3.4.3.3.3 Relating SUVR values to other studies

913 Different protocols involve different tracers, target regions, and reference regions, and all of these  
914 contribute to how the SUVR can be interpreted with regard to amyloid burden. A value of 1.2, for example,  
915 can be amyloid positive using one tracer and/or set of regions for analysis, but amyloid negative using a  
916 different tracer and/or regions. In order to reconcile findings across data acquisition, processing, and  
917 analysis protocols, the concept of the Centiloid was developed (Rowe et al, 2013). The Centiloid is not  
918 intended to dictate the method for acquiring and processing data, but rather to provide a way to equate  
919 results obtained with a broad variety of protocol parameters. The basis for the Centiloid is a “gold  
920 standard” set of results derived from young healthy controls and elderly AD patients. These results have  
921 been generated using the radiotracer 11C-PiB and a defined set of target region, reference region, and  
922 image processing and analysis steps. A linear progression of values from 0 (no amyloid) to 100 (mean for  
923 amyloid positive sporadic AD patients) has been established using these values. To establish the equivalent  
924 “Centiloid value” for a tracer and/or acquisition and analysis protocol that differ from the gold standard,

two sets of relationships are empirically derived. Using the control image set provided by the Centiloid project, it is first confirmed that by using the prescribed regions and analysis approaches, the values can be generated with a correlation exceeding x%. Secondly, using the new tracer and/or acquisition and analysis parameters, values are generated using both the “gold standard” method and 11C-PiB, and the alternate tracer and/or methods. The regression between the two sets of results yields a transform equation that can be applied to results to convert them to “Centiloid units” for comparison to other studies. If a tracer and set of approaches are being applied that for which conversion to Centiloid units has already been established, this reference transform can be applied to new studies using the same parameters.

### 3.4.4 Required Characteristics of Resulting Data

The specific trial protocol shall prospectively define the SUVR (regions to be measured, which regions are to be included in a cortical average if applicable, and how the average is to be calculated) that is required for the imaging endpoint. SUVR measures and the analysis tools used to obtain them, including software version shall be specified for each protocol and shall be used consistently across all subjects and across all sequential measurements.

It should be clear which values belong to which brain region. Reports must clearly associate the region, including any hemispheric reference, with the measured value via column headers or other information display. Correct association of value and region should be assured via documentation that may include audit log via software that has been validated to correctly produce this information, DICOM coordinates captured along with the SUV, provision of the sampling “masks” or boundaries used to make the measurements for each subject, or secondary screen captures of the ROI for identification. The volume of each region measured, in voxels that can be translated into cc, or in cc, should also be included, along with the minimum, maximum, and standard deviation within the region mentioned.

The reference tissue (e.g., cerebellum (whole or gray), pons, subcortical white matter, combination, other) must be reported along with the target region SUV data. Identification should be specific, indicating whether gray, white, or both tissue types were included, and which slices were included or excluded.

The analysis software should generate a report that is clear, traceable, and interpretable.

### 3.5. Image Interpretation and Reporting

No QIBA Profile specification can be provided for image interpretation at this time. Image Interpretation is considered to be beyond the scope of this document.

In other words, how quantitative response is measured should be specified *a priori* by the trial itself. This also applies to target lesion selection.

Parameter	Entity/Actor	Specification
Image Reporting	Imaging Facility	Imaging reports shall be populated from DICOM header information using structured reporting.

### 3.6. Quality Control

The following section deals with multiple aspects of quality control in amyloid-PET studies. This includes

selecting and qualifying a PET/CT imaging facility, imaging personnel and PET/CT scanners and ancillary equipment. In addition, the use of phantom imaging (prior to study initiation and ongoing) is discussed as well as identifying subjects whose data may need to be censored due to a lack of data integrity. Finally, post-image-acquisition quality assessment is detailed.

### 3.6.1 Imaging Facility

It is essential to implement quality processes that ensure reliable performance of the scanner and consistent image acquisition methodology. These processes must be in place prior to subject imaging and be followed for the duration of the trial. A facility “imaging capability assessment” is a prerequisite to facility selection for participation in any clinical trial involving the use of amyloid-PET/CT as an imaging biomarker. This imaging capability assessment will include:

- Identification of appropriate imaging equipment intended for use in the trial
- Documented performance of required quality control procedures of the scanner and ancillary equipment (e.g., radionuclide calibrator)
- Radiotracer quality control procedures
- Experience of key personnel (technologists, radiologists, physicists and/or other imaging experts)
- Procedures to ensure imaging protocol conformance during the trial

#### 3.6.1.1 Site Accreditation/Qualification Maintenance

Whilst imaging facility accreditation is generally considered to be adequate for routine clinical practice purposes (e.g., ACR, IAC, and TJC), facility qualification (e.g., EARL, SNMMI-CTN, ACRIN, and imaging core labs) -may be required for clinical research/clinical trial participation. In order to be considered to be conformant with this Profile, an imaging scanner/facility must provide documentation of current qualified status. Appropriate forms, checklists or other process documents should be maintained and presented upon request to verify that ongoing quality control procedures are being performed in a timely manner as dictated by specific clinical study requirements. If exceptions to any of the performance standards stated below occur and cannot be remediated on site, the site should promptly communicate the issue to the appropriate internal overseer for advice as to how the irregularity should be managed. In addition to documenting the level of performance required for this Profile (and the level of performance achieved), the frequency of facility accreditation/qualification also needs to be described.

It is important to note that that imaging facility Accreditation and/or Qualification, as defined in this Profile, are considered necessary, but are not sufficient for being conformant with this Profile. In order to be conformant with the Profile, and thus to support the claims of the Profile, all normative requirements must be met.

Parameter	Entity/Actor	Specification
Accreditation / Qualification	Imaging Site & Image Acquisition Device	Shall maintain and document Accredited status for clinical practice (ACR, IAC, TJC, etc.) or Qualified status for clinical trials (e.g. ACRIN, SNMMI-CTN, EARL, iCROs, etc.).

### 3.6.2 Imaging Facility Personnel

For each of the personnel categories described below, there should be training, credentialing, continuing education and peer review standards defined. Guidelines for training/credentialing for each resource category are summarized below (UPICT Protocol Section 2.1). Note that only physicians reading the PET/CT amyloid scans need specific training and certification for PET amyloid interpretation.

Parameter	Entity/Actor	Specification
Personnel Roster	Imaging Facility Coordinator	Each site shall, at the time of trial activation and prior to subject accrual, have the support of certified technologists, physicists, and physicians (as defined below), experienced in the use of amyloid-PET/CT in the conduct of clinical trials.
Technologist	Imaging Facility Coordinator	Technologist certification shall be equivalent to the recommendations published by the representatives from the Society of Nuclear Medicine Technologists Section (SNMTS) and the American Society of Radiologic Technologists (ASRT) and should also meet all local, regional, and national regulatory requirements for the administration of ionizing radiation to patients.
Medical Physicist	Imaging Facility Coordinator	Medical physicists shall be certified in Medical Nuclear Physics or Radiological Physics by the American Board of Radiology (ABR); in Nuclear Medicine Physics by the American Board of Science in Nuclear Medicine (ABSNM); in Nuclear Medicine Physics by the Canadian College of Physicists in Medicine; or equivalent certification in other countries; or have performed at least two annual facility surveys over the last 24 months.
Physician	Imaging Facility Coordinator	Physicians overseeing PET/CT scans shall be qualified by the ABR (Diagnostic and/or Nuclear Radiology) or American Board of Nuclear Medicine (ABNM) or equivalent within the United States or an equivalent entity appropriate for the geographic location in which the imaging study(ies) will be performed and/or interpreted. Physicians interpreting the scans should have appropriate, specific initial training in interpretation of amyloid brain PET studies (specific to the PET amyloid tracer being used) and maintain continuing proficiency as outlined by national imaging professional societies, appropriate for the geographic location in which imaging studies are performed.

### 3.6.3 Amyloid- PET Acquisition Scanner

Amyloid-PET studies as described in this Profile require either a PET/CT scanner or a dedicated PET scanner with the ability to acquire a transmission image. PET/MR scanners may be added in future versions of this Profile. The scanners should be identified based on manufacturer, name and model. Hardware specifications should be documented. Scanner software name and version should be documented at the time of trial initiation and at the time of any and all updates or upgrades.

1004 The scanner must undergo routine quality assurance and quality control processes (including preventive  
1005 maintenance schedules) appropriate for clinical applications, as defined by professional and/or regulatory  
1006 agencies. In order to assure adequate quantitative accuracy and precision of imaging results, additional  
1007 quality assurance measures are required, as discussed below.

1008 For consistency, clinical trial subjects should be imaged on the same device over the entire course of a  
1009 study. A replacement scanner of the same make and model may be used if it is properly qualified. It is  
1010 imperative, however, that the trial sponsor be notified of scanner substitution if it occurs.

1011 For clinical trials with quantitative imaging requirements, a subject should have all scans performed on only  
1012 one scanner unless quantitative equivalence with a replacement scanner can be clearly demonstrated.  
1013 However, it should be noted that there are currently no accepted criteria for demonstrating quantitative  
1014 equivalence between scanners. It is anticipated that future version of this Profile will provide such criteria."  
1015

Parameter	Entity/Actor	Specification
Physical Inspection	Technologist	Shall, on a daily basis, check gantry covers in tunnel and subject handling system.
QA/QC Checks	Technologist	At a minimum, QA/QC procedures shall be performed each day according to vendor recommendations.  Daily QC procedures shall be performed prior to any subject scan.

### 1016 3.6.3.1 Ancillary Equipment

#### 1017 3.6.3.1.1 Radionuclide Calibrator

1018 The following guidelines are collected from ANSI standard N42.13, 2004 and IAEA Technical Report Series  
1019 TRS-454. All requirements assume measurements on unit doses of amyloid tracer and that calibration  
1020 sources are in the 'syringe' geometry (i.e., no bulk doses).

1021 The Constancy test ensures reproducibility of an activity measurement over a long period of time by  
1022 measuring a long-lived source of known activity.

1023 The Accuracy test ensures that the activity values determined by the radionuclide calibrator are correct and  
1024 traceable to national or international standards within reported uncertainties.

1025 The Linearity test confirms that, for an individual radionuclide, the same calibration setting can be applied  
1026 to obtain the correct activity readout over the range of use for that radionuclide calibrator.

Parameter	Entity/Actor	Specification
Constancy	Technologist	Shall be evaluated daily (or after any radionuclide calibrator event) using a NIST-traceable (or equivalent) simulated <sup>18</sup> F, Cs-137, or Co-57 radionuclide calibrator standard and confirmed that net measured activity differs by no greater than $\pm 2.5\%$ from the expected value.

Parameter	Entity/Actor	Specification
Accuracy	Technologist	Shall be evaluated monthly (or after any radionuclide calibrator event) with a NIST-traceable (or equivalent) simulated F-18 radionuclide calibrator standard. Shall confirm that net measured activities differ no greater than $\pm 2.5\%$ from expected value.
		The scanner calibration shall be tested using a NIST-traceable (or equivalent) simulated $^{18}\text{F}$ source object, e.g. a uniform cylinder, large enough to avoid partial volume effects or other resolution losses.
Linearity	Technologist or Radiation safety officer or Qualified Medical Physicist	Shall be evaluated annually (or after any radionuclide calibrator event) using either $^{18}\text{F}$ or Tc-99m and should be within $\pm 2.5\%$ of the true value over an operating range of 37-1110 MBq (1 to 30 mCi) and the true value is determined by a linear fit (to the log data) over the same operating range.
PET Radiation Dose	Dose Calibrator	Shall record the radiation dose from the administered activity and accompanying information in a DICOM Radiopharmaceutical Administration Radiation Dose Structured Report.

1027

## 1028 3.6.3.1.2 Scales and stadiometers

1029 Scales and stadiometers should be inspected and calibrated at installation and annually.

1030

Parameter	Entity/Actor	Specification
Scales	Approved personnel	Shall be evaluated annually or after any repair by qualified personnel.  Shall be confirmed that error is less than $\pm 2.5\%$ from expected values using NIST-traceable or equivalent standards.

1031

## 1032 3.6.3.1.4 Clocks and timing devices

1033 The PET and CT scanner computers and all clocks in an imaging facility used to record activity/injection  
1034 measurements should be synchronized to standard time reference within  $\pm 1$  minute. These include any  
1035 clocks or timekeeping systems that are connected with a subject's amyloid-PET study, in particular those  
1036 associated with the radionuclide calibrator, the injection room, the scanner, and the acquisition  
1037 computer(s). The synchronization of all clocks (to date, time of day and to time zone) should be monitored  
1038 periodically as part of ongoing QA program. In particular, clocks should be inspected immediately after  
1039 power outages or civil changes for Daylight Savings (NA) or Summer Time (Eur). Correct synchronization  
1040 could be achieved using the Consistent Time Integration Profile as defined in the IHE IT Infrastructure  
1041 Technical Framework. The Consistent Time Profile requires the use of the Network Time Protocol (NTP)  
1042 ([www.NTP.org](http://www.NTP.org)).

Parameter	Entity/Actor	Specification
Scanner and site clocks	Approved personnel	PET and CT scanner computers and all clocks in an Imaging facility used to record activity/injection measurements shall be synchronized to standard time reference within +/-1 minute.  Synchronization of all clocks used in the conduct of the amyloid-PET study shall be checked weekly and after power outages or civil changes for Daylight Savings (NA) or Summer Time (Eur)
Scanner and site clocks	Specific Device	Provide time synchronization as per the IHE Consistent Time Integration Profile.
Dose calibrator clock	Dose Calibrator	Electronic record of output from a dose calibrator shall be synchronized with other time keeping devices.

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1044 **3.6.4 Phantom Imaging**1045 **3.6.4.1 Uniformity and Calibration**

1046 Verification of scanner normalization with a uniform phantom is a minimum requirement for all scanners  
1047 used in clinical trials including those that only have qualitative endpoints. A Hoffman or equivalent  
1048 phantom may be used in place of a uniform phantom to verify scanner normalization via in-plane and axial  
1049 comparisons to an analytical gold standard for that phantom over the complete field of view to be used by  
1050 the amyloid measurand. For trials with quantitative PET measurements, this assessment should also include  
1051 a comparison against a radionuclide calibrator to ensure quantitative accuracy; that is, a comparison of the  
1052 absolute activity measured versus the measured amount injected should be performed. This comparison is  
1053 particularly important after software or hardware upgrades. If the trial requires absolute quantification in  
1054 baseline images or absolute changes in longitudinal studies, it should be considered to include an image  
1055 quality and/or contrast recovery QC assessment as part of the routine QC procedures and/or scanner  
1056 validation process. Clinical trials using only relative changes in longitudinal studies may not require contrast  
1057 recovery assessments provided there is appropriate consideration for the minimum size of target lesions  
1058 based on the partial volume effect.

1059 An essential requirement for extracting quantitative data from images is that there be known calibration  
1060 accuracy and precision and/or cross calibration of the PET system against the (locally) used radionuclide  
1061 calibrator (within 10%). The QC procedures should utilize the same acquisition/reconstruction protocol,  
1062 software and settings that are used for the subject scans.

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Parameter	Entity/Actor	Specification
Phantom tests: Frequency of uniformity measurements	Imaging Site	Shall perform at baseline, quarterly and after scanner upgrades, maintenance or repairs, and new setups.
Uniformity QC	Technologist	At least quarterly and following software upgrades, shall



Parameter	Entity/Actor	Specification
		<p>assess transverse and axial uniformity across image planes by imaging a uniform cylinder phantom.</p> <ol style="list-style-type: none"> <li>1. Visual check that no streak artifacts or axial plane non-uniformities are present.</li> <li>2. The standard deviation of a large central 2D ROI shall be compared with similar previous scans to check for measurable differences.</li> <li>3. The mean values of a large central 2D ROI for all image slices shall be compared with similar previous scans to check for measurable differences.</li> </ol>
Phantom tests: transaxial uniformity measurement	Imaging Site	Using ACR, uniform cylinder phantom or equivalent shall obtain an SUV for a large central ROI of 1.0 with an acceptable range of 0.9 to 1.1.
		Using ACR or uniform cylinder phantom or equivalent shall obtain an SUV for a large central ROI of 1.0 with an acceptable range of 0.95 to 1.05.
Phantom tests: axial uniformity measurement	Imaging Site	Using uniform cylinder phantom or equivalent shall obtain a slice-to-slice variability of less than 10%.
		Using uniform cylinder phantom or equivalent shall obtain a slice-to-slice variability of less than 5%.
		Harmonized image reconstruction protocols are available. (i.e., known recovery coefficients versus size for a given test object such as the modified NEMA NU-2 Image Quality phantom.

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### 1065 3.6.4.2 Resolution

1066 The assessment of adequate resolution should include both a qualitative evaluation (using clinical or  
1067 anthropomorphic phantom images) and quantitative assessment (using phantom-defined criteria).

Parameter	Entity/Actor	Specification
Resolution	Nuclear Medicine Physician	Shall perform, on at least an annual basis, and document a qualitative resolution QC test by using the manufacturer's settings and demonstrating resolution of normal gross anatomic features within clinical images of the brain.
Resolution	Medical Physicist	Shall perform (during an initial site qualification process, and then <b>on at least every one year</b> ) and document performance of a quantitative assessment (using a phantom with differing size defined targets such as the Hoffman, ACR or NEMA IQ phantoms) for spatial resolution.

Parameter	Entity/Actor	Specification
		Follow the modified procedure developed by Lodge et al. [JNM 2009; 50:1307-1314] to use a slightly tilted uniform phantom to get axial and in-plane spatial resolution.

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### 3.6.4.3 Noise

Parameter	Entity/Actor	Specification
Phantom tests: Frequency of noise measurements	Imaging Site	Shall perform at baseline, quarterly and after scanner upgrades, maintenance or repairs, and new setups.
Phantom test: noise measurements	Medical Physicist	A uniform cylinder phantom or equivalent shall be filled with an 18-F concentration in the uniform area (approximately 0.1 to 0.2 $\mu\text{C}/\text{ml}$ ), and scanned using the intended acquisition protocol. Using a rectangular or spherical region as close as possible to, but no smaller than, 3 cm to a side, the COV of the voxel values within the region should be below 15%, for the slices within the central 80% of the axial FOV.

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### 3.6.4.3 Amyloid-PET Specific Phantom Measurements

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The above more general phantom evaluations of a PET scanner are needed to qualify it for clinical practice or a clinical trial. However, more purpose-specific phantoms are also needed to simulate the human brain, amyloid uptake patterns, and the amyloid SUVR measurand. Purpose-specific phantom options that might be considered on a per-protocol basis include, but are not limited to:

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- Each site uses a single phantom for the duration of the trial but not necessarily the same model of phantom used at other sites.
- All sites use phantoms of the same model for the duration of the trial.
- All sites use phantoms built to precise specifications for the duration of the trial.
- All sites share a single phantom for the duration of the trial.

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The phantom scans and performance evaluation should be performed prior to the start of a trial and repeated during the course of the trial as specified by the individual protocol. Any changes to scanner equipment, either hardware or software, should be immediately reported to the trial sponsor and/or imaging CRO and may result in the need for re-qualification prior to imaging additional trial subjects. In particular, it is strongly recommended that subjects in a longitudinal study be scanned on the same PET system with the same software version whenever possible.

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Generally, the purpose-specific phantom scans must provide a metric to characterize these imaging properties:

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- Spatial resolution – PET scanner hardware, reconstruction methods and reconstruction parameter

1090 selections can result in dramatically different spatial resolutions in the reconstructed images.  
 1091 Because partial volume effects (especially between gray and white matter regions) can bias many  
 1092 amyloid PET measurands, it is essential to calibrate the spatial resolution of each scanner using the  
 1093 acquisition and reconstruction protocol planned for patient imaging. A post-reconstruction  
 1094 smoothing operation can then be applied for calculation of a measurand at a uniform spatial  
 1095 resolution between scanners.

- 1096 • Uniformity – In-plane and axial uniformity of the purpose-specific phantom should be within 10%  
 1097 throughout the scanner field of view to be used in the calculation of the amyloid PET measurand.
- 1098 • Absence of reconstruction artifacts – Reconstructed purpose-specific phantom data should be  
 1099 visually free of reconstruction artifacts, such as streaks due to failing detectors or axial plane non-  
 1100 uniformities due to errors in normalization.
- 1101 • Qualitative and quantitative accuracy – Measurands using ratios, such as the SUVR must  
 1102 demonstrate accuracy with 10% of an analytical or otherwise known gold standard.

1103 An anthropomorphic phantom, such as the 3D Hoffman phantom or equivalent, ideally with a spatial  
 1104 distribution similar to the cortical gray/white matter is required to characterize the five imaging properties  
 1105 listed above. A uniform phantom or a point source phantom by themselves is not adequate to sufficiently  
 1106 characterize the amyloid imaging properties of a PET scanner. The phantom should be adequate to model  
 1107 and characterize effects of attenuation correction and scatter correction. Contrast ratios of amyloid tracer  
 1108 uptake vary between normal and abnormal subjects, and also between different amyloid tracers. However,  
 1109 it is recommended that the phantom be filled such that the activity concentration in the highest uptake  
 1110 regions be similar to the expected white matter uptake in subjects with amyloid deposition. For the  
 1111 Hoffman phantom, it is recommended that the activity at the start of the scan be 0.5-0.6 mCi (18.5-22.2  
 1112 MBq) to obtain approximately a 15 kBq/ml activity in the gray matter regions of the phantom. See  
 1113 Appendix H for best practices guidance for this phantom.

1114 The Hoffman phantom should be centered in the FOV of the PET scanner and data acquired for 20 minutes.  
 1115 Moreover, image reconstruction methods and settings should equal those specified in the study. The post-  
 1116 processing and data analysis should be as similar as possible to those used with patient data.

1117 A baseline assessment of the scanner imaging properties is required before any subjects are scanned in the  
 1118 trial, and after any major hardware or software modifications that could affect these properties. Following  
 1119 a baseline qualification assessment using the Hoffman phantom, routine manufacturer-recommended QA  
 1120 procedures (e.g. daily QC checks, quarterly normalization, etc.) using simpler phantoms may be adequate to  
 1121 demonstrate acceptable scanner performance over the course of a clinical trial. A baseline qualification  
 1122 assessment is required at least every one year in an extended study.

1123  
 1124 The normative list below is based on the Hoffman anthropomorphic, NEMA Image Quality, ACR, and  
 1125 uniform cylinder phantoms as appropriate.

Parameter	Entity/Actor	Specification
Phantom tests: Frequency of measurements based on Hoffman	Imaging Site	Needed as an initial baseline characterization and thereafter annually as well as after major scanner upgrades, maintenance or repairs.

Parameter	Entity/Actor	Specification
phantom data		
Phantom test: resolution measurement	Imaging Site	Acquire data using the Hoffman phantom and compute the FWHM “Hoffman equivalent” [Joshi/Koepp NeuroImage 46 (2009) 154-159] FWHM resolution, in transverse and axial directions. The resolution should be $\leq 8.0$ mm FWHM.
Phantom test: gray/white matter ratio measurement	Imaging Site	Register the Hoffman phantom PET image to the digital representation of the phantom, and compute the gray/white matter ratio. This ratio should be $> 0.55$ . See Appendix I for more details.
Phantom test: SUVR accuracy	Imaging Site	Using the Hoffman phantom PET image perform the same post-processing and image analysis to confirm the SUVR accuracy. See Appendix I for more details.

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1128 **3.6.4.4 Phantom imaging data analysis**

1129 For amyloid-PET image analysis, there are many combinations of hardware and software that are used. The  
 1130 software alone comprises multiple layers including the operating system, several base modules for input  
 1131 and display, and the components that draw/calculate ROIs and calculate the SUVR. See Section 4.4 and  
 1132 Appendix F.

1133


1134 **3.6.5 Quality Control of Amyloid-PET studies**

1135 **3.6.5.1 Data Integrity**

1136 The integrity of DICOM image headers should be reviewed and confirmed for DICOM standard compliance,  
 1137 regulatory compliance (including privacy protection, such as may be required by such rules as the HIPAA  
 1138 Privacy Rule if applicable), protocol compliance, sufficiency for the intended analysis (e.g., to compute SUV)  
 1139 and consistency with source data such as CRFs.

### 3.6.5.2 Determination of Image Quality

CT and 68-Ge transmission images should be reviewed by the Image Analyst for assessment of image quality and for potential artifacts such as beam hardening, metal objects, and motion. PET images should be compared to the transmission images for proper image registration and potential attenuation correction artifacts. Both uncorrected and attenuation corrected images may need to be assessed to identify any artifacts caused by contrast agents, metal implants and/or subject motion. For example, movement or mis-registration can lead to poor quality quantitative data and invalid numbers. Some images may be too poor in quality to quantify. Statistical quality of images is important to report, but not a full substitute for quality.

### 3.6.5.3 Determination of subjects unsuitable for Amyloid-PET analysis

## 3.6.6 Quality Control of Interpretation

To promote quantifiable performance standards for the quality control of interpretation there is a need for intra-reader variability studies. In a two-Reader paradigm, then inter-reader variability is needed as well. It is currently unclear what statistics to evaluate and how these performance metrics should be used in the analysis.

## 4. Conformance Procedures

### Relation of this Profile to Expectations for QIBA Profile Conformance

Definitions (from Appendix C):

Qualified: The imaging site is formally approved by an appropriate body (i.e., ACRIN, CQIE, SNM-CTN, EANM-EARL, an imaging laboratory or CRO) for a specific clinical research study.

Accredited: Approval by an independent body or group for broad clinical usage (requires ongoing QA/QC) e.g., ACR, IAC, TJC.

Conformant: The imaging site and equipment meet all the requirements described herein, which are necessary to meet the QIBA Profile claim.

The requirements included here are intended to establish a baseline level of capabilities. Providing higher levels of performance or advanced capabilities is both allowed and encouraged. Furthermore the QIBA Profile is not intended to limit equipment suppliers in any way with respect to how they meet these requirements. Institutions meeting the stated criteria are considered to be QIBA Conformant.

### 4.1. Performance Assessment: Image Acquisition Site

Typically clinical sites are selected due to their competence in neurology and access to a sufficiently large subject population under consideration. For imaging sites it is important to have availability of:

- Appropriate imaging equipment and quality control processes,
- Appropriate ancillary equipment and access to radiotracer and contrast material,
- Experienced Technologists (CT and PET trained) for the subject handling and imaging procedure,
- Appropriately trained Radiologists/Nuclear Medicine Physicians for image analysis and diagnostic interpretation,

- 1176 • Appropriately trained image analysts, with oversight by a Radiologist or Nuclear Medicine Physician,  
 1177 • Medical Physics support to ensure appropriate scanner and equipment calibration,  
 1178 • Processes that assure imaging QIBA Profile-conformant image generation in appropriate time window
- 1179 A QA/QC program for PET scanners and ancillary devices must be in place to achieve the goals of the  
 1180 clinical trial. The minimum requirements are specified above. This program shall include (a) elements to  
 1181 verify that imaging facilities are performing imaging studies correctly and (b) elements to verify that  
 1182 facility's PET scanners are performing within specified calibration values. These may involve additional  
 1183 PET and CT phantom testing that address issues relating to both radiation dose and image quality  
 1184 (which may include issues relating to water calibration, uniformity, noise, spatial resolution – in the  
 1185 axial plane-, reconstructed slice thickness z-axis resolution, contrast scale, and others) and constancy.  
 1186 There is agreement that some performance testing (e.g. constancy phantom) adds value; however,  
 1187 acceptable performance levels, frequency of performance, triggers for action and mitigation strategies  
 1188 need further definition before these can be required. This phantom testing may be done in addition to  
 1189 the QA program defined by the device manufacturer as it evaluates performance that is specific to the  
 1190 goals of the clinical trial.

1191

Parameter	Entity/Actor	Specification
PET Scanner	Acquisition Facility	This Profile shall only address full ring PET scanners that have the capability of acquiring a transmission image for attenuation correction and have a minimum axial FOV of 15 cm for a single bed position.
CT Scanner Calibration	Technologist	Shall perform daily water equivalent phantom analysis; ensure that output is acceptable and manually enter on form /electronic database.
PET Scanner Calibration	Technologist	Shall perform daily/weekly/monthly scanner QA and vendor recommended maintenance procedures (e.g., replace weak transmission sources for dedicated PET scanner); ensure that output values are acceptable and manually enter on form/electronic database
PET Scanner Calibration Constancy Check	Technologist	Shall perform constancy phantom (e.g., Ge-68 cylinder) scan (preferably NIST traceable or equivalent to gather information regarding uniformity as well) at least weekly and after each calibration.
Radionuclide calibrator		Calibrated to 18F using NIST traceable source or equivalent.

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## 1193 4.2. Performance Assessment: PET Acquisition Device

1194 Distinct from the performance specifications and frequency of testing described in Section 4.1, which apply  
 1195 to quality control of the Acquisition Device at the imaging facility, this Section defines performance  
 1196 specifications of the Acquisition Device to be met upon leaving the manufacturing facility. In order to be in

1197 conformance with this Profile, the Acquisition Device should be held to the same standard whether a  
1198 mobile utility or a fixed installation; a mobile scanner may require additional calibration to achieve this  
1199 performance.

1200 The PET scanner should use DICOM attributes to follow version numbers of software for: 1 Acquisition, 2  
1201 Reconstruction, 3 Post-processing, 4 Display/ROI analysis, 5 Dynamic Analysis. Performance requirements  
1202 regarding software version identification, documentation and tracking across time are described in Section  
1203 4.5.

1204 The PET scan acquisition start time should be used for the decay reference time and the integral model  
1205 should be used for decay correction. The scanner should perform all decay corrections (i.e. not the  
1206 operator). Image data are to be given in units Bq/ml. "Derived" images (distinct from "Original") should be  
1207 flagged following the DICOM standard and should retain the scan acquisition date and time fields.

1208  
1209 All needed information for fully corrected administered activity (e.g., residual activity, injection time,  
1210 calibration time) is required. Note that use of the term administered activity below refers to fully corrected  
1211 net radioactivity.

1212  
1213 Baseline level conformance requires that the DICOM image set from the subject's PET scan and necessary  
1214 metadata (that is not currently captured by all PET scanner acquisition processes) is captured in trial  
1215 documentation, e.g., case report forms. The metadata is required to perform the quantitative analysis and  
1216 perform quality control on SUV covariates. This includes for example, post-injection residual activity and  
1217 subject height. This data should be captured in the 'Common Data Format Mechanism' as described in  
1218 Appendix E.

1219 The DICOM format used by the PET scanner should meet the Conformance Statement written by  
1220 manufacturer of the PET system. PET data shall be encoded in the DICOM PET or Enhanced PET Image  
1221 Storage SOP Class, and in activity-concentration units (Bq/ml) with additional parameters in public DICOM  
1222 fields to calculate SUVs (e.g., height, weight, scale factors). CT data should be encoded in CT or Enhanced CT  
1223 Image Storage SOP Class. DICOM data shall be transferred using the DICOM Part 8 network protocol or as  
1224 offline DICOM Part 10 files for media storage including CDs and DVDs. They shall be transferred without any  
1225 form of lossy compression.

1226 The meta-information is the information that is separate, or in addition to, the image values (in units of  
1227 Bq/ml) that is deemed necessary for quantitatively accurate representation of PET SUVs. The meta-  
1228 information may also include other information beyond that need for calculation of SUVs, i.e. the type and  
1229 or sequencing of therapy, the blood glucose levels, the scanner SUV stability history, etc. The actual  
1230 mechanism of capturing the information is not specified in this Profile. The intent here is to list what  
1231 information should be captured rather than the mechanism itself. The mechanism can range from paper  
1232 notes, to scanned forms or electronic data records, to direct entry from the measurement equipment into  
1233 pre-specified DICOM fields (i.e., from the PET scanner or auxiliary measurement devices such as the  
1234 radionuclide calibrator). Ideally all of the specified meta-data will be captured by direct electronic entry to  
1235 DICOM fields, after suitable modification of the DICOM format for PET imaging.

1236 In some facility workflows, the Acquisition Device may also provide workstation/analysis tool functionality.  
1237 For example, the display of an SUV statistic (considered in Section 4.4.1) or display of Tracer Uptake Time  
1238 (considered in Section 4.4), may also apply to the Acquisition Device, if used in this manner.

1239 The concept endorsed here is that the needed meta-data is identified. Through revisions of this Profile, the

1240 DICOM standard, and technology the meta-data is inserted into the analysis stream (Figure 3) in a more  
 1241 direct manner and technology and accepted standards evolve.  
 1242

Parameter	Entity/Actor	Specification
CT calibration tracking	Acquisition Device	Daily water equivalent phantom values shall be tracked in the DICOM header.
PET calibration factor	Acquisition Device	The current SUV calibration factor shall be included in the DICOM header.
PET QA status	Acquisition Device	Date/time and status of system-wide QA checks should be captured separately.
Radionuclide calibrator calibration	Acquisition Device	Calibration factor for an F-18 NIST -traceable (or equivalent) source with identifying information shall be tracked in the DICOM header with Date/Time.
PET Scanner calibration	Acquisition Device	<p>Shall be able to be calibrated according to the following specifications:</p> <ul style="list-style-type: none"> <li>Using an ACR type uniform cylinder containing FDG in water (ideally the same used for radionuclide calibrator cross-calibration)</li> <li>Using a long scan time of 60 min or more (to minimize noise), and an ACR-type ROI analysis</li> </ul> <p>The average measured SUV shall be in the range of 0.98 to 1.02. (Note this is not the performance expected during clinical imaging operation as discussed in preamble to this Section).</p> <p>Slice-to-slice variability shall be no more than <math>\pm 5\%</math>. (not including end slices, as per ACRPET Core Lab).</p>
		In-plane uniformity for above phantom shall be less than 5 %.
Weight	Acquisition Device	Shall be able to record patient weight in lbs or kg as supplied from the modality worklist and/or operator entry into scanner interface. Shall be stored in Patient Weight field (0010,1030) in the DICOM image header, as per DICOM standard.
		<p>Patient weight shall be specifiable with 4 significant digits.</p> <p>Patient weight shall be transferrable directly from measurement device into scanner by electronic, HIS/RIS, or other means, bypassing all operator entry, but still permitting operator correction.</p>
Height	Acquisition Device	Shall be able to record patient height in feet/inches or cm/m as supplied from the modality worklist and/or operator entry into scanner interface. Shall be stored in Patient Size field (0010,1020) in the DICOM image header, as per DICOM standard.



Parameter	Entity/Actor	Specification
		<p>Patient height shall be specifiable with 3 significant digits.</p> <p>Patient height shall be transferrable directly from measurement device into scanner by electronic, HIS/RIS, or other means, bypassing all operator entry, but still permitting operator correction.</p>
Administered Radionuclide	Acquisition Device	<p>Shall be able to accept the radionuclide type (i.e., 18F) from the DICOM Modality Worklist either from the NM/PET Protocol Context, if present, or by deriving it from the Requested Procedure Code via a locally configurable tables of values.</p> <p>Shall be able to enter the radionuclide type (i.e., 18F) by operator entry into the scanner interface.</p> <p>Shall be recorded in Radionuclide Code Sequence (0054,0300) in the DICOM image header (e.g., (C-111A1, SRT, “^18^Fluorine”).</p>
		<p>Shall be able to accept the radionuclide type (i.e., F-18) directly from the measurement device (dose calibrator) or management system, using the Sup 159 Radiopharmaceutical Administration Radiation Dose Report bypassing all operator entry, but still permitting operator correction.</p>
Administered Radiotracer	Acquisition Device	<p>Shall be able to record the specific radiotracer as supplied by operator entry into the scanner interface. Shall be recorded in Radionuclide Code Sequence field (0054,0300) in the DICOM image header, e.g., (C-B1031, SRT, “Fluorodeoxyglucose F^18^”).</p>
Administered Radiotracer radioactivity	Acquisition Device	<p>Shall be able to enter the administered radioactivity, in both MBq and mCi, as supplied by operator entry into the scanner interface. Shall be recorded in Radionuclide Total Dose field (0018,1074) in the DICOM image header in Bq.</p>
		<p>Shall be able to record with separate entry fields on scanner interface:</p> <ol style="list-style-type: none"> <li>(1) the pre-injection 18F-Amyloid tracer radioactivity</li> <li>(2) time of measurement of pre-injection 18F-Amyloid tracer radioactivity</li> <li>(3) the residual activity after injection</li> <li>(4) time of measurement the residual radioactivity after injection</li> </ol> <p>Shall automatically calculate the administered radioactivity and store in the Radionuclide Total Dose field (0018,1074) in the DICOM image header.</p> <p>Alternatively, shall be able to receive this information as per DICOM Supplement 159.</p>

Parameter	Entity/Actor	Specification
		Patient Administered Radiotracer radioactivity information shall be transferred directly from measurement device into scanner by electronic, HIS/RIS, or other means, bypassing all operator entry, but still permitting operator correction.
Administered Radiotracer Time	Acquisition Device	Shall be able to record the time of the start of activity injection as supplied by operator entry into the scanner interface. Shall be recorded in Radiopharmaceutical Start Date Time field (0018,1078) (preferred) or Radiopharmaceutical Start Time field (0018,1072).
		Shall be able to record the time of the start of activity injection as supplied by operator entry into the scanner interface. Shall be recorded in Radiopharmaceutical Start Date Time field (0018,1078). I.e. not Radiopharmaceutical Start Time field (0018,1072).
		Shall be able to record the time of the stop of activity injection as supplied by operator entry into the scanner interface. Shall be recorded in Radiopharmaceutical Stop Date Time field (0018,1079).
Decay Correction Methodology	Acquisition Device	<p>Encoded voxel values with Rescale Slope field (0028,1053) applied shall be decay corrected by the scanner software (not the operator) to a single reference time (regardless of bed position), which is the start time of the first acquisition, which shall be encoded in the Series Time field (0008,0031) for original images.</p> <p>Corrected Image field (0028,0051) shall include the value "DECY" and Decay Correction field (0054,1102) shall be "START", which means that the images are decay corrected to the earliest Acquisition Time (0008, 0032).</p>
Scanning Workflow	Acquisition Device	Shall be able to support Profile Protocol (Section 3) PET and CT order(s) of acquisition.
		Shall be able to pre-define and save (by imaging site) a Profile acquisition Protocol for patient acquisition.
		<p>Shall be able to interpret previously-reconstructed patient images to regenerate acquisition protocol.</p> <p>Shall be configurable to store (or receive) acquisition parameters as pre-defined protocols (in a proprietary or standard format), to allow re-use of such stored protocols to meet multi-center specifications and to achieve repeatable performance across time points for the same subject.</p>
CT Acquisition Parameters	Acquisition Device	Shall record all key acquisition parameters in the CT image header, using standard DICOM fields. Includes but not limited to: Actual Field of View, Scan Duration, Scan Plane, Total Collimation Width, Single Collimation Width, Scan Pitch, Tube Potential, Tube Current, Rotation Time, Exposure and Slice Width in the DICOM image header.

Parameter	Entity/Actor	Specification
CT based attenuation correction	Acquisition Device	Shall record information in PET DICOM image header which CT images were used for corrections (attenuation, scatter, etc.).
PET-CT Alignment	Acquisition Device	Shall be able to align PET and CT images within $\pm 2$ mm in any direction.
		Shall be able to align PET and CT images within $\pm 2$ mm in any direction under maximum load over the co-scan length.
CT Absorbed Radiation Dose	Acquisition Device	Shall record the absorbed dose (CTDI, DLP) in a DICOM Radiation Dose Structured Report.
Activity Concentration in the Reconstructed Images	Acquisition Device	Shall be able to store and record (rescaled) image data in units of Bq/ml and use a value of BQML for Units field (0054,1001).
Tracer Uptake Time	Acquisition Device	Shall be derivable from the difference between the Radiopharmaceutical Date Time field (0018,1078) (preferred) or Radiopharmaceutical Start Time field (0018,1072) and the Series Time field (0008,0031) or earliest Acquisition Time field (0008,0032) in the series (i.e., the start of acquisition at the first bed position), which should be reported as series time field (0008,0031).
PET Voxel size	Acquisition Device	See Section 4.3 (PET Voxel size) under the Reconstruction Software specification requirements.
CT Voxel size	Acquisition Device	Shall be no greater than the reconstructed PET voxel size. Voxels shall be square, although are not required to be isotropic in the Z (head-foot) axis. Not required to be the same as the reconstructed PET voxel size.
Subject Positioning	Acquisition Device	Shall be able to record the subject position in the Patient Orientation Code Sequence field (0054,0410) (whether prone or supine) and Patient Gantry Relationship Code field Sequence (0054,0414) (whether head or feet first).
Scanning Direction	Acquisition Device	Shall be able to record the scanning direction (craniocaudal vs. caudocranial) into an appropriate DICOM field.
Documentation of Exam Specification	Acquisition Device	Shall be able to record and define the x-y axis FOV acquired in Field of View Dimensions (0018,1149) and reconstructed in Reconstruction Diameter (0018,1100).
		Shall be able to define the extent of anatomic coverage based on distance from defined landmark site (e.g., vertex, EAM). (both the landmark location (anatomically) and the distance scanned from

Parameter	Entity/Actor	Specification
		landmark) would require DICOM tags). Shall be able to be reportable for future scanning sessions. The Acquisition Device shall record the z-axis FOV which represents the actual distance of scan anatomic coverage (cm).
Differential Acquisition Time	Acquisition Device	Shall be able to acquire and record non uniform scan times dependent upon areas of clinical concern. Recording can be done through the use of Actual Frame Duration (0018,1242) and Frame Reference Time (0054, 1300).
DICOM Compliance	Acquisition Device	All image data and scan parameters shall be transferable using appropriate DICOM fields according to the DICOM conformance statement for the PET scanner.
DICOM Data transfer and storage format	PET Scanner or Display Workstation	PET images shall be encoded in the DICOM PET or Enhanced PET Image Storage SOP Class, using activity-concentration units (Bq/ml) with additional parameters stored in public DICOM fields to enable calculation of SUVs.  PET images shall be transferred and stored without any form of lossy compression.

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Parameter	Entity/Actor	Specification
DICOM Editing	Acquisition Device	Shall be able to edit all fields relevant for SUV calculation before image distribution from scanner.  Shall provide appropriate warnings if overriding of the current values is initiated.

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### 4.3. Performance Assessment: Reconstruction Software

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Reconstruction Software shall propagate the information collected at the prior Subject Handling and Imaging Acquisition stages and extend it with those items noted in the Reconstruction section.

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Parameter	Entity/Actor	Specification
Metadata	Reconstruction Software	Shall be able to accurately propagate the information collected at the prior stages and extend it with those items noted in the Reconstruction section.

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Data can be reconstructed including all corrections needed for quantification as well as without scatter and attenuation correction. Analytical or iterative reconstruction methods should be applied. If the system is capable of providing resolution recovery and/or time of flight, then the decision to 'turn on' or 'turn off' this /these capabilities should be made prospectively, as dictated by the specific protocol, and should be consistent for a given subject across multiple time points.

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Standardization of reconstruction settings is necessary to obtain comparable resolution and SUV recoveries

1254 across the same subject and inter-subject across sites.

Parameter	Entity/Actor	Specification
Data Corrections	Reconstruction Software	PET emission data must be able to be corrected for geometrical response and detector efficiency, system dead time, random coincidences, scatter and attenuation.
Reconstruction Methodology	Reconstruction Software	Shall be able to provide iterative and/or analytical (e.g., filtered back projection) reconstruction algorithms.
		Shall be able to indicate, for both TOF and Resolution recovery, if either is being used for purposes of image reconstruction.
Reconstruction Methodology / Output	Reconstruction Software	Shall be able to perform reconstructions with and without attenuation correction.
Data Reconstruction 2D/3D Compatibility	Reconstruction Software	Shall be able to perform reconstruction of data acquired in 3D mode using 3D image reconstruction algorithms. If 3D mode data can be re-binned into 2D mode, shall be able to perform reconstruction of data acquired in 3D mode using 2D image reconstruction algorithms.
Quantitative calibration	Reconstruction software	Shall apply appropriate quantitative calibration factors such that all images have units of activity concentration, e.g., kBq/mL.
Voxel size	Reconstruction software	Shall allow the user to define the image voxel size by adjusting the matrix dimensions and/or diameter of the reconstruction field-of-view.
		Shall be able to reconstruct PET voxels with a size 2.5 mm or less in the transaxial directions and 2.5 mm or less in the axial dimension (as recorded in Voxel Spacing field (0028,0030) and computed from the reconstruction interval between Image Position (Patient) (0020,0032) values of successive slices).  Pixels shall be square, although voxels are not required to be isotropic in the z (head-foot) axis.
		Shall be able to reconstruct PET voxels with a size of 2 mm or less in all three dimensions (as recorded in Voxel Spacing field (0028,0030) and computed from the reconstruction interval between Image Position (Patient) (0020,0032) values of successive slices).  Voxels shall be isotropic.
Reconstruction	Reconstruction	Shall allow the user to control image noise and spatial resolution by adjusting reconstruction parameters, e.g., number of iterations, post-

Parameter	Entity/Actor	Specification
parameters	software	reconstruction filters.
		Shall be able to record reconstruction parameters used in image DICOM header using the Enhanced PET IOD, developed by DICOM working group.
Reconstruction protocols	Reconstruction software	Shall allow a set of reconstruction parameters to be saved and automatically applied (without manual intervention) to future studies as needed.

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#### 4.4. Performance Assessment: Image Analysis Workstation

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Currently, there is no commercially available tool with which image analysis workstation conformance can be assessed. Versions of a Hoffmann brain DRO have been used by some labs to perform some of the necessary tasks, but not all requirements, as defined in this Profile can be assessed with this/these DROs.

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A digital reference object (DRO) series of synthetic PET volumes derived from a single patient's MRI scan (also provided) shall be used in order to evaluate conformance of the image analysis workstation (IAW). Users should use the DRO series (as per the DRO user's guide in Appendix F) to verify correct implementation of VOI placement for both target and reference regions, SUVR calculations, PET alignment to standardized atlases (when applicable), system linearity and system reproducibility.

Parameter	Entity/Actor	Specification
Performance Evaluation	Image Analyst & Analysis Workstation	Shall use the DRO series to verify adequate performance as described in Appendix F and save the results with any study compliant with this Profile.

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The post-processing software, which may be integral to the scanner workstation or provide by a third-party vendor, shall have the ability to perform the operations specified in Section 3.3.2, Image Data Post-processing.

Parameter	Entity/Actor	Specification
Metadata	Image Post-processing workstation	Shall be able to accurately propagate the information collected at the prior stages and extend it with those items noted in the Image Analysis Workstation section.
		Shall be able to display all information that affects SUVRs either directly in calculation (e.g., region of interest intensity) or indirectly (image acquisition parameters).
Image	Image Post-processing	Shall be capable to display or include link to display the

Parameter	Entity/Actor	Specification
acquisition parameters: Display	workstation	number of minutes between injection and initiation of imaging (as per derivation guidelines described in Section 4.2), and the duration of each timeframe in cases where the image consists of multiple timeframes.

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The Image Post-processing workstation will allow for the following operations that may or may not have been performed as part of image reconstruction.

Parameter	Entity/Actor	Specification
Decay correction	Image Post-processing workstation	Shall allow for image decay correction if not performed during reconstruction. Shall use either the Acquisition Time field (0008,0032) or Radiopharmaceutical Start Time (0018,1072), if necessary. If a series (derived or not) is based on Acquisition Time decay correction, the earliest Acquisition Time (0008,0032) shall be used as the reference time for decay correction.
Image orientation	Image Post-processing workstation	Shall allow user to orient image per protocol in x, y, and z directions.
Intra-scan, inter-frame alignment	Image Post-processing workstation	Shall be able to automatically spatially align the different timeframes that may have been acquired
Intra-scan, inter-frame alignment	Image Post-processing workstation	Shall allow selection of an anchor frame to which other frames are aligned
Intra-scan, inter-frame alignment	Image Post-processing workstation	Shall measure and display the translational and rotational parameters necessary to align each frame to the reference frame.
Static image creation	Image Post-processing workstation	Shall allow exclusion of one or more frames from the static image that is created through frame averaging or summation
Static image creation	Image Post-processing workstation	Shall be able to sum and/or average the selected timeframes to create a static image for analysis
Smoothing	Image Post-processing workstation	Shall be able to apply a 3D smoothing filter if indicated as part of study protocol
Data storage and transfer	Image Post-processing workstation	Shall be able to store images after each major step of image manipulation (e.g., after frame summation)

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The features required of the analysis workstation are dependent in part upon the methods chosen for definition and application of the target and reference regions of interest to the PET scan. Certain additional features such as kinetic modeling for full dynamic scans, partial volume correction, and MRI

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segmentation to create regions of interest may also be relevant per study protocol, but their description is beyond the scope of this document.

Parameter	Entity/Actor	Specification
Image Quality control: Visual inspection	Image Analysis workstation	Shall be able to display each image in a manner such that all image slices in the transaxial, sagittal, and coronal views may be examined visually.
Spatial mapping: Image fusion (co-registration)	Image Analysis workstation	Shall be able to automatically and accurately spatially align the PET image with the subject's MRI scan in cases where this approach is implemented.
Spatial mapping: Co-registration between visits	Image Analysis workstation	Shall be able to automatically and accurately spatially align multiple PET visits to one another when this approach is implemented.
Spatial Mapping: warp to template	Image Analysis workstation	Shall be able to automatically and accurately spatially map the subject's scan and template to each other when this approach is implemented.
Target and reference region definition	Image Analysis workstation	Shall provide either the means for defining target and reference region of interest boundaries to be applied to the subject scan, or for importing pre-defined region of interest boundaries (or masks) that may have been generated using other software (such as generated through segmentation of subject's MRI or pre-defined based upon an image template and atlas).
SUVR image creation	Image Analysis workstation	Shall be able to create an SUVR image by dividing each voxel by the average value within a selected reference region, if this option is implemented.
Region placement	Image Analysis workstation	Shall be able to apply (place for measurement) pre-specified regions of interest onto the PET scan in an anatomically accurate manner.
Region placement quality control	Image Analysis workstation	Shall allow means for quality assurance that regions for measurement have been accurately placed on the PET scan (either by final region placement inspection and/or inspection and/or automatic quality measurements performed at each image manipulation step)
Region of interest measurement	Image Analysis workstation	Shall be able to calculate the mean value within each region of interest, and store for SUVR calculations (if not based on an SUVR image) and/or reporting.
SUVR	Image Analysis	Shall be able to calculate SUVR values by dividing the mean value in a target region by the mean value in the



Parameter	Entity/Actor	Specification
calculation	workstation	reference region (if not based on an SUVR image).
SUVR output	Image Analysis workstation	Shall be able to store and output SUVR values for display and for transfer to a study report, to a precision as required by the study protocol.

#### 4.5. Performance Assessment: Software version tracking

Ideally, the PET scanner should be able to build a list on the console of the dates of all software versions (software changes that might impact quantitative accuracy would typically be inclusive of hardware change). Furthermore, the scanner software version should be identified and tracked across time, with updates and changes in scanner software noted during the course of the trial. At a minimum, Software Versions should be manually recorded during the qualification along with the phantom imaging performance data and the record should be updated for every software-upgrade over the duration of the trial. This includes the flagging of the impact on quantification for now; in the future, record all software version numbers in DICOM header.

Parameter	Entity/Actor	Specification
Software Version tracking	Acquisition Device	Shall record the software version(s) used for acquisition and reconstruction in appropriate DICOM field(s).
Software version back-testing compatibility	Workstation	Shall provide mechanism to provide analysis of the image data using updated as well as prior (platform-specific) versions of analysis software.

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## 1405 Appendices

### 1406 Appendix A: Acknowledgements and Attributions

1407 This document is proffered by the Radiological Society of North America (RSNA) Quantitative Imaging  
1408 Biomarker Alliance (QIBA) Nuclear Medicine Coordinating Committee. The Amyloid PET Biomarker  
1409 Committee, a subcommittee of the Nuclear Medicine Coordinating Committee, is composed of physicians,  
1410 scientists, engineers and statisticians representing the imaging device manufacturers, image analysis  
1411 software developers, image analysis facilities and laboratories, biopharmaceutical companies, academic  
1412 institutions, government research organizations, professional societies, and regulatory agencies, among  
1413 others. A more detailed description of the QIBA Amyloid-PET Biomarker Committee and its work can be  
1414 found at the following web link: [http://qibawiki.rsna.org/index.php/PET\\_Amyloid\\_Biomarker\\_Ctte](http://qibawiki.rsna.org/index.php/PET_Amyloid_Biomarker_Ctte)

1415 The Amyloid PET Biomarker Committee members (in alphabetical order):

1416 [List members here](#)

1417 The Amyloid PET Biomarker Committee and Nuclear Medicine Coordinating Committee are deeply grateful  
1418 for the support and technical assistance provided by the staff of the Radiological Society of North America.

### 1420 Appendix B: Background Information for Claim

#### 1422 References

### 1424 Appendix C: Conventions and Definitions

#### 1425 *Convention Used to Represent Profile requirements*

1426 Requirements for adhering to this Profile are presented in tables/boxes as shown in the example below.  
1427 Shaded boxes are intended future requirements, and are not at this time required for adhering to the  
1428 Profile.

1429 Illustrative example:

1430 Parameter Entity/Actor Normative text: Clear boxes are current requirements  
1431 Shaded boxes are intended for future requirements

Phantom tests: transaxial uniformity measurement	Imaging Site	Using ACR, uniform cylinder phantom or equivalent shall obtain an SUV for a large central ROI of 1.0 with an acceptable range of 0.9 to 1.1.
		Using ACR or uniform cylinder phantom or equivalent shall obtain an SUV for a large central ROI of 1.0 with an acceptable range of 0.95 to 1.05.

1432 Items within tables are normative (i.e. required to be conformant with the QIBA Profile). The intent of the  
1433 normative text is to be prescriptive and detailed to facilitate implementation. In general, the intent is to

1434 specify the final state or output, and not how that is to be achieved.

1435 All other text outside of these tables is considered informative only.

## 1436 ***Definitions***

1437 MCI: Mild Cognitive Impairment

1438 AD: Alzheimer's Disease

1439 mpi: minutes post injection

1440 CTDI: Computed tomography dose index

1441 DLP: Dose length product

1442 ALARA: As Low As Reasonably Achievable

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1444 ROI: Region of interest. A region in an image that is specified in some manner, typically with user-controlled  
1445 graphical elements that can be either 2D areas or 3D volumes. These elements include, but not limited to,  
1446 ellipses, ellipsoids, rectangles, rectangular volumes, circles, cylinders, polygons, and free-form  
1447 shapes. An ROI can also defined by a segmentation algorithm that operates on the image. Segmentation  
1448 algorithms include, but are not limited to, fixed-value thresholding, fixed-percentage thresholding,  
1449 gradient edge detection, and Bayesian methods. With the definition of an ROI, metrics are then  
1450 calculated for the portion of the image within the ROI. These metrics can include, but are not limited to,  
1451 mean, maximum, standard deviation, and volume or area. Note that the term ROI can refer to a 2D area  
1452 on a single image slice or a 3D volume. In some cases the term ROI is used to refer to 2D area and the  
1453 term volume of interest (VOI) is used to refer to a 3D volume. In this Profile the term ROI is used to  
1454 refer to both 2D areas and 3D volumes as needed.

1455 VOI: Volume of interest. See definition for ROI.

1456 Dose: Can refer to either radiation dose or as a jargon term for 'total radioactivity'. For example, 10 mCi of  
1457 <sup>18</sup>F-FDG is often referred to as a 10 mCi dose.

1458 Profile:

1459 PET: Positron emission tomography (PET) is a tomographic imaging technique that produces an image of  
1460 the in vivo distribution of a radiotracer, typically FDG.

1461 PET/CT: Positron emission tomography / computed tomography (PET/CT) is a medical imaging system that  
1462 combines in a single gantry system both Positron Emission Tomography (PET) and an x-ray Computed  
1463 Tomography (CT) scanners, so that images acquired from both devices can be taken nearly-  
1464 simultaneously.

1465 CT: X-ray computed tomography (CT) is a medical imaging technique that utilizes X-rays to produce  
1466 tomographic images of the relative x-ray absorption, which is closely linked to tissue density.

1467 TOF: Time of Flight (TOF) is a PET imaging technique utilizing differential annihilation photon travel times  
1468 to more accurately localize the in vivo distribution of a radiotracer.

1469 UPICT: Uniform Protocols For Imaging in Clinical Trials (UPICT). A RSNA-QIBA initiative that seeks to provide  
1470 a library of annotated protocols that support clinical trials within institutions, cooperative groups, and  
1471 trials consortia. The UPICT protocols are based on consensus standards that meet a minimum set of

- 1472 criteria to ensure imaging data quality.
- 1473 DICOM: Digital Imaging and Communications in Medicine (DICOM) is a set of standards for medical images  
1474 and related information. It defines formats for medical images that can be exchanged in a manner that  
1475 preserves the data and quality necessary for clinical use.
- 1476 CRF: Case Report Form (CRF) is a paper or electronic questionnaire specifically used in clinical trial research.  
1477 The CRF is used by the sponsor of the clinical trial (or designated CRO etc.) to collect data from each  
1478 participating site. All data on each patient participating in a clinical trial are held and/or documented in  
1479 the CRF, including adverse events.
- 1480 mCi: millicuries. A non-SI unit of radioactivity, defined as  $1 \text{ mCi} = 3.7 \times 10^7$  decays per second. Clinical  
1481 FDG-PET studies inject (typically) 5 to 15 mCi of  $^{18}\text{F}$ -FDG.
- 1482 MBq: megabecquerel. An SI-derived unit of radioactivity defined as  $1.0 \times 10^6$  decays per second.
- 1483 QA: Quality Assurance. Proactive definition of the process or procedures for task performance. The  
1484 maintenance of a desired level of quality in a service or product, esp. by means of attention to every  
1485 stage of the process of delivery or production.
- 1486 QC: Quality Control. Specific tests performed to ensure target requirements of QA program are met.  
1487 Typically by testing a sample of the output against the specification.
- 1488 Accreditation: Approval by an independent body or group for broad clinical usage (requires ongoing  
1489 QA/QC) e.g. ACR, IAC, TJC.
- 1490 Qualification: Approved by an independent body or group for either general participation in clinical  
1491 research (ACRIN-CQIE , SNM-CTN others) or for a specific clinical trial (requires ongoing QA/QC). This  
1492 includes CROs, ACRIN, SNM-CTN, CALGB and other core laboratories.
- 1493 Conformance: Meeting the list of requirements described in this document, which are necessary to meet  
1494 the measurement claims for this QIBA Profile.
- 1495 AC: Attenuation Correction. Attenuation is an effect that occurs when photons emitted by the radiotracer  
1496 inside the body are absorbed by intervening tissue. The result is that structures deep in the body are  
1497 reconstructed as having falsely low (or even negative) tracer uptake. Contemporary PET/CT scanners  
1498 estimate attenuation using integrated x-ray CT equipment. While attenuation-corrected images are  
1499 generally faithful representations of radiotracer distribution, the correction process is itself susceptible  
1500 to significant artifacts.

1501

## 1502 *Organizations*

- 1503 QIBA: Quantitative Imaging Biomarkers Alliance. The Quantitative Imaging Biomarkers Alliance (QIBA) was  
1504 organized by RSNA in 2007 to unite researchers, healthcare professionals and industry stakeholders in the  
1505 advancement of quantitative imaging and the use of biomarkers in clinical trials and practice.
- 1506 RSNA: Radiological Society of North America (RSNA). A professional medical imaging society with more than  
1507 47,000 members, including radiologists, radiation oncologists, medical physicists and allied scientists. The  
1508 RSNA hosts the world's largest annual medical meeting.
- 1509 SNMMI: Society of Nuclear Medicine and Molecular Imaging (formerly called the Society of Nuclear  
1510 Medicine (SNM)). A nonprofit scientific and professional organization that promotes the science,  
1511 technology and practical application of nuclear medicine and molecular imaging. SNMMI represents 18,000

1512 nuclear and molecular imaging professionals worldwide. Members include physicians, technologists,  
1513 physicists, pharmacists, scientists, laboratory professionals and more

1514 CTN: The Clinical Trials Network (CTN) was formed by SNMMI in 2008 to facilitate the effective use of  
1515 molecular imaging biomarkers in clinical trials.

1516 AAPM: The American Association of Physicists in Medicine is a member society concerned with the topics  
1517 of medical physics, radiation oncology, imaging physics. The AAPM is a scientific, educational, and  
1518 professional organization of 8156 medical physicists.

1519 EANM: The European Association of Nuclear Medicine (EANM) constitutes the European umbrella  
1520 organization of nuclear medicine in Europe

1521 EARL: EANM Research Ltd (EARL) was formed by EANM in 2006 to promote multicentre nuclear medicine  
1522 and research.

1523 ABNM: American Board of Nuclear Medicine

1524 ABR: The American Board of Radiology

1525 ABSNM: The American Board of Science in Nuclear Medicine

1526 ACR: The 36,000 members of |include radiologists, radiation oncologists, medical physicists, interventional  
1527 radiologists, nuclear medicine physicians and allied health professionals.

1528 ACRI: The American College of Radiology Imaging Network (ACRI) is a program of the American College  
1529 of Radiology and a National Cancer Institute cooperative group. Focused on cancer-related research in  
1530 clinical trials.

1531 ANSI: American National Standards Institute

1532 ECOG-ACRI: A National Cancer Institute cooperative group formed from the 2012 merger of the Eastern  
1533 Cooperative Oncology Group (ECOG) and the American College of Radiology Imaging Network (ACRI).

1534 IAC: The Intersocietal Accreditation Commission (IAC) provides accreditation programs for Vascular Testing,  
1535 Echocardiography, Nuclear/PET, MRI, CT/Dental, Carotid Stenting and Vein Center.

1536 TJC: The Joint Commission (TJC) accredits and certifies health care organizations and programs in the  
1537 United States.

1538 CRO: Contract Research Organization. A commercial or not-for-profit organization designated to perform a  
1539 centralized and standardized collection, analysis, and/or review of the data generated during a clinical trial.  
1540 Additional activities which may be performed by an imaging core lab include training and qualification of  
1541 imaging centers for the specific imaging required in a clinical trial, development of imaging acquisition  
1542 manuals, development of independent imaging review charters, centralized collection and archiving of  
1543 images received from study sites, performing pre-specified quality control checks/tests on incoming images  
1544 and development and implementation of quality assurance processes and procedures to ensure that  
1545 images submitted are in accord with imaging time points specified in the study protocol and consistent with  
1546 the quality required to allow the protocol-specified analysis /assessments

1547 CQIE: The Centers of Quantitative Imaging Excellence (CQIE) program was developed by ACRI in response  
1548 to a solicitation for proposals issued in December 2009 by SAIC-Frederick on behalf of the National Cancer  
1549 Institute (NCI). The primary objective of the CQIE Program is to establish a resource of 'trial ready' sites  
1550 within the NCI Cancer Centers Program that are capable of conducting clinical trials in which there is an  
1551 integral molecular and/or functional advanced imaging endpoint.



1552 CLIA: Clinical Laboratory Improvement Amendments: Accreditation system for establishing quality  
1553 standards for laboratory testing.

1554 USP: United States Pharmacopeial Convention establishes written and physical (reference) standards for  
1555 medicines, food ingredients, dietary supplement products and ingredients in the U.S.

1556 EMA: European Medicines Agency is a European Union agency for the evaluation of medicinal products.  
1557 Roughly parallel to the U.S. Food and Drug Administration (FDA), but without FDA-style centralization.

1558 FDA: Food and Drug Administration is responsible for protecting and promoting public health in the U.S.  
1559 through the regulation and supervision of food safety, tobacco products, dietary supplements, prescription  
1560 and over-the-counter pharmaceutical medications, vaccines, biopharmaceuticals, blood transfusions,  
1561 medical devices, electromagnetic radiation emitting devices, and veterinary products.

1562 NIST: National Institute of Standards and Technology is a measurement standards laboratory which is a  
1563 non-regulatory agency of the United States Department of Commerce.

1564 NEMA: National Electrical Manufacturers Association is a forum for the development of technical standards  
1565 by electrical equipment manufacturers.

1566 MITA: The Medical Imaging & Technology Alliance is a division NEMA that develops and promotes  
1567 standards for medical imaging and radiation therapy equipment. These standards are voluntary guidelines  
1568 that establish commonly accepted methods of design, production, testing and communication for imaging  
1569 and cancer treatment products.

1570

## 1571 **Appendix D: Model-specific Instructions and Parameters**

1572 The presence of specific product models/versions in the following tables should not be taken to imply that  
1573 those products are fully in conformance with the QIBA Profile. Conformance with a Profile involves meeting  
1574 a variety of requirements of which operating by these parameters is just one. To determine if a product  
1575 (and a specific model/version of that product) is conformant, please refer to the QIBA Conformance  
1576 Document for that product.

### 1577 ***D.1. Image Acquisition Parameters***

1578 The following technique tables list acquisition parameter values for specific models/versions that can be  
1579 expected to produce data meeting the requirements of Section 3.6.4 ('Phantom Imaging').

1580 These technique tables may have been prepared by the submitter of this imaging protocol document, the  
1581 clinical trial organizer, the vendor of the equipment, and/or some other source. (Consequently, a given  
1582 model/version may appear in more than one table.) The source is listed at the top of each table.

1583 Sites using models listed here are encouraged to consider using these parameters for both simplicity and  
1584 consistency. Sites using models not listed here may be able to devise their own acquisition parameters that  
1585 result in data meeting the requirements of Section 3.6.4 and conform to the considerations in Section 4. In  
1586 some cases, parameter sets may be available as an electronic file for direct implementation on the imaging  
1587 platform.

### 1588 ***D.2. Quality Assurance Procedures***

1589 Examples of recommend quality assurance procedures are shown for specific GE, Philips, and Siemens

1590 PET/CT scanners in the tables below.

1591

QC procedures and schedules for Philips Gemini TF, V3.3 and V3.4			
Device	QA Procedure		Frequency
CT	Tube Calibration		Daily
	Air Calibration		Daily
	Noise. On head phantom		Daily
	Noise and Artifacts. On body phantom		Daily
	Contrast scale and artifacts		Monthly
	Impulse Response		Advanced test as needed
	Slice thickness		Advanced test as needed
PET	Daily PET CT	System Initialization	Daily
		Baseline collection (analog offsets of all photomultiplier channels)	Daily
		PMT gain calibration	Daily
		Energy test and analysis	Daily
		Timing test	Daily
		Emission sinogram collection and analysis	Daily
	AutoQC	Automated System Initialization	Daily, prescheduled to shorten daily QC
		Automated Baseline collection	Daily, prescheduled to shorten daily QC
	Uniformity check		Monthly
	SUV calibration		Every 6 months, after recalibration, when SUV validation shows discrepancy
SUV validation		Every 2 months, when PM is performed	

1592

1593

QA procedures and schedules for GE Discovery ST, STE, Rx and Discovery 600/700 series PET/CT systems			
Device	QA Procedure	Frequency	
Computers	System reboot	Daily or as needed	
	CT tube warm up	Daily or after 2 hours of inactivity	
CT	Air calibrations (fast cals)	Daily	
	Generator calibrations	Daily	
	CT QA phantom	Contrast Scale	Acquire scans daily
		High Contrast Spatial Resolution	Acquire scans daily
		Low Contrast Detectability	Acquire scans daily
		Noise and Uniformity	Acquire scans daily
		Slice Thickness	Acquire scans daily
		Laser Light Accuracy	Acquire scans daily
	Full system calibration		Performed after tube replacement or as PM
	PET	PET Daily Quality Assurance (DQA)	Coincidence
PET coincidence mean			Daily
PET coincidence variance			Daily
Singles			Daily
PET singles mean			Daily
PET singles variance			Daily
Deadtime			Daily
PET mean deadtime			Daily
Timing			Daily
PET timing mean			Daily
Energy		Daily	
PET energy shift		Daily	
PET singles update gain		Weekly	
Clean database		Weekly	
PET 2D normalization		Quarterly (if appropriate for the system)	
PET 2D well counter correction		Quarterly (if appropriate for the system)	
PET 3D normalization and well counter correction		Quarterly	
Establish new DQA baseline		Quarterly	
Ge-68 source pin replacement		Every 18 months	

QA procedures and schedules for Siemens Biograph 6/16 Hi-Rez, Biograph 16 Truepoint, Biograph 16 Truepoint with TrueV, PET Syngo 2010A, Biograph mCT			
Device	QA Procedure	Frequency	
Computers	Restart computers	Daily at Startup	
	Clear scheduler	Daily	
	Clear network, local, and film queues	Four times daily	
	Archive patient data	Daily	
	System cleanup/defragmentation	Weekly	
CT	CT Checkup/Calibration	Daily, after 60 minutes of full load, within 1 hour of patient scan	
	CT Quality	Water HU	Daily
		Pixel noise	Daily
PET	PET Daily QC	Tube voltages	Daily
		Daily normalization	Daily
		Computation/ verification of the PET calibration factor (ECF)	Daily
		Normalization results display and sinogram inspection	Daily
		System quality report	Daily
		Partial detector setup: generate crystal region maps/energy profiles	Weekly
Full detector setup and time alignment		Quarterly	

## Appendix E: Data fields to be recorded in the Common Data Format Mechanism

The list below comprises meta-information (i.e. in addition to image values of kBq/ml) that is necessary for quantitatively accurate (i.e. known and minimal uncertainties) of PET SUVs. The intent here is to list what information should be captured rather than the mechanism itself. The format and corresponding mechanism of data capture/presentation is currently unspecified, but ranges from paper notes, to scanned

1602 forms or electronic data records, to direct entry from the measurement equipment (i.e. the PET/CT scanner  
1603 or auxiliary measurement devices such as the radionuclide calibrator) into pre-specified DICOM fields.  
1604 Ideally all the specified meta-data will be captured by direct electronic entry to DICOM fields, after suitable  
1605 modification of the DICOM format for PET imaging.

1606 The concept endorsed here is that the needed meta-data is identified. Through revisions of this Profile, the  
1607 DICOM standard, and technology the meta-data is inserted into the analysis stream (Figure 3) in a more  
1608 direct manner and technology and accepted standards evolve.

- 1609 • The needed information, where feasible, is listed in order from least frequently changing to most  
1610 frequently changing.
- 1611 • In all cases note whether measurements are made directly or estimated. If the latter case, note the  
1612 source of information and the date and time (e.g. if subject cannot be moved from bed to measure  
1613 weight or height).

1614 Data fields to be recorded:

- 1615 1. Site specific
  - 1616 a. Site information (include name and/or other identifiers)
  - 1617 b. Scanner make and model
  - 1618 c. Hardware Version numbers
  - 1619 d. Software Version numbers
  - 1620 e. Confirmation that scanner used was previously qualified (or not)
- 1621 2. Protocol specific
  - 1622 a. PET
    - 1623 i. Duration per bed
    - 1624 ii. Acquisition mode (3D)
    - 1625 iii. Reconstruction method
  - 1626 b. CT technique (if PET/CT scan)
- 1627 3. Scanner specific QA/QC
  - 1628 a. Most recent calibration factors (scanner)
  - 1629 b. Scanner daily check values
  - 1630 c. most recent clock check
  - 1631 d. most recent scanner QA/QC
- 1632 4. Subject exam specific
  - 1633 a. Weight (optional)
  - 1634 b.
  - 1635 c. Pre- and post-injection assayed activities and times of assay
  - 1636 d. Injection time
  - 1637 e. Site of injection (and assessment of infiltration)
  - 1638 f. Net injected activity (calculated including decay correction)
  - 1639 g. Uptake time

1640

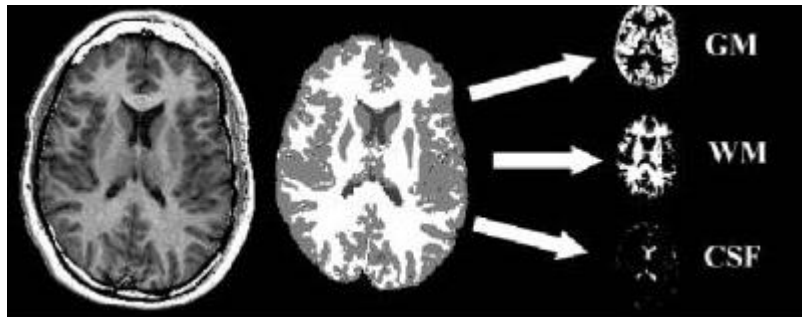
## 1641 **Appendix F: Testing PET Display and Analysis Systems with the UW-PET QIBA** 1642 **Amyloid Digital Reference Object (DRO) Series**

1643 The University of Washington-PET QIBA PET Amyloid DRO series is a synthetically generated set of DICOM

1644 image files of known voxel values for PET. The PET data were derived from a single subject’s MRI scan  
 1645 (provided with the DRO series). The UW-PET QIBA DRO series is intended to test the computation of  
 1646 standardized uptake value ratios (SUVRs) by PET amyloid image analysis workstations (IAWs). This is  
 1647 motivated by vendor-specific variations in PET amyloid IAWs. The development of the UW-PET QIBA DRO  
 1648 series is supported by the Quantitative Imaging Biomarker Alliance (QIBA) and the University of  
 1649 Washington.

1650 The primary goals and objectives of the UW-PET QIBA DRO series are to support the QIBA PET amyloid  
 1651 ‘Performance Assessment: Image Analysis Workstation and Software’ efforts for Profile development. This  
 1652 will be done by (1) visual evaluation of the target and reference region placement, (2) evaluation and  
 1653 validation of SUVR calculations with regards to reproducibility and linearity and (3) providing a common  
 1654 reference standard that can be adopted and modified by IAW manufacturers.

1655 As mentioned above, the UW-PET QIBA PET Amyloid DRO series is based on a single segmented MRI scan of  
 1656 a patient. The MRI scan digitally had the skull and skin removed, and then was segmented into GM, WM,  
 1657 and CSF, which allows for different values of PET activity to be simulated in these regions.



1658  
 1659 Illustration of how the DRO series was created.

1660  
 1661 Normally, a system of measurement would have assessments and conformance levels for bias, linearity and  
 1662 reproducibility. However, since the claim in this Profile is a longitudinal claim (as opposed to a cross-  
 1663 sectional claim), the conformance assessment as detailed here will focus only on linearity and  
 1664 reproducibility. Please note that the implicit assumption of a longitudinal study is that same patient will be  
 1665 measured on the same scanner with the same acquisition and post-processing protocols.

1666 **Linearity**

1667 The linearity of the IAW will be assessed by testing a range of different subjects, as defined by varying SUVR  
 1668 values. The table below gives more detail about the simulated subjects and their respective SUVR values.  
 1669 The activity in the CSF region will be set to 0.

	0.9X	X	0.9
	1.0X	X	1.0
	1.1X	X	1.1
	1.2X	X	1.2
	1.3X	X	1.3
	1.4X	X	1.4

1670

1671 Therefore, 6 subjects were simulated in the DRO series which will be later used to test the linearity of the  
1672 IAW.

1673 **Reproducibility**

1674 The reproducibility of the IAW will be assessed by making multiple realizations of the same subject. This  
1675 can be thought of as simulating test-retest multiple times on the same subject. The multiple realizations  
1676 will be done by adding typical levels of clinical noise five times to each subject. Please see the figure below  
1677 for a pictorial representation.

1678 **The DRO Series**

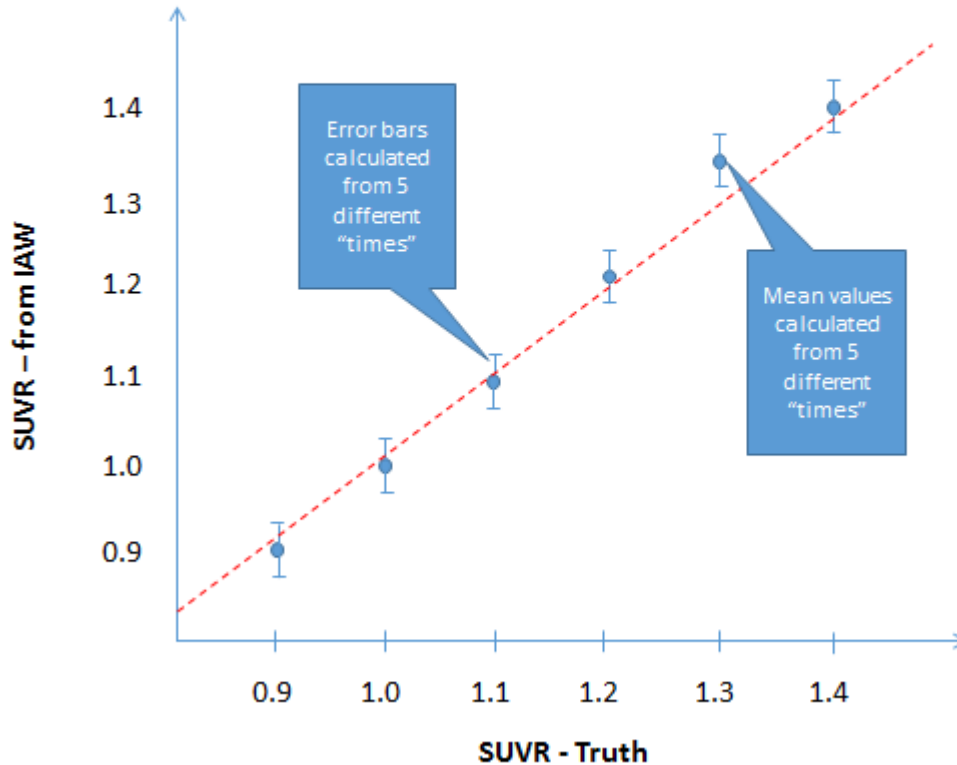
1679 The simulation of six subjects and five realizations means that the DRO series will contain 30 simulated PET  
1680 volumes. These volumes will be stored in DICOM format and can be downloaded from the Quantitative  
1681 Imaging Data Warehouse (QIDW), with the link given below.**IAW Compliance Procedure**

- 1682 a. Download the UW-PET QIBA PET Amyloid DRO series from QIDW [<give link when ready>](#).
- 1683 b. Analyze the 30 volumes using the same procedure, target regions and reference regions as will be  
1684 used with patient data.
- 1685 c. For each target region for a fixed reference region, the information to form the graph below should  
1686 be calculated, and will be called a given target's results, e.g. (Frontal Target/Whole Cerebellum  
1687 Reference Region) Results:

### Example Output – For Single Target Region

Will be one graph for each Target Region if single reference region is used  
 If multiple reference regions, then total graphs = (number of target regions) x (number of reference regions)

#### IAW Conformance – Target Region 1



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4. If multiple reference regions will be used, generate the same information as in point 3 above using this new reference region. The final number of target results or graphs will be (number of target regions) x (number of reference regions).

1692

5. The following statistical analysis should be performed on each target result.

1693

1694

1695

- a. Fit an ordinary least squares (OLS) regression of the  $Y_i$ 's on  $X_i$ 's (where  $Y$ 's are the SUV measurements from the IAW, and  $X$ 's are the true SUV measurements). A quadratic term is first included in the model:  $Y = \beta_0 + \beta_1 X + \beta_2 X^2$ .

1696

1697

- The estimate of  $\beta_0$ ,  $\beta_1$  and  $\beta_2$ , along with their 95% Confidence Intervals (CIs), shall be reported as part of the assessment record (see last point below).

1698

- b. Re-fit a linear model:  $Y = A_0 + A_1 X$  (red dotted line on graph above).

1699

1700

- The estimate of  $A_0$  and  $A_1$ , along with their 95% CIs, shall be reported as part of the assessment record (see last point below).

1701

1702

- R-squared ( $R^2$ ) shall be  $>0.90$  for the IAW to be compliant for the given target and reference regions.

1703

1704

- c. For each of the 6 true SUV values, calculate the mean (blue points in graph above) of the 5 measurements and the wSD (blue error bars in graph above) using the following equations

where the summations are from  $j=1$  to  $J=5$ :

$$\bar{Y}_i = \sum(Y_{ij})/J \text{ and } wSD_i^2 = \sum(Y_{ij} - \bar{Y}_i)^2 / (J - 1).$$

d. Estimate wCV using the equation, where  $N=6$ :

$$wCV = \sqrt{\sum_{i=1}^N (wSD_i^2 / \bar{Y}_i^2) / N}.$$

f. Estimate the % Repeatability Coefficient (%RC) using the equation:

$$\widehat{\%RC} = 2.77 \times wCV \times 100.$$

- The %RC shall be  $\leq 2.6\%$  for the IAW to be compliant for the given target and reference regions. (Note that this conformance criterion allows 95% confidence that the %RC of the IAW meets the Profile claim.)
- For future reference, the number of subjects and tests per subjects can be changed in the DRO series, which will change the RC threshold as per the table below.

	.....	
<b>6</b>	<b>5</b>	<b>2.6%</b>
<b>7</b>	<b>5</b>	<b>2.8%</b>
<b>9</b>	<b>5</b>	<b>2.9%</b>
<b>11</b>	<b>5</b>	<b>3.0%</b>
<b>6</b>	<b>10</b>	<b>3.1%</b>

6. For each target's results, report the following in a format similar to the example table below.

Ref Region	Visual Placement Check	Target Region	Visual Placement Check	$\theta_0$	$\theta_1$	$\theta_2$	$A_0$	$A_1$	$R^2$	$R^2 > 0.90$	wCV	%RC	%RC $\leq 2.6\%$
1	Pass	1	Pass	0.03	0.91	0.01	0.1	0.97	0.92	Pass	$7.6 \times 10^{-3}$	2.1	Pass
1	Pass	2	Pass	0.05	0.9	0.02	0.07	0.95	0.91	Pass	$1.05 \times 10^{-2}$	2.9	Fail
1	Pass	3	Fail	-	-	-	-	-	-	-	-	-	-
1	Pass	4	Pass	0.16	0.81	0.14	0.14	1.2	0.85	Fail	-	-	-
2	Fail	-	-	-	-	-	-	-	-	-	-	-	-
3	Pass	1	Pass	0.03	0.91	0.01	0.1	0.97	0.92	Pass	$7.6 \times 10^{-3}$	2.1	Pass
3	Pass	2	Pass	0.04	0.95	0.04	0.03	0.92	0.93	Pass	$8.0 \times 10^{-3}$	2.2	Pass
...	...	...	...	...	...	...	...	...	...	...	...	...	...



1721 The table report above should be saved and archived with any PET amyloid patient study that is compliant  
1722 with this Profile.

1723

## Appendix G: Best Practice Guidance for the Hoffman Brain Phantom

- Make sure that before the 18-F or 18-FDG is added, you start with a completely filled phantom (less ~100ml, described later). It is helpful to fill the phantom with water the day before to help remove small air bubbles.
- Purified or distilled water is preferred, normal tap water is OK.
- When you are filling, it helps to tip the phantom slightly (use a syringe or similar object underneath one side). It also helps to open more than one of the filling ports while filling. Once you have the phantom completely filled, then use a 50-60cc syringe to take out ~75-100ml before injecting with the FDG. This allows for better mixing.
- Prepare the F18 tracer (typically FDG) in a volume of **3-5ml**, calibrated for an injected amount of 0.5-0.6 mCi (18.5 – 22.2 MBq) at the projected time of scanning.

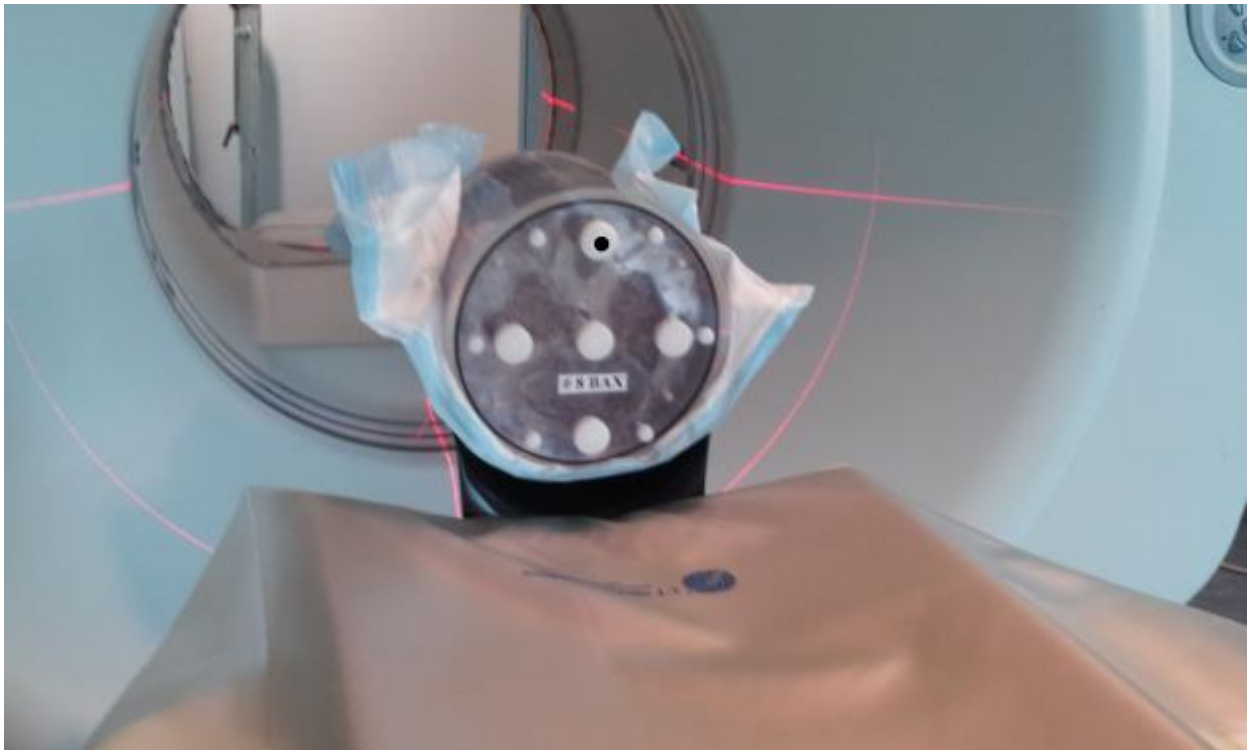


- Switch the needle on the syringe to a long, blunt tip needle. Insert through the top filling port (the brain's **anterior** side) until the tip of the needle is **approximately half way down through the phantom**. Rinse the syringe 2 or 3 times to reduce the residual in the syringe.
- To ensure there is no tracer left in the original (short) needle, attach that needle, and also rinse 2-3 times.
- Measure the residual in both needles and syringe. We suggest you place these in a surgical glove before placing in the dose calibrator to prevent contamination of the dose calibrator.

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- Once injected, replace the cap and roll back and forth vigorously for about 5min. Occasionally, pick up and tip up and down the other way.
  - Top off as best you can, filling through 1 or two of the ports (wherever bubbles are).
  - Roll a 2<sup>nd</sup> time, briefly for about 1min. this will help to get bubbles out.
  - Top off a 2<sup>nd</sup> time. The focus now is to remove any remaining air getting bubbles. An effective method is to hold upright (with filling ports up), and shake back and forth vigorously to make the bubbles rise. (Remember when filling to minimize spills. Wipe with a paper towel, and this goes to radioactive waste)
  - Roll a final 3<sup>rd</sup> time. Then top off again to remove any remaining air bubbles.
  - As a final check, look through the phantom at a bright light to check for bubbles. If there are some large bubbles (greater than ~3 mm), try another shaking/tapping/rolling/filling session.
  - Finally, if you do the CT scan and notice there are big bubbles or air spaces, take the phantom and try to top off/remove the bubbles before doing the finally CT/Pet scans

1757 Generally, this process takes about 10-20min.

1758



1759

1760 Position the phantom on the scanner bed with the filling ports towards the foot of the bed, and the  
1761 anterior filling port at 12 o'clock. (In this position, the cerebellar lobes should be visible at the bottom of  
1762 the phantom, and should appear in the reconstructed image as if you were imaging a supine subject).

1763

1764

## Appendix I: Detailed Example of Hoffman Phantom Data Analysis

The basic methodology in the quantitative analysis is to first align the test scan to the digital atlas using an affine registration, then to intensity normalize the data, and finally to find a smoothing factor for the digital atlas that best matches the spatial resolution of the test scan. Once a registered, the intensity normalized test image and smoothed gold standard are computed, and the difference image can be viewed visually and quantified by various methods described below to assess overall scan quality.

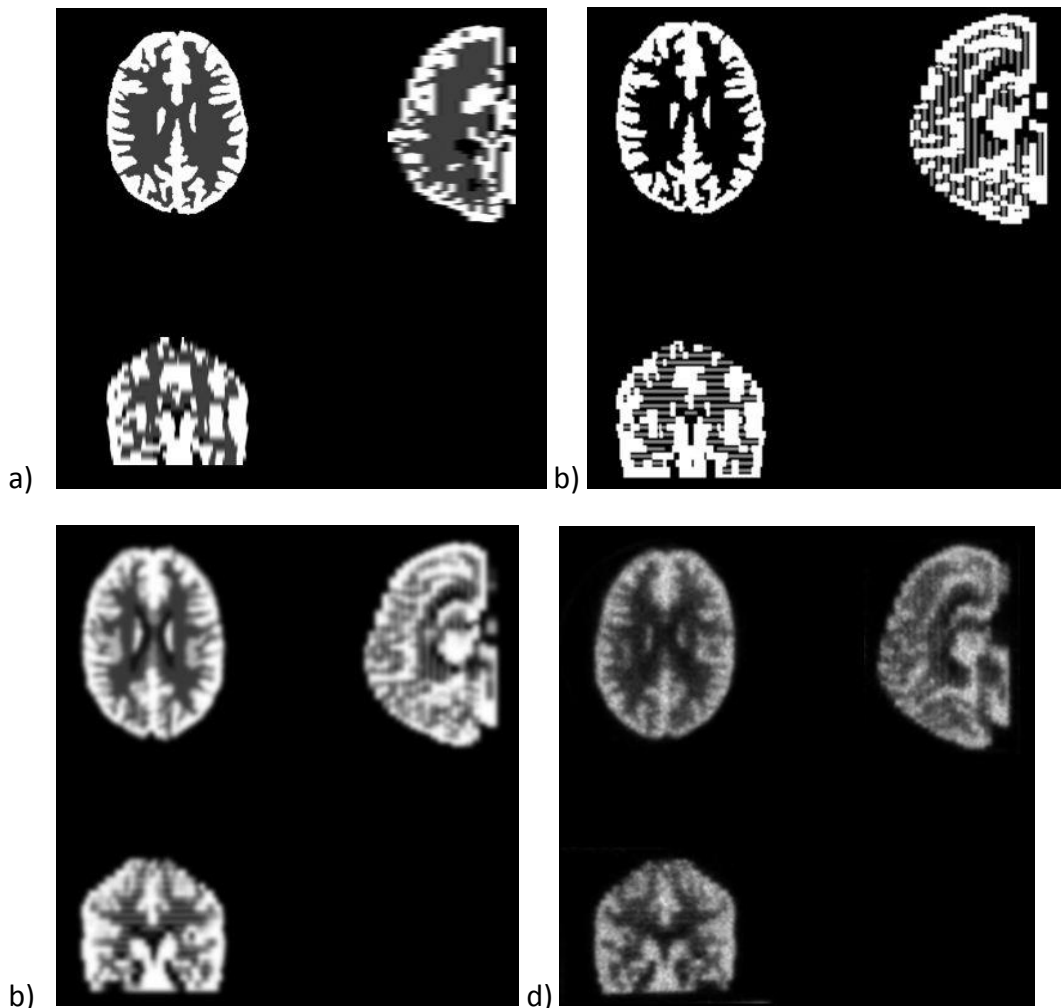


Figure 1. Digital Hoffman Phantom. a) 19-slice version supplied by Data Spectrum. b) 90-slice version modeling more accurately individual layers of each slice. c) smoothed version of the 90-slice digital phantom. d) sample real phantom data obtained from the high-resolution HRRT scanner.

### Phantom Description

The interior of the Hoffman brain phantom is composed of 19 separate plexiglass plates, each 6.1 mm thick. To achieve the 4:1 gray:white uptake ratio via displacement of a uniform concentration of radioisotope solution, each plate is composed of a “sandwich” of eight separate layers, of “gray” slices (G), cut to the shape of modeled gray matter, and “white” slices (W), cut to the shape of modeled white matter. Areas of CSF are left completely void. Each layer is therefore composed of a “sandwich” in this order: GG|W|GG|W|GG. The most caudal slice and most cranial slice consist of just 4 gray layers (GG|GG).

1785

1786 Data Spectrum, who manufactures the phantom, supplies a 256x256x19 voxel digital atlas that models the  
1787 phantom appearance as having one of 3 types of uniform areas in each 6.1 mm slice (gray=4, white=1,  
1788 csf=0). See Figure 1a. Dr. Bob Koepp from the University of Michigan, in collaboration with Data Spectrum  
1789 and CTI (now Siemens) constructed a more accurate 160x160x90 voxel, 1.548x1.548x1.548 mm version of  
1790 this phantom that models the individual layers between the slices. Each slice of this 90-slice phantom  
1791 represents either a “GG” all gray layer with values either 0 or 1.0; or a “GW” layer with values either 0, 0.5  
1792 or 1.0. This digital phantom (Fig 1b,c) looks much more like data obtained from a high-resolution PET  
1793 scanner (Fig 1d), and can be smoothed to approximate images from lower-resolution scanners. The  
1794 individual layers can actually be seen in some higher resolution scanners, such as the Siemens HRRT.

1795

1796 One important item to note is that the actual phantom size, especially the actual physical slice thickness of  
1797 each phantom, can vary slightly. Therefore, when comparing data, it is important to deal with the scaling  
1798 appropriately. Alternatively, if comparisons are made between two acquisitions, one must insure that the  
1799 identical phantom is used in the comparison. If there are multiple phantoms in use, it is good practice to  
1800 track each phantom with an appropriate identification number.

1801

1802 Regarding smoothing, it is assumed that the PET scanner resolution can be modeled by smoothing with a  
1803 Gaussian kernel with the same size in the transaxial direction (i.e. x and y direction), and another size in the  
1804 axial direction (i.e. z direction). This is approximate, since blurring increases transaxially away from the  
1805 center, and is different in the radial and tangential directions. Also, axial resolution is degraded in the outer  
1806 end planes of the scanner. However, the uniform smoothing assumption is fairly reasonable for head  
1807 imaging, where the field of view is fairly close to the center of the scanner.

## 1808 **Methods and Metrics**

### 1809 **Method Overview**

1810 The method for quantitative analysis can be summarized by the following steps:

- 1811 1) Sum a dynamic PET test image, which we will call the “Source Image” acquisition, to produce a  
1812 single average PET volume
- 1813 2) Register the averaged Source Image to the 90-slice digital reference using an affine transformation
- 1814 3) Determine Gaussian smoothing factors FWHM<sub>xy</sub>, FWHM<sub>z</sub>, to be applied to the digital phantom so  
1815 that it best matches the registered Source dataset.
- 1816 4) Compute image metrics on differences between the matched smooth “gold standard” data, and the  
1817 registered Source data.
- 1818 5) Create different images and graphics to augment a visual assessment of image quality.

### 1819 **Relevant Data Files**

1820 The following input and reference files are used in the analysis:

#### 1821 Reference Files

1822 **ctiHoffman0.0\_0.0.nii** – This is the 160x160x90 digital gold standard data.

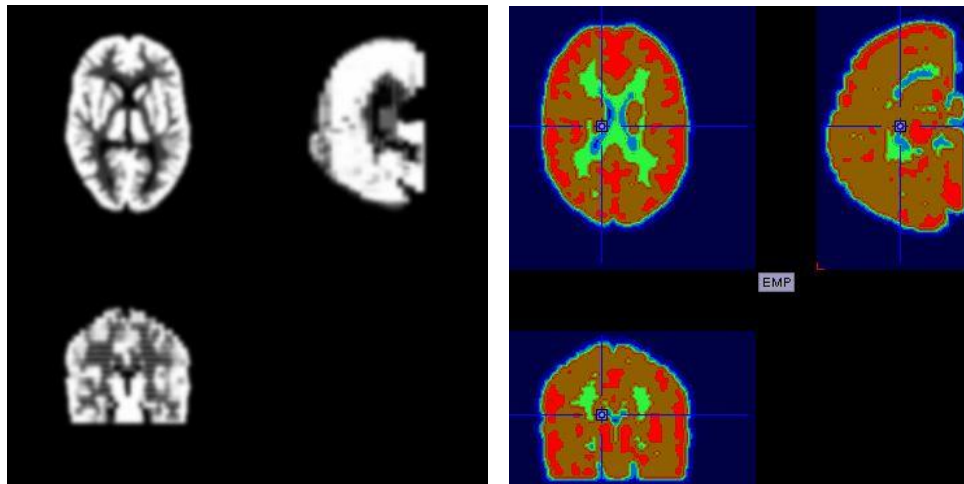
1823 **ctiHoffman5.0\_5.0.nii** – This is ctiHoffman0.0\_0.0.nii smoothed by a Gaussian kernel 5.0 mm FWHM in the  
 1824 x, y, and z dimensions. This represents an image at about the resolution of the highest-resolution scanners,  
 1825 such as the HRRT.

1826 **HoffmanVOI5mm6Level.25\_.95BrainMask.nii** – This is a volume-of-interest (VOI) mask file with six levels  
 1827 created in PMOD using multi-level thresholding on the smoothed, phantom file, **ctiHoffman5.0\_5.0.nii**. The  
 1828 resulting segmentation is seen in Figure 2. Idealized voxel intensities for CSF, white matter and gray matter  
 1829 are 0.0, .025, 1.0 respectively, but blurring of the digital phantom results in a partial volume effect so that  
 1830 voxel values vary continually between 0.0 – 1.0. Regions were defined with the following IDs and  
 1831 thresholding criteria as follows:

Region ID	Threshold	Description
1	Val < 0.01 outside brain contour	nonbrain
2	Val < 0.05	Pure CSF
3	0.05 < Val < .20	White/CSF mixture
4	0.20 < Val < .30	Mostly “pure” white
5	.30 < Val < .90	Gray/white mixture
6	.90 < Val	Mostly “pure” gray

1832 Regions 4 and 6, which represent areas of mostly white and gray matter, respectively, are the main regions  
 1833 used for comparison in the analysis.

1834



1835

1836 Figure 2. Six-region Volume of Interest mask. The smoothed digital reference (left), and the volume of  
 1837 interest mask volume created in PMOD using multi-thresholding segmentation (right). The VOI mask is used  
 1838 to define areas representing primarily pure gray (shown in red) and pure white matter (shown in green).  
 1839 These regions are used for image intensity normalization and various image quality metrics.

1840

1841 Input files

1842 **SourceXXX** – original dynamic PET data. Usually in DICOM format, and for this profile is recommended to  
 1843 be a 4 x 5 minute acquisition.

1844

1845 Intermediate Files1846 Avg **SourceXXX.nii** – summed dynamic data.1847 **RegSourceXXX.nii** – summed dynamic data registered to 160x160x90 voxel digital phantom template1848 **RegSourceNorm.nii** – version of **RegSourceXXX.nii** intensity normalized to values between 0 and 1.0.

1849

1850 Output Files1851 *Volumes*1852 **RegSourceXXXFit.nii** – smoothed version of the Hoffman digital template , **ctiHoffman0.0\_0.0.nii** , that is  
1853 the best fit to **RegSourceNorm.nii**.1854 **RegSourceXXXAbsDiff.nii** – absolute difference volume between **RegSourceFit.nii** and

1855

1856 *Text*1857 **RegSourceXXXfit.txt** – summary output file

1858

1859 *JPG -*1860 **RegSourceXXXXplotAbsDiffProfile.jpg** – profile of1861 **RegSourceXXXXplotGrayWhiteProfile.jpg** -1862 **RegSourceXXXXplotImgDiff.jpg** - central three orthogonal planes through **RegSourceXXXAbsDiff.nii**, gray  
1863 scale set between -0.2 and 0.2.1864 **RegSourceXXXXplotImgNorm.jpg** – central three orthogonal planes through **RegSourceNorm.nii**, gray scale  
1865 set between 0.0 and 1.0

1866

1867 **Method Details – Processing Steps**1868 1) Manual step: Load/visual check of image data. Add to PMOD batch file list1869 Images need to be manually loaded to check visually that the orientation is correct. If the image loads  
1870 using default parameters, it can be simply added to a PMOD file list for later batch processing. If the  
1871 default settings do not work, the image must be manually loaded using the correct image reorientation  
1872 switches, saved as a new dynamic file, then added to the PMOD batch file list.1873 2) Batch step: PMOD script: Dynamic Averaging, Affine Registration to Hoffman Digital reference1874 This step sums the dynamic PET data to obtain an averaged PET source file, and then registers the  
1875 averaged PET to the Hoffman reference image. It is assumed that there is no motion between image  
1876 time frames, so a motion correction step is not necessary like it would be for a patient study. As a  
1877 reference image, the version of the Hoffman reference smoothed with a 5 mm isotropic Gaussian filter  
1878 is used (**ctiHoffman5.0\_5.0.nii**). This represents the resolution of an image that would be expected from  
1879 the highest resolution PET scanners. In PMOD's registration module, Normalized Mutual Information

and the “scale” option are selected to allow an affine match that will compensate for slightly different phantom actual sizes. No other pre-smoothing is used during the registration. The batch process saves the averaged and the registered dataset as two separate files. This step can be run on one or many different PET files. PMOD is not set up yet to record the reorientation matrix (I have requested this), so we do not have a full track of all operations.

### 3) Batch step: Matlab script: Normalize PET, Fit Smoothing Model, Quantify Difference Image

Once the PET source has been registered to the Hoffman reference, the following steps are carried out using a matlab script:

- a) *Normalize the Registered PET source intensity.* The noiseless digital phantom has values ranging between 0.0 and 1.0. Rather than normalizing to maximum intensity of the source image, the following approach is taken which adjusts for the partial volume effect and for the expected Poisson-related variability around the mean for the expected values in the areas representing gray and white matter. Using the 6-level VOI mask, we use region 6, the area representing mostly pure gray matter, as a reference region. The mean intensity of voxel values in this region is computed in both the smoothed reference volume and the registered source volume. A scale term is computed as the ratio of reference volume gray region mean intensity / source volume gray region mean intensity. This results in the mean with the area representing pure gray area to be set to a voxel intensity of 1.0 in the normalized image.
- b) *Fit Gaussian smoothing kernels, FWHM<sub>xy</sub> and FWHM<sub>z</sub>.* An unconstrained nonlinear estimation approach is used to find the Gaussian smoothing kernels that produce a smoothed version of the digital reference phantom best matching the normalized source volume. (using Matlab’s “fminsearch” function). We investigated various image difference measures: absolute difference, squared difference, correlation, and brain-masked differences, and the simple absolute difference appeared to work well. The code is written so that any of these options can be selected, but the default is the absolute difference.

### 2) Calculation of Quality Metrics from the Normalized Source Image and Difference Image

The difference between the normalized source image and the digital reference smoothed to fit the source image is the main basis for the comparison. Additionally, some measures can also be computed from the normalized source image alone. Basic ideas to consider in this analysis include:

- The ideal gray:white contrast ratio should be 4:1 in a noise free setting with perfect spatial resolution. We need to consider the partial volume effect, so most evaluations are made in comparison to global or VOI measures on the noise-free smoothed digital reference.
- For evaluations using a uniform phantom, the usual figure of merit for an acceptable measurement variance is +/- 10% from the mean both in-plane and axially. Therefore, an absolute difference of about 10%, i.e. +/- 0.1 intensity units would ideally be a maximum difference between the normalized source and the smoothed reference image.

Quality Metrics

#### a) *Global Volume Metrics*

- i) **Comparison of fit smoothing parameters to published data from ADNI / Bob Koeppe’s group.**  
This value should be consistent for a given scanner type. Differences in Z-smoothing compared to ADNI results are expected due primarily to Z-scaling during the affine registration process. Based on empirical observation, there most likely is a problem if the fit smoothing parameters differ by more than 1 mm FWHM.



- 1923 ii) **Average Global Absolute Difference – total image volume** : ideally, this should be less than  
 1924 10%, therefore less than 0.1 for the images intensity normalized to values between 0.0 and 1.0.
- 1925 iii) **Average Global Absolute Difference in the brain region only**: ideally, this should be less than  
 1926 10%, therefore less than 0.1 for the images intensity normalized to values between 0.0 and 1.0.
- 1927 iv) **Gray:White mater ratio in the source image**. Ideally, this should be 4.0. For scanners of lower  
 1928 resolution we would expect the value to be less.
- 1929 v) **Ratio of Gray:White in the Source image compared to smoothed reference**. Ideally, this should  
 1930 be 1.0. **Would expect at most a 10% variation**.
- 1931 vi) **Ratio of White matter intensity standard deviation in the Source imaging compared to the**  
 1932 **smoothed reference**: This measure gives an indication of image noise. By comparing to the  
 1933 reference volume, variation with the white matter region due to the partial volume effect  
 1934 should cancel out.
- 1935 vii) **Ratio of Gray matter intensity standard deviation in the Source imaging compared to the**  
 1936 **smoothed reference**. : This measure gives an indication of image noise. By comparing to the  
 1937 reference volume, variation with the white matter region due to the partial volume effect  
 1938 should cancel out.
- 1939 b) *Slice-by-slice Metrics (computed between planes 10-80, which represent the plane with brain data in*  
 1940 *the Hoffman reference volume)*
- 1941 i) **Average Slice Absolute Difference – total slice**: ideally, this should be less than 10%, therefore  
 1942 less than 0.1 for the images intensity normalized to values between 0.0 and 1.0.
- 1943 ii) **Average Slice Absolute Difference – brain region only**: ideally, this should be less than 10%,  
 1944 therefore less than 0.1 for the images intensity normalized to values between 0.0 and 1.0.
- 1945 iii) **Average Slice Absolute Difference – gray matter only (VOI region #6)**: ideally, this should be  
 1946 less than 10%, therefore less than 0.1 for the images intensity normalized to values between 0.0  
 1947 and 1.0.
- 1948 iv) **Average Slice Absolute Difference – white matter only (VOI region #4)**: ideally, this should be  
 1949 less than 10%, therefore less than 0.1 for the images intensity normalized to values between 0.0  
 1950 and 1.0.
- 1951 v) **Ratio of mean gray intensity in VOI region #6 for Source compared to smoothed reference**:  
 1952 ideally, this should be 1.0
- 1953 vi) **Ratio of mean white intensity in VOI region #6 for Source compared to smoothed reference**.  
 1954 Ideally, this should be 1.0.
- 1955 vii) **Profile Coefficient of Variation for Gray slice mean gray intensity**. This metric can be used as a  
 1956 sentinel for unacceptable variations in axial sensitivities.
- 1957
- 1958 3) Outputs: Graphics, Text Summary and Imaging volumes
- 1959 a) JPGs
- 1960 i) 3 orthogonal slices through the center of the difference volume – color bars set to +/- 0.2 for all  
 1961 evaluations to highlight significant areas that differ from the reference volume. A
- 1962 ii) 3 orthogonal slices through the normalized, registered source volume
- 1963 iii) Slice-by-slice profiles of error measures between source and reference volumes
- 1964 iv) Slice-by-slice profiles of the ratio of mean gray and white matter region intensity regions for the  
 1965 source volume compared to the reference volume.
- 1966 b) Text file
- 1967 i) Numerical values for the global and plane-by-plane metrics
- 1968 c) Image volumes

- i) Difference Volume
- ii) Fit Smoothed Reference Volume

**Note: Matlab Modules Used.** In addition to the base Matlab package, the processing pipeline used the standard Matlab Image Processing Toolbox and the Optimization Toolbox. The pipeline also used the 3<sup>rd</sup> party Matlab package for reading, writing and displaying NIFTI files, “Tools for NifTI and ANALYZE image”, found at <http://www.rotman-baycrest.on.ca/~jimmy/NifTI>.

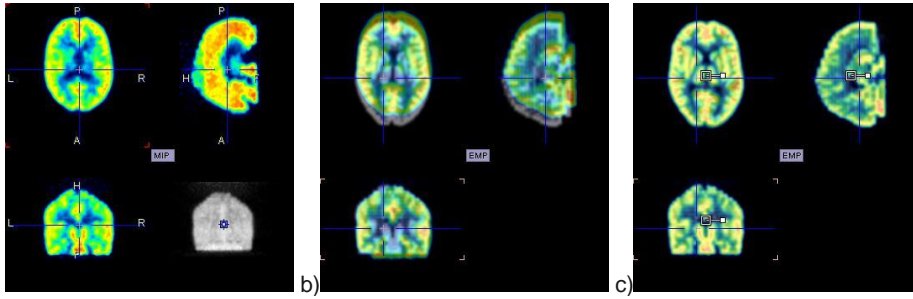


Figure 3. Affine Registration Process. Source image in original orientation (a). Source image (colored grayscale, and digital gold standard (grayscale) unregistered (b), and after registration in PMOD (c).